BULLETIN DE LA S. M. F.

OLIVIER DEBARRE

Degrees of curves in abelian varieties

Bulletin de la S. M. F., tome 122, nº 3 (1994), p. 343-361

http://www.numdam.org/item?id=BSMF_1994__122_3_343_0

© Bulletin de la S. M. F., 1994, tous droits réservés.

L'accès aux archives de la revue « Bulletin de la S. M. F. » (http://smf.emath.fr/Publications/Bulletin/Presentation.html) implique l'accord avec les conditions générales d'utilisation (http://www.numdam.org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.



Article numérisé dans le cadre du programme Numérisation de documents anciens mathématiques http://www.numdam.org/ Bull. Soc. math. France, 122, 1994, p. 343–361.

DEGREES OF CURVES IN ABELIAN VARIETIES

BY

OLIVIER DEBARRE (*)

RÉSUMÉ. — Le degré d'une courbe C contenue dans une variété abélienne polarisée (X,λ) est l'entier $d=C\cdot\lambda$. Lorsque C est irréductible et engendre X, on obtient une minoration de d en fonction de n et du degré de la polarisation λ . Le plus petit degré possible est d=n et n'est atteint que pour une courbe lisse dans sa jacobienne avec sa polarisation principale canonique (Ran, Collino). On étudie les cas d=n+1 et d=n+2. Lorsque X est simple, on montre de plus, en utilisant des résultats de Smyth sur la trace des entiers algébriques totalement positifs, que si $d\leq 1,7719$ n, alors C est lisse et X est isomorphe à sa jacobienne. Nous obtenons aussi une borne supérieure pour le genre géométrique de C en fonction de son degré.

ABSTRACT. — The degree of a curve C in a polarized abelian variety (X,λ) is the integer $d=C\cdot\lambda$. When C is irreducible and generates X, we find a lower bound on d which depends on n and the degree of the polarization λ . The smallest possible degree is d=n and is obtained only for a smooth curve in its Jacobian with its principal polarization (Ran, Collino). The cases d=n+1 and d=n+2 are studied. Moreover, when X is simple, it is shown, using results of Smyth on the trace of totally positive algebraic integers, that if $d\leq 1.7719\,n$, then C is smooth and X is isomorphic to its Jacobian. We also get an upper bound on the geometric genus of C in terms of its degree.

1. Introduction

Although curves in projective spaces have attracted a lot of attention for a long time, very little is known in comparison about curves in abelian varieties. We try in this article to partially fill this gap.

AMS classification : $14\,\mathrm{K}\,05,\,14\,\mathrm{H}\,40.$

BULLETIN DE LA SOCIÉTÉ MATHÉMATIQUE DE FRANCE 0037-9484/1994/343/\$ 5.00 © Société mathématique de France

^(*) Texte reçu le 21 octobre 1992.

O. DEBARRE, Université Paris-Sud, Mathématique, Bât. 425, 91405 Orsay CEDEX, France and Department of Mathematics, The University of Iowa, Iowa City, IA 52242, USA.

Partially supported by the European Science Project $Geometry\ of\ algebraic\ varieties,$ contract no SCI-0398-C (A) and by N.S.F. Grant DMS 92-03919.

Let (X, λ) be a principally polarized abelian variety of dimension n defined over an algebraically closed field k. The degree of a curve C contained in X is $d = C \cdot \lambda$.

The first question we are interested in is to find what numbers can be degrees of irreducibles curves C. When C generates X, we prove that $d \geq n(\lambda^n/n!)^{1/n} \geq n$. It is known (see [C], [R]) that d = n if and only if C is smooth and X is isomorphic to its Jacobian JC with its canonical principal polarization. What about the next cases? We get partial characterizations for d = n + 1 and d = n + 2, and we show (example 6.11) that all degrees > n+2 actually occur when char(k) = 0. However, it seems necessary to assume X simple to go further. We prove, using results of Smyth [S], that if C is an irreducible curve of degree < 2nif $n \leq 7$, and $\leq 1.7719 n$ if n > 7, on a simple principally polarized abelian variety X of dimension n, then C is smooth, has degree (2n-m) for some divisor m of n, the abelian variety X is isomorphic to JC (with a noncanonical principal polarization) and C is canonically embedded in X. We conjecture this result to hold for any n under the assumption that Chas degree < 2n. This would be a consequence of our Conjecture 6.2, which holds for $n \leq 7$: the trace of a totally positive algebraic integer σ of degree n is at least (2n-1) and equality can hold only if σ has norm 1. Smooth curves of genus n and degree (2n-1) in their Jacobians have been constructed by Mestre for any n in [Me].

The second question is the Castelnuovo problem: bound the geometric genus $p_g(C)$ of a curve C in a polarized abelian variety X of dimension n in terms of its degree d. We prove, using the original Castelnuovo bound for curves in projective spaces, the inequality $p_g(C) < (2d-1)^2/(2(n-1))$, which is far from being sharp (better bounds are obtained for small degrees). This in turn yields a lower bound in $O(n^{3/2})$ on the degree of a curve in a generic principally polarized abelian variety of dimension n.

Part of this work was done at the M.S.R.I. in Berkeley, and the author thanks this institution for its hospitality and support. Many thanks also to Christina Birkenhake for her remarks.

2. Endomorphisms and polarizations of abelian varieties

Let X be an abelian variety of dimension n defined over an algebraically closed field k and let $\operatorname{End}(X)$ be its ring of endomorphisms. The degree $\deg(u)$ of an endomorphism u is defined to be 0 if u is not surjective, and the degree of u as a map otherwise. For any prime ℓ different from the characteristic of k, the Tate module $T_{\ell}(X)$ is a free \mathbb{Z}_{ℓ} -module of rank 2n [Mu, p. 171] and the ℓ -adic representation ρ_{ℓ} : $\operatorname{End}(X) \to \operatorname{End}(T_{\ell}(X))$

is injective. For any endomorphism u of X, the characteristic polynomial of $\rho_{\ell}(u)$ has coefficients in \mathbb{Z} and is independent of ℓ . It is called the *characteristic polynomial* of u and is denoted by P_u . It satisfies

$$P_u(t) = \deg(t \operatorname{Id}_X - u)$$

for any integer t [Mu, thm 4, p. 180]. The opposite Tr(u) of the coefficient of t^{2n-1} is called the trace of u.

The Néron-Severi group of X is the group of algebraic equivalence classes of invertible sheaves on X. Any element μ of NS(X) defines a morphism $\phi_{\mu}: X \to \operatorname{Pic}^0(X)$ [Mu, p. 60] whose scheme-theoretic kernel is denoted by $K(\mu)$. The Riemann-Roch theorem gives $\chi(X,\mu) = \mu^n/n!$, a number which will be called the degree of μ . One has $\deg \phi_{\mu} = (\deg \mu)^2$ [Mu, p. 150]. A polarization λ on X is the algebraic equivalence class of an ample invertible sheaf on X; it is said to be separable if its degree is prime to $\operatorname{char}(k)$. In that case, ϕ_{λ} is a separable isogeny and its kernel is isomorphic to a group $(\mathbb{Z}/\delta_1\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta_n\mathbb{Z})^2$, where $\delta_1 \mid \cdots \mid \delta_n$ and $\delta_1 \cdots \delta_n = \deg(\lambda)$. We will say that λ is of type $(\delta_1 | \cdots | \delta_n)$. We will need the following result.

Theorem 2.1. (Kempf, Mumford, Ramanujan). — Let X be an abelian variety of dimension n, and let λ and μ be two elements of NS(X). Assume that λ is a polarization. Then:

- (i) The roots of the polynomial $P(t) = (t\lambda \mu)^n$ are all real.
- (ii) If μ is a polarization, the roots of P are all positive.
- (iii) If P has no negative roots and r positive roots, there exist a polarized abelian variety (X', μ') of dimension r and a surjective morphism $f: X \to X'$ with connected kernel such that $\mu = f^*\mu'$.

Proof. — The first point is part of [MK, thm 2, p. 98]. The second point follows from the same theorem and the fact that if M is an ample line bundle on X with class μ , one has $H^i(X,M)=0$ for i>0 [Mu, § 16]. For the last point, the same theorem from [MK] yields that the neutral component K of the group $K(\mu)$ has dimension (n-r). The restriction of M to K is algebraically equivalent to 0 [loc.cit., lemma 1, p. 95] hence, since the restriction $\operatorname{Pic}^0(X) \to \operatorname{Pic}^0(K)$ is surjective, there exists a line bundle N on X algebraically equivalent to 0 such that the restriction of $M \otimes N$ to K is trivial. It follows from theorem 1, p. 95 of loc.cit. that $M \otimes N$ is the pull-back of a line bundle on X' = X/K. \square

2.2. — Suppose now that θ is a principal polarization on X, i.e. a polarization of degree 1. It defines a morphism of \mathbb{Z} -modules

$$\beta_{\theta}: NS(X) \longrightarrow \operatorname{End}(X)$$

by the formula $\beta_{\theta}(\mu) = \phi_{\theta}^{-1} \circ \phi_{\mu}$. Its image consists of all endomorphisms invariant under the *Rosati involution*, which sends an endomorphism u to $\phi_{\theta}^{-1} \circ \operatorname{Pic}^{0}(u) \circ \phi_{\theta}$ [Mu, (3) p. 190]. Moreover, one has, for any integer t:

$$\left(\frac{(t\theta-\mu)^n}{n!}\right)^2 = \deg(t\phi_\theta - \phi_\mu) = \deg(t\operatorname{Id}_X - \beta_\theta(\mu)) = P_{\beta_\theta(\mu)}(t).$$

2.3. — Let (X, λ) be a polarized abelian variety. For $0 < r \le n$, we set

$$\lambda_{\min}^r = \frac{\lambda^r}{r \,! \, \delta_1 \cdots \delta_r} \cdot$$

If $k=\mathbb{C}$, the class of λ_{\min}^r is minimal (i.e. non-divisible) in $H^{2r}(X,\mathbb{Z})$. If k is any algebraically closed field, and if ℓ is a prime number different from the characteristic of k, the group $H^1_{\mathrm{\acute{e}t}}(X,\mathbb{Z}_{\ell})$ is a free \mathbb{Z}_{ℓ} -module of rank n [Mi, thm 15.1] and the algebras $H^*_{\mathrm{\acute{e}t}}(X,\mathbb{Z}_{\ell})$ with its cupproduct structure and $\bigwedge^* H^1_{\mathrm{\acute{e}t}}(X,\mathbb{Z}_{\ell})$ with its wedge-product structure, are isomorphic [Mi, rem. 15.4]. In particular, the class $[\lambda]_{\ell}$ in $H^2_{\mathrm{\acute{e}t}}(X,\mathbb{Z}_{\ell})$ of the polarization λ can be viewed as an alternating form on a free \mathbb{Z}_{ℓ} -module, and as such has elementary divisors. If λ is separable, (X,λ) lifts in characteristic 0 to a polarized abelian variety of the same type $(\delta_1|\cdots|\delta_n)$. The elementary divisors of $[\lambda]_{\ell}$ are then the maximal powers of ℓ that divide δ_1,\ldots,δ_n . Since intersection corresponds to cup-product in étale cohomology, the class of λ_{\min}^r is in $H^{2r}_{\mathrm{\acute{e}t}}(X,\mathbb{Z}_{\ell})$ and is not divisible by ℓ .

Throughout this article, all schemes we consider will be defined over an algebraically closed field k. We will denote numerical equivalence by \sim . If C is a smooth curve, JC will be its Jacobian and θ_C its canonical principal polarization.

3. Curves and endomorphisms

We summarize here some results from [Ma] and [Mo]. Let C be a curve on a polarized abelian variety (X,λ) and let D be an effective divisor that represents λ . Morikawa proves that the following diagram, where d is the degree of C and S is the sum morphism, defines an endomorphism $\alpha(C,\lambda)$ of X which is independent on the choice of D:

$$\alpha(C,\lambda): X \xrightarrow{----} C^{(d)} \xrightarrow{S} X \xrightarrow{\operatorname{translation}} X$$

$$x \longmapsto (D+x) \cap C.$$

3.1. — Let N be the normalization of C. The morphism $\iota: N \to X$ factorizes through a morphism $p: JN \to X$. Set $q = \iota^* \circ \phi_\lambda: X \to JN$; Matsusaka proves that $\alpha(C,\lambda) = p \circ q$ [Ma, lemma 3].

томе
$$122 - 1994 - n^{\circ} 3$$

3.2. — He also proves [loc.cit., thm 2] that $\alpha(C, \lambda) = \alpha(C', \lambda)$ if and only if $C \sim C'$. Since $\alpha(\lambda^{n-1}, \lambda) = (\lambda^n/n) \operatorname{Id}_X$, it follows that:

$$\alpha(C,\lambda) = m \operatorname{Id}_X \iff C \sim \frac{m}{(n-1)! \operatorname{deg} \lambda} \lambda^{n-1}.$$

If moreover λ is separable of type $(\delta_1|\cdots|\delta_n)$ and if ℓ is a prime distinct from $\operatorname{char}(k)$, the discussion of 2.3 yields that there exists a class ϵ in $H^2_{\operatorname{\acute{e}t}}(X,\mathbb{Z}_\ell)$ such that $\lambda^{n-1}\cdot\epsilon$ is $(n-1)!\,\delta_1\cdots\delta_{n-1}$ times a generator of $H^{2n}_{\operatorname{\acute{e}t}}(X,\mathbb{Z}_\ell)$. It follows that $c=m/\delta_n$ must be in \mathbb{Z}_ℓ . But δ_n is prime to $\operatorname{char}(k)$, hence c is an integer and $C\sim c\lambda_{\min}^{n-1}$.

Let θ_N be the canonical principal polarization on JN. One has:

$$(3.3) \quad \phi_{q^*\theta_N} = \operatorname{Pic}^0(q) \circ \phi_{\theta_N} \circ q = \phi_{\lambda} \circ p \circ \phi_{\theta_N}^{-1} \circ \iota^* \circ \phi_{\lambda} = \phi_{\lambda} \circ \alpha(C, \lambda).$$

Similarly:

$$\phi_{p^*\lambda} = \operatorname{Pic}^0(p) \circ \phi_\lambda \circ p = \phi_{\theta_N} \circ q \circ \phi_\lambda^{-1} \circ \phi_\lambda \circ p = \phi_{\theta_N} \circ q \circ p.$$

Note that, if g is the genus of N, one has:

$$C \cdot \lambda = N \cdot p^* \lambda = \frac{\theta_N^{g-1}}{(g-1)!} \cdot p^* \lambda.$$

In particular, $-2(C \cdot \lambda)$ is the coefficient of t^{2g-1} in the polynomial:

$$\deg(t\,\theta_N - p^*\lambda)^2 = \deg(t\,\phi_{\theta_N} - \phi_{p^*\lambda}) = \deg(t\,\operatorname{Id}_{JN} - q \circ p).$$

Since $\operatorname{Tr}(q \circ p) = \operatorname{Tr}(p \circ q) = \operatorname{Tr}(\alpha(C, \lambda))$, the following equality, originally proved by Matsusaka [Ma, cor., p. 8], holds:

(3.4)
$$\operatorname{Tr}(\alpha(C,\lambda)) = 2(C \cdot \lambda).$$

3.5.—If the Néron-Severi group of X has rank 1 (this holds for a generic principally polarized X by [M, thm 6.5], hence for a generic X with any polarization by [Mu, cor. 1, p. 234]), and ample generator ℓ' , we can write $q^*\theta_N=r\ell'$ and $\ell=s\ell'$ with r and s integers. We get $r\phi_{\ell'}=s\phi_{\ell'}\circ\alpha(C,\ell)$ hence $\alpha(C,\ell)(sx)=rx$ for all x in X. By taking degrees, one sees that s divides r and $\alpha(C,\ell)=(r/s)\operatorname{Id}_X$. By (3.2), any curve C is numerically equivalent to a rational multiple of λ^{n-1} and its degree is a multiple of n. If ℓ' is separable of type $(\delta_1|\cdots|\delta_n)$, any curve C is numerically equivalent to an integral multiple of λ^{n-1} and its degree is a multiple of $n\delta_n$.

LEMMA 3.6. — Let C be an irreducible curve that generates a polarized abelian variety (X, λ) of dimension n. Then, the polynomial $P_{\alpha(C, \lambda)}$ is the square of a polynomial whose roots are all real and positive.

Proof. — Let $\alpha = \alpha(C, \lambda)$. By (3.3), one has $\phi_{q^*\theta_N} = \phi_{\lambda} \circ \alpha$, hence, for any integer t:

$$\begin{aligned} P_{\alpha}(t) & \deg \phi_{\lambda} = \deg(t \operatorname{Id}_{X} - \alpha) & \deg \phi_{\lambda} \\ & = \deg(t \phi_{\lambda} - \phi_{\lambda} \circ \alpha) = \deg(t \phi_{\lambda} - \phi_{q^{*}\theta_{N}}) \\ & = \deg(\phi_{t\lambda - q^{*}\theta_{N}}) = \left[\frac{1}{n!} (t\lambda - q^{*}\theta_{N})^{n}\right]^{2}. \end{aligned}$$

The lemma then follows from Theorem 2.1.

We end this section with a proof of Matsusaka's celebrated criterion.

Theorem 3.7. (Matsusaka). — Let C be an irreducible curve in a polarized abelian variety (X,λ) of dimension n. Assume that $\alpha(C,\lambda) = \operatorname{Id}_X$. Then C is smooth and (X,λ) is isomorphic to (JC,θ_C) .

Proof. — Let N be the normalization of C. The morphism $\alpha(C,\lambda)$ is the identity and factors as :

$$X \longrightarrow N^{(n)} \longrightarrow W_n(N) \longrightarrow JN \longrightarrow X.$$

It follows that dim $JN = g(N) \ge n$. Moreover, the image of X in JN has dimension n, hence is the entire $W_n(N)$, which is therefore an abelian variety. This is possible only if $g(N) \le n$. Hence N has genus n. It follows that the morphism $q: X \to JN$ is an isogeny, which is in fact an isomorphism since $p \circ q = \alpha(C, \lambda) = \operatorname{Id}_X$. By (3.3), the polarizations $q^*\theta_N$ and λ are equal, hence q induces an isomorphism of the polarizations. \square

4. Degrees of curves

Let C be an irreducible curve that generates a polarized abelian variety (X,λ) of dimension n. We want to study its degree $d=C\cdot\lambda$. First, by description (3.1), the dimension of the image of $\alpha(C,\lambda)$ is the dimension of the abelian subvariety $\langle C \rangle$ generated by C. This and the definition of $\alpha(C,\lambda)$ imply:

$$C \cdot \lambda > n$$
.

It was proved by RAN [R] for $k=\mathbb{C}$ and by Collino [C] in general, that if $C\cdot\lambda=n$, the minimal value, then C is smooth and (X,λ) is isomorphic to its Jacobian (JC,θ_C) . This suggests that there should be a better lower bound on the degree that involves the type of the polarization λ . The following proposition provides such a bound.

томе
$$122 - 1994 - N^{\circ} 3$$

PROPOSITION 4.1. — Let C be an irreducible curve that generates a polarized abelian variety (X, λ) of dimension n. Then:

$$C \cdot \lambda \ge n (\deg \lambda)^{\frac{1}{n}}.$$

If λ is separable, there is equality if and only if C is smooth and (X, λ) is isomorphic to $(JC, \delta\theta_C)$, for some integer δ prime to char(k).

Recall that by (3.5), the degree of any curve on a *generic* polarized abelian variety (X, λ) is a multiple of n. When λ is separable of type $(\delta_1 | \cdots | \delta_n)$, this degree is even a multiple of $n\delta_n$.

Proof of the proposition. — We know by Lemma 3.6 that $P_{\alpha(C,\lambda)}$ is the square of a polynomial Q whose roots β_1,\ldots,β_n are real and positive. We have:

$$C \cdot \lambda = \frac{1}{2} \operatorname{Tr} \alpha(C, \lambda) = \frac{1}{2} (2\beta_1 + \dots + 2\beta_n)$$

$$\geq n \left(\beta_1 \dots \beta_n\right)^{\frac{1}{n}} = n Q(0)^{\frac{1}{n}} = n P_{\alpha(C, \lambda)}(0)^{\frac{1}{2n}}$$

$$= n \left(\operatorname{deg} \alpha(C, \lambda)\right)^{\frac{1}{2n}} \geq n (\operatorname{deg} \phi_{\lambda})^{\frac{1}{2n}} = n \left(\operatorname{deg} \lambda\right)^{\frac{1}{n}}.$$

This proves the inequality in the proposition. If there is equality, β_1, \ldots, β_n must be all equal to the same number m, which must be an integer since $P_{\alpha(C,\lambda)}$ has integral coefficients. It follows from the proof of Lemma 3.6 that:

$$\left[\frac{1}{n!} (t\lambda - q^*\theta_N)^n\right]^2 = P_{\alpha}(t) \deg \phi_{\lambda} = (t-m)^{2n} \deg \phi_{\lambda}.$$

Thus Theorem 2.1 (iii) yields $m\lambda = q^*\theta_N$. It follows from (3.3) that $\alpha(C,\lambda) = m\operatorname{Id}_X$.

If λ is separable of type $(\delta_1|\cdots|\delta_n)$, by (3.2), the number $c=m/\delta_n$ is an integer and C is numerically equivalent to $c\,\lambda_{\min}^{n-1}$. We get:

$$c \, n \, \delta_n = C \cdot \lambda = n \, (\deg \lambda)^{\frac{1}{n}} = n \, (\delta_1 \cdots \delta_n)^{\frac{1}{n}} \le n \, \delta_n.$$

This implies c=1 and $\delta_1=\cdots=\delta_n=\delta$. But then λ is δ times a principal polarization θ [Mu, thm 3, p. 231] and $C\sim\theta_{\min}^{n-1}$. The conclusion now follows from Matsusaka's criterion 3.7.

COROLLARY 4.2 (RAN, COLLINO). — Let C be an irreducible curve that generates a polarized abelian variety (X, λ) of dimension n. Assume that $C \cdot \lambda = n$. Then C is smooth and (X, λ) is isomorphic to its Jacobian (JC, θ_C) .

Proof. — Although the converse of the proposition was proved only for λ separable, we still get from its proof that $\alpha(C,\lambda)$ is the identity of X and we may then apply Matsusaka's criterion 2.7. This is the same proof as Collino's. \square

More generally, if $C \cdot \lambda = \dim \langle C \rangle$, the same reasoning can be applied on $\langle C \rangle$ with the induced polarization to prove that C is smooth and that (X,λ) is isomorphic to the product of (JC,θ_C) with a polarized abelian variety.

COROLLARY 4.3. — Let X be an abelian variety with a separable polarization λ of type $(\delta_1|\cdots|\delta_n)$. Let C be an irreducible curve in X and let m be the dimension of the abelian subvariety that it generates. Then:

$$C \cdot \lambda \geq m \left(\delta_1 \cdots \delta_m\right)^{\frac{1}{m}}.$$

Proof. — Apply the proposition on the abelian subvariety Y generated by C. All there is to show is that the degree $Y \cdot \lambda^m/m!$ of the restriction λ' of λ to Y is at least $\delta_1 \cdots \delta_m$. We will prove that it is actually divisible by $\delta_1 \cdots \delta_m$. When $k = \mathbb{C}$, this follows from the fact that the class λ_{\min}^m is integral. The following argument for the general case was kindly communicated to the author by KEMPF. Let ι be the inclusion of Y in X. Then $\phi_{\lambda'} = \operatorname{Pic}^0(\iota) \circ \phi_{\lambda} \circ \iota$, hence $\deg(\lambda')^2$, which is the order of the kernel of $\phi_{\lambda'}$, is a multiple of the order of its subgroup $K(\lambda) \cap Y$, hence a fortiori a multiple of the order of its (r,r) part K'. In other words, since $K' \simeq (\mathbb{Z}/\delta_1'\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta_m'\mathbb{Z})^2$ for some integers $\delta_1' \mid \delta_2' \mid \cdots \mid \delta_m'$ prime to $\operatorname{char}(k)$, it is enough to show that $\delta_1' \delta_2' \cdots \delta_m'$ is a multiple of $\delta_1 \delta_2 \cdots \delta_m$.

Let ℓ be a prime number distinct from $\operatorname{char}(k)$ and let \mathbb{F}_{ℓ} be the field with ℓ elements. For any integer s, let X_s be the kernel of the multiplication by ℓ^s on X. Then X_s/X_{s-1} is a \mathbb{F}_{ℓ} -vector space of dimension 2n of which Y_s/Y_{s-1} is a subspace of dimension 2m. Since $K(\lambda)$ is isomorphic to $(\mathbb{Z}/\delta_1\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta_n\mathbb{Z})^2$, the rank over \mathbb{F}_{ℓ} of $(K(\lambda) \cap X_s)/(K(\lambda) \cap X_{s-1})$ is twice the cardinality of the set $\{i \in \{1, \ldots, n\}; \ell^s \mid \delta_i\}$. The dimension formula yields:

$$\operatorname{rank} \big(K(\lambda) \cap Y_s\big) / \big(K(\lambda) \cap Y_{s-1}\big) \geq 2 \operatorname{Card} \big\{i \, ; \, \, \ell^s \mid \delta_i \big\} - 2n + 2m.$$

But the rank of
$$(K(\lambda) \cap Y_s)/(K(\lambda) \cap Y_{s-1}) = (K' \cap X_s)/(K' \cap X_{s-1})$$
 is

томе
$$122 - 1994 - N^{\circ} 3$$

also twice the cardinality of $\{i \in \{1, ..., m\}; \ell^s \mid \delta_i'\}$. It follows that:

$$\operatorname{Card}\{i \in \{1, \dots, n\}; \ \ell^s \nmid \delta_i\} \ge \operatorname{Card}\{i \in \{1, \dots, m\}; \ \ell^s \nmid \delta_i'\}.$$

This implies what we need.

COROLLARY 4.4. — Let C be an irreducible curve that generates a principally polarized abelian variety (X,θ) of dimension n. Assume that C is invariant by translation by an element ϵ of X of order m. Then $C \cdot \theta > n \, m^{1-1/n}$.

Proof. — Let H be the subgroup scheme generated by ϵ . The abelian variety X' = X/H has a polarization λ of degree m^{n-1} whose pull-back on X is $m\theta$ [Mu, cor., p. 231]. If C' is the image of C in X', the proposition yields $C \cdot \theta = C' \cdot \lambda \geq n \, m^{1-1/n}$. \square

Note that in the situation of COROLLARY 4.4, if (X, θ) is a generic principally polarized abelian variety of dimension n, and m is prime to $\operatorname{char}(k)$, then mn divides $C \cdot \theta$. With the notation of the proof above, this follows from the fact that any curve on X' is numerically equivalent to an integral multiple of λ_{\min}^{n-1} (see (3.5)).

5. Bounds on the genus

We keep the same setting: C is an irreducible curve that generates a polarized abelian variety (X, λ) , its normalization is N, and its degree is $d = C \cdot \lambda$. The composition:

$$X \longrightarrow N^{(d)} \longrightarrow W_d(N) \longrightarrow JN$$

is a morphism with finite kernel (since $\alpha(C, \lambda)$ is an isogeny), hence $W_d(N)$ contains an abelian variety of dimension n. We can apply the ideas of [AH] to get a bound of Castelnuovo type on the genus of N. Note that if C does not generate X, the same bound holds with n replaced by the dimension of $\langle C \rangle$.

Theorem 5.1. — Let C be an irreducible curve that generates a separably polarized abelian variety (X, λ) of dimension n > 1. Let N be the normalization of C and let $d = C \cdot \lambda$. Then:

$$g(N) < \frac{(2d-1)^2}{2(n-1)}.$$

The inequality in the second part of lemma 8 in [AH] would improve this bound when char(k) = 0, but its proof is incorrect.

Proof. — Let A be the image of X in $W_d(N)$ and let A_2 be the image of $A \times A$ in $W_{2d}(N)$ under the addition map. We want to show that the morphism associated with a generic point of A_2 is generically injective on N. The linear systems corresponding to points of A_2 are of the form $|\mathcal{O}_N(2D_x)|$, where x varies in X, where D is an effective divisor that represents λ and $D_x = D + x$. It is therefore enough to show that the restriction to C-x of the morphism ϕ_{2D} associated with |2D| is generically injective for x generic. If not, for x generic in X and a generic in C-x, there exists b in C-x with $a \neq b$ and $\phi_{2D}(a) = \phi_{2D}(b)$. The same holds for a generic in X and x generic in C-a. Since ϕ_{2D} is finite [Mu, p. 60], b does not depend on x, hence C-a=C-b. Since C generates X, this implies that $\epsilon=a-b$ is torsion, hence does not depend on a. Letting a vary, we see that any divisor in |2D| is invariant by translation by ϵ . The argument in [Mu, p. 164], yields a contradiction.

It follows that the image of the morphism $N \to \mathbf{P}^r$ that corresponds to a generic point in A_2 is a curve of degree a divisor d' of 2d, with normalization N. Moreover, one has $r \geq n$ [AH, lemma 1]. Castelnuovo's bound ([AH, lemma 1] and [B] when $\operatorname{char}(k) > 0$) then gives:

$$g(N) \le m(d'-1) - \frac{1}{2}m(m+1)(r-1),$$

where m = [(d'-1)/(r-1)]. Hence :

$$g(N) \le m \left(d' - 1 - \frac{1}{2} (m+1)(r-1) \right)$$

$$< \frac{d'-1}{r-1} \left(d' - 1 - \frac{1}{2} (d'-1) \right)$$

$$\le \frac{(d'-1)^2}{2(n-1)} \le \frac{(2d-1)^2}{2(n-1)}.$$

This finishes the proof of the theorem.

In particular, in a principally polarized abelian variety (X, λ) of dimension n, any *smooth* curve numerically equivalent to $c\theta_{\min}^{n-1}$ has genus $<(2cn-1)^2/(2(n-1))$. For curves in *generic* principally polarized abelian varieties of dimension n, I conjecture the stronger inequality

$$g(C) \le cn + (c-1)^2.$$

The theorem also gives a lower bound on the degree of any curve in a *generic* complex polarized abelian variety of dimension n, whose only merit is to go to infinity faster than n.

томе
$$122 - 1994 - N^{\circ} 3$$

COROLLARY 5.2. — Let C be a curve in a generic complex polarized abelian variety (X,λ) of dimension n and let c be the integer such that C is numerically equivalent to $c \lambda_{\min}^{n-1}$. Then:

$$c > \sqrt{\frac{n}{8}} - \frac{1}{4}.$$

Proof. — We may assume that λ is a principal polarization and that n > 12. Let N be the normalization of C. Corollary 5.5 in [AP] yields $g(N) > 1 + \frac{1}{4}n(n+1)$, which, combined with the proposition, gives what we want. \square

We can get better bounds on the genus when d/n is small.

PROPOSITION 5.3. — Let C be an irreducible curve that generates a complex polarized abelian variety (X,λ) of dimension n. Let N be the normalization of C and let $d=C\cdot\lambda$. Then:

- (i) If d < 2n, then $g(N) \leq d$.
- (ii) If d = 2n, then $g(N) < \frac{3}{2}d = 3n$.
- (iii) If $d \leq 3n$, then $g(N) \leq 4d$.
- (iv) If $d \le 4n$, then $g(N) \le 6d$.

Proof. — We keep the notation of the proof of Theorem 5.1. In particular, $W_d(N)$ contains an abelian variety A of dimension n. If 2n > d, it follows from proposition 3.3 of [DF] that $g(N) \leq d$. Recall that we proved earlier that the morphisms that correspond to generic points in A_2 are birational onto their image. It follows from corollary 3.6 of loc.cit. that $g(N) < \frac{3}{2}d$ when d=2n. This proves (ii). We will do (iv) only, (iii) being analogous. First, we may assume that the embedding of A in $W_d(N)$ satisfies the minimality assumptions made in [A1]. Let A_k be the image of $A \times \cdots \times A$ in $W_{kd}(N)$ under the addition map and let r_k be the maximum integer such that A_k is contained in $W_{kd}^{r_k}(N)$. If g(N) > 6d, we get, as in the proof of proposition 3.8 of [DF], the inequalities $r_6 \geq 8n+2$ and $n \leq 6d-3r_6$. It follows that $d \geq \frac{1}{6}(n+3r_6) \geq \frac{1}{6}(25n+6) > 4n$. This proves (iv). \square

The inequality (ii) should be compared with the inequality

$$q(C) < 2n + 1$$

proved by Welters in [W] when $\operatorname{char}(k)=0$ for any irreducible curve C numerically equivalent to $2\theta_{\min}^{n-1}$ on a principally polarized abelian variety (X,θ) of dimension n (so that $C \cdot \theta = 2n$). Equality is obtained only with the Prym construction.

6. Curves of low degrees

Let C be an irreducible curve that generates a principally polarized abelian variety (X,θ) of dimension n. We keep the same notation : N is the normalization of C and $q:X\to JN$ is the induced morphism. From (2.2), we get that the square of the monic polynomial $Q_C(T)=(T\theta-q^*\theta_N)^n/n!$ has integral coefficients (and is the characteristic polynomial of $\alpha(C,\theta)$). It follows that Q_C itself has integral coefficients, and we get from Theorem 2.1 and (3.4):

- (i) The roots of Q_C are all real and positive.
- (ii) The sum of the roots of Q_C is the degree $d = C \cdot \theta$.
- (iii) The product of the roots of Q_C is the degree of the polarization $q^*\theta_N$.

SMYTH obtained in [S] a lower bound on the trace of a totally real algebraic integer in terms of its degree. His results can be partially summarized as follows.

THEOREM 6.1. (SMYTH). — Let σ be a totally positive algebraic integer of degree m. Then $\text{Tr}(\sigma) > 1.7719 \, m$, unless σ belongs to an explicit finite set, in which case $\text{Tr}(\sigma) = 2m - 1$ and $\text{Nm}(\sigma) = 1$.

It is tempting to conjecture:

Conjecture 6.2 (conjecture C_m).—Let σ be a totally positive algebraic integer of degree m. Then we have $\text{Tr}(\sigma) \geq 2m-1$. If there is equality, then $\text{Nm}(\sigma) = 1$.

6.3. — The inequality in the conjecture follows from Smyth's theorem for $m \leq 8$ (and holds also for m = 9 according to further calculations). Smyth also worked out a list of all totally positive algebraic integers σ for which $\text{Tr}(\sigma) - \deg(\sigma) \leq 6$. It follows from this list that the full conjecture holds for $m \leq 7$.

There are infinitely many examples for which the conjectural bound is obtained: if M is an odd prime, the algebraic integer $4\cos^2(\pi/2M)$ is totally positive, has degree $\frac{1}{2}(M-1)$, trace (M-2) and norm 1.

PROPOSITION 6.4. — Let C be an irreducible curve that generates a principally polarized abelian variety (X,θ) of dimension n and let Q_C be the polynomial defined above. Then, if $|Q_C(0)| = 1$, the curve C is smooth, X is isomorphic to its Jacobian and C is canonically embedded.

Proof. — By fact (iii) above, the polarization $q^*\theta_N$ is principal. The proposition then follows from the next lemma. \square

LEMMA 6.5. — Let (JN, θ_N) be the Jacobian of a smooth curve, let X be a non-zero abelian variety and let $q: X \to JN$ be a morphism. Assume that $q^*\theta_N$ is a principal polarization. Then q is an isomorphism.

Proof. — Since $q^*\theta_N$ is a principal polarization, q is a closed immersion. By Mumford's proof of Poincaré's complete reducibility theorem [Mu, p. 173], there exist another abelian subvariety Y of JN and an isogeny $f: X \times Y \to JN$ such that $f^*\theta_N$ is the product of the induced polarizations on each factor. As in loc.cit., for any k-scheme S, the set $(X \cap Y)(S)$ is contained in $K(q^*\theta_N)(S)$, which is trivial. Hence f is an isomorphism of polarized varieties. But a Jacobian with its canonical principal polarization cannot be a product, hence Y is 0 and q is an isomorphism. \square

We now give a result on curves on *simple* abelian varieties. The part that depends on the validity of Conjecture 6.2 holds in particular for $n \leq 7$.

Theorem 6.6. — Let C be an irreducible curve in a simple principally polarized abelian variety (X, θ) of dimension n. Assume that either $C \cdot \theta \leq 1.7719 \, n$, or that conjecture C_m holds for all divisors m of n and $C \cdot \theta < 2n$. Then, the curve C is smooth, X is isomorphic to its Jacobian and C is canonically embedded.

Proof. — Since X is simple, the polynomial $P_{\alpha(C,\theta)}$, hence also its «square root» Q_C , are powers of an irreducible polynomial R of degree some divisor m of n. If the degree of C, which is equal to the sum of the roots of Q_C , is $\leq 1.7719\,n$, the sum of the roots of R is also $\leq 1.7719\,m$. It follows from Theorem 6.1 that |R(0)|=1. On the other hand, if $C\cdot\theta<2n$, the sum of the roots of R is also <2m, hence, since C_m is supposed to hold, we also have |R(0)|=1. The theorem then follows in both cases from Proposition 6.4.

It follows from the proof of the theorem that C has degree 2n-m for some divisor m of n. In particular, for n prime, either C has degree n and θ is the canonical principal polarization, or it has degree 2n-1.

If one wants curves of degree between n and 2n in a simple abelian variety X, and if one believes in Conjecture 6.2, X needs to be a Jacobian with real multiplications (in the sense that the ring $\operatorname{End}(X) \otimes \mathbb{Q}$ contains a totally real number field different from \mathbb{Q}). Examples have been constructed in [Me] (see also [TTV]). More precisely, for any integer $M \geq 4$, Mestre constructs an explicit 2-dimensional family of complex hyperelliptic Jacobians JC of dimension $\left[\frac{1}{2}M\right]$ whose endomorphism rings

contain a subring isomorphic to $\mathbb{Z}[T]/G_M(T)$, where :

$$G_M(T) = \prod_{0 < k < [M/2]} \left(T - 4\cos^2 \frac{k\pi}{M} \right),$$

whose elements are invariant under the Rosati involution. By (2.2), they correspond to polarizations on JC. Take M odd and set $n = \dim(JC) = \frac{1}{2}(M-1)$. Then, the endomorphism of X that corresponds to T gives rise to a principal polarization on JC, with respect to which the degree of C, canonically embedded, is 2n-1. Therefore, for any $n \geq 2$, we have examples of complex principally polarized abelian varieties of dimension n that contain curves of degree 2n-1. They are simple if 2n+1 is prime. For n=2, these examples are Humbert surfaces, which contain curves of degree 3 (see [vG, p. 221]).

If the assumption X simple is dropped, much less can be said. If Q is a monic polynomial with integral coefficients whose roots are all real, we will say that a curve C has real multiplications by Q if there is an endomorphism of JC whose characteristic polynomial (see §2) is Q^2 . If $k = \mathbb{C}$, this is the same as asking that the characteristic polynomial of the endomorphism acting on the space of first-order differentials of C be Q.

PROPOSITION 6.7. — Let C be an irreducible curve that generates a principally polarized abelian variety (X,θ) of dimension n. Then, if $C \cdot \theta = n+1$, the curve C is smooth, X is isomorphic to its Jacobian and C is canonically embedded. Moreover, the curve C has real multiplications by $(T-1)^{n-2}(T^2-3T+1)$.

Proof. — By Theorem 6.1 and Smyth's list in [S], the polynomial Q_C can only be $(T-1)^{n-1}(T-2)$ or $(T-1)^{n-2}(T^2-3T+1)$. By Proposition 6.4, we need only exclude the first case. By Theorem 2.1, there exist a polarized elliptic curve (X',λ') and a morphism $f':X\to X'$ such that $f'^*\lambda'=q^*\theta_N-\theta$. Similarly, there exist an (n-1)-dimensional polarized abelian variety (X'',λ'') and a morphism $f'':X\to X''$ such that $f''^*\lambda''=2\theta-q^*\theta_N$. The isogeny $(f',f''):(X,\theta)\to (X',\lambda')\times (X'',\lambda'')$ is a morphism of polarized abelian varieties. Since θ is principal, it is an isomorphism and λ' and λ'' are both principal polarizations. Then, $(X,q^*\theta_N)$ is isomorphic to $(X',2\lambda')\times (X'',\lambda'')$. In particular, the pullback of θ_N by $X''\to JN$ is a principal polarization. By Lemma 6.5, this cannot occur.

In the next case where deg(C) = n+2, the same techniques give partial results.

PROPOSITION 6.8. — Let C be an irreducible curve that generates a principally polarized abelian variety (X,θ) of dimension n>2. Assume that $\operatorname{char}(k) \neq 2,3$. Then, if $C \cdot \theta = n+2$, one of the following possibilities occurs:

- (i) The curve C is smooth of genus n, X is isomorphic to its Jacobian and C is canonically embedded. Moreover, the curve C has real multiplications by $(T-1)^{n-2}(T^2-4T+1)^2$, $(T-1)^{n-3}(T^3-5T^2+6T-1)$ or $(T-1)^{n-4}(T^2-3T+1)^2$.
- (ii) The curve C is smooth of genus n and bielliptic, i.e. there exists a morphism of degree 2 from C onto an elliptic curve E. The abelian variety X is the quotient of JC by an element of order 3 that comes from E.
- (iii) The normalization N of C has genus n and real multiplications by $(T-1)^{n-2}(T^2-4T+2)$ or $(T-1)^{n-3}(T-2)(T^2-3T+1)$. There is an isogeny $JN \to X$ of degree 2, and either C is smooth, or it has one node and N is hyperelliptic.
- (iv) The curve C is smooth and bielliptic of genus n+1, and has real multiplications by

$$T(T-1)^{n-2}(T^2-4T+2)$$
 or $T(T-1)^{n-3}(T-2)(T^2-3T+1)$.

The abelian variety X is the «Prym variety» associated with the bi-elliptic structure.

Remarks 6.9.1

- 1) Mestre's construction for M=7 gives examples of curves of degree 5 in principally polarized abelian varieties of dimension 3, which fit into case (i) of the Proposition. Example 6.11 below shows that case (ii) does occur. These are the only examples I know of.
- 2) In general, if a curve C has real multiplications by a polynomial $(T-a)^mQ(T)$, where a is an integer and $Q(a) \neq 0$, then there is a morphism from JC onto an abelian variety of dimension m (this follows for example from [MK, thm 2, p. 98]).
- *Proof.* By Theorem 6.1 and Smyth's list in [S], the polynomial Q_C can only be one of the following:

$$(T-1)^{n-2}(T-2)^2,$$
 $(T-1)^{n-2}(T^2-4T+1)^2,$ $(T-1)^{n-3}(T^3-5T^2+6T-1),$ $(T-1)^{n-4}(T^2-3T+1)^2,$ $(T-1)^{n-1}(T-3),$ $(T-1)^{n-2}(T^2-4T+2),$ $(T-1)^{n-3}(T-2)(T^2-3T+1).$

The first polynomial is excluded as in Proposition 6.7 (use n > 2). If the constant term is ± 1 , the same proof as above yields that we are in case (i).

If $Q_C(T) = (T-1)^{n-1}(T-3)$, as in the proof of Proposition 6.7, there exist a polarized elliptic curve (X', λ') and a morphism $f': X \to X'$ with connected kernel X'' such that $f'^*\lambda' = q^*\theta_N - \theta$ or equivalently $q^*\theta_N = \theta + (\deg \lambda')[X'']$. The identity

$$\frac{1}{n!} (T\theta - q^*\theta_N)^n = (T-1)^{n-1} (T-3)$$

yields $(\deg \lambda')(\deg \theta_{|X''}) = 2$. If $\deg \lambda' = 2$, one gets a contradiction as in the proof of Proposition 6.7. If λ' is principal, one has $\deg((q^*\theta_N)_{|X''}) = 2$. We use the following result.

LEMMA 6.10. — Let (JN, θ_N) be the Jacobian of a smooth curve, let X be a non-zero abelian variety and let $r: X \to JN$ be a morphism with finite kernel. Assume that $\deg(r^*\theta_N)$ is $\leq \dim(X)$ and prime to $\operatorname{char}(k)$. Then $g(N) < \dim(X) + \deg(r^*\theta_N)$.

Proof. — Let K be the kernel of r and let $\iota: X/K \to JN$ be the induced embedding. By Poincaré's complete reducibility theorem [Mu, p. 173], there exist an abelian subvariety X' of JN and an isogeny $f: X/K \times X' \to JN$ such that the pull-back $f^*\theta_N$ is the product of the induced polarizations. Note that $\deg(\iota^*\theta_N)$ divides $\deg(r^*\theta_N)$. In particular, under our assumptions, the polarization $\iota^*\theta_N$ is separable and has a non-empty base locus F, of dimension $\geq \dim(X) - \deg(r^*\theta_N)$. If Θ is a theta divisor for JN, it follows from the equation of $f^*\Theta$ given in [D, prop. 9.1], that $f(F \times X')$ is contained in Θ . Lemma 5.1 from [DF] (which is valid in any characteristic) then yields

$$\dim(F \times X') + \dim(X') \le g(N) - 1,$$

from which the lemma follows. \square

Since $\operatorname{char}(k) \neq 2$, it follows from the lemma applied to the inclusion $X'' \to JN$ that g(N) = n hence that the morphism $q: X \to JN$ is an isogeny of degree 3. It is not difficult to see (using for example [D, § 9]) that since $\operatorname{char}(k) \neq 2, 3$, there is a commutative diagram of separable isogenies:

$$X'' \times X' \xrightarrow{3:1} X'' \times E \xrightarrow{3:1} X'' \times X'$$

$$4:1 \downarrow \qquad \qquad 4:1 \downarrow \qquad \qquad 4:1 \downarrow$$

$$X \xrightarrow{q} JN \xrightarrow{p} X$$

where E is the quotient of X' by a subgroup of order 3. The middle vertical arrow induces an injection of E into JN whose image has degree 2 with respect to θ_N . By duality, one gets a morphism $f: N \to E$ of degree 2. In this situation, one checks that since n > 2, for any two points x and y of N, one cannot have $x - y \equiv f^*e$, for $e \neq 0$ in E. Thus C, image of N in X by p, is smooth.

If $Q_C(T)=(T-1)^{n-2}(T^2-4T+2)$ or $(T-1)^{n-3}(T-2)(T^2-3T+1)$, the polarization $q^*\theta_N$ has degree 2. It follows from Lemma 6.10 that :

- Either g(N) = n and C is the image of N by an isogeny $p: JN \to X$ of degree 2. In particular, either C is smooth or N is hyperelliptic and C is obtained by identifying two Weierstrass points of N (so that, in a sense, C is bi-elliptic).
- Or g(N) = n + 1 and q is a closed immersion. The proof of Lemma 6.10 yields an elliptic curve X' in JN and an isogeny $f: X \times X' \to JN$ of degree 4. Moreover, $\deg(\theta_N)_{|X'} = 2$, hence the morphism $N \to X'$ obtained by duality has degree 2. One checks as above that C is smooth. The abelian variety X is the Prym variety associated with the bielliptic structure, i.e. is isomorphic to the quotient JN/X'. It remains to prove the statement about real multiplications. With the notation of (2.2), we calculate the characteristic polynomial of the endomorphism $\beta_{\theta_N}(p^*\theta)$ of JN. If t is any integer, one has:

$$\begin{split} \deg \big(t \operatorname{Id}_{JN} - \beta_{\theta_N}(p^*\theta) \big) &= \deg (t \, \theta_N - p^*\theta)^2 \\ &= \big(\frac{1}{4} \operatorname{deg}(t \, f^*\theta_N - f^*p^*\theta) \big)^2 \\ &= \big(\frac{1}{4} \operatorname{deg}(t \, (\theta_N)_{|X'}) \operatorname{deg}(t \, q^*\theta_N - q^*p^*\theta) \big)^2 \\ &= \frac{1}{4} t^2 \operatorname{deg} \big(t \, \phi_{q^*\theta_N} - \phi_{q^*p^*\theta} \big) \; . \end{split}$$

Set $\alpha = \alpha(C, \theta)$. Using (3.1) and (3.3), we get:

$$\begin{split} \operatorname{deg} & \left(t \operatorname{Id}_{JN} - \beta_{\theta_N}(p^*\theta) \right) = \frac{1}{4} t^2 \operatorname{deg} \left(t \phi_{\theta} \circ \alpha - \phi_{\alpha^*\theta} \right) \\ & = \frac{1}{4} t^2 \operatorname{deg} \left(t \operatorname{Id}_{\operatorname{Pic}^0(X)} - \operatorname{Pic}^0(\alpha) \right) \operatorname{deg} (\phi_{\theta} \circ \alpha) \\ & = P_{\operatorname{Pic}^0(\alpha)}(t) t^2 = Q_C(t)^2 t^2. \end{split}$$

It follows that N has real multiplications by $TQ_C(T)$. This finishes the proof of the proposition. \Box

Example 6.11. — Case (ii) of the Proposition does occur as a particular case of the following construction. Let C be a smooth curve of genus n with a morphism of degree r onto an elliptic curve E. Assume that r is prime to $\operatorname{char}(k)$ and that the induced morphism $E \to JC$ is a

closed immersion. Let s be an integer prime to $\operatorname{char}(k)$ and congruent to 1 modulo r, and let $q:JC\to X$ be the quotient by a cyclic subgroup of order s of E. There exist an abelian variety Y of dimension (n-1) with a polarization λ_Y of type $(1|\cdots|1|r)$ and an isogeny $f:E\times Y\to JC$ with kernel isomorphic to $(\mathbb{Z}/r\mathbb{Z})^2$, such that $f^*\theta_C=\operatorname{pr}_1^*(r\lambda_E)\otimes\operatorname{pr}_2^*\lambda_Y$, where λ_E is the polarization on E defined by a point. Because $s\equiv 1\pmod{r}$, one checks that there exists a principal polarization θ on X such that $f^*q^*\theta=\operatorname{pr}_1^*(rs\lambda_E)\otimes\operatorname{pr}_2^*\lambda_Y$. I claim that the degree of the curve q(C) on X with respect to the principal polarization θ is n+s-1. In fact, one has:

$$\frac{f^*\theta_C^{n-1}}{(n-1)!} \sim \frac{1}{(n-2)!} r\lambda_E \left(\operatorname{pr}_2^* \lambda_Y \right)^{n-2} + \frac{1}{(n-1)!} \left(\operatorname{pr}_2^* \lambda_Y \right)^{n-1}$$

hence

$$\frac{f^*\theta_C^{n-1}}{(n-1)!} f^*q^*\theta = rs \operatorname{deg} \lambda_Y + r(n-1)\operatorname{deg} \lambda_Y$$
$$= r^2(s+n-1).$$

It follows that $C \cdot q^*\theta = n + s - 1$, which proves the claim.

When $\operatorname{char}(k)=0$, this construction yields examples of curves of degree n+t in principally polarized abelian varieties of dimension n, for any $n\geq 2$ and $t\geq 2$.

P.S. — C. Smyth recently found a totally positive algebraic integer of degree 15, trace 28 and norm 1. This disproves conjecture C_{15} . His construction may give counterexamples to conjecture C_m for infinitely many values of m. He also has a conterexample to C_{64} with norm 2.

BIBLIOGRAPHY

- [A1] ABRAMOVICH (D.). Subvarieties of Abelian Varieties and of Jacobians of Curves. Ph.D. Thesis, Harvard University, 1991.
- [A2] Abramovich (D.). Addendum to Curves and Abelian Varieties on $W_d(C)$, unpublished.
- [AH] ABRAMOVICH (D.) and HARRIS (J.). Curves and Abelian Varieties on $W_d(C)$, Comp. Math., t. **78**, 1991, p. 227–238.
- [AP] ALZATI (A.) and PIROLA (G.-P.). On Abelian Subvarieties Generated by Symmetric Correspondences, Math. Z., t. **205**, 1990, p. 333–342.

томе $122 - 1994 - N^{\circ} 3$

- [ACGH] Arbarello (E.), Cornalba (M.), Griffiths (P.) and Harris (J.).

 Geometry Of Algebraic Curves, I, Grundlehren 267, Springer Verlag 1985.
 - [B] Ballico (E.). On Singular Curves in the Case of Positive Characteristic, Math. Nachr., t. 141, 1989, p. 267–273.
 - [C] Collino (A.). A New Proof of the Ran-Matsusaka Criterion for Jacobians, Proc. Amer. Math. Soc., t. 92, 1984, p. 329–331.
 - [D] DEBARRE (O.). Sur les variétés abéliennes dont le diviseur thêta est singulier en codimension 3, Duke Math. J., t. **56**, 1988, p. 221–273.
 - [DF] DEBARRE (O.) and FAHLAOUI (R.). Abelian Varieties in $W_d^r(C)$ and Points of Bounded Degrees On Algebraic Curves, Comp. Math., t. 88, 1993, p. 235–249.
 - [Ma] Matsusaka (T.). On a Characterization of a Jacobian Variety, Mem. Coll. Sc. Kyoto, Ser. A, t. 23, 1959, p. 1–19.
 - [Me] Mestre (J.-F.). Familles de courbes hyperelliptiques à multiplications réelles, in *Arithmetic Algebraic Geometry*, Progress in Math. **89**, Birkhäuser, 1991.
 - [Mi] MILNE (J.). Abelian Varieties, in Arithmetic Geometry, edited by G. Cornell and J. Silverman, Springer Verlag, 1986.
 - [M] Mori (S.). The Endomorphism Ring of some Abelian Varieties, Japan J. Math., t. 1, 1976, p. 109–130.
 - [Mo] Morikawa (H.). Cycles and Endomorphisms of Abelian Varieties, Nagoya Math. J., t. 7, 1954, p. 95–102.
 - [Mu] Mumford (D.). Abelian Varieties. Oxford University Press, 1974.
 - [MK] Mumford (D.). Varieties Defined by Quadratic Equations, with an appendix by G. Kempf., in *Questions On Algebraic Varieties*, C.I.M.E., Varenna, 1970.
 - [R] RAN (Z.). On Subvarieties of Abelian Varieties, Invent. Math., t. 62, 1981, p. 459—479.
 - [S] SMYTH (C.). Totally Positive Algebraic Integers of Small Trace, Ann. Inst. Fourier, t. 33, 1984, p. 1–28.
 - [TTV] Trautz (W.), Top (J.) and Verberkmoes (A.). Explicit Hyperelliptic Curves with Real Multiplication and Permutation Polynomials, Canad. J. Math., t. 43, 1991, p. 1055–1064.
 - [vG] VAN DER GEER (G.). Hilbert Modular Surfaces, Ergebnisse der Math. und ihrer Grenz. 16, Springer Verlag, 1988.
 - [W] Welters (G.). Curves with Twice the Minimal Class on Principally Polarized Abelian Varieties, Proc. Kon. Ned. Akad. van Wetenschappen, Indagationes Math., t. 49, 1987, p. 87–109.