



Complex analysis

# On the localization of the minimum integral related to the weighted Bergman kernel and its application



*Sur la localisation de l'intégrale minimum liée au noyau de Bergman à poids et son application*

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## ABSTRACT

In this note, under an additional condition, we present an alternative proof of a stability theorem for the boundary asymptotics of the Bergman kernel due to T. Ohsawa. Our method relies on the localization of the minimum integral related to the weighted Bergman kernel.

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## R É S U M É

Dans cette note, nous présentons, sous une certaine condition additionnelle, une preuve alternative d'un théorème de stabilité pour le comportement asymptotique à la frontière du noyau de Bergman, démontré antérieurement par T. Ohsawa. Notre méthode s'appuie sur la localisation de l'intégrale minimale liée au noyau de Bergman à poids.

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## 1. Introduction and main results

In complex differential geometry, the study of holomorphic invariants has been motivated by a desire to be able to comprehend intrinsic values of sub-domains of complex manifolds. For this reason, the Bergman kernel and its boundary behavior have been extensively studied on various types of domains in  $\mathbb{C}^n$ , including pseudoconvex domains and those of D'Angelo finite type (see [2,7] and the references therein). In particular, the boundary behavior of the Bergman kernel of weakly pseudoconvex domains in  $\mathbb{C}^n$  motivated the celebrated Ohsawa–Takegoshi  $L^2$  extension theorem [8] on Stein manifolds. Recently, Błocki [1] and Guan, Zhou, and Zhu [3] obtained independently sharp estimates on this  $L^2$  extension theorem. These results have rekindled the interest in the  $L^2$  extension theorem and its application. For instance, Ohsawa posed the following problem.

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**Problem.** Let  $D = \{z : \rho(z) < 0\}$  be a domain in  $\mathbb{C}^n$  with a  $C^2$ -smooth boundary, and let  $D_0$  be a sub-domain of  $D$  defined by

$$D_0 = \{z = (z', z_n) \in \mathbb{C}^{n-1} \times \mathbb{C} : \psi(z) + \log |z_n|^2 < 0\},$$

where  $\psi(w) = -\log(-\rho(w))$  for all  $w \in D$ . Then, find a condition so that, up to constant multiples,

$$\lim_{(z', 0) \rightarrow \partial D_0 \cap \{z_n=0\}} \frac{K_{D_0}((z', 0), (z', 0))}{K_{D', \psi}(z', z')} = 1,$$

where  $K_{D', \psi}(z', w')$  is the weighted Bergman kernel of  $D' = D \cap \{z_n = 0\}$  with respect to the weight  $\psi$ .

T. Ohsawa recently gave an affirmative answer to this problem together with a refinement of his extension result with negligible weights (see [7] and the references therein). In this note, we present yet another proof of his answer to the above problem with a further condition that  $\partial D_0 \cap \{z_n = 0\}$  are local holomorphic peak points. Our method essentially relies on a localizing argument of the minimum integral related to the weighted Bergman kernel.

We now briefly review some basics on a weighted version of the minimum integral below.

**Definition 1.** Let  $\Omega$  be a domain in  $\mathbb{C}^n$  and  $\varphi$  any continuous function in  $\Omega$ . For a fixed  $\zeta \in \Omega$ , we define a minimum integral  $I_0^{\Omega, \varphi}(\zeta)$  of  $\Omega$  with the weight  $\varphi$  by setting

$$I_0^{\Omega, \varphi}(\zeta) = \inf \left\{ \int_{\Omega} |f(z)|^2 e^{-\varphi(z)} d\lambda(z) : f \in A_{\varphi}^2(\Omega) \text{ and } f(\zeta) = 1 \right\},$$

where  $d\lambda$  is the Lebesgue measure on  $\Omega$  and  $A_{\varphi}^2(\Omega) := \mathcal{O}(\Omega) \cap L_{\varphi}^2(\Omega)$ .

In a similar fashion to the unweighted case, the weighted Bergman kernel  $K_{\Omega, \varphi}$  on the diagonal can be represented as follows.

**Proposition 2.** Let  $\Omega$  and  $\varphi$  be as above. Then the weighted Bergman kernel  $K_{\Omega, \varphi}$  satisfies

$$K_{\Omega, \varphi}(z, z) = \sup \left\{ |f(z)|^2 : f \in A_{\varphi}^2(\Omega) \text{ and } \|f\|_{L_{\varphi}^2(\Omega)} \leq 1 \right\}.$$

**Proof.** For each  $f \in A_{\varphi}^2(\Omega)$  such that  $\|f\|_{L_{\varphi}^2(\Omega)} \leq 1$ , the reproducing property of  $K_{\Omega, \varphi}$  and the Cauchy–Schwarz inequality imply

$$|f(z)|^2 = |\langle f, K_{\Omega, \varphi}(\cdot, z) \rangle_{L_{\varphi}^2(\Omega)}|^2 \leq \|f\|_{L_{\varphi}^2(\Omega)}^2 \|K_{\Omega, \varphi}(\cdot, z)\|_{L_{\varphi}^2(\Omega)}^2 = \|f\|_{L_{\varphi}^2(\Omega)}^2 K_{\Omega, \varphi}(z, z) \leq K_{\Omega, \varphi}(z, z). \tag{1}$$

This observation ensures that, if  $K_{\Omega, \varphi}(z, z) = 0$ , then  $f(z) \equiv 0$  for all  $f \in A_{\varphi}^2(\Omega)$  such that  $\|f\|_{L_{\varphi}^2(\Omega)}^2 \leq 1$ . In the case when  $K_{\Omega, \varphi}(z, z) > 0$ , we define a function  $g$  by setting  $g(p) = K_{\Omega, \varphi}(p, z) / \sqrt{K_{\Omega, \varphi}(z, z)}$  for each  $p \in \Omega$ . Then  $g$  satisfies the following:

$$|g(z)|^2 = K_{\Omega, \varphi}(z, z) \quad \text{and} \quad \|g\|_{L_{\varphi}^2(\Omega)}^2 = 1.$$

This, in conjunction with (1), completes the proof.  $\square$

Moreover, the following proposition shows that the minimum integral  $I_0^{\Omega, \varphi}$  can be viewed as the reciprocal of the weighted Bergman kernel on the diagonal, provided  $K_{\Omega, \varphi}(p, p)$  does not vanish at  $p \in \Omega$ .

**Proposition 3.** Let  $\Omega$  and  $\varphi$  be as above. Then the minimum integral  $I_0^{\Omega, \varphi}$  satisfies

$$I_0^{\Omega, \varphi}(\zeta) = \frac{1}{K_{\Omega, \varphi}(\zeta, \zeta)},$$

whenever  $K_{\Omega, \varphi}(\zeta, \zeta) \neq 0$  for all  $\zeta \in \Omega$ .

**Proof.** Let us first fix a point  $\zeta \in \Omega$ . Then we define a function  $f$  by setting  $f(\xi) = K_{\Omega, \varphi}(\xi, \zeta) / K_{\Omega, \varphi}(\zeta, \zeta)$  for each  $\xi \in \Omega$ . This function  $f$  clearly satisfies  $f(\zeta) = 1$ . Since moreover

$$\int_{\Omega} |f(\xi)|^2 e^{-\varphi(\xi)} d\lambda(\xi) = \frac{1}{K_{\Omega, \varphi}(\zeta, \zeta)},$$

it follows that  $I_0^{\Omega, \varphi}(\zeta) \leq 1/K_{\Omega, \varphi}(\zeta, \zeta)$ . For the opposite inequality, we note that for each  $z \in \Omega$ ,

$$|f(z)|^2 = |(f, K_{\Omega, \varphi}(\cdot, z))_{L^2_{\varphi}(\Omega)}|^2 \leq \|f\|_{L^2_{\varphi}(\Omega)}^2 \|K_{\Omega, \varphi}(\cdot, z)\|_{L^2_{\varphi}(\Omega)}^2 = \|f\|_{L^2_{\varphi}(\Omega)}^2 K_{\Omega, \varphi}(z, z). \tag{2}$$

Then substitute  $z = \zeta$  into the relation (2) to obtain

$$\frac{1}{K_{\Omega, \varphi}(\zeta, \zeta)} = \frac{|f(\zeta)|^2}{K_{\Omega, \varphi}(\zeta, \zeta)} \leq \|f\|_{L^2_{\varphi}(\Omega)}^2. \tag{3}$$

Thus taking the infimum of the right-hand side of (3), we eventually reach that

$$\frac{1}{K_{\Omega, \varphi}(\zeta, \zeta)} \leq I_0^{\Omega, \varphi}(\zeta),$$

as desired.  $\square$

We now state our first main result, which is a weighted version of the localization of the minimum integral in [5].

**Theorem 4.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^n$ . Suppose that  $p \in \partial\Omega$  is a local holomorphic peak point and  $\varphi$  is plurisubharmonic on  $\Omega$ . Then for any neighborhood  $\mathcal{U}$  of  $p$  in  $\mathbb{C}^n$ , we have*

$$\lim_{\zeta \rightarrow p} \frac{I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)}{I_0^{\Omega, \varphi}(\zeta)} = 1. \tag{4}$$

Exploiting this localization argument of the minimum integral related to the weighted Bergman kernel, we next come to the following second main result of this note.

**Theorem 5.** *Let  $\Omega$  be a smoothly bounded pseudoconvex domain in  $\mathbb{C}^n$  and  $\rho$  a defining function of  $\Omega$ . Let  $H_{\Omega}$  be a subset of a complex hypersurface defined by*

$$H_{\Omega} = \Omega \cap \left\{ z = (z', z_n) \in \mathbb{C}^{n-1} \times \mathbb{C} : H(z) = 0 \right\} \neq \emptyset$$

for a continuous function  $H$ . We denote by  $\tilde{\Omega}$  a sub-domain of  $\Omega$  such that

$$\tilde{\Omega} = \{z \in \Omega : -\log(-\rho(z)) + 2 \log |H(z)| < 0\}$$

and  $\psi(z) := -\log(-\rho(z))$  is plurisubharmonic on  $\tilde{\Omega}$ . In particular, we have  $H_{\Omega} = H_{\tilde{\Omega}}$ . Suppose that  $p \in \partial\Omega \cap \overline{H_{\Omega}}$  is a local holomorphic peak point; there exist a neighborhood  $\mathcal{U}$  of  $p$  and a biholomorphism  $\Phi$  from  $\tilde{\Omega} \cap \mathcal{U}$  onto a bounded Hartogs domain

$$D := \left\{ (\tilde{z}', \tilde{z}_n) \in P_1 \Phi(H_{\tilde{\Omega}} \cap \mathcal{U}) \times \mathbb{C} \subset \mathbb{C}^{n-1} \times \mathbb{C} : |\tilde{z}_n|^2 < \psi \circ \Phi^{-1}(\tilde{z}', 0) \text{ for a projection } P_1(\tilde{z}', \tilde{z}_n) := \tilde{z}' \right\}$$

satisfying that  $\tilde{\psi} \Big|_{D \cap \{\tilde{z}_n=0\}} := \psi|_{H_{\Omega} \cap \mathcal{U}} \circ \Phi^{-1}$  and  $\Phi(p) \in \partial D \cap \overline{\Phi(H_{\Omega} \cap \mathcal{U})}$ . Then we have

$$\lim_{\zeta \rightarrow p} \frac{K_{\tilde{\Omega}}(\zeta, \zeta)}{K_{H_{\Omega}, \psi}(\zeta, \zeta)} = \frac{1}{\pi}.$$

We remark that in the case when  $\tilde{\Omega} = D$  and  $H(z', z_n) = z_n$ , the conclusion of Theorem 5 reduces to the conclusion of Theorem 0.2 of T. Ohsawa [7] with a further assumption that  $p$  is a local holomorphic peak point which is necessary for the localization arguments in this note.

## 2. Proofs

### 2.1. Proof of Theorem 4

Throughout what follows, we use the same notation as in Theorem 4. Let  $h$  be a local holomorphic peak function at  $p \in \partial\Omega$  and  $\mathcal{U}$  the associated neighborhood of the point  $p$  as above. Now we choose another open neighborhood  $\mathcal{V}$  of  $p$  such that  $\mathcal{V} \Subset \mathcal{U}$  and  $h \neq 0$  on  $\mathcal{V}$ . Then there is a constant  $s \in (0, 1)$  such that  $|h| \leq s$  on the closure  $\overline{\Omega \cap (\mathcal{U} \setminus \mathcal{V})}$ . Let us next choose a cut-off function  $\chi \in C_0^{\infty}(\mathcal{U})$  such that  $\chi = 1$  on  $\mathcal{V}$  and  $0 \leq \chi \leq 1$  on  $\mathcal{U}$ .

Now we shall utilize a L. Hörmander's estimate in [4] to get an upper bound of the ratio of the minimum integrals with a weight function in (4). In order to take this end, we first fix a point  $\zeta \in \mathcal{V}$ . Let us define a plurisubharmonic function  $\tilde{\varphi}$  on  $\Omega$  by setting  $\tilde{\varphi}(z) = (2n + 2) \log |z - \zeta|$  for the previously fixed point  $\zeta \in \mathcal{V}$ . Since  $\varphi$  is chosen as a plurisubharmonic

function on  $\Omega$  in our assumption, we note that  $\varphi + \tilde{\varphi}$  is also plurisubharmonic on  $\Omega$ . Given any function  $f \in A^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})$ , we define a smooth closed  $(0, 1)$ -form  $\alpha \in L^2_{\tilde{\varphi}}(\Omega) \cap L^2_{\varphi + \tilde{\varphi}}(\Omega)$  by setting  $\alpha = \bar{\partial}(\chi fh^k)$  for each  $k \geq 1$ . Since  $\alpha$  is indeed equal to  $(\bar{\partial}\chi)fh^k$  from its definition, it follows that

$$\text{supp}(\alpha) \subset \Omega \cap (\mathcal{U} \setminus \mathcal{V}). \tag{5}$$

By adopting Theorem 4.4.2 of L. Hörmander [4], we obtain a solution  $u$  to the equation  $\bar{\partial}u = \alpha$  on  $\Omega$  such that

$$\int_{\Omega} |u(z)|^2 e^{-\varphi(z) - \tilde{\varphi}(z)} (1 + |z|^2)^{-2} d\lambda(z) \leq \int_{\Omega} |\alpha(z)|^2 e^{-\varphi(z) - \tilde{\varphi}(z)} d\lambda(z) < \infty. \tag{6}$$

This relation also holds if we replace  $\varphi + \tilde{\varphi}$  by  $\varphi$ . The choice of  $f \in A^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})$  and the relation (5) ensure the finiteness of the integral in (6). Taking the infimum of  $1/(1 + |z|^2)^2$  on  $\Omega$  in (6), one can show that

$$\int_{\Omega} |u(z)|^2 e^{-\varphi(z) - \tilde{\varphi}(z)} d\lambda(z) \leq \sup_{z \in \Omega} (1 + |z|^2)^2 \int_{\Omega} |\alpha(z)|^2 e^{-\varphi(z) - \tilde{\varphi}(z)} d\lambda(z).$$

We denote by  $C$  and  $\tilde{C}$  the values of  $\sup_{z \in \Omega} (1 + |z|^2)^2$  and  $\sup_{z \in \Omega \cap (\mathcal{U} \setminus \mathcal{V})} 1/|z - \zeta|^{2n+2}$ , respectively. Since  $\alpha \in L^2_{\tilde{\varphi}}(\Omega) \cap L^2_{\varphi + \tilde{\varphi}}(\Omega)$ , we see that

$$\int_{\Omega} |u(z)|^2 e^{-\varphi(z) - \tilde{\varphi}(z)} d\lambda(z) \leq C \int_{\Omega} |\alpha(z)|^2 e^{-\varphi(z) - \tilde{\alpha}(z)} d\lambda(z) \leq C\tilde{C} \int_{\Omega \cap (\mathcal{U} \setminus \mathcal{V})} |\alpha(z)|^2 e^{-\varphi(z)} d\lambda(z) < \infty. \tag{7}$$

In particular, (7) forces  $u$  to satisfy  $u(\zeta) = 0$  for the fixed point  $\zeta \in \mathcal{V}$ . Moreover, the boundedness of  $\Omega$  implies that there exists a constant  $C_1 > 0$  such that

$$\int_{\Omega} |u(z)|^2 e^{-\varphi(z)} (1 + |z|^2)^{-2} d\lambda(z) \geq C_1 \int_{\Omega} |u|^2 e^{-\varphi(z)} d\lambda(z). \tag{8}$$

From (5) and the fact that  $|h| \leq s \in (0, 1)$ , it follows that there exists a constant  $C_2 > 0$  such that

$$\begin{aligned} \int_{\Omega} |\alpha(z)|^2 e^{-\varphi(z)} d\lambda(z) &= \int_{\Omega \cap (\mathcal{U} \setminus \mathcal{V})} |\bar{\partial}\chi(z)|^2 |f(z)|^2 |h(z)|^{2k} e^{-\varphi(z)} d\lambda(z) \\ &\leq C_2 \int_{\Omega \cap (\mathcal{U} \setminus \mathcal{V})} |f(z)|^2 |h(z)|^{2k} e^{-\varphi(z)} d\lambda(z) \\ &\leq C_2 s^{2k} \|f\|_{L^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})}^2. \end{aligned} \tag{9}$$

Combining (8) with (9), we deduce that

$$\begin{aligned} \int_{\Omega} |u(z)|^2 e^{-\varphi(z)} d\lambda(z) &\leq \frac{1}{C_1} \int_{\Omega} |u(z)|^2 e^{-\varphi(z)} (1 + |z|^2)^{-2} d\lambda(z) \\ &\leq \frac{1}{C_1} \int_{\Omega} |\alpha(z)|^2 e^{-\varphi(z)} d\lambda(z) \\ &\leq \frac{C_2}{C_1} s^{2k} \|f\|_{L^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})}^2. \end{aligned} \tag{10}$$

Now we shall define a function  $F_k$  on  $\Omega$  by setting  $F_k = \chi fh^k - u$  for each  $k \geq 1$ . Then the linearity of  $A^2_{\tilde{\varphi}}(\Omega)$  yields  $F_k \in A^2_{\tilde{\varphi}}(\Omega)$ . More precisely, using (10), we have

$$\begin{aligned} \|F_k\|_{L^2_{\tilde{\varphi}}(\Omega)} &\leq \|\chi fh^k\|_{L^2_{\tilde{\varphi}}(\Omega)} + \|u\|_{L^2_{\tilde{\varphi}}(\Omega)} \\ &\leq \|fh^k\|_{L^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})} + \|u\|_{L^2_{\tilde{\varphi}}(\Omega)} \\ &\leq \|f\|_{L^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})} + \|u\|_{L^2_{\tilde{\varphi}}(\Omega)} \\ &\leq \left(1 + \sqrt{\frac{C_2}{C_1}} s^{2k}\right) \|f\|_{L^2_{\tilde{\varphi}}(\Omega \cap \mathcal{U})}. \end{aligned} \tag{11}$$

We shall choose a function  $f$  so that  $f$  is the minimizing function for  $I_0^{\Omega \cap \mathcal{U}}(\zeta)$ , that is,  $\|f\|_{L^2_\varphi(\Omega \cap \mathcal{U})}^2 = I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)$  and  $f(\zeta) = 1$ . Let us define a function  $g$  on  $\Omega$  by setting  $g = \frac{F_k}{h^k(\zeta)}$ . Then this function  $g$  satisfies that  $g \in A^2_\varphi(\Omega)$  and  $g(\zeta) = 1$ . From the property of the minimum integral in Definition 1, it follows that

$$I_0^{\Omega, \varphi}(\zeta) \leq \|g\|_{L^2_\varphi(\Omega)}^2 \leq \frac{\left(1 + \sqrt{\frac{C_2}{C_1}} s^{2k}\right)^2}{|h(\zeta)|^{2k}} \|f\|_{L^2_\varphi(\Omega \cap \mathcal{U})}^2 = \frac{\left(1 + \sqrt{\frac{C_2}{C_1}} s^{2k}\right)^2}{|h(\zeta)|^{2k}} I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta);$$

hence,

$$\frac{I_0^{\Omega, \varphi}(\zeta)}{I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)} \leq \frac{\left(1 + \sqrt{\frac{C_2}{C_1}} s^{2k}\right)^2}{|h(\zeta)|^{2k}}. \tag{12}$$

Since the function  $h$  is chosen as a local holomorphic peak function at  $p \in \partial\Omega$ , (12) implies that

$$\limsup_{\zeta \rightarrow p} \frac{I_0^{\Omega, \varphi}(\zeta)}{I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)} \leq \left(1 + \sqrt{\frac{C_2}{C_1}} s^{2k}\right)^2. \tag{13}$$

Then, letting  $k \rightarrow +\infty$  in (13), we get

$$\limsup_{\zeta \rightarrow p} \frac{I_0^{\Omega, \varphi}(\zeta)}{I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)} \leq 1. \tag{14}$$

In addition, it is inferred from the monotone increasing property of the minimum integral that the opposite inequality

$$\frac{I_0^{\Omega, \varphi}(\zeta)}{I_0^{\Omega \cap \mathcal{U}, \varphi}(\zeta)} \geq 1.$$

Thus, combining (14) with the previous relation, we complete the proof.

### 2.2. Proof of Theorem 5

Throughout what follows, we use the same notation as in Theorem 5. By employing the localization argument of the minimum integral related to the Bergman kernel in [5], we note that

$$K_{\tilde{\Omega}}(\zeta, \zeta) = K_{\tilde{\Omega} \cap \mathcal{U}}(\zeta, \zeta) \tag{15}$$

as  $\zeta$  tends to  $p$ . Then, combining Theorem 4 with (15), it follows that

$$\lim_{\zeta \rightarrow p} \frac{K_{\tilde{\Omega}}(\zeta, \zeta)}{K_{H_\Omega, \psi}(\zeta, \zeta)} = \lim_{\zeta \rightarrow p} \frac{K_{\tilde{\Omega} \cap \mathcal{U}}(\zeta, \zeta)}{K_{H_\Omega \cap \mathcal{U}, \psi}(\zeta, \zeta)}. \tag{16}$$

Applying the transformation formulas for the Bergman kernel and the weighted Bergman kernel to (16), one can deduce that

$$\lim_{\zeta \rightarrow p} \frac{K_{\tilde{\Omega} \cap \mathcal{U}}(\zeta, \zeta)}{K_{H_\Omega \cap \mathcal{U}, \psi}(\zeta, \zeta)} = \lim_{\zeta \rightarrow p} \frac{|\det \text{Jac}(\Phi, \zeta)|^2 K_{\Phi(\tilde{\Omega} \cap \mathcal{U})}(\Phi(\zeta), \Phi(\zeta))}{|\det \text{Jac}(\Phi, \zeta)|^2 K_{\Phi(H_\Omega \cap \mathcal{U}), \tilde{\psi}}(\Phi(\zeta), \Phi(\zeta))} = \lim_{\zeta \rightarrow p} \frac{K_D(\Phi(\zeta), \Phi(\zeta))}{K_{D \cap \{|\bar{z}_n|=0\}, \tilde{\psi}}(\Phi(\zeta), \Phi(\zeta))}.$$

Thus we conclude that

$$\lim_{\zeta \rightarrow p} \frac{K_{\tilde{\Omega}}(\zeta, \zeta)}{K_{H_\Omega, \psi}(\zeta, \zeta)} = \lim_{\zeta \rightarrow p} \frac{K_D(\Phi(\zeta), \Phi(\zeta))}{K_{D \cap \{|\bar{z}_n|=0\}, \tilde{\psi}}(\Phi(\zeta), \Phi(\zeta))} = \frac{1}{\pi}$$

by using the Forelli–Rudin construction in [6].

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