

Group Theory  
Rouquier blocks of the cyclotomic Hecke algebras

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**Abstract**

Following the definition of Rouquier for the ‘families of characters’ of a Weyl group and its generalization to the case of a complex reflection group  $W$ , already used in the works of Broué–Kim and Malle–Rouquier, we show that the Rouquier blocks of a cyclotomic Hecke algebra associated with  $W$  depend only on numerical data defined by the generic Hecke algebra. *To cite this article: M. Chlouveraki, C. R. Acad. Sci. Paris, Ser. I 344 (2007).*

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**Résumé**

**Blocs de Rouquier des algèbres de Hecke cyclotomiques.** Suivant la définition de Rouquier de « familles de caractères » d’un groupe de Weyl et sa généralisation au cas d’un groupe de réflexions complexe  $W$ , déjà utilisée dans les travaux de Broué–Kim et Malle–Rouquier, nous montrons que les blocs de Rouquier d’une algèbre de Hecke cyclotomique associée à  $W$  ne dépendent que des données numériques définies par l’algèbre de Hecke générique. *Pour citer cet article : M. Chlouveraki, C. R. Acad. Sci. Paris, Ser. I 344 (2007).*

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Soit  $\mu_\infty$  le groupe des racines de l’unité de  $\mathbb{C}$  et  $K$  un corps de nombres contenu dans  $\mathbb{Q}(\mu_\infty)$ . On note  $\mu(K)$  le groupe des racines de l’unité de  $K$  et  $\forall d > 1$ , on pose  $\zeta_d := \exp(2\pi i/d)$ . Soit  $V$  un  $K$ -espace vectoriel de dimension finie. Soit  $W$  un sous-groupe fini de  $GL(V)$  engendré par des (pseudo-)réflexions et agissant irréductiblement sur  $V$  et  $B$  le groupe de tresses associé à  $W$ . On note  $\mathcal{A}$  l’ensemble des hyperplans de réflexions de  $W$ . Pour toute orbite  $\mathcal{C}$  de  $W$  sur  $\mathcal{A}$ , on note  $e_{\mathcal{C}}$  l’ordre commun des sous-groupes  $W_H$ , où  $H \in \mathcal{C}$  et  $W_H$  est le sous-groupe formé de 1 et toutes les réflexions qui fixent  $H$ .

On choisit un ensemble d’indéterminées

$$\mathbf{u} = (u_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \leq j \leq e_{\mathcal{C}}-1)}$$

et on définit l’algèbre de Hecke générique  $\mathcal{H}$  de  $W$  comme le quotient de l’algèbre du groupe  $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]B$  par l’idéal engendré par les éléments de la forme  $(\mathbf{s} - u_{\mathcal{C},0})(\mathbf{s} - u_{\mathcal{C},1}) \cdots (\mathbf{s} - u_{\mathcal{C},e_{\mathcal{C}}-1})$ , où  $\mathcal{C}$  parcourt l’ensemble  $\mathcal{A}/W$  et  $\mathbf{s}$  l’ensemble de générateurs de monodromie autour des images dans  $V^{\text{reg}}/W$  des éléments de l’orbite d’hyperplans  $\mathcal{C}$ .

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**Hypothèses 0.1.** L'algèbre  $\mathcal{H}$  est un  $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$ -module libre et de rang  $|W|$ . De plus, elle est munie d'une forme symétrisante  $t : \mathcal{H} \rightarrow \mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$  qui satisfait les conditions décrites dans [1], §2A, Hyp. 2.1.

Dorénavant, on suppose les Hypothèses 0.1 satisfaites. Dans ce cas, Malle a montré ([9], 5.2) que si l'ensemble d'indéterminées  $\mathbf{v} = (v_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \leq j \leq e_{\mathcal{C}}-1)}$  est défini comme  $v_{\mathcal{C},j}^{|\mu(K)|} := \zeta_{e_{\mathcal{C}}}^{-j} u_{\mathcal{C},j}$ , alors l'algèbre  $K(\mathbf{v})\mathcal{H}$  est semi-simple déployée (cf. [9], 5.2). La forme symétrisante pour  $\mathcal{H}$  est de la forme

$$t = \sum_{\chi_{\mathbf{v}} \in \text{Irr}(K(\mathbf{v})\mathcal{H})} \frac{1}{s_{\chi}(\mathbf{v})} \chi_{\mathbf{v}},$$

où  $s_{\chi}(\mathbf{v})$  est l'élément de Schur associé au caractère irréductible  $\chi_{\mathbf{v}}$ .

Une spécialisation cyclotomique est un morphisme de  $\mathbb{Z}_K$ -algèbres  $\phi : \mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}] \rightarrow \mathbb{Z}_K[y, y^{-1}]$  de la forme  $\phi : v_{\mathcal{C},j} \mapsto y^{n_{\mathcal{C},j}}$  où  $n_{\mathcal{C},j} \in \mathbb{Z}$  pour tout  $\mathcal{C}$  et  $j$ . L'algèbre de Hecke cyclotomique correspondante est la  $\mathbb{Z}_K[y, y^{-1}]$ -algèbre, notée  $\mathcal{H}_{\phi}$ , obtenue comme la spécialisation de  $\mathcal{H}$  via le morphisme  $\phi$ .

On définit  $\mathcal{R}_K(y) := \mathbb{Z}_K[y, y^{-1}, (y^n - 1)_{n \geq 1}^{-1}]$  l'anneau de Rouquier de  $K$ . Les blocs de Rouquier de  $\mathcal{H}_{\phi}$  sont les blocs de l'algèbre  $\mathcal{R}_K(y)\mathcal{H}_{\phi}$ . Rouquier [11] a montré que si  $W$  est un groupe de Weyl et  $\mathcal{H}_{\phi}$  est obtenue via la spécialisation cyclotomique « spetsiale », alors ses blocs de Rouquier coïncident avec les « familles de caractères » selon Lusztig. Ainsi, les blocs de Rouquier jouent un rôle essentiel dans le program « Spets » (cf. [2]) dont l'ambition est de faire jouer à des groupes de réflexions complexes le rôle de groupes de Weyl de structures encore mystérieuses.

**Proposition 0.1.** Supposons les Hypothèses 0.1 satisfaites. L'élément de Schur  $s_{\chi}(\mathbf{v})$  associé au caractère  $\chi_{\mathbf{v}}$  de  $K(\mathbf{v})\mathcal{H}$  est un élément de  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  de la forme

$$s_{\chi}(\mathbf{v}) = \xi_{\chi} N_{\chi} \prod_{i \in I_{\chi}} \Psi_{\chi,i}(M_{\chi,i})^{n_{\chi,i}}$$

où

- $\xi_{\chi}$  est un élément de  $\mathbb{Z}_K$ ,
- $N_{\chi} = \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{b_{\mathcal{C},j}}$  est un monôme dans  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  avec  $\sum_{j=0}^{e_{\mathcal{C}}-1} b_{\mathcal{C},j} = 0$  pour tout  $\mathcal{C} \in \mathcal{A}/W$ ,
- $I_{\chi}$  est un ensemble d'indices,
- $(\Psi_{\chi,i})_{i \in I_{\chi}}$  est une famille de polynômes  $K$ -cyclotomiques sur une indéterminée,
- $(M_{\chi,i})_{i \in I_{\chi}}$  est une famille de monômes dans  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  et si  $M_{\chi,i} = \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{a_{\mathcal{C},j}}$ , alors  $\text{pgcd}(a_{\mathcal{C},j}) = 1$  et  $\sum_{j=0}^{e_{\mathcal{C}}-1} a_{\mathcal{C},j} = 0$  pour tout  $\mathcal{C} \in \mathcal{A}/W$ ,
- $(n_{\chi,i})_{i \in I_{\chi}}$  est une famille d'entiers positifs.

La factorisation ci-dessus est unique dans  $K[\mathbf{v}, \mathbf{v}^{-1}]$  et les monômes  $M_{\chi,i}$  sont uniques à inversibilité près. Soient  $A := \mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$ ,  $\mathfrak{p}$  un idéal premier de  $\mathbb{Z}_K$  et  $M := M_{\chi,i}$  un monôme qui apparaît dans la factorisation de l'élément de Schur  $s_{\chi}$ . Si  $\mathfrak{q}_M := (M - 1)A + \mathfrak{p}A$ , alors  $\mathfrak{q}_M$  est un idéal premier de  $A$  et  $M$  s'appelle  $\mathfrak{p}$ -essentiel pour  $\chi$  si  $s_{\chi}/\xi_{\chi} \in \mathfrak{q}_M$ . Un monôme  $M$  dans  $A$  s'appelle  $\mathfrak{p}$ -essentiel s'il existe un caractère irréductible  $\chi$  tel que  $M$  est  $\mathfrak{p}$ -essentiel pour  $\chi$ .

Soit  $\phi$  une spécialisation cyclotomique et  $\mathcal{O} := \mathcal{R}_K(y)$ . Les blocs de  $\mathcal{O}\mathcal{H}_{\phi}$  sont des unions des blocs de  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi}$  pour tous les idéaux premiers  $\mathfrak{p}$  de  $\mathbb{Z}_K$  (cf. [1], Proposition 1.13 et §2B). Soient  $M_1, \dots, M_k$  tous les monômes  $\mathfrak{p}$ -essentiels tels que  $\phi(M_j) = 1$  pour tout  $j = 1, \dots, k$ . On pose  $\mathfrak{q}_0 := \mathfrak{p}A$ ,  $\mathfrak{q}_j := \mathfrak{p}A + (M_j - 1)A$  pour tout  $j = 1, \dots, k$  et  $\mathcal{Q} := \{\mathfrak{q}_0, \mathfrak{q}_1, \dots, \mathfrak{q}_k\}$ . Si  $\mathfrak{q} \in \mathcal{Q}$  et deux caractères irréductibles  $\chi, \psi$  appartiennent au même bloc de  $A_{\mathfrak{q}}\mathcal{H}$ , on écrit  $\chi \sim_{\mathfrak{q}} \psi$ .

**Théorème 0.2.** Supposons les Hypothèses 0.1 satisfaites. Deux caractères irréductibles  $\chi, \psi \in \text{Irr}(W)$  sont dans le même bloc de  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi}$  si et seulement s'il existe une suite finie  $\chi_0, \chi_1, \dots, \chi_n \in \text{Irr}(W)$  et une suite finie  $\mathfrak{q}_1, \dots, \mathfrak{q}_n \in \mathcal{Q}$  telles que  $\chi_0 = \chi$ ,  $\chi_n = \psi$  et pour tout  $i$  ( $1 \leq i \leq n$ ),  $\chi_{i-1} \sim_{\mathfrak{q}_i} \chi_i$ .

### 1. Introduction

Let  $\mu_\infty$  be the group of all the roots of unity in  $\mathbb{C}$  and  $K$  a number field contained in  $\mathbb{Q}(\mu_\infty)$ . We denote by  $\mu(K)$  the group of all the roots of unity of  $K$  and  $\forall d > 1$ , we put  $\zeta_d := \exp(2\pi i/d)$ . Let  $V$  be a finite dimensional  $K$ -vector space. Let  $W$  be a finite subgroup of  $GL(V)$  generated by (pseudo-)reflections and acting irreducibly on  $V$ . We denote by  $\mathcal{A}$  the set of its reflecting hyperplanes. We set  $V^{\text{reg}} := V - \bigcup_{H \in \mathcal{A}} H$ . For  $z_0 \in V^{\text{reg}}$ , we define  $B := \Pi_1(V^{\text{reg}}/W, z_0)$  the braid group associated with  $W$ .

For every orbit  $\mathcal{C}$  of  $W$  on  $\mathcal{A}$ , we set  $e_{\mathcal{C}}$  the common order of the subgroups  $W_H$ , where  $H$  is any element of  $\mathcal{C}$  and  $W_H$  the subgroup formed by 1 and all the reflections fixing the hyperplane  $H$ .

We choose a set of variables

$$\mathbf{u} = (u_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \leq j \leq e_{\mathcal{C}}-1)}$$

and we denote by  $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$  the Laurent polynomial ring in all the variables  $\mathbf{u}$ . We define the *generic Hecke algebra*  $\mathcal{H}$  of  $W$  to be the quotient of the group algebra  $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]B$  by the ideal generated by the elements of the form

$$(\mathbf{s} - u_{\mathcal{C},0})(\mathbf{s} - u_{\mathcal{C},1}) \cdots (\mathbf{s} - u_{\mathcal{C},e_{\mathcal{C}}-1}),$$

where  $\mathcal{C}$  runs over the set  $\mathcal{A}/W$  and  $\mathbf{s}$  over the set of monodromy generators around the images in  $V^{\text{reg}}/W$  of the elements of the hyperplane orbit  $\mathcal{C}$ .

We will now make some assumptions for the algebra  $\mathcal{H}$ . Note that they have been verified for all but a finite number of irreducible complex reflection groups ([2], remarks before 1.17, §2; [6]).

**Assumptions 1.1.** *The algebra  $\mathcal{H}$  is a  $\mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$ -module free and of rank  $|W|$ . Moreover, there exists a linear form  $t : \mathcal{H} \rightarrow \mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]$  with the following properties:*

- (i)  *$t$  is a central function (i.e.  $t(hh') = t(h'h)$  for all  $h, h' \in \mathcal{H}$ ) and a symmetrizing form for  $\mathcal{H}$ , i.e. the form  $\hat{t} : \mathcal{H} \rightarrow \text{Hom}(\mathcal{H}, \mathbb{Z}[\mathbf{u}, \mathbf{u}^{-1}]), h \mapsto (x \mapsto t(hx))$  is an isomorphism of  $\mathcal{H}$ -bimodules;*
- (ii)  *$t$  satisfies the assumptions described in [1], § 2A, Hyp. 2.1.*

We know that the form  $t$  is unique ([2], 2.1). From now on let us suppose that Assumptions 1.1 are satisfied. Then we have the following result by G. Malle ([9], 5.2)

**Theorem 1.2.** *Let  $\mathbf{v} = (v_{\mathcal{C},j})_{(\mathcal{C} \in \mathcal{A}/W)(0 \leq j \leq e_{\mathcal{C}}-1)}$  be a set of  $\sum_{\mathcal{C} \in \mathcal{A}/W} e_{\mathcal{C}}$  variables such that, for every  $\mathcal{C}, j$ , we have  $v_{\mathcal{C},j}^{|\mu(K)|} = \zeta_{e_{\mathcal{C}}}^{-j} u_{\mathcal{C},j}$ . Then the  $K(\mathbf{v})$ -algebra  $K(\mathbf{v})\mathcal{H}$  is split semisimple.*

From ‘Tits’ deformation theorem’ (see for example [2], 7.2) we know that the specialization  $v_{\mathcal{C},j} \mapsto 1$  induces a bijection  $\chi \mapsto \chi_{\mathbf{v}}$  from the set  $\text{Irr}(W)$  of absolutely irreducible characters of  $W$  to the set  $\text{Irr}(K(\mathbf{v})\mathcal{H})$  of absolutely irreducible characters of  $K(\mathbf{v})\mathcal{H}$ .

Now let  $y$  be a variable. We set  $x := y^{|\mu(K)|}$ .

**Definition 1.3.** A cyclotomic specialization is a  $\mathbb{Z}_K$ -algebra morphism  $\phi : \mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}] \rightarrow \mathbb{Z}_K[y, y^{-1}]$  with the following properties:

- $\phi : v_{\mathcal{C},j} \mapsto y^{n_{\mathcal{C},j}}$  where  $n_{\mathcal{C},j} \in \mathbb{Z}$  for all  $\mathcal{C}$  and  $j$ ;
- for all  $\mathcal{C} \in \mathcal{A}/W$ , and if  $z$  is another variable, the element of  $\mathbb{Z}_K[y, y^{-1}, z]$  defined by  $\Gamma_{\mathcal{C}}(y, z) := \prod_{j=0}^{e_{\mathcal{C}}-1} (z - \zeta_{e_{\mathcal{C}}}^j y^{n_{\mathcal{C},j}})$  is invariant by the action of  $\text{Gal}(K(y)|K(x))$ .

If  $\phi$  is a cyclotomic specialization like above, the corresponding *cyclotomic Hecke algebra* is the  $\mathbb{Z}_K[y, y^{-1}]$ -algebra, denoted by  $\mathcal{H}_{\phi}$ , which is obtained as the specialization of the  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$ -algebra  $\mathcal{H}$  via the morphism  $\phi$ . It also has a symmetrizing form  $t_{\phi}$  defined by the specialization of the canonical form  $t$ . We notice that  $\mathcal{H}_{\phi}$  is an image of the group algebra  $\mathbb{Z}_K[y, y^{-1}]B$ , where  $B$  is the braid group, and that for  $y = 1$  this algebra specializes to the group algebra  $\mathbb{Z}_K[W]$ . Thus the specialization  $v_{\mathcal{C},j} \mapsto 1$  defines the following bijections

$$\begin{aligned} \text{Irr}(W) &\leftrightarrow \text{Irr}(K(y)\mathcal{H}_\phi) \leftrightarrow \text{Irr}(K(\mathbf{v})\mathcal{H}), \\ \chi &\mapsto \chi_\phi \mapsto \chi_{\mathbf{v}}. \end{aligned} \tag{1}$$

**Definition 1.4.** We call Rouquier ring of  $K$  and denote by  $\mathcal{R}_K(y)$  the  $\mathbb{Z}_K$ -subalgebra of  $K(y)$

$$\mathcal{R}_K(y) := \mathbb{Z}_K[y, y^{-1}, (y^n - 1)_{n \geq 1}^{-1}].$$

The *Rouquier blocks* of  $\mathcal{H}_\phi$  are the blocks of the algebra  $\mathcal{R}_K(y)\mathcal{H}_\phi$ . It has been shown by R. Rouquier [11], that if  $W$  is a Weyl group and  $\mathcal{H}_\phi$  is obtained via the cyclotomic specialization (‘spetsial’)

$$\phi : v_{C,0} \mapsto y \quad \text{and} \quad v_{C,j} \mapsto 1 \quad \text{for } j \neq 0,$$

then its Rouquier blocks coincide with the ‘families of characters’ defined by Lusztig. Thus, the Rouquier blocks play an essential role in the program ‘Spets’ [2] whose ambition is to give to complex reflection groups the role of Weyl groups of as yet mysterious structures.

## 2. Schur elements and blocks

Some important facts as far as the blocks of a symmetric algebra are concerned (for more details see [4], 7.2): Let  $H$  be an algebra over an integrally closed Noetherian ring  $R$ , which is free and finitely generated as  $R$ -module. Let  $F$  be a finite Galois extension of the field of fractions of  $R$  such that the algebra  $FH := F \otimes_R H$  is split semisimple. Let us denote by  $\text{Irr}(FH)$  the set of the irreducible characters of the algebra  $FH$ . If  $t$  is a fixed symmetrizing form for  $H$ , then

$$t = \sum_{\chi \in \text{Irr}(FH)} \frac{1}{s_\chi} \chi$$

where  $s_\chi$  is the *Schur element* associated with the irreducible character  $\chi$ . It can be shown that  $s_\chi$  belongs to the integral closure of  $R$  in  $F$  for all  $\chi \in \text{Irr}(FH)$  (see [4], Prop. 7.3.9).

Moreover, since  $FH$  is semisimple, there exists a bijection between  $\text{Irr}(FH)$  and the set of the blocks (central primitive idempotents) of  $FH$ . If  $e_\chi$  is the block which corresponds to the irreducible character  $\chi$ , then we have

$$e_\chi = \frac{\hat{t}^{-1}(\chi)}{s_\chi}.$$

In our case, the generic Hecke algebra  $\mathcal{H}$  defined over  $K(\mathbf{v})$  is split semisimple. A case by case analysis of the Schur elements of complex reflection groups as appeared in [7,8] and [10] has shown the following:

**Proposition 2.1.** *Suppose that Assumptions 1.1 are satisfied. The Schur element  $s_\chi(\mathbf{v})$  associated with the character  $\chi_{\mathbf{v}}$  of  $K(\mathbf{v})\mathcal{H}$  is an element of  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  of the form*

$$s_\chi(\mathbf{v}) = \xi_\chi N_\chi \prod_{i \in I_\chi} \Psi_{\chi,i}(M_{\chi,i})^{n_{\chi,i}}$$

where

- $\xi_\chi$  is an element of  $\mathbb{Z}_K$ ,
- $N_\chi = \prod_{C,j} v_{C,j}^{b_{C,j}}$  is a monomial in  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  with  $\sum_{j=0}^{e_C-1} b_{C,j} = 0$  for all  $C \in \mathcal{A}/W$ ,
- $I_\chi$  is an index set,
- $(\Psi_{\chi,i})_{i \in I_\chi}$  is a family of  $K$ -cyclotomic polynomials in one variable,
- $(M_{\chi,i})_{i \in I_\chi}$  is a family of monomials in  $\mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$  and if  $M_{\chi,i} = \prod_{C,j} v_{C,j}^{a_{C,j}}$ , then  $\text{gcd}(a_{C,j}) = 1$  and  $\sum_{j=0}^{e_C-1} a_{C,j} = 0$  for all  $C \in \mathcal{A}/W$ ,
- $(n_{\chi,i})_{i \in I_\chi}$  is a family of positive integers.

The above factorization is unique in  $K[\mathbf{v}, \mathbf{v}^{-1}]$ . We can also easily show that the monomials  $M_{\chi,i}$  are unique up to inversion.

Let  $A := \mathbb{Z}_K[\mathbf{v}, \mathbf{v}^{-1}]$ . Let  $\mathfrak{p}$  be a prime ideal of  $\mathbb{Z}_K$  and  $M := M_{\chi,i} \in A$  a monomial appearing in the factorization of the Schur element  $s_\chi$ . Set  $\mathfrak{q}_M := (M - 1)A + \mathfrak{p}A$ . Then  $\mathfrak{q}_M$  is a prime ideal of  $A$ .

**Definition 2.2.** The monomial  $M$  is called  $\mathfrak{p}$ -essential for  $\chi$  if  $s_\chi/\xi_\chi \in \mathfrak{q}_M$ . A monomial is called  $\mathfrak{p}$ -essential if it is  $\mathfrak{p}$ -essential for some irreducible character  $\chi$ .

A monomial  $M \in A$  is  $\mathfrak{p}$ -essential for  $\chi$  if and only if  $s_\chi$  has a factor of the form  $\Psi(M)$  with  $\Psi$  a  $K$ -cyclotomic polynomial and  $\Psi(1) \in \mathfrak{p}$ .

Now let  $\phi : A \rightarrow \mathbb{Z}_K[y, y^{-1}]$  be a cyclotomic specialization as defined in 1.3. The algebra  $K(y)\mathcal{H}_\phi$  is split semi-simple. Following Proposition 2.1 and the existing bijection between the irreducible characters of  $K(\mathbf{v})\mathcal{H}$  and of  $K(y)\mathcal{H}_\phi$ , we have:

**Proposition 2.3.** The Schur element  $s_{\chi_\phi}(y)$  associated with the character  $\chi_\phi$  of  $K(y)\mathcal{H}_\phi$  is a Laurent polynomial in  $y$  of the form

$$s_{\chi_\phi}(y) = \psi_{\chi,\phi} y^{a_{\chi,\phi}} \prod_{\Phi \in C_K} \Phi(y)^{n_{\chi,\phi}}$$

where  $\psi_{\chi,\phi} \in \mathbb{Z}_K$ ,  $a_{\chi,\phi} \in \mathbb{Z}$ ,  $n_{\chi,\phi} \in \mathbb{N}$  and  $C_K$  is a set of  $K$ -cyclotomic polynomials.

**Definition 2.4.** A prime ideal  $\mathfrak{p}$  of  $\mathbb{Z}_K$  lying over a prime number  $p$  is  $\phi$ -bad for  $W$ , if there exists  $\chi_\phi \in \text{Irr}(K(y)\mathcal{H}_\phi)$  with  $\psi_{\chi,\phi} \in \mathfrak{p}$ .

Note that if  $\mathfrak{p}$  is  $\phi$ -bad for  $W$ , then  $p$  divides the order of the group.

If  $W$  is a Weyl group and  $\phi$  is the ‘spetsial’ specialization, then the  $\phi$ -bad prime ideals are the ideals generated by the bad prime numbers (in the ‘usual’ sense) for  $W$  (see [5], 5.2).

Let  $\mathcal{O} := \mathcal{R}_K(y)$ . If  $\mathfrak{p}$  is not a  $\phi$ -bad prime ideal for  $W$ , then the blocks of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$  are singletons. The blocks of  $\mathcal{O}\mathcal{H}_\phi$  are unions of blocks of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$  for all  $\phi$ -bad prime ideals  $\mathfrak{p}$  of  $\mathbb{Z}_K$  ([1], 1.10, 1.13, §2B). We will now see how the blocks of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$ , and thus the Rouquier blocks of  $\mathcal{H}_\phi$ , can be calculated as unions of blocks of the generic Hecke algebra  $\mathcal{H}$  defined over specific localizations of the ring  $A$ :

Let  $M_1, \dots, M_k$  be the  $\mathfrak{p}$ -essential monomials such that  $\phi(M_j) = 1$  for all  $j = 1, \dots, k$ . Set  $\mathfrak{q}_0 := \mathfrak{p}A$ ,  $\mathfrak{q}_j := \mathfrak{p}A + (M_j - 1)A$  for all  $j = 1, \dots, k$  and  $\mathcal{Q} := \{\mathfrak{q}_0, \mathfrak{q}_1, \dots, \mathfrak{q}_k\}$ . If two irreducible characters  $\chi, \psi$  belong to the same block of  $A_\mathfrak{q}\mathcal{H}$  for some  $\mathfrak{q} \in \mathcal{Q}$ , we write  $\chi \sim_\mathfrak{q} \psi$ .

**Theorem 2.5.** Suppose that Assumptions 1.1 are satisfied. Two irreducible characters  $\chi, \psi \in \text{Irr}(W)$  are in the same block of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$  if and only if there exists a finite sequence  $\chi_0, \chi_1, \dots, \chi_n \in \text{Irr}(W)$  and a finite sequence  $\mathfrak{q}_1, \dots, \mathfrak{q}_n \in \mathcal{Q}$  such that

- $\chi_0 = \chi$  and  $\chi_n = \psi$ ,
- for all  $i (1 \leq i \leq n)$ ,  $\chi_{i-1} \sim_{\mathfrak{q}_i} \chi_i$ .

### 3. Essential hyperplanes and consequences

Let  $M := \prod_{\mathcal{C},j} v_{\mathcal{C},j}^{a_{\mathcal{C},j}}$  be a  $\mathfrak{p}$ -essential monomial (recall that  $\sum_{j=0}^{e_{\mathcal{C}}-1} a_{\mathcal{C},j} = 0$  for all  $\mathcal{C} \in \mathcal{A}/W$ ). Then

$$\phi(M) = 1 \Leftrightarrow \sum_{\mathcal{C},j} a_{\mathcal{C},j} n_{\mathcal{C},j} = 0.$$

The hyperplane defined in  $\mathbb{C}^{\sum_{\mathcal{C} \in \mathcal{A}/W} e_{\mathcal{C}}}$  by the relation  $\sum_{\mathcal{C},j} a_{\mathcal{C},j} t_{\mathcal{C},j} = 0$ , where  $(t_{\mathcal{C},j})$  is a set of  $\sum_{\mathcal{C} \in \mathcal{A}/W} e_{\mathcal{C}}$  variables, is called  $\mathfrak{p}$ -essential hyperplane for  $W$ .

In order to calculate the blocks of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$ , it is enough to check to which  $\mathfrak{p}$ -essential hyperplanes the  $n_{\mathcal{C},j}$  belong: If the  $n_{\mathcal{C},j}$  belong to no  $\mathfrak{p}$ -essential hyperplane, then the blocks of  $\mathcal{O}_\mathfrak{p}\mathcal{H}_\phi$  coincide with the blocks of  $A_\mathfrak{p}\mathcal{H}$ . If the  $n_{\mathcal{C},j}$

belong to only one  $\mathfrak{p}$ -essential hyperplane associated with the  $\mathfrak{p}$ -essential monomial  $M$ , then the blocks of  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi}$  coincide with the blocks of  $A_{q_M}\mathcal{H}$ . If the  $n_{\mathcal{C},j}$  belong to more than one  $\mathfrak{p}$ -essential hyperplane, then we use Theorem 2.5 in order to calculate the blocks of  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi}$ . If  $n_{\mathcal{C},j} = n \in \mathbb{Z}$  for all  $\mathcal{C}, j$ , then  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi} \cong \mathcal{O}_{\mathfrak{p}}[W]$  and the  $n_{\mathcal{C},j}$  belong to all  $\mathfrak{p}$ -essential hyperplanes. Due to Theorem 2.5, we obtain the following proposition:

**Proposition 3.1.** *If two irreducible characters  $\chi$  and  $\psi$  are in the same block of  $\mathcal{O}_{\mathfrak{p}}\mathcal{H}_{\phi}$ , then they are in the same block of  $\mathcal{O}_{\mathfrak{p}}[W]$ .*

Using the above results, we have been able to calculate the Rouquier blocks of all cyclotomic Hecke algebras of all exceptional complex reflection groups [3].

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