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**Arithmetic geometry of toric varieties. Metrics,
measures and heights**

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**ARITHMETIC GEOMETRY OF TORIC
VARIETIES.
METRICS, MEASURES AND HEIGHTS**

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ARITHMETIC GEOMETRY OF TORIC VARIETIES. METRICS, MEASURES AND HEIGHTS

José Ignacio Burgos Gil, Patrice Philippon, Martín Sombra

Abstract. — We show that the height of a toric variety with respect to a toric metrized line bundle can be expressed as the integral over a polytope of a certain adelic family of concave functions. To state and prove this result, we study the Arakelov geometry of toric varieties. In particular, we consider models over a discrete valuation ring, metrized line bundles, and their associated measures and heights. We show that these notions can be translated in terms of convex analysis, and are closely related to objects like polyhedral complexes, concave functions, real Monge-Ampère measures, and Legendre-Fenchel duality.

We also present a closed formula for the integral over a polytope of a function of one variable composed with a linear form. This formula allows us to compute the height of toric varieties with respect to some interesting metrics arising from polytopes. We also compute the height of toric projective curves with respect to the Fubini-Study metric and the height of some toric bundles.

Résumé (Géométrie arithmétique des variétés toriques. Métriques, mesures et hauteurs)

Nous montrons que la hauteur d'une variété torique relative à un fibré en droites métrisé torique s'écrit comme l'intégrale sur un polytope d'une certaine famille adélique de fonctions concaves. Afin d'énoncer et démontrer ce résultat, nous étudions la géométrie d'Arakelov des variétés toriques. En particulier, nous considérons des modèles de ces variétés sur des anneaux de valuation discrète, ainsi que les fibrés en droites métrisés et leurs mesures et hauteurs associées. Nous montrons que ces notions se traduisent en termes d'analyse convexe et sont intimement liées à des objets tels que les complexes polyédraux, les mesures de Monge-Ampère et la dualité de Legendre-Fenchel.

Nous présentons également une formule close pour l'intégration sur un polytope d'une fonction d'une variable composée avec une forme linéaire. Cette formule nous permet de calculer la hauteur de variétés toriques relativement à plusieurs métriques intéressantes, provenant de polytopes. Nous calculons aussi la hauteur des courbes toriques projectives relativement à la métrique de Fubini-Study et la hauteur des fibrés toriques.

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INTRODUCTION

Systems of polynomial equations appear in a wide variety of contexts in both pure and applied mathematics. Systems arising from applications are not random but come with a certain structure. When studying those systems, it is important to be able to exploit that structure.

A relevant result in this direction is the Bernstein-Kušnirenko-Khovanskii theorem [Kuš76, Ber75]. Let K be a field with algebraic closure \overline{K} . Let $\Delta \subset \mathbb{R}^n$ be a lattice polytope and $f_1, \dots, f_n \in K[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$ a family of Laurent polynomials whose Newton polytope is contained in Δ . The BKK theorem says that the number (counting multiplicities) of isolated common zeros of f_1, \dots, f_n in $(\overline{K}^\times)^n$ is bounded above by $n!$ times the volume of Δ , with equality when f_1, \dots, f_n is generic among the families of Laurent polynomials with Newton polytope contained in Δ . This shows how a geometric problem (the counting of the number of solutions of a system of equations) can be translated into a combinatorial, simpler one. It is commonly used to predict when a given system of polynomial equations has a small number of solutions. As such, it is a cornerstone of polynomial equation solving and has motivated a large amount of work and results over the past 25 years, see for instance [GKZ94, Stu02, PS08b] and the references therein.

A natural way to study polynomials with prescribed Newton polytope is to associate to the polytope Δ a toric variety X over K equipped with an ample line bundle L . The polytope conveys all the information about the pair (X, L) . For instance, the degree of X with respect to L is given by the formula

$$\deg_L(X) = n! \operatorname{vol}(\Delta),$$

where vol denotes the Lebesgue measure of \mathbb{R}^n . The Laurent polynomials f_i can be identified with global sections of L , and the BKK theorem can be deduced from this formula. Indeed, there is a dictionary which allows to translate algebro-geometric properties of toric varieties in terms of combinatorial properties of polytopes and fans, and the degree formula above is one entry in this “toric dictionary”.

The central motivation for this text is an arithmetic analogue for heights of this formula, which is the theorem stated below. The height is a basic arithmetic invariant of a proper variety over the field of rational numbers. Together with its degree, it measures the amount of information needed to represent this variety, for instance, *via* its Chow form. Hence, this invariant is also relevant in computational algebraic geometry, see for instance [GHH⁺97, AKS07, DKS12]. The notion of height of varieties generalizes the height of points already considered by Siegel, Northcott, Weil and others, it is an essential tool in Diophantine approximation and geometry.

For simplicity of the exposition, in this introduction we assume that the pair (X, L) is defined over the field of rational numbers \mathbb{Q} , although in the rest of the book we will work with more general adelic fields (Definition 1.5.1). Let $\mathfrak{M}_{\mathbb{Q}}$ denote the set of places of \mathbb{Q} and let $(\vartheta_v)_{v \in \mathfrak{M}_{\mathbb{Q}}}$ be a family of concave functions on Δ such that $\vartheta_v \equiv 0$ for all but a finite number of v . We will show that, to this data, one can associate an adelic family of metrics $(\|\cdot\|_v)_v$ on L . Write $\bar{L} = (L, (\|\cdot\|_v)_v)$ for the resulting metrized line bundle.

Theorem. — *The height of X with respect to \bar{L} is given by*

$$h_{\bar{L}}(X) = (n+1)! \sum_{v \in \mathfrak{M}_{\mathbb{Q}}} \int_{\Delta} \vartheta_v \, d\text{vol}.$$

This theorem was announced in [BPS09] and we prove it in the present text. To establish it in a wide generality, we have been led to study the Arakelov geometry of toric varieties. In the course of our research, we have found that a large part of the arithmetic geometry of toric varieties can be translated in terms of convex analysis. In particular, we have added a number of new entries to the arithmetic geometry chapter of the toric dictionary, including models of toric varieties over a discrete valuation ring, metrized line bundles, and their associated measures and heights. These objects are closely related to objects of convex analysis like polyhedral complexes, concave functions, Monge-Ampère measures and Legendre-Fenchel duality.

These additions to the toric dictionary are very concrete and well-suited for computations. In particular, they provide a new wealth of examples in Arakelov geometry where constructions can be made explicit and properties tested. In relation with explicit computations in these examples, we present a closed formula for the integral over a polytope of a function of one variable composed with a linear form. This formula allows us to compute the height of toric varieties with respect to some interesting metrics arising from polytopes. Some of these heights are related to the average entropy of a simple random process on the polytope. We also compute the height of toric projective curves with respect to the Fubini-Study metric and of some toric bundles.

There are many other arithmetic invariants of toric varieties that may be studied in terms of convex analysis. For instance, in the subsequent paper [BMPS12] we give

criteria for the positivity properties of a toric metrized line bundle and give a formula for its arithmetic volume. In fact, we expect that the results of this text are just the starting point of a program relating the arithmetic geometry of toric varieties and convex analysis. In this direction, we plan to obtain an arithmetic analogue of the BKK theorem bounding the height of the solutions of a system of Laurent polynomial equations, refining previous results in [Mai00, Som05].

In the rest of this introduction, we will present the context and the contents of our results. We will refer to the body of the text for the precise definitions and statements.

Arakelov geometry provides a framework to define and study heights. We leave for a moment the realm of toric varieties, and we consider a smooth projective variety X over \mathbb{Q} of dimension n equipped with a regular proper integral model \mathcal{X} . Let $X(\mathbb{C})$ the analytic space over the complex numbers associated to X . The main idea behind Arakelov geometry is that the pair $(\mathcal{X}, X(\mathbb{C}))$ should behave like a compact variety of dimension $n + 1$ [Ara74]. Following this philosophy, Gillet and Soulé have developed an arithmetic intersection theory [GS90a]. As an application of this theory, one can introduce a very general and precise definition, with a geometric flavor, of the height of a variety [BGS94]. To the model \mathcal{X} , one associates the arithmetic intersection ring $\widehat{\text{CH}}^*(\mathcal{X})_{\mathbb{Q}}$. This ring is equipped with a trace map $\int: \widehat{\text{CH}}^{n+1}(\mathcal{X})_{\mathbb{Q}} \rightarrow \mathbb{R}$. Given a line bundle L on X , an arithmetic line bundle \bar{L} is a pair $(\mathcal{L}, \|\cdot\|)$, where \mathcal{L} is a line bundle on \mathcal{X} which is an integral model of L , and $\|\cdot\|$ is a smooth metric on the analytification of L , invariant under complex conjugation. In this setting, the analogue of the first Chern class of L is the arithmetic first Chern class $\widehat{c}_1(\bar{L}) \in \widehat{\text{CH}}^1(\mathcal{X})_{\mathbb{Q}}$. The *height* of X with respect to \bar{L} is then defined as

$$h_{\bar{L}}(X) = \int \widehat{c}_1(\bar{L})^{n+1} \in \mathbb{R}.$$

This is the arithmetic analogue of the degree of X with respect to L . This formalism has allowed to obtain arithmetic analogues of important results in algebraic geometry like Bézout's theorem, Riemann-Roch theorem, Lefschetz fixed point formula, Hilbert-Samuel formula, etc.

This approach has two technical issues. In the first place, it only works for smooth varieties and smooth metrics. In the second place, it depends on the existence of an integral model, which puts the Archimedean and non-Archimedean places in different footing. For the definition of heights, both issues were addressed by Zhang by taking an adelic point of view and considering uniform limits of semipositive metrics [Zha95b].

Many natural metrics that arise when studying line bundles on toric varieties are not smooth, but are particular cases of the metrics considered by Zhang. This is the case for the canonical metric of a toric line bundle introduced by Batyrev

and Tschinkel [BT95], see Proposition-Definition 4.3.15. The associated canonical height of subvarieties plays an important role in Diophantine approximation in tori, in particular in the generalized Bogomolov and Lehmer problems, see for instance [DP99, AV09] and the references therein. Maillot has extended the arithmetic intersection theory of Gillet and Soulé to this kind of metrics at the Archimedean place, while maintaining the use of an integral model to handle the non-Archimedean places [Mai00].

The adelic point of view of Zhang was developed by Gubler [Gub02, Gub03] and by Chambert-Loir [Cha06]. From this point of view, the height is defined as a sum of local contributions. In what follows we outline this procedure, that will be recalled with more detail in Chapter 1.

For the local case, let K be either \mathbb{R} , \mathbb{C} , or a field complete with respect to a nontrivial non-Archimedean absolute value. Let X be a proper variety over K and L a line bundle on X , and consider their analytifications, respectively denoted by X^{an} and L^{an} . In the Archimedean case, X^{an} is the complex space $X(\mathbb{C})$ (equipped with an anti-linear involution, if $K = \mathbb{R}$), whereas in the non-Archimedean case it is the Berkovich space associated to X . The basic metrics that can be put on L^{an} are the smooth metrics in the Archimedean case, and the algebraic metrics in the non-Archimedean case, that is, the metrics induced by an integral model of a pair $(X, L^{\otimes e})$ with $e \geq 1$. There is a notion of semipositivity for smooth and for algebraic metrics, and the uniform limit of such metrics leads to the notion of *semipositive* metric on L^{an} . More generally, a metric on L^{an} is called *DSP* (for “difference of semipositive”) if it is the quotient of two semipositive metrics.

Let \bar{L} be a DSP metrized line bundle on X and Y a d -dimensional cycle of X . These data induce a (signed) measure on X^{an} , denoted $c_1(\bar{L})^{\wedge d} \wedge \delta_Y$ by analogy with the Archimedean smooth case, where it corresponds with the current of integration along Y^{an} of the d -th power of the first Chern form. This measure plays an important role in the distribution of points of small height in the direction of the Bogomolov conjecture and its generalizations, see for instance [SUZ97, Bil97, Yua08]. Furthermore, if we have sections s_i , $i = 0, \dots, d$, that meet Y properly, one can define a notion of *local height* $h_{\bar{L}}(Y; s_0, \dots, s_d)$. The metrics and their associated measures and local heights are related by the Bézout-type formula:

$$h_{\bar{L}}(Y \cdot \text{div}(s_d); s_0, \dots, s_{d-1}) = h_{\bar{L}}(Y; s_0, \dots, s_d) + \int_{X^{\text{an}}} \log \|s_d\| c_1(\bar{L})^{\wedge d} \wedge \delta_Y.$$

For the global case, consider a proper variety X over \mathbb{Q} and a line bundle L on X . For simplicity, assume that X is projective, although this hypothesis is not really necessary. A *DSP quasi-algebraic* metric on L is a family of DSP metrics $\|\cdot\|_v$ on the analytic line bundles L_v^{an} , $v \in \mathfrak{M}_{\mathbb{Q}}$, such that there is an integral

model of $(X, L^{\otimes e})$, $e \geq 1$, which induces $\|\cdot\|_v$ for all but a finite number of v . Write $\bar{L} = (L, (\|\cdot\|_v)_v)$, and $\bar{L}_v = (L_v, \|\cdot\|_v)$ for each $v \in \mathfrak{M}_{\mathbb{Q}}$. Given a d -dimensional cycle Y of X , its *global height* is defined as

$$h_{\bar{L}}(Y) = \sum_{v \in \mathfrak{M}_{\mathbb{Q}}} h_{\bar{L}_v}(Y; s_0, \dots, s_d),$$

for any family of sections s_i , $i = 0, \dots, d$, meeting Y properly. The fact that the metric is quasi-algebraic implies that the right-hand side has only a finite number of nonzero terms, and the product formula implies that this definition does not depend on the choice of sections. This notion can be extended to number fields, function fields and, more generally, to M -fields [Zha95b, Gub03].

Now we review briefly the elements of the construction of toric varieties from combinatorial data, see Chapter 3 for details. Let K be a field and $\mathbb{T} \simeq \mathbb{G}_m^n$ a split torus over K . Let $N = \text{Hom}(\mathbb{G}_m, \mathbb{T}) \simeq \mathbb{Z}^n$ be the lattice of one-parameter subgroups of \mathbb{T} and $M = N^\vee$ the dual lattice of characters of \mathbb{T} . Set $N_{\mathbb{R}} = N \otimes_{\mathbb{Z}} \mathbb{R}$ and $M_{\mathbb{R}} = M \otimes_{\mathbb{Z}} \mathbb{R}$. To a fan Σ on $N_{\mathbb{R}}$ one can associate a toric variety X_{Σ} of dimension n . It is a normal variety that contains \mathbb{T} as a dense open subset, denoted $X_{\Sigma,0}$, and there is an action of \mathbb{T} on X_{Σ} which extends the natural action of the torus on itself. In particular, every toric variety has a distinguished point x_0 that corresponds to the identity element of \mathbb{T} . The variety X_{Σ} is proper whenever the underlying fan is complete. For sake of simplicity, in this introduction we will restrict to the proper case.

A Cartier divisor invariant under the torus action is called a \mathbb{T} -Cartier divisor. In combinatorial terms, a \mathbb{T} -Cartier divisor is determined by a virtual support function on Σ , that is, a continuous function $\Psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ whose restriction to each cone of Σ is an element of M . Let D_{Ψ} denote the \mathbb{T} -Cartier divisor of X_{Σ} determined by Ψ . A *toric line bundle* on X_{Σ} is a line bundle L on this toric variety, together with the choice of a nonzero element $z \in L_{x_0}$. The total space of a toric line bundle has a natural structure of toric variety whose distinguished point agrees with z . A rational section of a toric line bundle is called *toric* if it is regular and nowhere zero on the principal open subset $X_{\Sigma,0}$, and $s(x_0) = z$. Given a virtual support function Ψ , the line bundle $L_{\Psi} = \mathcal{O}(D_{\Psi})$ has a natural structure of toric line bundle and a canonical toric section s_{Ψ} such that $\text{div}(s_{\Psi}) = D_{\Psi}$. Indeed, any line bundle on X_{Σ} is isomorphic to a toric line bundle of the form L_{Ψ} for some Ψ . The line bundle L_{Ψ} is generated by global sections (respectively, is ample) if and only if Ψ is concave (respectively, Ψ is strictly concave on Σ).

Consider the lattice polytope

$$\Delta_{\Psi} = \{x \in M_{\mathbb{R}} : \langle x, u \rangle \geq \Psi(u) \text{ for all } u \in N_{\mathbb{R}}\} \subset M_{\mathbb{R}}.$$

This polytope encodes a lot of information about the pair (X_{Σ}, L_{Ψ}) . In case the virtual support function Ψ is concave, it is determined by this polytope, and the

degree formula can be written more precisely as

$$\deg_{L_\Psi}(X_\Sigma) = n! \operatorname{vol}_M(\Delta_\Psi),$$

where the volume is computed with respect to the Haar measure vol_M on $M_{\mathbb{R}}$ normalized so that M has covolume 1.

In this text we extend the toric dictionary to metrics, measures and heights as considered above. For the local case, let K be either \mathbb{R} , \mathbb{C} , or a field complete with respect to a nontrivial non-Archimedean absolute value associated to a discrete valuation. In this latter case, let K° be the valuation ring, $K^{\circ\circ}$ its maximal ideal and ϖ a generator of $K^{\circ\circ}$. Let \mathbb{T} be an n -dimensional split torus over K , X a toric variety over K with torus \mathbb{T} , and L a toric line bundle on X . The compact torus \mathbb{S} is a closed analytic subgroup of the analytic torus \mathbb{T}^{an} (see Example 1.2.4) and it acts on X^{an} . A metric $\|\cdot\|$ on L^{an} is *toric* if, for every toric section s , the function $\|s\|$ is invariant under the action of \mathbb{S} .

The correspondence that to a virtual support function assigns a toric line bundle with a toric section can be extended to semipositive and DSP metrics. Assume that Ψ is concave, and let X_Σ , L_Ψ and s_Ψ be as before. For short, write $X = X_\Sigma$, $L = L_\Psi$ and $s = s_\Psi$. There is a fibration

$$\operatorname{val}: X_0^{\text{an}} \rightarrow N_{\mathbb{R}}$$

whose fibers are the orbits of the action of \mathbb{S} on X_0^{an} . Now let $\psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a continuous function. We define a metric on the restriction $L^{\text{an}}|_{X_0^{\text{an}}}$ by setting

$$\|s(p)\|_\psi = e^{\psi(\operatorname{val}(p))}.$$

Our first addition to the toric dictionary is the following classification result. Assume that the function ψ is concave and that $|\psi - \Psi|$ is bounded. Then $\|\cdot\|_\psi$ extends to a semipositive toric metric on L^{an} and, moreover, every semipositive toric metric on L^{an} arises in this way (Theorem 4.8.1(1)). There is a similar characterization of DSP toric metrics in terms of differences of concave functions (Theorem 4.8.6) and a characterization of toric metrics that involves the topology of the variety with corners associated to X_Σ (Proposition 4.3.10). As a consequence of these classification results, we obtain a new interpretation of the canonical metric of L^{an} as the metric associated to the concave function Ψ under this correspondence.

We can also classify semipositive metrics in terms of concave functions on polytopes: there is a bijective correspondence between the space of continuous concave functions on Δ_Ψ and the space of semipositive toric metrics on L^{an} (Theorem 4.8.1(2)). This correspondence is induced by the previous one and the Legendre-Fenchel duality of concave functions. Namely, let $\|\cdot\|$ be a semipositive toric metric on L^{an} , write $\bar{L} = (L, \|\cdot\|)$ and ψ the corresponding concave function. The associated

roof function $\vartheta_{\bar{L},s} : \Delta_{\Psi} \rightarrow \mathbb{R}$ is the concave function defined as the Legendre-Fenchel dual ψ^{\vee} . One of the main outcomes of this text is that the pair $(\Delta_{\Psi}, \vartheta_{\bar{L},s})$ plays, in the arithmetic geometry of toric varieties, a role analogous to that of the polytope in its algebraic geometry.

Our second addition to the dictionary is the following characterization of the measure associated to a semipositive toric metric. Let X, \bar{L} and ψ be as before, and write $\mu_{\psi} = c_1^{n, \text{an}}(\bar{L}) \wedge \delta_{X_{\Sigma}^{\text{an}}}$ for the induced measure on X^{an} . Then (Theorem 4.8.11)

$$(\text{val})_*(\mu_{\psi}|_{X_0^{\text{an}}}) = n! \mathcal{M}_M(\psi),$$

where $\mathcal{M}_M(\psi)$ is the (real) Monge-Ampère measure of ψ with respect to the lattice M (Definition 2.7.1). The measure μ_{ψ} is determined by this formula, and the conditions of being invariant under the action of \mathbb{S} and that the set $X^{\text{an}} \setminus X_0^{\text{an}}$ has measure zero. This gives a direct and fairly explicit expression for the measure associated to a semipositive toric metric.

The fact that each toric line bundle has a canonical metric allows us to introduce a notion of local toric height that is independent of a choice of sections. Let X be an n -dimensional projective toric variety and \bar{L} a semipositive toric line bundle as before, and let \bar{L}^{can} be the same toric line bundle L equipped with the canonical metric. The *toric local height* of X with respect to \bar{L} is defined as

$$h_{\bar{L}}^{\text{tor}}(X) = h_{\bar{L}}(X; s_0, \dots, s_n) - h_{\bar{L}^{\text{can}}}(X; s_0, \dots, s_n),$$

for any family of sections $s_i, i = 0, \dots, n$, that meet properly on X (Definition 5.1.1). Our third addition to the toric dictionary is the following formula for this toric local height in terms of the roof function introduced above (Theorem 5.1.6):

$$h_{\bar{L}}^{\text{tor}}(X) = (n+1)! \int_{\Delta_{\Psi}} \vartheta_{\bar{L},s} \, d\text{vol}_M.$$

More generally, the toric local height can be defined for a family of $n+1$ DSP toric line bundles on X . The formula above can be extended by multilinearity to compute this toric local height in terms of the mixed integral of the associated roof functions (Remark 5.1.10).

For the global case, let Σ and Ψ be as before, and consider the associated toric variety X over \mathbb{Q} equipped with a toric line bundle L and toric section s . Given a family of concave functions $(\psi_v)_{v \in \mathfrak{M}_{\mathbb{Q}}}$ such that $|\psi_v - \Psi|$ is bounded for all v and such that $\psi_v = \Psi$ for all but a finite number of v , the metrized toric line bundle $\bar{L} = (L, (\|\cdot\|_{\psi_v})_v)$ is quasi-algebraic. Moreover, every semipositive quasi-algebraic toric metric on L arises in this way (Proposition 4.9.2 and Theorem 4.9.3). The associated local roof functions $\vartheta_{v, \bar{L}, s} : \Delta_{\Psi} \rightarrow \mathbb{R}$ are identically zero except for a finite

number of places. Then, the global height of X with respect to \bar{L} can be computed as (Theorem 5.2.5)

$$h_{\bar{L}}(X) = \sum_{v \in \mathfrak{M}_{\mathbb{Q}}} h_{v, \bar{L}}^{\text{tor}}(X_v) = (n+1)! \sum_{v \in \mathfrak{M}_{\mathbb{Q}}} \int_{\Delta_{\psi}} \vartheta_{v, \bar{L}, s} d \text{vol}_M,$$

which precises the theorem stated at the beginning of this introduction. Here, $h_{v, \bar{L}}^{\text{tor}}(X_v)$ is the toric local height for the place v .

A remarkable feature of these results is that they read exactly the same in the Archimedean and in the non-Archimedean cases. For general metrized line bundles, these two cases are analogous but not identical. By contrast, the classification of toric metrics and the formulae for the associated measures and local heights are the same in both cases. We also point out that these results holds in greater generality than explained in this introduction: in particular, they hold for proper toric varieties which are not necessarily projective and, in the global case, for general adelic fields (Definition 1.5.1). We content ourselves with the case when the torus is split. For the computation of heights, one can always reduce to the split case by considering a suitable field extension. Still, it would be interesting to extend our results to the non-split case by considering the corresponding Galois actions as, for instance, in [ELST14].

The toric dictionary in arithmetic geometry is very concrete and well-suited for computations. For instance, let K be a local field, X a toric variety and $\varphi: X \rightarrow \mathbb{P}^r$ an equivariant map. Let \bar{L} be the toric semipositive metrized line bundle on X induced by the canonical metric on the universal line bundle of \mathbb{P}^r , and s a toric section of L . The concave function $\psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ corresponding to this metric is piecewise affine. Hence, it defines a polyhedral complex in $N_{\mathbb{R}}$, and it turns out that $(\text{val})_*(\mu_{\psi}|_{X_0^{\text{an}}})$, the direct image under val of the measure induced by \bar{L} , is a discrete measure on $N_{\mathbb{R}}$ supported on the vertices of this polyhedral complex (Proposition 2.7.4). The roof function $\vartheta_{\bar{L}, s}$ is the function parameterizing the upper envelope of a polytope in $M_{\mathbb{R}} \times \mathbb{R}$ associated to φ and the section s (Example 5.1.16). The toric local height of X with respect to \bar{L} can be computed as the integral of this piecewise affine concave function.

Another nice example is given by toric bundles on a projective space. For a finite sequence of integers $a_r \geq \dots \geq a_0 \geq 1$, we consider the vector bundle on $\mathbb{P}_{\mathbb{Q}}^n$

$$E = \mathcal{O}(a_0) \oplus \dots \oplus \mathcal{O}(a_r).$$

The toric bundle $\mathbb{P}(E) \rightarrow \mathbb{P}_{\mathbb{Q}}^n$ is defined as the bundle of hyperplanes of the total space of E . This is an $(n+r)$ -dimensional toric variety over \mathbb{Q} which can be equipped with an ample universal line bundle $\mathcal{O}_{\mathbb{P}(E)}(1)$, see §7.2 for details.

We equip $\mathcal{O}_{\mathbb{P}(E)}(1)$ with a semipositive adelic toric metric as follows: the Fubini-Study metrics on each line bundle $\mathcal{O}(a_j)$ induces a semipositive smooth toric metric on $\mathcal{O}_{\mathbb{P}(E)}(1)$ for the Archimedean place of \mathbb{Q} , whereas for the finite places we consider the corresponding canonical metric. We show that both the corresponding concave

functions ψ_v and roof functions ϑ_v can be described in explicit terms (Lemma 7.2.1 and Proposition 7.2.3). We can then compute the height of $\mathbb{P}(E)$ with respect to this metrized line bundle as (Theorem 7.2.5)

$$h_{\overline{\mathcal{O}_{\mathbb{P}(E)}(1)}}(\mathbb{P}(E)) = h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n) \sum_{\substack{\mathbf{i} \in \mathbb{N}^{r+1} \\ |\mathbf{i}|=n+1}} \mathbf{a}^{\mathbf{i}} + \sum_{\substack{\mathbf{i} \in \mathbb{N}^{r+1} \\ |\mathbf{i}|=n}} A_{n,r}(\mathbf{i}) \mathbf{a}^{\mathbf{i}},$$

where for $\mathbf{i} = (i_0, \dots, i_r) \in \mathbb{N}^{r+1}$, we set $|\mathbf{i}| = i_0 + \dots + i_r$, $\mathbf{a}^{\mathbf{i}} = a_0^{i_0} \dots a_r^{i_r}$ and $A_{n,r}(\mathbf{i}) = \sum_{m=0}^r (i_m + 1) \sum_{j=i_m+2}^{n+r+1} \frac{1}{2^j}$, while $h_{\overline{\mathcal{O}(1)}}(\mathbb{P}_{\mathbb{Q}}^n) = \sum_{i=1}^n \sum_{j=1}^i \frac{1}{2^j}$ denotes the height of the projective space with respect to the Fubini-Study metric. In particular, the height of $\mathbb{P}(E)$ is a positive rational number.

The Fubini-Study height of the projective space was computed by Gillet and Soulé [GS90b, §5.4]. Other early computations for the Fubini-Study height of some toric hypersurfaces were obtained in [Dan97, CM00]. Mourougane has determined the height of Hirzebruch surfaces, as a consequence of his computations of Bott-Chern secondary classes [Mou06]. A Hirzebruch surface is a toric bundle over $\mathbb{P}_{\mathbb{Q}}^1$, and the result of Mourougane is a particular case of our computations for the height of toric bundles (Remark 7.2.6).

The fact that the canonical height of a toric variety is zero is well-known. It results from its original construction by a limit process on the direct images of the toric variety under the so-called “powers maps”. Maillot has studied the Arakelov geometry of toric varieties and line bundles with respect to the canonical metric, including the computation of the associated Chern currents and their product [Mai00].

In [PS08a], Philippon and Sombra gave a formula for the canonical height of a “translated” toric projective variety, a projective variety which is the closure of a translate of a subtorus, defined over a number field. In [PS08b], they also obtain a similar formula for the function field case. Both results are particular cases of our general formula (Remark 5.2.7). Indeed, part of our motivation for the present text was to understand and generalize this formula in the framework of Arakelov geometry.

For the Archimedean smooth case, our constructions are related to the Guillemin-Abreu classification of Kähler structures on symplectic toric varieties [Abr03]. The roof function corresponding to a smooth metrized line bundle on a smooth toric variety coincides, up to a sign, with the so-called “symplectic potential” of a Kähler toric variety (Remark 4.8.3). In the Archimedean continuous case, Boucksom and Chen have recently considered a similar construction in their study of arithmetic Okounkov bodies [BC11]. It would be interesting to further explore the connection with these results.

We now discuss the contents of each chapter, including some other results of interest.

Section 1 is devoted to the first half of the dictionary. Namely, we review DSP metrized line bundles both in the Archimedean and in the non-Archimedean cases. For

the latter case, we recall the basic properties of Berkovich spaces of schemes. We then explain the associated measures and heights following [Zha95b, Cha06, Gub03]. For simplicity, the theory presented is not as general as the one in [Gub03]: in the non-Archimedean case we restrict ourselves to discrete valuation rings and in the global case to adelic fields, while in *loc. cit.* the theory is developed for arbitrary valuations and for M -fields, respectively.

Section 2 deals with the second half of the dictionary, that is, convex analysis with emphasis on polyhedral sets. Most of the material in this section is classical. We have gathered all the required results, adapting them to our needs and adding some new ones. We work with concave functions, which are the functions which naturally arise in the theory of toric varieties. For later reference, we have translated many of the notions and results of convex analysis, usually stated for convex functions, in terms of concave functions.

We first recall the basic definitions about convex sets and convex decompositions, and then we study concave functions and the Legendre-Fenchel duality. We introduce a notion of Legendre-Fenchel correspondence for general closed concave functions, as a duality between convex decompositions (Definition 2.2.10 and Theorem 2.2.12). This is the right generalization of both the classical Legendre transform of strictly concave differentiable functions, and the duality between polyhedral complexes induced by a piecewise affine concave function. We also consider the interplay between Legendre-Fenchel duality and operations on concave functions like, for instance, the direct and inverse images by affine maps. This latter study will be important when considering the functoriality with respect to equivariant morphisms between toric varieties. We next particularize to two extreme cases: differentiable concave functions whose stability set is a polytope that will be related to semipositive smooth toric metrics in the Archimedean case, and to piecewise affine concave functions that will correspond to semipositive algebraic toric metrics in the non-Archimedean case. Next, we treat differences of concave functions, that will be related to DSP metrics. We end this section by studying the Monge-Ampère measure associated to a concave function. There is an interesting interplay between Monge-Ampère measures and Legendre-Fenchel duality. In this direction, we prove a combinatorial analogue of the arithmetic Bézout's theorem (Theorem 2.7.6), which is a key ingredient in the proof of our formulae for the height of a toric variety.

In Chapter 3, we study the algebraic geometry of toric varieties over a field and of toric schemes over a discrete valuation ring (DVR). We start by recalling the basic constructions and results on toric varieties, including Cartier and Weil divisors, toric line bundles and sections, orbits and equivariant morphisms, and positivity properties. Toric schemes over a DVR were first considered by Mumford in [KKMS73], who studied and classified them in terms of fans in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$. In the proper case,

these schemes can be alternatively classified in terms of complete polyhedral complexes in $N_{\mathbb{R}}$ [BS11]. Given a complete fan Σ in $N_{\mathbb{R}}$, the models over a DVR of the proper toric variety X_{Σ} are classified by complete polyhedral complexes on $N_{\mathbb{R}}$ whose recession fan (Definition 2.1.5) coincides with Σ (Theorem 3.5.4). Let Π be such a polyhedral complex, and denote by \mathcal{X}_{Π} the corresponding model of X_{Σ} . Let Ψ be a virtual support function on Σ and (L, s) the associated toric line bundle on X_{Σ} and toric section. We show that the models of (L, s) over \mathcal{X}_{Π} are classified by functions that are rational piecewise affine on Π and whose recession function is Ψ (Theorem 3.6.8). We also prove a toric version of the Nakai-Moishezon criterion for toric schemes over a DVR, which implies that semipositive models of (L, s) translate into concave functions under the above correspondence (Theorem 3.7.1).

In Chapter 4, we study toric metrics and their associated measures. For the present discussion, consider a local field K , a complete fan Σ on $N_{\mathbb{R}}$ and a virtual support function Ψ on Σ , and let (X, L) denote the corresponding proper toric variety over K and toric line bundle. We first introduce a variety with corners N_{Σ} which is a compactification of $N_{\mathbb{R}}$, together with a proper map $\text{val}: X_{\Sigma}^{\text{an}} \rightarrow N_{\Sigma}$ whose fibers are the orbits of the action of \mathbb{S} on X_{Σ}^{an} . We first treat the problem of obtaining a toric metric from a non-toric one (Proposition 4.3.4) and prove the classification theorem for toric metrics on L^{an} (Proposition 4.3.10). We next treat smooth metrics in the Archimedean case. A toric smooth metric is semipositive if and only if the associated function ψ is concave (Proposition 4.4.1). We make explicit the associated measure in terms of the Hessian of this function, hence in terms of the Monge-Ampère measure of ψ (Theorem 4.4.4). We also observe that an arbitrary smooth metric on L can be turned into a toric smooth metric by averaging it by the action of \mathbb{S} . If the given metric is semipositive, so is the obtained toric smooth metric.

Next, in the same section, we consider algebraic metrics in the non-Archimedean case. We first show how to describe the reduction map for toric schemes over a DVR in terms of the corresponding polyhedral complex and the map val (Lemma 4.5.1). We then study the triangle formed by toric metrics, rational piecewise affine functions and toric models (Proposition 4.5.3 and Theorem 4.5.10) and the effect of taking a field extension (Proposition 4.5.12). Next, we treat in detail the one-dimensional case, where one can write in explicit terms the metrics, associated functions and measures. Back to the general case, we use these results to complete the characterization of toric semipositive algebraic metrics in terms of piecewise affine concave functions (Proposition 4.7.1). We also describe the measure associated to a semipositive toric algebraic metric in terms of the Monge-Ampère measure of its associated concave function (Theorem 4.7.4).

Once we have studied smooth metrics in the Archimedean case and algebraic metrics in the non-Archimedean case, we can study semipositive toric metrics. We show that the same classification theorem is valid in the Archimedean and non-Archimedean

cases (Theorem 4.8.1). Moreover, the associated measure is described in exactly the same way in both cases (Theorem 4.8.11). We end this section by introducing and classifying adelic toric metrics (Definition 4.9.1, Proposition 4.9.2 and Theorem 4.9.3).

In Chapter 5, we prove the formulae for the toric local height and for the global height of toric varieties (theorems 5.1.6 and 5.2.5). By using the functorial properties of the height, we recover, from our general formula, the formulae for the canonical height of a translated toric projective variety in [PS08a, Théorème 0.3] for number fields and in [PS08b, Proposition 4.1] for function fields.

In Chapter 6, we consider the problem of integrating functions on polytopes. We first present a closed formula for the integral over a polytope of a function of one variable composed with a linear form, extending in this direction Brion’s formula for the case of a simplex [Bri88] (Proposition 6.1.4 and Corollary 6.1.10). This allows us to compute the height of toric varieties with respect to some interesting metrics arising from polytopes (Proposition 6.2.5). We can interpret some of these heights as the average entropy of a simple random process defined by the polytope (Proposition 6.3.1).

In Chapter 7, we study some further examples. We first consider translated toric curves in $\mathbb{P}_{\mathbb{Q}}^n$. For these curves, we consider the line bundle obtained from the restriction of $\mathcal{O}(1)$ to the curve, equipped with the metric induced by the Fubiny-Study metric at the place at infinity and by the canonical metric for the finite places. We compute the corresponding concave function ψ and toric local height in terms of the roots of a univariate polynomial (Theorem 7.1.3). We finally consider toric bundles as explained before, and compute the relevant concave functions, measures and heights.

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CONVENTIONS AND NOTATIONS

For the most part, we follow generally accepted conventions and notations. We also use the following:

- \mathbb{N} and \mathbb{N}^\times denote the set of natural numbers with 0 and without 0, respectively;
- a multi-index is an element $i \in \mathbb{N}^S$, where S is a finite set. For a multi-index i , we write $|i| = \sum_{s \in S} i_s$;
- A semigroup is a set with an associative binary operation and an identity element. In particular, a morphism of semigroups will send the identity element to the identity element. All considered semigroups will be commutative;
- all considered rings are commutative and with a unit;
- a scheme is a separated scheme of finite type over a Noetherian ring;
- a variety is a reduced and irreducible separated scheme of finite type over a field;
- \mathbb{P}^n is a projective space of dimension n over a field, with a fixed choice of homogeneous coordinates;
- by a line bundle we mean a locally free sheaf of rank one;
- compact spaces are Hausdorff;
- measures are non-negative and a signed measure is a difference of two measures.

For the notations and terminology introduced in this text, the reader can locate them using the list of symbols and the index at the end of the book.

CHAPTER 1

METRIZED LINE BUNDLES AND THEIR ASSOCIATED HEIGHTS

In this chapter, we recall the adelic theory of heights as introduced by Zhang [Zha95b] and developed by Gubler [Gub02, Gub03] and Chambert-Loir [Cha06]. These heights generalize the ones that can be obtained from the arithmetic intersection theory of Gillet and Soulé [GS90a, BGS94].

To explain the difference between both points of view, consider a smooth variety X over \mathbb{Q} . In Gillet-Soulé's theory, we choose a regular proper model \mathcal{X} over \mathbb{Z} of X , and we also consider the real analytic space X^{an} given by the set of complex points $X(\mathbb{C})$ and the anti-linear involution induced by the complex conjugation. By contrast, in the adelic point of view we consider the whole family of analytic spaces X_v^{an} , $v \in \mathfrak{M}_{\mathbb{Q}}$. For the Archimedean place, X_v^{an} is the real analytic space considered before, while for the non-Archimedean places, it is the associated Berkovich space [Ber90]. Both points of view have advantages and disadvantages. In the former point of view, there exists a complete formalism of intersection theory and characteristic classes, with powerful theorems like the arithmetic Riemann-Roch theorem and the Lefschetz fixed point theorem, but one is restricted to smooth varieties and needs an explicit integral model of X . In the latter point of view, one can define heights, but does not dispose yet of a complete formalism of intersection theory. Its main advantages are that it can be easily extended to non-smooth varieties and that there is no need of an integral model of X . Moreover, all places, Archimedean and non-Archimedean, are set on a similar footing.

1.1. Smooth metrics in the Archimedean case

Let X be a variety over \mathbb{C} and X^{an} its associated complex analytic space. We recall the definition of differential forms on X^{an} introduced by Bloom and Herrera [BH69]. The space X^{an} can be covered by a family of open subsets $\{U_i\}_i$ such that each U_i can be identified with a closed analytic subset of an open ball in \mathbb{C}^r for some r . On each U_i , the differential forms are defined as the restriction to this subset of

smooth complex-valued differential forms defined on an open neighbourhood of U_i in \mathbb{C}^r . Two differential forms on U_i are identified if they coincide on the non-singular locus of U_i . We denote by $\mathcal{A}^*(U_i)$ the complex of differential forms of U_i , which is independent of the chosen embedding. In particular, if U_i is non-singular, we recover the usual complex of differential forms. These complexes glue together to define a sheaf $\mathcal{A}_{X^{\text{an}}}^*$. This sheaf is equipped with differential operators $d, d^c, \partial, \bar{\partial}$, an external product and inverse images with respect to analytic morphisms: these operations are defined locally on each $\mathcal{A}^*(U_i)$ by extending the differential forms to a neighbourhood of U_i in \mathbb{C}^r and applying the corresponding operations for \mathbb{C}^r . We write $\mathcal{O}_{X^{\text{an}}}$ and $\mathcal{C}_{X^{\text{an}}}^\infty = \mathcal{A}_{X^{\text{an}}}^0$ for the sheaves of analytic functions and of smooth functions of X^{an} , respectively.

Let L be an algebraic line bundle on X and L^{an} its analytification.

Definition 1.1.1. — A *metric* on L^{an} is an assignment that, to each open subset $U \subset X^{\text{an}}$ and local section s of L^{an} on U , associates a continuous function

$$\|s(\cdot)\|: U \longrightarrow \mathbb{R}_{\geq 0}$$

such that

1. it is compatible with the restrictions to smaller open subsets;
2. for all $p \in U$, $\|s(p)\| = 0$ if and only if $s(p) = 0$;
3. for any $p \in U$ and $\lambda \in \mathcal{O}_{X^{\text{an}}}(U)$, it holds $\|(\lambda s)(p)\| = |\lambda(p)| \|s(p)\|$.

The pair $\bar{L} := (L, \|\cdot\|)$ is called a *metrized line bundle*. The metric $\|\cdot\|$ is *smooth* if for every local section s of L^{an} , the function $\|s(\cdot)\|^2$ is smooth.

We remark that what we call “metric” in this text is called “continuous metric” in other contexts.

Let $\bar{L} = (L, \|\cdot\|)$ be a smooth metrized line bundle. Given a non-vanishing local section s of L^{an} on an open subset U , the *first Chern form* of \bar{L} is the $(1, 1)$ -form defined on U as

$$c_1(\bar{L}) = \partial\bar{\partial} \log \|s\|^2 \in \mathcal{A}^{1,1}(U).$$

It does not depend on the choice of local section and can be extended to a global closed $(1, 1)$ -form. Observe that we are using the algebro-geometric convention, and so $c_1(\bar{L})$ determines a class in $H^2(X^{\text{an}}, 2\pi i \mathbb{Z})$.

Example 1.1.2. — Let $X = \mathbb{P}_{\mathbb{C}}^n$ and $L = \mathcal{O}(1)$, the universal line bundle of $\mathbb{P}_{\mathbb{C}}^n$. A rational section s of $\mathcal{O}(1)$ can be identified with a homogeneous rational function $\rho_s \in \mathbb{C}(x_0, \dots, x_n)$ of degree 1. The poles of this section coincide with those of ρ_s . For a point $p = (p_0 : \dots : p_n) \in \mathbb{P}^n(\mathbb{C})$ and a rational section s as above which is regular at p , the *Fubini-Study metric* of $\mathcal{O}(1)^{\text{an}}$ is defined as

$$\|s(p)\|_{\text{FS}} = \frac{|\rho_s(p_0, \dots, p_n)|}{(\sum_{i=0}^n |p_i|^2)^{1/2}}.$$

Clearly, this definition does not depend on the choice of a representative of p . The pair $(\mathcal{O}(1), \|\cdot\|_{\text{FS}})$ is a metrized line bundle.

Many smooth metrics can be obtained as the inverse image of the Fubini-Study metric. Let X be a variety over \mathbb{C} and L a line bundle on X , and assume that there is an integer $e \geq 1$ such that $L^{\otimes e}$ is generated by global sections. Choose a basis of the space of global sections $\Gamma(X, L^{\otimes e})$ and let $\varphi: X \rightarrow \mathbb{P}_{\mathbb{C}}^M$ be the induced morphism. Given a local section s of L , let s' be a local section of $\mathcal{O}(1)$ such that $s^{\otimes e} = \varphi^* s'$. Then, the smooth metric on L^{an} obtained from the Fubini-Study metric by inverse image is given by

$$\|s(p)\| = \|s'(\varphi(p))\|_{\text{FS}}^{1/e}$$

for any $p \in X^{\text{an}}$ which is not a pole of s .

Definition 1.1.3. — Let \bar{L} be a smooth metrized line bundle on X and $\mathbb{D} = \{z \in \mathbb{C} \mid |z| \leq 1\}$, the unit disk of \mathbb{C} . We say that \bar{L} is *semipositive* if, for every holomorphic map $\varphi: \mathbb{D} \rightarrow X^{\text{an}}$,

$$\frac{1}{2\pi i} \int_{\mathbb{D}} \varphi^* c_1(\bar{L}) \geq 0.$$

We say that \bar{L} is *positive* if this integral is strictly positive for all non-constant holomorphic maps as before.

Example 1.1.4. — The Fubini-Study metric (Example 1.1.2) is positive because its first Chern form defines a smooth metric on the holomorphic tangent bundle of $\mathbb{P}^n(\mathbb{C})$ [GH94, Chapter 0, §2]. All metrics obtained as inverse image of the Fubini-Study metric are semipositive.

A family of smooth metrized line bundles $\bar{L}_0, \dots, \bar{L}_{d-1}$ on X and a d -dimensional cycle Y of X define a signed measure on X^{an} as follows. First suppose that Y is a subvariety of X and let δ_Y denote the current of integration along the analytic subvariety Y^{an} , defined as $\delta_Y(\omega) = \frac{1}{(2\pi i)^d} \int_{Y^{\text{an}}} \omega$ for $\omega \in \mathcal{A}_{X^{\text{an}}}^{2d}$. Then the current

$$c_1(\bar{L}_0) \wedge \dots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y$$

is a signed measure on X^{an} . This notion extends by linearity to $Y \in Z_d(X)$. If $\bar{L}_i, i = 0, \dots, d-1$, are semipositive and Y is effective, this signed measure is a measure.

Remark 1.1.5. — We can reduce the study of algebraic varieties and line bundles over the field of real numbers to the complex case by using the following standard technique. A variety X over \mathbb{R} induces a variety $X_{\mathbb{C}}$ over \mathbb{C} together with an anti-linear involution $\varsigma: X_{\mathbb{C}} \rightarrow X_{\mathbb{C}}$ such that the diagram

$$\begin{array}{ccc} X_{\mathbb{C}} & \xrightarrow{\varsigma} & X_{\mathbb{C}} \\ \downarrow & & \downarrow \\ \text{Spec}(\mathbb{C}) & \xrightarrow{\varsigma} & \text{Spec}(\mathbb{C}) \end{array}$$

commutes, where the arrow below denotes the map induced by complex conjugation. Following this philosophy, we define the analytification of X as $X_{\mathbb{R}}^{\text{an}} = (X_{\mathbb{C}}^{\text{an}}, \varsigma)$.

A line bundle L on X determines a line bundle $L_{\mathbb{C}}$ on $X_{\mathbb{C}}$ and an isomorphism $\alpha: \varsigma^* L_{\mathbb{C}} \rightarrow L_{\mathbb{C}}$ such that a section s of $L_{\mathbb{C}}$ is real if and only if $\alpha(\varsigma^* s) = s$. Thus we define $L_{\mathbb{R}}^{\text{an}} = (L_{\mathbb{C}}^{\text{an}}, \alpha)$. By a *metric* on $L_{\mathbb{R}}^{\text{an}}$ we will mean a metric $\|\cdot\|$ on $L_{\mathbb{C}}^{\text{an}}$ such that the map $\alpha: \varsigma^*(L_{\mathbb{C}}, \|\cdot\|) \rightarrow (L_{\mathbb{C}}, \|\cdot\|)$ is an isometry.

In this way, the above definitions can be extended to metrized line bundles on varieties over \mathbb{R} . For instance, a real smooth metrized line bundle is semipositive if and only if its associated complex smooth metrized line bundle is semipositive. The corresponding signed measure is a measure over $X_{\mathbb{C}}^{\text{an}}$ which is invariant under ς .

In the sequel, every time we have a variety over \mathbb{R} , we will work instead with the associated complex variety and quietly ignore the anti-linear involution ς , because it will not play an important role in our results. In particular, if X is a real variety, we will denote $X^{\text{an}} = X_{\mathbb{C}}^{\text{an}}$ for the underlying complex space of $X_{\mathbb{R}}^{\text{an}}$. Similarly, we will denote $L^{\text{an}} = L_{\mathbb{C}}^{\text{an}}$.

1.2. Berkovich spaces of schemes

In this section we recall Berkovich's theory of analytic spaces. We will not present the most general theory developed in [Ber90] but we will content ourselves with the analytic spaces associated to algebraic varieties, that are simpler to define and enough for our purposes.

Let K be a field which is complete with respect to a nontrivial non-Archimedean absolute value $|\cdot|$. Such fields will be called *non-Archimedean fields*. Let $K^{\circ} = \{\alpha \in K \mid |\alpha| \leq 1\}$ be the valuation ring, $K^{\circ\circ} = \{\alpha \in K \mid |\alpha| < 1\}$ the maximal ideal and $k = K^{\circ}/K^{\circ\circ}$ the residue field.

Let X be a scheme of finite type over K . Following [Ber90], we can associate an *analytic space* X^{an} to the scheme X as follows. First assume that $X = \text{Spec}(A)$, where A is a finitely generated K -algebra. Then, the points of X^{an} are the multiplicative seminorms of A that extend the absolute value of K , see [Ber90, Remark 3.4.2]. Every element a of A defines a function $|a(\cdot)|: X^{\text{an}} \rightarrow \mathbb{R}_{\geq 0}$ given by evaluation of the seminorm. The topology of X^{an} is the coarsest topology that makes the functions $|a(\cdot)|$ continuous for all $a \in A$.

A point $p \in X^{\text{an}}$ defines a prime ideal $\{a \in A \mid |a(p)| = 0\} \subset A$. This induces a map

$$\pi: X^{\text{an}} \rightarrow X = \text{Spec}(A).$$

Let $K(\pi(p))$ denote the function field of $\pi(p)$, that is, the field of fractions of the quotient ring $A/\pi(p)$. The point p is a multiplicative seminorm on A and so it induces a non-Archimedean absolute value on $K(\pi(p))$. We denote by $\mathcal{H}(p)$ the completion of this field with respect to that absolute value.

Let U be an open subset of X^{an} . An *analytic function* on U is a function

$$f: U \longrightarrow \prod_{p \in U} \mathcal{H}(p)$$

such that, for each $p \in U$, $f(p) \in \mathcal{H}(p)$ and there is an open neighbourhood $U' \subset U$ of p with the property that, for all $\varepsilon > 0$ and $q \in U'$, there are elements $a, b \in A$ with $b \notin \pi(q)$ and $|f(q) - a(q)/b(q)| < \varepsilon$. The analytic functions form a sheaf, denoted $\mathcal{O}_{X^{\text{an}}}$, and $(X^{\text{an}}, \mathcal{O}_{X^{\text{an}}})$ is a locally ringed space [Ber90, §1.5 and Remark 3.4.2]. In particular, every element $a \in A$ determines an analytic function on X^{an} , also denoted a . The function $|a(\cdot)|$ can then be obtained by composing a with the absolute value map

$$|\cdot|: \prod_{p \in X^{\text{an}}} \mathcal{H}(p) \longrightarrow \mathbb{R}_{\geq 0},$$

which justifies its notation.

Now, if X is a scheme of finite type over K , the analytic space X^{an} is defined by gluing together the affine analytic spaces obtained from an affine open cover of X . If we want to stress the base field, we will denote X^{an} by X_K^{an} .

Let K' be a complete extension of K and $X_{K'}^{\text{an}}$ the analytic space associated to the scheme $X_{K'}$. There is a natural map $X_{K'}^{\text{an}} \rightarrow X_K^{\text{an}}$ defined locally by restricting seminorms.

Definition 1.2.1. — A *rational point* of X_K^{an} is a point $p \in X_K^{\text{an}}$ satisfying $\mathcal{H}(p) = K$. We denote by $X^{\text{an}}(K)$ the set of rational points of X_K^{an} . More generally, for a complete extension K' of K , the set of *K' -rational points* of X_K^{an} is defined as $X^{\text{an}}(K') = X_{K'}^{\text{an}}(K')$. There is a map $X^{\text{an}}(K') \rightarrow X_K^{\text{an}}$, defined by composing the inclusion $X^{\text{an}}(K') \hookrightarrow X_{K'}^{\text{an}}$ with the map $X_{K'}^{\text{an}} \rightarrow X_K^{\text{an}}$ as above. The set of *algebraic points* of X^{an} is the union of $X^{\text{an}}(K')$ for all finite extensions K' of K . Its image in X^{an} is denoted $X_{\text{alg}}^{\text{an}}$. We have that $X_{\text{alg}}^{\text{an}} = \{p \in X^{\text{an}} \mid [\mathcal{H}(p) : K] < \infty\}$.

The basic properties of X^{an} are summarized in the following theorem.

Theorem 1.2.2. — *Let X be a scheme of finite type over K and X^{an} the associated analytic space.*

1. X^{an} is a locally compact and locally arc-connected topological space.
2. X^{an} is Hausdorff (respectively compact, arc-connected) if and only if X is separated (respectively proper, connected).
3. The map $\pi: X^{\text{an}} \rightarrow X$ is continuous. A locally constructible subset $T \subset X$ is open (respectively closed, dense) if and only if $\pi^{-1}(T)$ is open (respectively closed, dense).
4. Let $\psi: X \rightarrow Y$ be a morphism of schemes of finite type over K and $\psi^{\text{an}}: X^{\text{an}} \rightarrow Y^{\text{an}}$ its analytification. Then ψ is flat (respectively unramified,

étale, smooth, separated, injective, surjective, open immersion, isomorphism) if and only if ψ^{an} has the same property.

5. Let K' be a complete extension of K . Then the map $\pi_{K'} : X_{K'}^{\text{an}} \rightarrow X_{K'}$ induces a bijection between $X^{\text{an}}(K')$ and $X(K')$.
6. Set $X_{\text{alg}} = \{p \in X \mid [K(p) : K] < \infty\}$, where $K(p)$ denotes the function field of p . Then π induces a bijection between $X_{\text{alg}}^{\text{an}}$ and X_{alg} . The subset $X_{\text{alg}}^{\text{an}} \subset X^{\text{an}}$ is dense.
7. Let \widehat{K} be the completion of the algebraic closure \overline{K} of K . Then the map $X_{\widehat{K}}^{\text{an}} \rightarrow X_K^{\text{an}}$ induces an isomorphism $X_{\widehat{K}}^{\text{an}} / \text{Gal}(\overline{K}^{\text{scp}}/K) \simeq X_K^{\text{an}}$.

Proof. — The proofs can be found in [Ber90] and the next pointers are with respect to the numeration in this reference: (1) follows from Theorem 1.2.1, Corollary 2.2.8 and Theorem 3.2.1, (2) is Theorem 3.4.8, (3) is Corollary 3.4.5, (4) is Proposition 3.4.6, (5) is Theorem 3.4.1(i), while (6) follows from Theorem 3.4.1(i) and Proposition 2.1.15 and (7) follows from Corollary 1.3.6. \square

Remark 1.2.3. — Not every analytic space in the sense of Berkovich can be obtained as the analytification of an algebraic variety. The general theory is based on spectra of affinoid K -algebras, that provide compact analytic spaces that are the building blocks of the more general analytic spaces.

Example 1.2.4. — Let $M \simeq \mathbb{Z}^n$ be a lattice of rank n and consider the associated group algebra $K[M]$ and algebraic torus $\mathbb{T}_M = \text{Spec}(K[M])$. The corresponding analytic space \mathbb{T}_M^{an} is the set of multiplicative seminorms of $K[M]$ that extend the absolute value of K . This is an analytic group. We warn the reader that the set of points of an analytic group is not an abstract group, hence some care has to be taken when speaking of actions and orbits. The precise definitions and basic properties can be found in [Ber90, §5.1].

The analytification \mathbb{T}_M^{an} is an analytic torus as in [Ber90, §6.3]. The subset

$$\mathbb{S} = \{p \in \mathbb{T}_M^{\text{an}} \mid |\chi^m(p)| = 1 \text{ for all } m \in M\}.$$

is a compact analytic subgroup, called the *compact torus* of \mathbb{T}_M^{an} .

1.3. Algebraic metrics in the non-Archimedean case

Let K be a field which is complete with respect to a nontrivial non-Archimedean absolute value. Let K° and $K^{\circ\circ}$ be as in the previous section. For simplicity, we will assume from now on that K° is a discrete valuation ring (DVR), and we will fix a generator ϖ of its maximal ideal $K^{\circ\circ}$. This is the only case we will need in the sequel and it allows us to use a more elementary definition of measures and local heights. The reader can consult [Gub03, Gub07] for the general case.

Let X be a variety over K and L a line bundle on X . Let X^{an} and L^{an} be their respective analytifications.

Definition 1.3.1. — A *metric* on L^{an} is an assignment that, to each open subset $U \subset X^{\text{an}}$ and local section s of L^{an} on U , associates a continuous function

$$\|s(\cdot)\| : U \longrightarrow \mathbb{R}_{\geq 0},$$

such that

1. it is compatible with the restriction to smaller open subsets;
2. for all $p \in U$, $\|s(p)\| = 0$ if and only if $s(p) = 0$;
3. for any $\lambda \in \mathcal{O}_{X^{\text{an}}}(U)$, it holds $\|(\lambda s)(p)\| = |\lambda(p)| \|s(p)\|$.

The pair $\bar{L} := (L, \|\cdot\|)$ is called a *metrized line bundle*.

Models of varieties and line bundles give rise to an important class of metrics. To introduce and study these metrics, we first consider the notion of models of varieties. Write $S = \text{Spec}(K^\circ)$. The scheme S has two points: the special point o and the generic point η . Given a scheme \mathcal{X} over S , we set $\mathcal{X}_o = \mathcal{X} \times \text{Spec}(k)$ and $\mathcal{X}_\eta = \mathcal{X} \times \text{Spec}(K)$ for its special fibre and its generic fibre, respectively.

Definition 1.3.2. — A *model* over S of X is a flat scheme \mathcal{X} of finite type over S together with a fixed isomorphism $X \simeq \mathcal{X}_\eta$. This isomorphism is part of the model, and so we can identify \mathcal{X}_η with X . When X is proper, we say that the model is *proper* whenever the scheme \mathcal{X} is proper over S .

Given a model \mathcal{X} of X , there is a reduction map defined on a subset of X^{an} with values in \mathcal{X}_o [Ber90, §2.4]. This map can be described as follows. Let $\{\mathcal{U}_i\}_{i \in I}$ be a finite open cover of \mathcal{X} by affine schemes over S of finite type and, for each i , let \mathcal{A}_i be a K° -algebra such that $\mathcal{U}_i = \text{Spec}(\mathcal{A}_i)$. Set $U_i = \mathcal{U}_i \cap X$ and let C_i be the closed subset of U_i^{an} defined as

$$C_i = \{p \in U_i^{\text{an}} \mid |a(p)| \leq 1, \forall a \in \mathcal{A}_i\} \tag{1.3.1}$$

For each $p \in C_i$, the prime ideal $\mathfrak{q}_p := \{a \in \mathcal{A}_i \mid |a(p)| < 1\} \subset \mathcal{A}_i$ contains $K^{\circ\circ} \mathcal{A}_i$ and so it determines a point $\text{red}(p) := \mathfrak{q}_p / K^{\circ\circ} \mathcal{A}_i \in \mathcal{U}_{i,o} \subset \mathcal{X}_o$. Consider the subset $C = \bigcup_i C_i \subset X^{\text{an}}$. The above maps glue together to define a map

$$\text{red} : C \longrightarrow \mathcal{X}_o. \tag{1.3.2}$$

This map is surjective and anti-continuous, in the sense that the preimage of an open subset of \mathcal{X}_o is closed in C [Ber90, §2.4]. If both X and \mathcal{X} are proper then, using the valuative criterion of properness, one can see that $C = X^{\text{an}}$ and the reduction map is defined on the whole of X^{an} .

Proposition 1.3.3. — Assume that X and \mathcal{X} are normal. For each irreducible component V of \mathcal{X}_o , there is a unique point $\xi_V \in C$ such that

$$\text{red}(\xi_V) = \eta_V,$$

where η_V denotes the generic point of V . If we choose an affine open subset $\mathcal{U} = \text{Spec}(\mathcal{A}) \subset \mathcal{X}$ containing η_V and we write $A = \mathcal{A} \otimes_{K^\circ} K$ and $U = \mathcal{U} \cap X$, then ξ_V lies in U^{an} and it is the multiplicative seminorm on A given, for $a \in A$, by

$$|a(\xi_V)| = |\varpi|^{\text{ord}_V(a)/\text{ord}_V(\varpi)}, \quad (1.3.3)$$

where $\text{ord}_V(a)$ denotes the order of a at η_V .

Proof. — We first assume that X and \mathcal{X} are affine. Let \mathcal{A} be a K° -algebra such that $\mathcal{X} = \text{Spec}(\mathcal{A})$ and set $A = \mathcal{A} \otimes K$. Since \mathcal{X} is normal, \mathcal{A}_{η_V} is a discrete valuation ring. Let ord_V denote the valuation in this ring.

We first show the existence of ξ_V . Since $\text{ord}_V(\varpi) \geq 1$, the right hand side of the equation (1.3.3) determines a multiplicative seminorm of A that extends the absolute value of K and hence a point $\xi_V \in U^{\text{an}} \subset X^{\text{an}}$. From the definition, it is clear that $|a(\xi_V)| \leq 1$ for all $a \in \mathcal{A}$ and $|a(\xi_V)| < 1$ if and only if $a \in \eta_V$. Hence $\xi_V \in C$ and $\text{red}(\xi_V) = \eta_V$.

We next prove the unicity of ξ_V . Let $p \in C$ such that $\text{red}(p) = \eta_V$. This implies that p is a multiplicative seminorm of A that extends the absolute value of K such that $|a(p)| \leq 1$ for all $a \in \mathcal{A}$ and $|a(p)| < 1$ if and only if $a \in \eta_V$. In particular, this multiplicative seminorm can be extended to \mathcal{A}_{η_V} . Let τ be a uniformizer of the maximal ideal $\eta_V \mathcal{A}_{\eta_V}$ and $a \in \mathcal{A}$. Write $a = u\tau^{\text{ord}_V(a)}$ with $u \in \mathcal{A}_{\eta_V}^\times$. Since $|u(p)| = 1$, we deduce $|a(p)| = |\tau(p)|^{\text{ord}_V(a)}$. Applying the same to ϖ , we deduce that

$$|a(p)| = |\varpi|^{\text{ord}_V(a)/\text{ord}_V(\varpi)}.$$

Hence $p = \xi_V$.

To prove the statement in general, it is enough to observe that, if $\mathcal{U}_1 \subset \mathcal{U}_2$ are two affine open subsets of \mathcal{X} containing η_V , then the corresponding closed subsets verify $C_1 \subset C_2$. The result follows by the unicity in C_2 of the point with reduction η_V . \square

Next we recall the definition of models of line bundles.

Definition 1.3.4. — A model over S of (X, L) is a triple $(\mathcal{X}, \mathcal{L}, e)$, where \mathcal{X} is a model over S of X , \mathcal{L} is a line bundle on \mathcal{X} and $e \geq 1$ is an integer, together with an isomorphism $\mathcal{L}|_X \simeq L^{\otimes e}$. When $e = 1$, the model $(\mathcal{X}, \mathcal{L}, 1)$ will be denoted $(\mathcal{X}, \mathcal{L})$ for short. A model $(\mathcal{X}, \mathcal{L}, e)$ is called *proper* whenever \mathcal{X} is proper.

We assume that the variety X is proper for the rest of this section. To a proper model of a line bundle we can associate a metric.

Definition 1.3.5. — Let $(\mathcal{X}, \mathcal{L}, e)$ be a proper model of (X, L) . Let s be a local section of L^{an} defined at a point $p \in X^{\text{an}}$. Let $\mathcal{U} \subset \mathcal{X}$ be a trivializing open neighbourhood

of $\text{red}(p)$ and σ a generator of $\mathcal{L}|_{\mathcal{U}}$. Let $U = \mathcal{U} \cap X$ and $\lambda \in \mathcal{O}_{U^{\text{an}}}$ such that $s^{\otimes e} = \lambda\sigma$ on U^{an} . Then, the metric induced by the proper model $(\mathcal{X}, \mathcal{L}, e)$ on L^{an} , denoted $\|\cdot\|_{\mathcal{X}, \mathcal{L}, e}$, is given by

$$\|s(p)\|_{\mathcal{X}, \mathcal{L}, e} = |\lambda(p)|^{1/e}.$$

This definition does neither depend on the choice of the open set \mathcal{U} nor of the section σ , and it gives a metric on L^{an} . The metrics on L^{an} obtained in this way are called *algebraic*, and the pair $\bar{L} := (L, \|\cdot\|_{\mathcal{X}, \mathcal{L}, e})$ is called an *algebraic metrized line bundle*.

Different models may give rise to the same metric.

Proposition 1.3.6. — *Let $(\mathcal{X}, \mathcal{L}, e)$ and $(\mathcal{X}', \mathcal{L}', e')$ be proper models of (X, L) , and $f: \mathcal{X}' \rightarrow \mathcal{X}$ a morphism of models such that $(\mathcal{L}')^{\otimes e} \simeq f^*\mathcal{L}^{\otimes e}$. Then the metrics on L^{an} induced by both models agree.*

Proof. — Let s be a local section of L^{an} defined on a point $p \in X^{\text{an}}$. Let $\mathcal{U} \subset \mathcal{X}$ be a trivializing open neighbourhood of $\text{red}_{\mathcal{X}}(p)$, the reduction of p with respect to the model \mathcal{X} and σ a generator of $\mathcal{L}|_{\mathcal{U}}$. Let λ be an analytic function on $(\mathcal{U} \cap X)^{\text{an}}$ such that $s^{\otimes e} = \lambda\sigma$.

We have that $f(\text{red}_{\mathcal{X}'}(p)) = \text{red}_{\mathcal{X}}(p)$ and $\mathcal{U}' := f^{-1}(\mathcal{U})$ is a trivializing open set of $\mathcal{L}'^{\otimes e}$ with generator $f^*\sigma^{\otimes e}$. Then $s^{\otimes ee'} = \lambda^{e'}f^*\sigma^{\otimes e}$ on $(\mathcal{U}' \cap X)^{\text{an}} = (\mathcal{U} \cap X)^{\text{an}}$. Now the proposition follows directly from Definition 1.3.5. \square

The inverse image of an algebraic metric is algebraic.

Proposition 1.3.7. — *Let $\varphi: X_1 \rightarrow X_2$ be a morphism of proper algebraic varieties over K and \bar{L}_2 an algebraic metrized line bundle on X_2 . Then $\varphi^*\bar{L}_2$, the inverse image under φ of \bar{L}_2 , is an algebraic metrized line bundle on X_1 .*

Proof. — Let $(\mathcal{X}_2, \mathcal{L}_2, e)$ be a proper model of (X_2, L_2) which induces the metric in \bar{L}_2 . From Nagata’s compactification theorem (see for instance [Con07]) we can find a proper model \mathcal{X}'_1 of X_1 . Let \mathcal{X}_1 be the Zariski closure of the graph of φ in $\mathcal{X}'_1 \times_S \mathcal{X}_2$. This is a proper model of X_1 equipped with a morphism $\varphi_S: \mathcal{X}_1 \rightarrow \mathcal{X}_2$. Then $(\mathcal{X}_1, \varphi_S^*\mathcal{L}_2, e)$ is a proper model of (X_1, φ^*L_2) which induces the metric of $\varphi^*\bar{L}_2$. \square

Next we give a second description of an algebraic metric. As before, let X be a proper variety over K and L a line bundle on X , and $\|\cdot\|_{\mathcal{X}, \mathcal{L}, e}$ an algebraic metric on L^{an} . Let $p \in X^{\text{an}}$ and put $F = \mathcal{H}(p)$, which is a complete extension of K . Let F° denote its valuation ring, and o and η the special and the generic point of $\text{Spec}(F^\circ)$, respectively. The point p induces a morphism of schemes $\text{Spec}(F) \rightarrow X$. By the valuative criterion of properness, there is a unique extension

$$\tilde{p}: \text{Spec}(F^\circ) \longrightarrow \mathcal{X}. \tag{1.3.4}$$

It satisfies $\tilde{p}(\eta) = \pi(p)$, where $\pi: X^{\text{an}} \rightarrow X$ is the natural map introduced at the beginning of §1.2, and $\tilde{p}(o) = \text{red}(p)$.

Proposition 1.3.8. — *With notation as above, let s be a local section of L in a neighbourhood of $\pi(p)$. Then*

$$\|s(p)\|_{\mathcal{X}, \mathcal{L}, e} = \inf \{ |a|^{1/e} \mid a \in F^\times, a^{-1} \tilde{p}^* s^{\otimes e} \in \tilde{p}^* \mathcal{L} \}. \quad (1.3.5)$$

Proof. — Write $\|\cdot\| = \|\cdot\|_{\mathcal{X}, \mathcal{L}, e}$ for short. Let $\mathcal{U} = \text{Spec}(\mathcal{A}) \ni \text{red}(p)$ be a trivializing open affine set of \mathcal{L} and σ a generator of $\mathcal{L}|_{\mathcal{U}}$. Then $s^{\otimes e} = \lambda\sigma$ with λ in the fraction field of \mathcal{A} . We have that $\lambda(p) \in F$ and, by definition, $\|s(p)\| = |\lambda(p)|^{1/e}$. If $\lambda(p) = 0$, the equation is clearly satisfied. Denote temporarily by γ the right-hand side of (1.3.5). If $\lambda(p) \neq 0$, then

$$\lambda(p)^{-1} \tilde{p}^* s^{\otimes e} = \tilde{p}^* \sigma \in \tilde{p}^* \mathcal{L}.$$

Hence $\|s(p)\| \geq \gamma$. Moreover, if $a \in F^\times$ is such that $a^{-1} \tilde{p}^* s^{\otimes e} \in \tilde{p}^* \mathcal{L}$, then there is an element $\alpha \in F^\circ \setminus \{0\}$ with $a^{-1} \tilde{p}^* s^{\otimes e} = \alpha \tilde{p}^* \sigma$. Therefore, $a = \alpha^{-1} \lambda(p)$ and $|a|^{1/e} = |\alpha|^{-1/e} |\lambda(p)|^{1/e} \geq |\lambda(p)|^{1/e}$. Thus, $\|s(p)\| \leq \gamma$, completing the proof. \square

We give a third description of an algebraic metric in terms of intersection theory that makes evident the relationship with higher dimensional Arakelov theory. Let $(\mathcal{X}, \mathcal{L}, e)$ be a proper model of (X, L) and $\iota: \mathcal{Y} \rightarrow \mathcal{X}$ a closed algebraic curve. Let $\tilde{\mathcal{Y}}$ be the normalization of \mathcal{Y} and $\tilde{\iota}: \tilde{\mathcal{Y}} \rightarrow \mathcal{X}$ and $\rho: \tilde{\mathcal{Y}} \rightarrow \text{Spec}(K^\circ)$ the induced morphisms. Let s be a rational section of \mathcal{L} such that the Cartier divisor $\text{div}(s)$ intersects properly \mathcal{Y} . Then the intersection number $(\iota \cdot \text{div}(s))$ is defined as

$$(\iota \cdot \text{div}(s)) = \deg(\rho_*(\text{div}(\tilde{\iota}^* s))).$$

Proposition 1.3.9. — *With the above notation, let $p \in X_{\text{alg}}^{\text{an}}$ and denote by \tilde{p} the image of the map in (1.3.4). This is a closed algebraic curve. Let s be a local section of L defined at p and such that $s(p) \neq 0$. Then*

$$\log \|s(p)\|_{\mathcal{X}, \mathcal{L}, e} = \frac{(\tilde{p} \cdot \text{div}(s^{\otimes e}))}{e[\mathcal{H}(p) : K]} \log |\varpi|.$$

Proof. — We keep the notation in the proof of Proposition 1.3.8. In particular, $s^{\otimes e} = \lambda\sigma$ with λ in the fraction field of \mathcal{A} , and $\mathcal{H}(p) = F$. Then

$$\frac{\log \|s(p)\|_{\mathcal{X}, \mathcal{L}, e}}{\log |\varpi|} = \frac{\log |\lambda(p)|}{e \log |\varpi|} = \frac{\log |N_{F/K}(\lambda(p))|}{e[F : K] \log |\varpi|} = \frac{\text{ord}_{\varpi}(N_{F/K}(\lambda(p)))}{e[F : K]},$$

where $N_{F/K}$ is the norm function of the finite extension F/K . We also verify

$$\begin{aligned} (\tilde{p} \cdot \text{div}(s^{\otimes e})) &= \deg(\rho_*(\text{div}(\tilde{p}^* s^{\otimes e}))) = \deg(\rho_*(\text{div}(\lambda(p)))) \\ &= \deg(\text{div}(N_{F/K}(\lambda(p)))) = \text{ord}_{\varpi}(N_{F/K}(\lambda(p))), \end{aligned}$$

which proves the statement. \square

Example 1.3.10. — Let $X = \mathbb{P}_K^0 = \text{Spec}(K)$. A line bundle L on X is necessarily trivial, that is, $L \simeq K$. Consider the model $(\mathcal{X}, \mathcal{L}, e)$ of (X, L) given by $\mathcal{X} = \text{Spec}(K^\circ)$, $e \geq 1$, and \mathcal{L} a free K° -submodule of $L^{\otimes e}$ of rank one. Let $v \in L^{\otimes e}$ be a basis of \mathcal{L} . For a section s of L we can write $s^{\otimes e} = \alpha v$ with $\alpha \in K$. Hence,

$$\|s\|_{\mathcal{X}, \mathcal{L}, e} = |\alpha|^{1/e}.$$

All algebraic metrics on L^{an} can be obtained in this way.

Example 1.3.11. — Let $X = \mathbb{P}_K^n$ and $L = \mathcal{O}(1)$, the universal line bundle of \mathbb{P}_K^n . As a model for (X, L) we consider $\mathcal{X} = \mathbb{P}_{K^\circ}^n$, the projective space over $\text{Spec}(K^\circ)$, $\mathcal{L} = \mathcal{O}_{\mathbb{P}_{K^\circ}^n}(1)$, and $e = 1$. A rational section s of L can be identified with a homogeneous rational function $\rho_s \in K(x_0, \dots, x_n)$ of degree 1.

Let $p = (p_0 : \dots : p_n) \in (\mathbb{P}_K^n)^{\text{an}} \setminus \text{div}(s)$ and set $F = \mathcal{H}(p)$. Let $0 \leq i_0 \leq n$ be such that $|p_{i_0}| = \max_i \{|p_i|\}$. Take $U \simeq \mathbb{A}_K^n$ (respectively $\mathcal{U} \simeq \mathbb{A}_{K^\circ}^n$) as the affine set $x_{i_0} \neq 0$ over F (respectively F°). The point p corresponds to the morphism

$$p^* : K[X_0, \dots, X_{i_0-1}, X_{i_0+1}, \dots, X_n] \longrightarrow F$$

that sends X_i to p_i/p_{i_0} . The extension \tilde{p} factors through the morphism

$$\tilde{p}^* : K^\circ[X_1, \dots, X_{i_0-1}, X_{i_0+1}, \dots, X_n] \longrightarrow F^\circ$$

with the same definition. Then

$$\begin{aligned} \|s(p)\| &= \inf \{ |z| \mid z \in F^\times, z^{-1} \tilde{p}^* s \in \tilde{p}^* \mathcal{L} \} \\ &= \inf \{ |z| \mid z \in F^\times, z^{-1} \rho_s(p_0/p_{i_0}, \dots, 1, \dots, p_n/p_{i_0}) \in F^\circ \} \\ &= \left| \frac{\rho_s(p_0, \dots, p_n)}{p_{i_0}} \right| \\ &= \frac{|\rho_s(p_0, \dots, p_n)|}{\max_i \{|p_i|\}}. \end{aligned}$$

We call this the *canonical metric* of $\mathcal{O}(1)^{\text{an}}$ and we denote it by $\|\cdot\|_{\text{can}}$.

Many other algebraic metrics can be obtained from Example 1.3.11, by considering maps of varieties to projective spaces. Let X be a proper variety over K equipped with a line bundle L such that $L^{\otimes e}$ is generated by global sections for an integer $e \geq 1$. A set of global sections in $\Gamma(X, L^{\otimes e})$ that generates $L^{\otimes e}$ induces a morphism $\varphi : X \rightarrow \mathbb{P}_K^n$ and, by inverse image, a metric $\varphi^* \|\cdot\|_{\text{can}}$ on L . Then Proposition 1.3.7 shows that this metric is algebraic.

Now we recall the notion of semipositivity for algebraic metrics. A curve C in \mathcal{X} is *vertical* if it is contained in \mathcal{X}_\circ .

Definition 1.3.12. — Let X be a proper algebraic variety over K , L a line bundle on X and $(\mathcal{X}, \mathcal{L}, e)$ a proper model of (X, L) . We say that $(\mathcal{X}, \mathcal{L}, e)$ is a *semipositive model* if, for every vertical curve C in \mathcal{X} ,

$$\deg_{\mathcal{L}}(C) \geq 0.$$

Let $\|\cdot\|$ be a metric on L and set $\bar{L} = (L, \|\cdot\|)$. We say that \bar{L} has a *semipositive model* if there is a semipositive model $(\mathcal{X}, \mathcal{L}, e)$ of (X, L) that induces the metric.

Proposition 1.3.13. — Let $\varphi: X_1 \rightarrow X_2$ be a morphism of proper algebraic varieties over K and \bar{L}_2 a metrized line bundle on X_2 with a semipositive model. Then $\varphi^*\bar{L}_2$ is a metrized line bundle on X_1 with a semipositive model.

Proof. — Let $(\mathcal{X}_2, \mathcal{L}_2, e)$ be a semipositive model inducing the metric of \bar{L}_2 . With notations as in the proof of Proposition 1.3.7, $(\mathcal{X}_1, \varphi_S^*\mathcal{L}_2, e)$ is a model inducing the metric of $\varphi^*\bar{L}_2$. Let C be a vertical curve in \mathcal{X}_1 . By the projection formula,

$$\deg_{\varphi^*\mathcal{L}_2}(C) = \deg_{\mathcal{L}_2}(\varphi_*C) \geq 0.$$

Hence, $(\mathcal{X}_1, \varphi_S^*\mathcal{L}_2, e)$ is semipositive. \square

Example 1.3.14. — The canonical metric in Example 1.3.11 has a semipositive model: for a vertical curve C , its degree with respect to $\mathcal{O}_{\mathbb{P}_k^n}(1)$ equals its degree with respect to the restriction of this model to the special fibre. This restriction identifies with $\mathcal{O}_{\mathbb{P}_k^n}(1)$, the universal line bundle of \mathbb{P}_k^n , which is ample. Hence, all the metrics obtained by inverse image of the canonical metric of $\mathcal{O}(1)^{\text{an}}$ have semipositive models.

Finally, we recall the definition of the signed measures associated with a family of algebraic metrics.

Definition 1.3.15. — Let \bar{L}_i , $i = 0, \dots, d-1$, be line bundles on X equipped with algebraic metrics. For each i , choose a model $(\mathcal{X}_i, \mathcal{L}_i, e_i)$ that induces the metric of \bar{L}_i . We can assume without loss of generality that the models \mathcal{X}_i agree with a common model \mathcal{X} . Let Y be a d -dimensional subvariety of X and $\mathcal{Y} \subset \mathcal{X}$ be the closure of Y . Let $\tilde{\mathcal{Y}}$ be its normalization, $\tilde{\mathcal{Y}}_o$ the special fibre, $\tilde{\mathcal{Y}}_o^{(0)}$ the set of irreducible components of $\tilde{\mathcal{Y}}_o$, $\tilde{Y} = \tilde{\mathcal{Y}}_\eta$ the generic fibre, and \tilde{Y}^{an} the analytification of \tilde{Y} . For each $V \in \tilde{\mathcal{Y}}_o^{(0)}$, consider the point $\xi_V \in \tilde{Y}^{\text{an}}$ given by Proposition 1.3.3. Let δ_{ξ_V} be the Dirac delta measure on X^{an} supported on the image of ξ_V . We define a discrete signed measure on X^{an} by

$$c_1(\bar{L}_0) \wedge \cdots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y = \sum_{V \in \tilde{\mathcal{Y}}_o^{(0)}} \text{ord}_V(\varpi) \frac{\deg_{\mathcal{L}_0, \dots, \mathcal{L}_{d-1}}(V)}{e_0 \cdots e_{d-1}} \delta_{\xi_V}. \quad (1.3.6)$$

This notion extends by linearity to the group of d -dimensional cycles of X .

This signed measure only depends on the metrics and not on the particular choice of models [Cha06, Proposition 2.7]. Observe that $\text{ord}_V(\varpi)$ is the multiplicity of the

component V in $\tilde{\mathcal{Y}}_o$ and that the total mass of this measure equals $\deg_{L_0, \dots, L_{d-1}}(Y)$. If \bar{L}_i has a semipositive model for all i and Y is effective, this signed measure is a measure.

Remark 1.3.16. — The above measure was introduced by Chambert-Loir in [Cha06]. For the subvarieties of a projective space equipped with the canonical metric, it is also possible to define similar measures through the theory of Chow forms [Phi94].

Remark 1.3.17. — Let \hat{K} be the completion of the algebraic closure \bar{K} of K . In analogy with Remark 1.1.5, we could have defined a continuous metric on L^{an} as a continuous metric on the line bundle $L_{\hat{K}}^{\text{an}}$ over $X_{\hat{K}}^{\text{an}}$ that is invariant under the action of the Galois group $\text{Gal}(\bar{K}^{\text{sep}}/K)$. The obtained theory is equivalent to the one outlined here and the reader should have no difficulties in translating results from one to the other. This point of view is closer to Zhang’s approach in [Zha95b],

1.4. Semipositive and DSP metrics, measures and local heights

Let K be either \mathbb{R} or \mathbb{C} (the *Archimedean case*) as in §1.1, or a field which is complete with respect to a nontrivial non-Archimedean discrete absolute value (the *non-Archimedean case*) as in §1.3. Let X be a proper variety over K . Its analytification X^{an} will be a complex analytic space in the Archimedean case (equipped with an anti-linear involution when $K = \mathbb{R}$), or an analytic space in the sense of Berkovich in the non-Archimedean case. A *metrized line bundle* on X is a pair $\bar{L} = (L, \|\cdot\|)$, where L is a line bundle on X and $\|\cdot\|$ is a metric on L^{an} . Recall that the operations on line bundles of tensor product, dual and inverse image under a morphism extend to metrized line bundles.

Given two metrics $\|\cdot\|$ and $\|\cdot\|'$ on L^{an} , their quotient defines a continuous function $X^{\text{an}} \rightarrow \mathbb{R}_{>0}$ given by $\|s(p)\|/\|s(p)\|'$ for any local section s of L not vanishing at p . The *distance* between $\|\cdot\|$ and $\|\cdot\|'$ is defined as the supremum of the absolute value of the logarithm of this function. In other words,

$$\text{dist}(\|\cdot\|, \|\cdot\|') = \sup_{p \in X^{\text{an}} \setminus \text{div}(s)} |\log(\|s(p)\|/\|s(p)\|')| \tag{1.4.1}$$

for any nonzero rational section s of L .

Definition 1.4.1. — Let $\bar{L} = (L, \|\cdot\|)$ be a metrized line bundle on X . The metric $\|\cdot\|$ is *semipositive* if there exists a sequence $(\|\cdot\|_l)_{l \geq 0}$ of semipositive smooth metrics (in the Archimedean case) or metrics with a semipositive model (in the non-Archimedean case) on L^{an} such that

$$\lim_{l \rightarrow \infty} \text{dist}(\|\cdot\|, \|\cdot\|_l) = 0.$$

If this is the case, we say that \bar{L} is *semipositive*. The metrized line bundle \bar{L} is called *DSP* (for “difference of semipositive”) if there are semipositive line bundles \bar{M}, \bar{N} such that $\bar{L} = \bar{M} \otimes \bar{N}^{\otimes -1}$.

Remark 1.4.2. — In the Archimedean case, if $\|\cdot\|$ is a smooth metric, one can verify that definitions 1.4.1 and 1.1.3 are equivalent. Thus there is no ambiguity in the use of the term semipositive metric.

In the non-Archimedean case, a metric with a semipositive model is semipositive. In the general case, we do not know whether an algebraic and semipositive metric has a semipositive model.

Remark 1.4.3. — Although we define our notion of semipositivity through a limit process, we believe that a “good” definition should be intrinsic. For example, for smooth projective varieties, in the Archimedean case [Mai00, Théorème 4.6.1] and in the non-Archimedean case of equi-characteristic zero [BFJ11, Theorem 5.11] our definition is equivalent to the fact that the logarithm of the norm of a section is a plurisubharmonic function. We hope our definition will still agree with such an intrinsic one when the theory of plurisubharmonic functions on Berkovich spaces matures.

We adopt the terminology of “DSP metric” by analogy with the notion of DC function, used in convex analysis to designate a function that is a difference of two convex functions.

The tensor product and the inverse image of semipositive line bundles are also semipositive. The tensor product, the dual and the inverse image of DSP line bundles are also DSP.

Example 1.4.4. — Let $X = \mathbb{P}^n$ be the projective space over \mathbb{C} and $L = \mathcal{O}(1)$. The *canonical metric* of $\mathcal{O}(1)^{\text{an}}$ is the metric given, for $p = (p_0 : \dots : p_n) \in \mathbb{P}^n(\mathbb{C})$, by

$$\|s(p)\|_{\text{can}} = \frac{|\rho_s(p_0, \dots, p_n)|}{\max_i \{|p_i|\}},$$

for any rational section s of L defined at p and the homogeneous rational function $\rho_s \in \mathbb{C}(x_0, \dots, x_n)$ associated to s .

This is a semipositive metric. Indeed, consider the m -power map $[m] : \mathbb{P}^n \rightarrow \mathbb{P}^n$ defined as $[m](p_0 : \dots : p_n) = (p_0^m : \dots : p_n^m)$. The m -th root of the inverse image by $[m]$ of the Fubini-Study metric of $\mathcal{O}(1)^{\text{an}}$ is the semipositive smooth metric on L^{an} given by

$$\|s(p)\|_m = \frac{|\rho_s(p_0, \dots, p_n)|}{(\sum_i |p_i|^{2m})^{1/2m}}.$$

The family of metrics obtained varying m converges uniformly to the canonical metric.

Proposition 1.4.5. — *Let Y be a d -dimensional subvariety of X and $(L_i, \|\cdot\|_i)$, $i = 0, \dots, d-1$, a collection of semipositive metrized line bundles on X . For each i , let $(\|\cdot\|_{i,t})_{t \geq 0}$ be a sequence of semipositive smooth metrics (in the Archimedean case) or*

metrics with a semipositive model (in the non-Archimedean case) on L_i^{an} that converge to $\|\cdot\|_i$. Then the measures $c_1(\overline{L}_0, \|\cdot\|_{0,t}) \wedge \cdots \wedge c_1(\overline{L}_{d-1}, \|\cdot\|_{d-1,t}) \wedge \delta_Y$ converge weakly to a measure on X^{an} .

Proof. — The non-Archimedean case is established in [Cha06, Proposition 2.7(b)] and in [Gub07, Proposition 3.12]. The Archimedean case can be proved similarly. \square

Definition 1.4.6. — Let $\overline{L}_i = (L_i, \|\cdot\|_i)$, $i = 0, \dots, d-1$, be a collection of semipositive metrized line bundles on X . For a d -dimensional subvariety $Y \subset X$, we denote by $c_1(\overline{L}_0) \wedge \cdots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_Y$ the limit measure in Proposition 1.4.5. For DSP bundles \overline{L}_i and a d -dimensional cycle Y of X , we can associate a signed measure $c_1(\overline{L}_0) \wedge \cdots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_Y$ on X^{an} by multilinearity.

This signed measure behaves well under field extensions.

Proposition 1.4.7. — *With the previous notation, let K' be a finite extension of K . Set $(X', Y') = (X, Y) \times \text{Spec}(K')$ and let $\varphi: X'^{\text{an}} \rightarrow X^{\text{an}}$ be the induced map. Let $\varphi^*\overline{L}_i$, $i = 0, \dots, d-1$, be the line bundles with algebraic metrics on X' obtained by base change. Then*

$$\varphi_* (c_1(\varphi^*\overline{L}_0) \wedge \cdots \wedge c_1(\varphi^*\overline{L}_{d-1}) \wedge \delta_{Y'}) = c_1(\overline{L}_0) \wedge \cdots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_{Y'}.$$

Proof. — This follows from [Gub07, Remark 3.10]. \square

We also have the following functorial property.

Proposition 1.4.8. — *Let $\varphi: X' \rightarrow X$ be a morphism of proper varieties over K , Y' a d -dimensional cycle of X' , and \overline{L}_i , $i = 0, \dots, d-1$, a collection of DSP metrized line bundles on X . Then*

$$\varphi_* (c_1(\varphi^*\overline{L}_0) \wedge \cdots \wedge c_1(\varphi^*\overline{L}_{d-1}) \wedge \delta_{Y'}) = c_1(\overline{L}_0) \wedge \cdots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_{\varphi_* Y'}.$$

Proof. — In the non-Archimedean, this follows from [Gub07, Corollary 3.9(2)]. In the Archimedean case, this follows from the functoriality of Chern classes, the projection formula, and the continuity of the direct image of measures. \square

Definition 1.4.9. — Let Y be a d -dimensional cycle of X and (L_i, s_i) , $i = 0, \dots, d$, a collection of line bundles on X with a rational section. We say that s_0, \dots, s_d meet Y properly if, for all $I \subset \{0, \dots, d\}$, each irreducible component of $Y \cap \bigcap_{i \in I} \text{div}(s_i)$ has dimension $d - \#I$.

The above signed measures allow to integrate continuous functions on X^{an} . Indeed, it is also possible to integrate certain functions with logarithmic singularities that play an important role in the definition of local heights. Moreover, this integration is continuous with respect to uniform convergence of metrics.

Theorem 1.4.10. — Let Y be a d -dimensional cycle of X , \bar{L}_i , $i = 0, \dots, d-1$, a collection of semipositive metrized line bundles and (\bar{L}_d, s_d) a metrized line bundle with a rational section meeting Y properly.

1. The support of $\operatorname{div}(s_d)$ has measure zero and the function $\log \|s_d\|_d$ is integrable with respect to the measure $c_1(\bar{L}_0) \wedge \dots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y$.
2. Let $(\|\cdot\|_{i,n})_{n \geq 1}$ be a sequence of semipositive metrics that converge to $\|\cdot\|_i$ for each i . Then

$$\begin{aligned} \int_{X^{\text{an}}} \log \|s_d\|_d c_1(\bar{L}_0) \wedge \dots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y \\ = \lim_{n_0, \dots, n_d \rightarrow \infty} \int_{X^{\text{an}}} \log \|s_d\|_{d, n_d} c_1(\bar{L}_{0, n_0}) \wedge \dots \wedge c_1(\bar{L}_{d-1, n_{d-1}}) \wedge \delta_Y. \end{aligned}$$

Proof. — In the Archimedean case, when X is smooth, this is proved in [Mai00, théorèmes 5.5.2(2) and 5.5.6(6)]. For completions of number fields this is proved in [CT09, Theorem 4.1], both in the Archimedean and non-Archimedean cases. Their argument can be easily extended to cover the general case. \square

Definition 1.4.11. — The *local height* on X is the function that, to each d -dimensional cycle Y and each family of DSP metrized line bundles with sections (\bar{L}_i, s_i) , $i = 0, \dots, d$, such that the sections meet Y properly, associates a real number $h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d)$ determined inductively by the properties:

1. $h(\emptyset) = 0$;
2. if Y is a cycle of dimension $d \geq 0$, then

$$\begin{aligned} h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d) = h_{\bar{L}_0, \dots, \bar{L}_{d-1}}(Y \cdot \operatorname{div} s_d; s_0, \dots, s_{d-1}) \\ - \int_{X^{\text{an}}} \log \|s_d\|_d c_1(\bar{L}_0) \wedge \dots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y. \end{aligned}$$

In particular, for $p \in X(K) \setminus |\operatorname{div}(s_0)|$,

$$h_{\bar{L}_0}(p; s_0) = -\log \|s_0(p)\|_0. \quad (1.4.2)$$

Remark 1.4.12. — Definition 1.4.11 makes sense thanks to Theorem 1.4.10. We have chosen to introduce first the measures and then heights for simplicity of the exposition. Nevertheless, the approach followed in the literature is the inverse, because the proof of Theorem 1.4.10 relies on the properties of local heights. The interested reader can consult [Cha11] for more details.

Remark 1.4.13. — Definition 1.4.11 works better when the variety X is projective. In this case, for every cycle Y there exist sections that meet Y properly, thanks to the moving lemma. This does not necessarily occur for arbitrary proper varieties. Nevertheless, we will be able to define the global height (Definition 1.5.9) of any cycle

of a proper variety by using Chow's lemma. Similarly we will be able to define the toric local height (Definition 5.1.1) of any cycle of a proper toric variety.

Remark 1.4.14. — When X is regular and the metrics are smooth (in the Archimedean case) or algebraic (in the non-Archimedean case), the local heights of Definition 1.4.11 agree with the local heights that can be derived using the Gillet-Soulé arithmetic intersection product. In particular, in the Archimedean case, this local height agrees with the Archimedean contribution of the Arakelov global height introduced by Bost, Gillet and Soulé in [BGS94]. In the non-Archimedean case, the local height with respect to an algebraic metric can be interpreted in terms of an intersection product. Assume that Y is prime and choose models $(\mathcal{X}_i, \mathcal{L}_i, e_i)$ of (X, L_i) that realize the algebraic metrics of \bar{L}_i . Without loss of generality, we assume that all the models \mathcal{X}_i agree with a common model \mathcal{X} . The sections $s_i^{\otimes e_i}$ can be seen as rational sections of \mathcal{L}_i over \mathcal{X} . With the notations in Definition 1.3.15, the equation (1.3.3) implies that

$$\log \|s_d(\xi_V)\| = \frac{\log |\varpi| \operatorname{ord}_V(s_d^{\otimes e_d})}{e_d \operatorname{ord}_V(\varpi)}.$$

Therefore, in this case the recurrence in Definition 1.4.11(2) can be written as

$$\begin{aligned} h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d) &= h_{\bar{L}_0, \dots, \bar{L}_{d-1}}(Y \cdot \operatorname{div}(s_d); s_0, \dots, s_{d-1}) \\ &\quad - \frac{\log |\varpi|}{e_0 \dots e_d} \sum_{V \in \bar{Y}_0^{(0)}} \operatorname{ord}_V(s_d^{\otimes e_d}) \operatorname{deg}_{\mathcal{L}_0, \dots, \mathcal{L}_{d-1}}(V). \end{aligned}$$

Remark 1.4.15. — It is a fundamental observation by Zhang [Zha95b] that the non-Archimedean contribution of the Arakelov global height of a variety can be expressed in terms of a family of metrics. In particular, this global height only depends on the metrics and not on a particular choice of models, exhibiting the analogy between the Archimedean and non-Archimedean settings. The local heights were extended by Gubler [Gub02, Gub03] to non-necessarily discrete valuations and he also weakened the hypothesis of proper intersection.

Remark 1.4.16. — The local heights of Definition 1.4.11 agree with the local heights introduced by Gubler, see [Gub03, Proposition 3.5] for the Archimedean case and [Gub03, Remark 9.4] for the non-Archimedean case. In the Archimedean case, the local height in [Gub03] is defined in terms of a refined star product of Green currents based on [Bur94]. The hypothesis needed in Gubler's definition of local heights are weaker than the ones we use. We have chosen the current definition because it is more elementary and suffices for our purposes.

Theorem 1.4.17. — *The local height function satisfies the following properties.*

1. *It is symmetric and multilinear with respect to \otimes in the pairs (\bar{L}_i, s_i) , $i = 0, \dots, d$, provided that all terms are defined.*

2. Let $\varphi: X' \rightarrow X$ be a morphism of proper varieties over K , Y a d -dimensional cycle of X' , and (\bar{L}_i, s_i) , $i = 0, \dots, d$, a collection of DSP metrized line bundles on X with a section. Then

$$h_{\varphi^*\bar{L}_0, \dots, \varphi^*\bar{L}_d}(Y; \varphi^*s_0, \dots, \varphi^*s_d) = h_{\bar{L}_0, \dots, \bar{L}_d}(\varphi_*Y; s_0, \dots, s_d),$$

provided that both terms are defined.

3. Let X be a proper variety over K , Y a d -dimensional cycle of X , and (\bar{L}_i, s_i) , $i = 0, \dots, d$, a collection of DSP metrized line bundles on X with sections that meet Y properly. Let f be a rational function such that the sections $s_0, \dots, s_{d-1}, fs_d$ also meet Y properly. Let Z be the zero-cycle $Y \cdot \operatorname{div}(s_0) \cdots \operatorname{div}(s_{d-1})$. Then

$$h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_{d-1}, s_d) - h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_{d-1}, fs_d) = \log |f(Z)|,$$

where, if $Z = \sum_l m_l p_l$, then $f(Z) = \prod_l f(p_l)^{m_l}$.

4. Let $\bar{L}'_d = (L_d, \|\cdot\|')$ be another choice of metric. Then

$$h_{\bar{L}_0, \dots, \bar{L}_{d-1}, \bar{L}_d}(Y; s_0, \dots, s_d) - h_{\bar{L}_0, \dots, \bar{L}_{d-1}, \bar{L}'_d}(Y; s_0, \dots, s_d) = \\ - \int_{X^{\text{an}}} \log(\|s_d(p)\|/\|s_d(p)\|') c_1(\bar{L}_0) \wedge \cdots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_Y$$

is independent of the choice of sections.

Proof. — In the Archimedean case, statement (1) is [Gub03, Proposition 3.4], statement (2) is [Gub03, Proposition 3.6]. In the non-Archimedean case, statement (1) and (2) are [Gub03, Remark 9.3]. The other two statements follow easily from the definition. \square

1.5. Metrics and global heights over adelic fields

To define global heights of cycles, we first introduce the notion of adelic field, which is a generalization of the notion of global field. In [Gub03] one can find a more general theory of global heights based on the concept of M -fields.

Definition 1.5.1. — Let \mathbb{K} be a field and \mathfrak{M} a family of absolute values on \mathbb{K} with positive real weights. The elements of \mathfrak{M} are called *places*. For each place $v \in \mathfrak{M}$ we denote by $|\cdot|_v$ the corresponding absolute value, by $n_v \in \mathbb{R}_{>0}$ the weight, and by \mathbb{K}_v the completion of \mathbb{K} with respect to $|\cdot|_v$. We say that $(\mathbb{K}, \mathfrak{M})$ is an *adelic field* if

1. for each $v \in \mathfrak{M}$, the absolute value $|\cdot|_v$ is either Archimedean or associated to a nontrivial discrete valuation;
2. for each $\alpha \in \mathbb{K}^\times$, $|\alpha|_v = 1$ except a for a finite number of v .

For an adelic field $(\mathbb{K}, \mathfrak{M})$ and $\alpha \in \mathbb{K}^\times$, the *defect* of α is

$$\operatorname{def}(\alpha) = \sum_{v \in \mathfrak{M}} n_v \log |\alpha|_v.$$

Since $\text{def}: \mathbb{K}^\times \rightarrow \mathbb{R}$ is a group homomorphism, we have that $\text{def}(\mathbb{K}^\times)$ is a subgroup of \mathbb{R} . If $\text{def}(\mathbb{K}^\times) = 0$, then \mathbb{K} is said to satisfy the *product formula*. The *group of global heights* of \mathbb{K} is $\mathbb{R}/\text{def}(\mathbb{K}^\times)$.

Observe that the complete fields \mathbb{K}_v are either \mathbb{R} , \mathbb{C} or of the kind of fields considered in §1.3.

Definition 1.5.2. — Let $(\mathbb{K}, \mathfrak{M})$ be an adelic field and \mathbb{F} a finite extension of \mathbb{K} . For each $v \in \mathfrak{M}$, put \mathfrak{N}_v for the set of absolute values $|\cdot|_w$ of \mathbb{F} that extend $|\cdot|_v$, with weight

$$n_w = \frac{[\mathbb{F}_w : \mathbb{K}_v]}{[\mathbb{F} : \mathbb{K}]} n_v.$$

Set $\mathfrak{N} = \coprod_v \mathfrak{N}_v$. Then $(\mathbb{F}, \mathfrak{N})$ is an adelic field. In this case, we say that $(\mathbb{F}, \mathfrak{N})$ is an *adelic field extension* of $(\mathbb{K}, \mathfrak{M})$.

The classical examples of adelic fields are number fields and function fields of curves.

Example 1.5.3. — Let $\mathfrak{M}_{\mathbb{Q}}$ be the set of the Archimedean and p -adic absolute values of \mathbb{Q} , normalized in the standard way, with all weights equal to 1. Then $(\mathbb{Q}, \mathfrak{M}_{\mathbb{Q}})$ is an adelic field that satisfies the product formula. We identify $\mathfrak{M}_{\mathbb{Q}}$ with the set $\{\infty\} \cup \{\text{primes of } \mathbb{Z}\}$. For a number field \mathbb{K} , the construction in Definition 1.5.2 gives an adelic field $(\mathbb{K}, \mathfrak{M}_{\mathbb{K}})$ which satisfies the product formula too.

Example 1.5.4. — Consider the function field $K(C)$ of a smooth projective curve C over a field k . For each closed point $v \in C$ and $\alpha \in K(C)^\times$, we denote by $\text{ord}_v(\alpha)$ the order of α in the discrete valuation ring $\mathcal{O}_{C,v}$. We associate to each v the absolute value and weight given by

$$|\alpha|_v = c_k^{-\text{ord}_v(\alpha)}, \quad n_v = [k(v) : k]$$

with

$$c_k = \begin{cases} e & \text{if } \#k = \infty, \\ \#k & \text{if } \#k < \infty. \end{cases}$$

Let $\mathfrak{M}_{K(C)}$ denote this set of absolute values and weights. The pair $(K(C), \mathfrak{M}_{K(C)})$ is an adelic field which satisfies the product formula, since the degree of a principal divisor is zero.

More generally, let \mathbb{K} be a finite extension of $K(C)$. Following Definition 1.5.2 we obtain an adelic field extension $(\mathbb{K}, \mathfrak{M}_{\mathbb{K}/K(C)})$. In this geometric setting, this construction can be explicited as follows. Let $\pi: B \rightarrow C$ be a dominant morphism of smooth projective curves over k such that the finite extension $K(C) \hookrightarrow \mathbb{K}$ can be identified with $\pi^*: K(C) \hookrightarrow K(B)$. For a closed point $v \in C$, the absolute values of \mathbb{K} that extend $|\cdot|_v$ are in bijection with the closed points of the fiber of v . For each

closed point $w \in \pi^{-1}(v)$, the corresponding absolute value and weight are given, for $\beta \in K(B)^\times$, by

$$|\beta|_w = c_k^{-\frac{\text{ord}_w(\beta)}{e_w}}, \quad n_w = \frac{e_w [k(w) : k]}{[K(B) : K(C)]},$$

where e_w is the ramification index of w over v . Observe that the structure of adelic field on \mathbb{K} depends on the extension and not just on the field $K(B)$. For instance, $(K(C), \mathfrak{M}_{K(C)})$ corresponds to the identity map $C \rightarrow C$ in the above construction, but other finite morphism $\pi : C \rightarrow C$ may give a different structure of adelic field on $K(C)$. The projection formula for the map π implies that, for each $v \in \mathfrak{M}_{K(C)}$, the equation

$$[\mathbb{K} : K(C)] = \sum_{w|v} [\mathbb{K}_w : K(C)_v]$$

is satisfied. From this, it is easy to deduce that $(\mathbb{K}, \mathfrak{M}_{\mathbb{K}/K(C)})$ satisfies the product formula.

A simple example of an adelic field that does not satisfy the product formula is constructed below. This kind of adelic fields can be useful when studying arithmetic intersection on moduli spaces (see for instance [BBK07, §6]).

Example 1.5.5. — Let $N \geq 2$ be an integer write $\mathfrak{M}_N = \{p \in \mathfrak{M}_{\mathbb{Q}} \mid p \nmid N\}$ and $\mathbb{K} = (\mathbb{Q}, \mathfrak{M}_N)$. Then \mathbb{K} is an adelic field and

$$\text{def}(\mathbb{K}^\times) = \sum_{p|N} \mathbb{Z} \log(p).$$

Definition 1.5.6. — Let $(\mathbb{K}, \mathfrak{M})$ be an adelic field. Let X be a proper variety over \mathbb{K} and L a line bundle on X . For each $v \in \mathfrak{M}$ set $X_v = X \times \text{Spec}(\mathbb{K}_v)$ and $L_v = L \times \text{Spec}(\mathbb{K}_v)$. A *metric* on L is a family of metrics $\|\cdot\|_v$, $v \in \mathfrak{M}$, where $\|\cdot\|_v$ is a metric on L_v^{an} . We will denote by $\bar{L} = (L, (\|\cdot\|_v)_v)$ the corresponding metrized line bundle. This metric is said to be *semipositive* (respectively *DSP*) if $\|\cdot\|_v$ is semipositive (respectively *DSP*) for all $v \in \mathfrak{M}$.

Let Y be a d -dimensional cycle of X and (\bar{L}_i, s_i) , $i = 0, \dots, d$, *DSP* metrized line bundles on X with rational sections meeting Y properly. For $v \in \mathfrak{M}$, we note

$$h_{v, \bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d) = h_{\bar{L}_{0,v}, \dots, \bar{L}_{d,v}}(Y_v; s_{0,v}, \dots, s_{d,v})$$

where $s_{i,v}$ is the rational section of $L_{i,v}$ induced by s_i .

For cycles defined over an arbitrary adelic field, the global heights with respect to *DSP* metrized line bundles may not be always defined. An obvious class of cycles where the global height is well-defined is the following.

Definition 1.5.7. — Let $(\mathbb{K}, \mathfrak{M})$ be an adelic field, X a proper variety over \mathbb{K} and \bar{L}_i , $i = 0, \dots, d$, a family of *DSP* metrized line bundles on X . Let Y be a d -dimensional irreducible subvariety of X . We say that Y is *integrable* with respect to $\bar{L}_0, \dots, \bar{L}_d$ if there is a birational proper map $\varphi : Y' \rightarrow Y$ with Y' projective, and rational sections

s_i of φ^*L_i , $i = 0, \dots, d$, meeting Y' properly, such that for all but a finite number of $v \in \mathfrak{M}$,

$$h_{v, \varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s_0, \dots, s_d) = 0.$$

A d -dimensional cycle is *integrable* if all its components are integrable.

It is clear from the definition that the notion of integrability of cycles is closed under tensor products of DSP metrized line bundles. In addition, it satisfies the following properties.

Proposition 1.5.8. — *Let $(\mathbb{K}, \mathfrak{M})$ be an adelic field, X a proper variety over \mathbb{K} and \bar{L}_i , $i = 0, \dots, d$, a family of DSP metrized line bundles on X .*

1. *Let Y be a d -dimensional irreducible subvariety of X which is integrable with respect to \bar{L}_i , $i = 0, \dots, d$. Let $\varphi: Y' \rightarrow Y$ be a proper birational map, with Y' projective, and s_i , $i = 0, \dots, d$, rational sections of φ^*L_i meeting Y' properly. Then*

$$h_{v, \varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s_0, \dots, s_d) = 0$$

for all but a finite number of $v \in \mathfrak{M}$.

2. *Let $\psi: X' \rightarrow X$ be a morphism of proper varieties over \mathbb{K} and Y a d -dimensional cycle of X' . Then Y is integrable with respect to $\psi^* \bar{L}_0, \dots, \psi^* \bar{L}_d$ if and only if $\psi_* Y$ is integrable with respect to $\bar{L}_0, \dots, \bar{L}_d$.*

Proof. — To prove (1), we start by assuming that there are rational sections s'_i , $i = 0, \dots, d$, of φ^*L_i meeting Y' properly such that

$$h_{v, \varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s'_0, \dots, s'_d) = 0$$

for all but a finite number of $v \in \mathfrak{M}$. Since Y' is projective, there are rational sections s''_i of φ^*L_i , $i = 0, \dots, d$, such that, for any partition $I \sqcup J = \{0, \dots, d\}$, both families of sections $\{s_i, i \in I, s''_j, j \in J\}$ and $\{s'_i, i \in I, s''_j, j \in J\}$ meet Y' properly. Using the definition of adelic field and Theorem 1.4.17(3) we can deduce that

$$h_{v, \varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s_0, \dots, s_d) = 0$$

for all but a finite number of $v \in \mathfrak{M}$, which proves the statement in this case.

We prove now the general case. Then there is a birational proper map

$$Y'' \xrightarrow{\varphi'} Y,$$

with Y'' projective, and sections s'_i of φ'^*L_i , $i = 0, \dots, d$, meeting Y'' properly such that

$$h_{v, \varphi'^* \bar{L}_0, \dots, \varphi'^* \bar{L}_d}(Y''; s'_0, \dots, s'_d) = 0$$

for all but a finite number of $v \in \mathfrak{M}$. There is a commutative diagram of proper birational morphisms

$$\begin{array}{ccc} Y'' & \xrightarrow{\varphi'} & Y \\ \varphi''' \uparrow & & \uparrow \varphi \\ Y''' & \xrightarrow{\varphi''} & Y' \end{array}$$

Since Y' and Y'' are projective, we can find rational sections $s'_i, i = 0, \dots, d$, of φ^*L_i meeting Y' properly and such that the family of sections $\varphi''^*s'_i, i = 0, \dots, d$, meet Y''' properly, and rational sections $s''_i, i = 0, \dots, d$, of φ'^*L_i meeting Y'' properly and such that the family of sections $\varphi'''^*s''_i, i = 0, \dots, d$, meet Y''' properly. Then we deduce the result in this case from the previous case and Theorem 1.4.17(2).

The proof of (2) can be done in a similar way. □

Definition 1.5.9. — Let X be a proper variety over \mathbb{K} , $\bar{L}_0, \dots, \bar{L}_d$ DSP metrized line bundles on X , and Y an integrable d -dimensional irreducible subvariety of X . Let Y' and s_0, \dots, s_d be as in Definition 1.5.7. The global height of Y with respect to s_0, \dots, s_d is defined as

$$h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d) = \sum_{v \in \mathfrak{M}} n_v h_{v, \varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s_0, \dots, s_d) \in \mathbb{R}.$$

The *global height* of Y , denoted $h_{\bar{L}_0, \dots, \bar{L}_d}(Y)$, is the class of $h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d)$ in the quotient group $\mathbb{R}/\text{def}(\mathbb{K}^\times)$. The global height of integrable cycles is defined by linearity.

Observe that the global height is well-defined as an element of $\mathbb{R}/\text{def}(\mathbb{K}^\times)$ because of Theorem 1.4.17(3). In particular, if \mathbb{K} satisfies the product formula, the global height is a well-defined real number.

Proposition 1.5.10. — *Let $(\mathbb{F}, \mathfrak{N})$ be a finite adelic field extension of $(\mathbb{K}, \mathfrak{M})$. Let X be a \mathbb{K} -variety, $\bar{L}_i, i = 0, \dots, d$, DSP metrized line bundles on X and Y a d -dimensional integrable cycle on X . Let $\pi: X_{\mathbb{F}} \rightarrow X$ be the morphism obtained by base change. Denote by $Y_{\mathbb{F}}$ and $\pi^* \bar{L}_i, i = 0, \dots, d - 1$, the cycle and DSP metrized line bundles obtained by base change. Then*

$$h_{\pi^* \bar{L}_0, \dots, \pi^* \bar{L}_d}(Y_{\mathbb{F}}) = h_{\bar{L}_0, \dots, \bar{L}_d}(Y) \text{ in } \mathbb{R}/\text{def}(\mathbb{F}).$$

Proof. — This is proved by induction using Proposition 1.4.7 in the algebraic case and the formula for the change of variables of an integral in the smooth case. Then the semipositive case follows by continuity and the DSP case by multilinearity. □

Theorem 1.5.11. — *The global height of integrable cycles satisfies the following properties.*

1. *It is symmetric and multilinear with respect to tensor products of DSP metrized line bundles.*

2. Let $\varphi: X' \rightarrow X$ be a morphism of proper varieties over \mathbb{K} , $\bar{L}_i, i = 0, \dots, d$, DSP metrized line bundles on X . Let Y be a d -dimensional cycle of X' , integrable with respect to the metrized line bundles $\varphi^*\bar{L}_0, \dots, \varphi^*\bar{L}_d$. Then

$$h_{\varphi^*\bar{L}_0, \dots, \varphi^*\bar{L}_d}(Y) = h_{\bar{L}_0, \dots, \bar{L}_d}(\varphi_*Y).$$

Proof. — The first statement follows from Theorem 1.4.17(1), while the second follows readily from Proposition 1.5.8(2) and Theorem 1.4.17(2). \square

We turn now our attention to number fields and function fields.

Definition 1.5.12. — A *global field* is a finite extension \mathbb{K}/\mathbb{Q} or $\mathbb{K}/K(C)$ for a smooth projective curve C over a field k , with the structure of adelic field given in examples 1.5.3 or 1.5.4, respectively. To lighten the notation, we will usually denote those global field by \mathbb{K} and the set of places by $\mathfrak{M}_{\mathbb{K}}$, although, in the function field case, the structure of adelic field depends on the particular extension.

Our use of the terminology “global field” is slightly more general than the usual one where, in the function field case, the base field is finite and the extension is separable.

Definition 1.5.13. — Let \mathbb{K} be a global field. Let X be a proper variety over \mathbb{K} and L a line bundle on X . For each $v \in \mathfrak{M}_{\mathbb{K}}$ set $X_v = X \times \text{Spec}(\mathbb{K}_v)$ and $L_v = L \times \text{Spec}(\mathbb{K}_v)$. A metric on L is called *quasi-algebraic* if there exists a finite subset $S \subset \mathfrak{M}_{\mathbb{K}}$ containing the Archimedean places, an integer $e \geq 1$ and a proper model $(\mathcal{X}, \mathcal{L}, e)$ over \mathbb{K}_S° of (X, L) such that, for each $v \notin S$, the metric $\|\cdot\|_v$ is induced by the localization of this model at v .

For global fields and quasi-algebraic metrics, all cycles are integrable.

Proposition 1.5.14. — Let \mathbb{K} be a global field and X a proper variety over \mathbb{K} of dimension n . Let $d \leq n$ and $\bar{L}_i, i = 0, \dots, d$, a family of line bundles with quasi-algebraic DSP metrics. Then every d -dimensional cycle of X is integrable with respect to $\bar{L}_0, \dots, \bar{L}_d$.

Proof. — It is enough to prove that every prime cycle is integrable. Applying Chow’s lemma to the support of the cycle and using that the inverse image of a quasi-algebraic metric is quasi-algebraic, we are reduced to the case when X is projective.

We proceed by induction on the dimension of Y . For $Y = \emptyset$, the statement is clear, and so we consider the case when $d = \dim(Y) \geq 0$. Let Y be a d -dimensional cycle of X and $s_i, i = 0, \dots, d$, rational sections of L_i that intersect Y properly. Let \tilde{Y} be the normalization of Y . By the hypothesis of quasi-algebraicity, there is a finite subset $S \subset \mathfrak{M}_{\mathbb{K}}$ containing the Archimedean places such that there exists a normal proper model \mathcal{Y} over \mathbb{K}_S° of \tilde{Y} and models \mathcal{L}_i of $L_i^{\otimes e_i}|_{\mathcal{Y}}, i = 0, \dots, d$, for some integers $e_i \geq 1$, all of them being line bundles over \mathcal{Y} . Then $s_d^{\otimes e_d}|_{\mathcal{Y}}$ is a nonzero rational section of \mathcal{L}_d and so it defines a finite number of vertical components. Let $v \notin S$ be a place that is not below any of these vertical components. Let \mathcal{Y}_v be

the fibre of \mathcal{Y} over v . Let V_j , $j = 1, \dots, l$, be the components of this fibre, and ξ_j the corresponding points of \tilde{Y}^{an} given by Proposition 1.3.3. On the one hand, the measure $c_1(\bar{L}_0) \wedge \dots \wedge c_1(\bar{L}_{d-1}) \wedge \delta_{\mathcal{Y}}$ is concentrated on these points. On the other hand, by Proposition 1.3.8, $\|s_d(\xi_j)\|_{d,v} = 1$ for all j . Hence,

$$h_{v, \bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d) = h_{v, \bar{L}_0, \dots, \bar{L}_{d-1}}(Y \cdot \text{div}(s_d); s_0, \dots, s_{d-1}),$$

because of the definition of local heights. The statement follows then from the inductive hypothesis. \square

CHAPTER 2

THE LEGENDRE-FENCHEL DUALITY

In this chapter, we explain the notions of convex analysis that we will use in our study of the arithmetic of toric varieties. The central theme is the Legendre-Fenchel duality of concave functions. A basic reference in this subject is the classical book by Rockafellar [**Roc70**] and we will refer to it for many of the proofs.

Although the usual references in the literature deal with convex functions, we will work instead with *concave* functions. These are the functions which arise in the theory of toric varieties. In this respect, we remark that the functions which are called “convex” in the classical books on toric varieties [**KKMS73**, **Ful93**] are concave in the sense of convex analysis.

2.1. Convex sets and convex decompositions

Let $N_{\mathbb{R}} \simeq \mathbb{R}^n$ be a real vector space of dimension n and $M_{\mathbb{R}} = N_{\mathbb{R}}^{\vee}$ its dual space. The pairing between $x \in M_{\mathbb{R}}$ and $u \in N_{\mathbb{R}}$ will be alternatively denoted by $\langle x, u \rangle$, $x(u)$ or $u(x)$.

A non-empty subset C of $N_{\mathbb{R}}$ is *convex* if, for each pair of points $u_1, u_2 \in C$, the line segment

$$\overline{u_1 u_2} = \{tu_1 + (1-t)u_2 \mid 0 \leq t \leq 1\}$$

is contained in C . Throughout this text, convex sets are assumed to be non-empty. A non-empty subset $\sigma \subset N_{\mathbb{R}}$ is a *cone* if $\lambda\sigma = \sigma$ for all $\lambda \in \mathbb{R}_{>0}$.

The *affine hull* of a convex set C , denoted $\text{aff}(C)$, is the minimal affine space which contains it. The *dimension* of C is defined as the dimension of its affine hull. The *relative interior* of C , denoted $\text{ri}(C)$, is defined as the interior of C relative to its affine hull. The *recession cone* of C , denoted by $\text{rec}(C)$, is the set

$$\text{rec}(C) = \{u \in N_{\mathbb{R}} \mid C + u \subset C\}.$$

It is a cone of $N_{\mathbb{R}}$. The *cone* of C is defined as

$$c(C) = \overline{\mathbb{R}_{>0}(C \times \{1\})} \subset N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}.$$

It is a closed cone. If C is closed, then $\text{rec}(C) \times \{0\} = c(C) \cap (N_{\mathbb{R}} \times \{0\})$.

Definition 2.1.1. — Let C be a convex set. A convex subset $F \subset C$ is called a *face* of C if, for every closed line segment $\overline{u_1 u_2} \subset C$ such that $\text{ri}(\overline{u_1 u_2}) \cap F \neq \emptyset$, the inclusion $\overline{u_1 u_2} \subset F$ holds. A face of C of codimension 1 is called a *facet*. A non-empty subset $F \subset C$ is called an *exposed face* of C if there exists $x \in M_{\mathbb{R}}$ such that

$$F = \{u \in C \mid \langle x, u \rangle \leq \langle x, v \rangle, \forall v \in C\}.$$

Any exposed face of a convex set is a face, and the facets of a convex set are always exposed. However, a convex set may have faces which are not exposed. For instance, think about the four points of junction of the straight lines and bends of the boundary of the inner area of a racing track in a stadium.

Definition 2.1.2. — Let Π be a non-empty collection of convex subsets of $N_{\mathbb{R}}$. The collection Π is called a *convex subdivision* if it satisfies the conditions:

1. every face of an element of Π is also in Π ;
2. every two elements of Π are either disjoint or they intersect in a common face.

If Π satisfies only (2), then it is called a *convex decomposition*. The *support* of Π is defined as the set $|\Pi| = \bigcup_{C \in \Pi} C$. We say that Π is *complete* if its support is the whole of $N_{\mathbb{R}}$. For a given set $E \subset N_{\mathbb{R}}$, we say that Π is a convex subdivision (or decomposition) *in* E whenever $|\Pi| \subset E$. A convex subdivision in E is called *complete* if $|\Pi| = E$.

For instance, the collection of all faces of a convex set defines a convex subdivision of this set. The collection of all exposed faces of a convex set is a convex decomposition, but it is not necessarily a convex subdivision.

In this text, we will be mainly concerned with the polyhedral case. Since we will only deal with polyhedra which are convex, we call them polyhedra for short.

Definition 2.1.3. — A *polyhedron* of $N_{\mathbb{R}}$ is a convex set defined as the intersection of a finite number of closed halfspaces. It is called *strongly convex* if it does not contain any line. A *polyhedral cone* is a polyhedron σ such that $\lambda\sigma = \sigma$ for all $\lambda > 0$. A *polytope* is a bounded polyhedron.

For a polyhedron, there is no difference between faces and exposed faces.

By the Minkowski-Weyl theorem, polyhedra can be explicitly described in two dual ways, either by the *H-representation*, as an intersection of half-spaces, or by

the *V-representation*, as the Minkowski sum of a cone and a polytope [Roc70, Theorem 19.1]. An H-representation of a polyhedron Λ in $N_{\mathbb{R}}$ is a finite set of affine equations $\{(a_j, \alpha_j)\}_{1 \leq j \leq k} \subset M_{\mathbb{R}} \times \mathbb{R}$ so that

$$\Lambda = \bigcap_{1 \leq j \leq k} \{u \in N_{\mathbb{R}} \mid \langle a_j, u \rangle + \alpha_j \geq 0\}. \tag{2.1.1}$$

With this representation, the recession cone can be written as

$$\text{rec}(\Lambda) = \bigcap_{1 \leq j \leq k} \{u \in N_{\mathbb{R}} \mid \langle a_j, u \rangle \geq 0\}.$$

A V-representation of a polyhedron Λ' in $N_{\mathbb{R}}$ consists in a set of vectors $\{b_j\}_{1 \leq j \leq k}$ in the tangent space $T_0 N_{\mathbb{R}} (\simeq N_{\mathbb{R}})$ and a non-empty set of points $\{b_j\}_{k+1 \leq j \leq l} \subset N_{\mathbb{R}}$ such that

$$\Lambda' = \text{cone}(b_1, \dots, b_k) + \text{conv}(b_{k+1}, \dots, b_l) \tag{2.1.2}$$

where

$$\text{cone}(b_1, \dots, b_k) := \left\{ \sum_{j=1}^k \lambda_j b_j \mid \lambda_j \geq 0 \right\}$$

is the cone generated by the given vectors (with the convention that $\text{cone}(\emptyset) = \{0\}$) and

$$\text{conv}(b_{k+1}, \dots, b_l) := \left\{ \sum_{j=k+1}^l \lambda_j b_j \mid \lambda_j \geq 0, \sum_{j=k+1}^l \lambda_j = 1 \right\}$$

is the convex hull of the given set of points. With this second representation, the recession cone can be obtained as

$$\text{rec}(\Lambda') = \text{cone}(b_1, \dots, b_k).$$

Definition 2.1.4. — A *polyhedral complex* in $N_{\mathbb{R}}$ is a finite convex subdivision whose elements are polyhedra. A polyhedral complex is called *strongly convex* if all of its polyhedra are strongly convex. It is called *conic* if all of its elements are cones. A strongly convex conic polyhedral complex is called a *fan*. If Π is a polyhedral complex, we will denote by Π^i the subset of i -dimensional polyhedra of Ψ . In particular, if Σ is a fan, Σ^i is its subset of i -dimensional cones.

There are two natural processes for linearizing a polyhedral complex.

Definition 2.1.5. — The *recession* of Π is defined as the collection of polyhedral cones of $N_{\mathbb{R}}$ given by

$$\text{rec}(\Pi) = \{\text{rec}(\Lambda) \mid \Lambda \in \Pi\}.$$

The *cone* of Π is defined as the collection of cones in $N_{\mathbb{R}} \times \mathbb{R}$ given by

$$\text{c}(\Pi) = \{\text{c}(\Lambda) \mid \Lambda \in \Pi\} \cup \{\sigma \times \{0\} \mid \sigma \in \text{rec}(\Pi)\}.$$

It is natural to ask whether the recession or the cone of a given polyhedral complex is a complex too. The following example shows that this is not always the case.

Example 2.1.6. — Let Π be the polyhedral complex in \mathbb{R}^3 containing the faces of the polyhedra

$$\Lambda_1 = \{(x_1, x_2, 0) \mid x_1, x_2 \geq 0\}, \quad \Lambda_2 = \{(x_1, x_2, 1) \mid x_1 + x_2, x_1 - x_2 \geq 0\}.$$

Then $\text{rec}(\Lambda_1)$ and $\text{rec}(\Lambda_2)$ are two cones in $\mathbb{R}^2 \times \{0\}$ whose intersection is the cone $\{(x_1, x_2, 0) \mid x_2, x_1 - x_2 \geq 0\}$. This cone is neither a face of $\text{rec}(\Lambda_1)$ nor of $\text{rec}(\Lambda_2)$. Hence $\text{rec}(\Pi)$ is not a complex and, consequently, neither is $c(\Pi)$. In Figure 1 we see the polyhedron Λ_1 in light grey, the polyhedron Λ_2 in darker grey and $\text{rec}(\Lambda_2)$ as dashed lines.

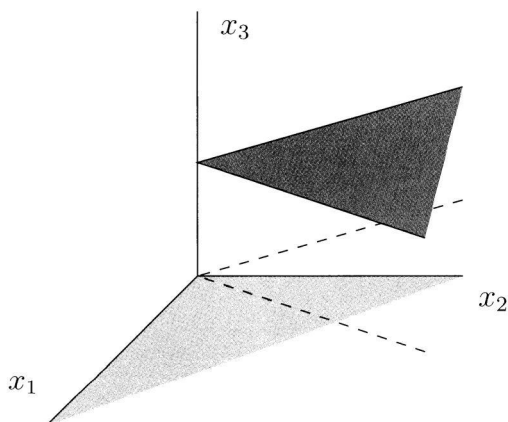


FIGURE 1.

Therefore, to assure that $\text{rec}(\Pi)$ or $c(\Pi)$ are complexes, we need to impose some condition on Π . This question has been addressed in [BS11]. Because of our applications, we are mostly interested in the case when Π is complete. It turns out that this assumption is enough to avoid the problem raised in Example 2.1.6.

Proposition 2.1.7. — *Let Π be a complete polyhedral complex in $N_{\mathbb{R}}$. Then $\text{rec}(\Pi)$ and $c(\Pi)$ are complete conic polyhedral complexes in $N_{\mathbb{R}}$ and $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$, respectively. If, in addition, Π is strongly convex, then both $\text{rec}(\Pi)$ and $c(\Pi)$ are fans.*

Proof. — This is a particular case of [BS11, Theorem 3.4]. □

Definition 2.1.8. — Let Π_1 and Π_2 be two polyhedral complexes in $N_{\mathbb{R}}$. The *complex of intersections* of Π_1 and Π_2 is defined as the collection of polyhedra

$$\Pi_1 \cdot \Pi_2 = \{\Lambda_1 \cap \Lambda_2 \mid \Lambda_1 \in \Pi_1, \Lambda_2 \in \Pi_2\}.$$

Lemma 2.1.9. — *The collection $\Pi_1 \cdot \Pi_2$ is a polyhedral complex. If Π_1 and Π_2 are complete, then*

$$\text{rec}(\Pi_1 \cdot \Pi_2) = \text{rec}(\Pi_1) \cdot \text{rec}(\Pi_2).$$

Proof. — Using the H-representation of polyhedra, one verifies that, if Λ_1 and Λ_2 are polyhedra with non-empty intersection, then any face of $\Lambda_1 \cap \Lambda_2$ is the intersection of a face of Λ_1 with a face of Λ_2 . This implies that $\Pi_1 \cdot \Pi_2$ is a polyhedral complex.

Now suppose that Π_1 and Π_2 are complete. Let $\sigma \in \text{rec}(\Pi_1 \cdot \Pi_2)$. This means that $\sigma = \text{rec}(\Lambda)$ and $\Lambda = \Lambda_1 \cap \Lambda_2$ with $\Lambda_i \in \Pi_i$. It is easy to verify that $\Lambda \neq \emptyset$ implies $\text{rec}(\Lambda) = \text{rec}(\Lambda_1) \cap \text{rec}(\Lambda_2)$. Therefore $\sigma \in \text{rec}(\Pi_1) \cdot \text{rec}(\Pi_2)$. This shows

$$\text{rec}(\Pi_1 \cdot \Pi_2) \subset \text{rec}(\Pi_1) \cdot \text{rec}(\Pi_2).$$

Since both complexes are complete, they agree. □

We consider now an integral structure in $N_{\mathbb{R}}$. Let $N \simeq \mathbb{Z}^n$ be a lattice of rank n such that $N_{\mathbb{R}} = N \otimes \mathbb{R}$. Set $M = N^{\vee} = \text{Hom}(N, \mathbb{Z})$ for its dual lattice so $M_{\mathbb{R}} = M \otimes \mathbb{R}$. We also set $N_{\mathbb{Q}} = N \otimes \mathbb{Q}$ and $M_{\mathbb{Q}} = M \otimes \mathbb{Q}$.

Definition 2.1.10. — Let Λ be a polyhedron in $N_{\mathbb{R}}$. We say that Λ is a *lattice polyhedron* if it admits a V-representation as (2.1.2) with integral vectors and points, that is, with $b_j \in N$ for $j = 1, \dots, l$. We say that it is *rational* if it admits a V-representation with $b_j \in N_{\mathbb{Q}}$ for $j = 1, \dots, l$.

Observe that any rational polyhedron admits an H-representation as (2.1.1) with $a_j \in M$ and $\alpha_j \in \mathbb{Z}$, for $j = 1, \dots, k$.

Definition 2.1.11. — Let Π be a strongly convex polyhedral complex in $N_{\mathbb{R}}$. We say that Π is *lattice* (respectively *rational*) if all of its elements are lattice (respectively rational) polyhedra. For short, a strongly convex rational polyhedral complex is called a *SCR polyhedral complex*. A conic SCR polyhedral complex is called a *rational fan*.

Remark 2.1.12. — The statement of Proposition 2.1.7 is compatible with rational structures. Namely, if Π is rational, the same is true for $\text{rec}(\Pi)$ and $c(\Pi)$.

Corollary 2.1.13. — *The correspondence $\Pi \mapsto c(\Pi)$ is a bijection between the set of complete polyhedral complexes in $N_{\mathbb{R}}$ and the set of complete conical polyhedral complexes in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$. Its inverse is the correspondence that, to each conic polyhedral complex Σ in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$ corresponds the complex in $N_{\mathbb{R}}$ obtained by intersecting Σ with the hyperplane $N_{\mathbb{R}} \times \{1\}$. These bijections preserve rationality and strong convexity.*

Proof. — This is [BS11, Corollary 3.12]. □

2.2. The Legendre-Fenchel dual of a concave function

Let $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$ be as in the previous section. Set $\underline{\mathbb{R}} = \mathbb{R} \cup \{-\infty\}$ with the natural order and arithmetic operations. A function $f: N_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$ is *concave* if

$$f(tu_1 + (1-t)u_2) \geq tf(u_1) + (1-t)f(u_2)$$

for all $u_1, u_2 \in N_{\mathbb{R}}$, $0 < t < 1$ and f is not identically $-\infty$. Observe that a function f is concave in our sense if and only if $-f$ is a proper convex function in the sense of [Roc70]. The *effective domain* $\text{dom}(f)$ of such a function is the subset of points of $N_{\mathbb{R}}$ where f takes finite values. It is a convex set. A concave function $f: N_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$ defines a concave function with finite values $f: \text{dom}(f) \rightarrow \mathbb{R}$. Conversely, if $f: C \rightarrow \mathbb{R}$ is a concave function defined on some convex set C , we can extend it to the whole of $N_{\mathbb{R}}$ by declaring that its value at any point of $N_{\mathbb{R}} \setminus C$ is $-\infty$. We will move freely from the point of view of concave functions on the whole of $N_{\mathbb{R}}$ with possibly infinite values to the point of view of real-valued concave functions on arbitrary convex sets.

A concave function is *closed* if it is upper semicontinuous. This includes the case of continuous concave functions defined on closed convex sets. Given an arbitrary concave function, there exists a unique minimal closed concave function above f . This function is called the *closure* of f and is denoted by $\text{cl}(f)$.

Let f be a concave function on $N_{\mathbb{R}}$. The *Legendre-Fenchel dual* of f is the function

$$f^{\vee}: M_{\mathbb{R}} \longrightarrow \underline{\mathbb{R}}, \quad x \longmapsto \inf_{u \in N_{\mathbb{R}}} (\langle x, u \rangle - f(u)).$$

It is a closed concave function. The Legendre-Fenchel duality is an involution between such functions: if f is closed, then $f^{\vee\vee} = f$ [Roc70, Corollary 12.2.1]. In fact, for any concave function f we have $f^{\vee\vee} = \text{cl}(f)$.

The effective domain of f^{\vee} is called the *stability set* of f . It can be described as

$$\text{stab}(f) = \text{dom}(f^{\vee}) = \{x \in M_{\mathbb{R}} \mid \langle x, u \rangle - f(u) \text{ is bounded below}\}.$$

Example 2.2.1. — The *indicator function* of a convex set $C \subset N_{\mathbb{R}}$ is the concave function ι_C defined as $\iota_C(u) = 0$ for $u \in C$ and $\iota_C(u) = -\infty$ for $u \notin C$. Observe that ι_C is the logarithm of the characteristic function of C . This function is closed if and only if C is a closed set.

The *support function* of a convex set C is the function

$$\Psi_C: M_{\mathbb{R}} \longrightarrow \underline{\mathbb{R}}, \quad x \longmapsto \inf_{u \in C} \langle x, u \rangle.$$

It is a closed concave function. A function $f: M_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$ is called *conical* if $f(\lambda x) = \lambda f(x)$ for all $\lambda \geq 0$. The support function Ψ_C is conical. The converse is also true: all conical closed concave functions are of the form Ψ_C for a closed convex set C .

We have $\iota_C^{\vee} = \Psi_C$ and $\Psi_C^{\vee} = \text{cl}(\iota_C) = \iota_{\overline{C}}$. Thus, the Legendre-Fenchel duality defines a bijective correspondence between indicator functions of closed convex subsets of $N_{\mathbb{R}}$ and closed concave conical functions on $M_{\mathbb{R}}$.

Next result shows that the Legendre-Fenchel duality is monotonous.

Proposition 2.2.2. — *Let f and g be concave functions such that $g(u) \leq f(u)$ for all $u \in N_{\mathbb{R}}$. Then $\text{dom}(g) \subset \text{dom}(f)$, $\text{stab}(g) \supset \text{stab}(f)$ and $g^{\vee}(x) \geq f^{\vee}(x)$ for all $x \in M_{\mathbb{R}}$.*

Proof. — It follows directly from the definitions. □

The Legendre-Fenchel duality is continuous with respect to uniform convergence.

Proposition 2.2.3. — *Let $(f_i)_{i \geq 1}$ be a sequence of concave functions which converges uniformly to a function f . Then f is a concave function and the sequence $(f_i^{\vee})_{i \geq 1}$ converges uniformly to f^{\vee} . In particular, there is some $i_0 \geq 1$ such that $\text{dom}(f_i) = \text{dom}(f)$ and $\text{stab}(f_i) = \text{stab}(f)$ for all $i \geq i_0$.*

Proof. — Clearly f is concave. Let $\varepsilon > 0$. Then there is an i_0 such that, for all $i \geq i_0$, $f - \varepsilon \leq f_i \leq f + \varepsilon$. By Proposition 2.2.2 this implies $\text{dom}(f_i) = \text{dom}(f \pm \varepsilon) = \text{dom}(f)$ and $\text{stab}(f_i) = \text{stab}(f \pm \varepsilon) = \text{stab}(f)$ and that

$$f^{\vee} - \varepsilon = (f + \varepsilon)^{\vee} \leq f_i^{\vee} \leq (f - \varepsilon)^{\vee} = f^{\vee} + \varepsilon,$$

which implies the uniform convergence of f_i^{\vee} to f^{\vee} . □

The classical Legendre duality of strictly concave differentiable functions can be described in terms of the gradient map ∇f , called in this setting the “Legendre transform”. We will next show that the Legendre transform can be extended to the general concave case as a correspondence between convex decompositions.

Let f be a concave function on $N_{\mathbb{R}}$. The *sup-differential* of f at a point $u \in N_{\mathbb{R}}$ is defined as the set

$$\partial f(u) = \{x \in M_{\mathbb{R}} \mid \langle x, v - u \rangle \geq f(v) - f(u) \text{ for all } v \in N_{\mathbb{R}}\}$$

if $u \in \text{dom}(f)$, and the empty set if $u \notin \text{dom}(f)$. For an arbitrary concave function, the sup-differential is a generalization of the gradient. In general, $\partial f(u)$ may contain more than one point, so the sup-differential has to be regarded as a multi-valued function.

We say that f is *sup-differentiable* at a point $u \in N_{\mathbb{R}}$ if $\partial f(u) \neq \emptyset$. The *effective domain* of ∂f , denoted $\text{dom}(\partial f)$, is the set of points where f is sup-differentiable. For a subset $E \subset N_{\mathbb{R}}$ we define

$$\partial f(E) = \bigcup_{u \in E} \partial f(u).$$

In particular, the *image* of ∂f is defined as $\text{im}(\partial f) = \partial f(N_{\mathbb{R}})$.

The sup-differential $\partial f(u)$ is a closed convex set for all $u \in \text{dom}(\partial f)$. It is bounded if and only if $u \in \text{ri}(\text{dom}(f))$. Hence, in the particular case when $\text{dom}(f) = N_{\mathbb{R}}$, we

have that $\partial f(u)$ is a bounded closed convex subset of $M_{\mathbb{R}}$ for all $u \in N_{\mathbb{R}}$. The effective domain of the sup-differential is not necessarily convex but it differs very little from being convex since, by [Roc70, Theorem 23.4], it satisfies

$$\text{ri}(\text{dom}(f)) \subset \text{dom}(\partial f) \subset \text{dom}(f).$$

Let f be a closed concave function and consider the pairing

$$P_f: M_{\mathbb{R}} \times N_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad (u, x) \longmapsto f(u) + f^{\vee}(x) - \langle x, u \rangle. \quad (2.2.1)$$

This pairing satisfies $P_f(u, x) \leq 0$ for all u, x .

Proposition 2.2.4. — *Let f be a closed concave function on $N_{\mathbb{R}}$. For $u \in N_{\mathbb{R}}$ and $x \in M_{\mathbb{R}}$, the following conditions are equivalent:*

1. $x \in \partial f(u)$;
2. $u \in \partial f^{\vee}(x)$;
3. $P_f(u, x) = 0$.

Proof. — This is proved in [Roc70, Theorem 23.5]. □

If f is closed, then $\text{im}(\partial f) = \text{dom}(\partial f^{\vee})$ and so the image of the sup-differential is close to be a convex set, in the sense that

$$\text{ri}(\text{stab}(f)) \subset \text{im}(\partial f) \subset \text{stab}(f). \quad (2.2.2)$$

Definition 2.2.5. — Let f be a closed concave function on $N_{\mathbb{R}}$. We denote by $\Pi(f)$ the collection of all sets of the form

$$C_x := \partial f^{\vee}(x)$$

for some $x \in \text{stab}(f)$.

Lemma 2.2.6. — *Let f be a closed concave function on $N_{\mathbb{R}}$. Let $x \in \text{stab}(f)$. Then $C_x = \{u \in N_{\mathbb{R}} \mid P_f(u, x) = 0\}$. In other words, the set C_x is characterized by the condition*

$$f(u) = \langle x, u \rangle - f^{\vee}(x) \text{ for } u \in C_x \quad \text{and} \quad f(u) < \langle x, u \rangle - f^{\vee}(x) \text{ for } u \notin C_x. \quad (2.2.3)$$

Thus the restriction of f to C_x is an affine function with linear part given by x , and C_x is the maximal subset where this property holds.

Proof. — The first statement follows from the equivalence of (2) and (3) in Proposition 2.2.4. The second statement follows from the definition of P_f and its non-positivity. □

The *hypograph* of a concave function f is defined as the set

$$\text{hypo}(f) = \{(u, \lambda) \mid u \in N_{\mathbb{R}}, \lambda \leq f(u)\} \subset N_{\mathbb{R}} \times \mathbb{R}.$$

A face of the hypograph is called *non-vertical* if it projects injectively in $N_{\mathbb{R}}$.

Proposition 2.2.7. — *Let f be a closed concave function on $N_{\mathbb{R}}$. For a subset $C \subset N_{\mathbb{R}}$, the following conditions are equivalent:*

1. $C \in \Pi(f)$;
2. $C = \{u \in N_{\mathbb{R}} \mid x \in \partial f(u)\}$ for a $x \in M_{\mathbb{R}}$;
3. *there exist $x_C \in M_{\mathbb{R}}$ and $\lambda_C \in \mathbb{R}$ such that the set $\{(u, \langle x_C, u \rangle - \lambda_C) \mid u \in C\}$ is an exposed face of the hypograph of f .*

In particular, the correspondence

$$C_x \mapsto \{(u, \langle x, u \rangle - f^{\vee}(x)) \mid u \in C_x\}$$

is a bijection between $\Pi(f)$ and the set of non-vertical exposed faces of $\text{hypo}(f)$.

Proof. — The equivalence between the conditions (1) and (2) comes directly from Proposition 2.2.4. The equivalence with the condition (3) follows from (2.2.3). \square

Proposition 2.2.8. — *Let f be a closed concave function. Then $\Pi(f)$ is a convex decomposition of $\text{dom}(\partial f)$.*

Proof. — The collection of non-vertical exposed faces of $\text{hypo}(f)$ forms a convex decomposition of a subset of $N_{\mathbb{R}} \times \mathbb{R}$. Using Proposition 2.2.7 the projection to $N_{\mathbb{R}}$ of this decomposition agrees with $\Pi(f)$ and so, it is a convex decomposition of $|\Pi(f)| = \text{dom}(\partial f)$. \square

We need the following result in order to properly define the Legendre-Fenchel correspondence for an arbitrary concave function as a bijective correspondence between convex decompositions.

Lemma 2.2.9. — *Let f be a closed concave function and $C \in \Pi(f)$. Then for any $u_0 \in \text{ri}(C)$,*

$$\bigcap_{u \in C} \partial f(u) = \partial f(u_0).$$

Proof. — Fix $x_0 \in \text{dom}(\partial f^{\vee})$ such that $C = C_{x_0}$ and $u_0 \in \text{ri}(C)$. Let $x \in \partial f(u_0)$. Then

$$\langle x, v - u_0 \rangle \geq f(v) - f(u_0) \quad \text{for all } v \in N_{\mathbb{R}}. \tag{2.2.4}$$

Let $u \in C$. By (2.2.3), we have $f(u) - f(u_0) = \langle x_0, u - u_0 \rangle$ and so the above inequality implies $\langle x, u - u_0 \rangle \geq \langle x_0, u - u_0 \rangle$. The fact $u_0 \in \text{ri}(C)$ implies $u_0 + \lambda(u - u) \in C$ for some small $\lambda > 0$. Applying the same argument to this element we obtain the reverse inequality $\langle x, u - u_0 \rangle \leq \langle x_0, u - u_0 \rangle$ and so

$$\langle x - x_0, u - u_0 \rangle = 0. \tag{2.2.5}$$

In particular, $f(u) - f(u_0) = \langle x_0, u - u_0 \rangle = \langle x, u - u_0 \rangle$ and from (2.2.4) we obtain

$$\langle x, v - u \rangle = \langle x, v - u_0 \rangle + f(u_0) - f(u) \geq f(v) - f(u) \quad \text{for all } v \in N_{\mathbb{R}}.$$

Hence $x \in \bigcap_{u \in C} \partial f(u)$ and so $\partial f(u_0) \subset \bigcap_{u \in C} \partial f(u)$, which implies the stated equality. \square

Definition 2.2.10. — Let f be a closed concave function. The *Legendre-Fenchel correspondence* of f is defined as

$$\mathcal{L}f: \Pi(f) \longrightarrow \Pi(f^\vee), \quad C \longmapsto \bigcap_{u \in C} \partial f(u).$$

By Lemma 2.2.9, $\mathcal{L}f(C) = \partial f(u_0)$ for any $u_0 \in \text{ri}(C)$. Hence,

$$\mathcal{L}f(C) \in \Pi(f^\vee).$$

Definition 2.2.11. — Let E, E' be subsets of $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$ respectively, and Π, Π' convex decompositions of E and E' , respectively. We say that Π and Π' are *dual convex decompositions* if there exists a bijective map $\Pi \rightarrow \Pi', C \mapsto C^*$ such that

1. for all $C, D \in \Pi$ we have $C \subset D$ if and only if $C^* \supset D^*$;
2. for all $C \in \Pi$ the sets C and C^* are contained in orthogonal affine spaces of $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$, respectively.

Theorem 2.2.12. — Let f be a closed concave function, then $\mathcal{L}f$ is a duality between $\Pi(f)$ and $\Pi(f^\vee)$ with inverse $(\mathcal{L}f)^{-1} = \mathcal{L}f^\vee$.

Proof. — We will prove first that $\mathcal{L}f^\vee = (\mathcal{L}f)^{-1}$. Fix $C \in \Pi(f)$ and set $C' = \mathcal{L}f(C)$. Let $y_0 \in M_{\mathbb{R}}$ such that $C = C_{y_0}$ and let $u_0 \in \text{ri}(C)$. Hence $u_0 \in C_{y_0} = \partial f^\vee(y_0)$ and so $y_0 \in \partial f(u_0) = C'$ by Proposition 2.2.4 and Lemma 2.2.9. Hence

$$\mathcal{L}f^\vee(\mathcal{L}f(C)) = \mathcal{L}f^\vee(C') = \bigcap_{x \in C'} \partial f^\vee(x) \subset \partial f^\vee(y_0) = C.$$

On the other hand, let $x_0 \in \text{ri}(C')$. In particular, $x_0 \in \partial f(u_0)$ and so $u_0 \in \partial f^\vee(x_0) = \mathcal{L}f^\vee(C')$ for all $u_0 \in C$. It implies

$$C \subset \mathcal{L}f^\vee(C') = \mathcal{L}f^\vee(\mathcal{L}f(C)).$$

Thus $\mathcal{L}f^\vee(\mathcal{L}f(C)) = C$ and applying the same argument to f^\vee we conclude that $\mathcal{L}f^\vee = (\mathcal{L}f)^{-1}$ and that $\mathcal{L}f$ is bijective.

Now we have to prove that \mathcal{L} is a duality between $\Pi(f)$ and $\Pi(f^\vee)$. Let $C, D \in \Pi(f)$ such that $C \subset D$. Clearly, $\mathcal{L}f(C) \supset \mathcal{L}f(D)$. The reciprocal follows by applying the same argument to f^\vee . The fact that C and $\mathcal{L}f(C)$ lie in orthogonal affine spaces has already been shown during the proof of Lemma 2.2.9 above, see (2.2.5). \square

Definition 2.2.13. — Let f be a closed concave function. The pair of convex decompositions $(\Pi(f), \Pi(f^\vee))$ will be called the *dual pair of convex decompositions* induced by f .

In particular, for $C \in \Pi(f)$ put $C^* := \mathcal{L}f(C)$. For any $u_0 \in \text{ri}(C)$ and $x_0 \in \text{ri}(C^*)$, we have

$$C = \{u \in N_{\mathbb{R}} \mid P_f(u, x_0) = 0\} \quad \text{and} \quad C^* = \{x \in M_{\mathbb{R}} \mid P_f(u_0, x) = 0\}.$$

Following (2.2.3), the restrictions $f|_C$ and $f^\vee|_{C^*}$ are affine functions. Observe that we can recover the Legendre-Fenchel dual from the Legendre-Fenchel correspondence by writing, for $x \in C^*$ and any $u \in C$,

$$f^\vee(x) = \langle x, u \rangle - f(u).$$

Example 2.2.14. — Let $\|\cdot\|_2$ denote the Euclidean norm on \mathbb{R}^2 and B_1 the unit ball. Consider the concave function $f: B_1 \rightarrow \mathbb{R}$ defined as $f(u) = -\|u\|_2$. Then $\text{stab}(f) = \mathbb{R}^2$ and the Legendre-Fenchel dual is the function defined by $f^\vee(x) = 0$ if $\|x\|_2 \leq 1$ and $f^\vee(x) = 1 - \|x\|_2$ otherwise. The decompositions $\Pi(f)$ and $\Pi(f^\vee)$ consist of a collection of pieces of three different types and the Legendre-Fenchel correspondence $\mathcal{L}f: \Pi(f) \rightarrow \Pi(f^\vee)$ is given, for $z \in S^1$, by

$$\mathcal{L}f(\{0\}) = B_1, \quad \mathcal{L}f([0, 1] \cdot z) = \{z\}, \quad \mathcal{L}f(\{z\}) = \mathbb{R}_{\geq 1} \cdot z.$$

In the above example both decompositions are in fact subdivisions. But this is not always the case, as shown by the next example.

Example 2.2.15. — Let $f: [0, 1] \rightarrow \mathbb{R}$ the function defined by

$$f(u) = \begin{cases} -u \log(u) & \text{if } 0 \leq u \leq e^{-1}, \\ e^{-1} & \text{if } e^{-1} \leq u \leq 1 - e^{-1}, \\ -(1 - u) \log(1 - u) & \text{if } 1 - e^{-1} \leq u \leq 1. \end{cases}$$

Then $\text{stab}(f) = \mathbb{R}$ and the Legendre-Fenchel dual is the function $f^\vee(x) = x - e^{x-1}$ for $x \leq 0$ and $f^\vee(x) = -e^{-x-1}$ for $x \geq 0$. Then $\text{dom}(\partial f) = (0, 1)$ and $\text{dom}(\partial f^\vee) = \mathbb{R}$. Moreover,

$$\Pi(f) = (0, e^{-1}) \cup \{[e^{-1}, 1 - e^{-1}]\} \cup (1 - e^{-1}, 1), \quad \Pi(f^\vee) = \mathbb{R}.$$

The Legendre-Fenchel correspondence sends bijectively $(0, e^{-1})$ to $\mathbb{R}_{>0}$ and $(1 - e^{-1}, 1)$ to $\mathbb{R}_{<0}$, and sends the element $[e^{-1}, 1 - e^{-1}]$ to the point $\{0\}$. In this example, $\Pi(f)$ is not a subdivision while $\Pi(f^\vee)$ is.

2.3. Operations on concave functions and duality

In this section we consider the basic operations on concave functions and their interplay with the Legendre-Fenchel duality.

Let f_1 and f_2 be two concave functions on $N_{\mathbb{R}}$ such that their stability sets are not disjoint. Their *sup-convolution* is the function

$$f_1 \boxplus f_2: N_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad v \longmapsto \sup_{u_1 + u_2 = v} (f_1(u_1) + f_2(u_2)).$$

This is a concave function whose effective domain is the Minkowski sum $\text{dom}(f_1) + \text{dom}(f_2)$. This operation is associative and commutative whenever the terms are defined.

The operations of pointwise addition and sup-convolution are dual to each other. When working with general concave functions, there are some technical issues in this duality that will disappear when considering uniform limits of piecewise affine concave functions.

Proposition 2.3.1. — *Let f_1, \dots, f_l be concave functions on $N_{\mathbb{R}}$.*

1. *If $\text{stab}(f_1) \cap \dots \cap \text{stab}(f_l) \neq \emptyset$, then*

$$(f_1 \boxplus \dots \boxplus f_l)^\vee = f_1^\vee + \dots + f_l^\vee.$$

In particular, $\text{stab}(f_1 \boxplus \dots \boxplus f_l) = \text{stab}(f_1) \cap \dots \cap \text{stab}(f_l)$.

2. *If $\text{dom}(f_1) \cap \dots \cap \text{dom}(f_l) \neq \emptyset$, then*

$$(\text{cl}(f_1) + \dots + \text{cl}(f_l))^\vee = \text{cl}(f_1^\vee \boxplus \dots \boxplus f_l^\vee).$$

3. *If $\text{ri}(\text{dom}(f_1)) \cap \dots \cap \text{ri}(\text{dom}(f_l)) \neq \emptyset$, then*

$$(f_1 + \dots + f_l)^\vee = f_1^\vee \boxplus \dots \boxplus f_l^\vee.$$

In particular, $\text{stab}(f_1 + \dots + f_l) = \text{stab}(f_1) + \dots + \text{stab}(f_l)$.

Proof. — This is proved in [Roc70, Theorem 16.4]. □

Remark 2.3.2. — When some of the f_i , say f_1, \dots, f_k , are piecewise affine, the statement (3) of the previous proposition holds under the weaker hypothesis [Roc70, Theorem 20.1]

$$\text{dom}(f_1) \cap \dots \cap \text{dom}(f_k) \cap \text{ri}(\text{dom}(f_{k+1})) \cap \dots \cap \text{ri}(\text{dom}(f_l)) \neq \emptyset.$$

Let $f: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a function. For $\lambda > 0$, the *left* and *right scalar multiplication* of f by λ are the functions defined, for $u \in N_{\mathbb{R}}$, by $(\lambda f)(u) = \lambda f(u)$ and $(f\lambda)(u) = \lambda f(u/\lambda)$ respectively. For a point $u_0 \in N_{\mathbb{R}}$, the *translate* of f by u_0 is the function defined as $(\tau_{u_0}f)(u) = f(u - u_0)$ for $u \in N_{\mathbb{R}}$. If f is concave, then its left and right multiplication by a scalar and its translation by a point are also concave functions.

Proposition 2.3.3. — *Let f be a concave function on $N_{\mathbb{R}}$, $c, \lambda \in \mathbb{R}$ with $\lambda > 0$, $u_0 \in N_{\mathbb{R}}$ and $x_0 \in M_{\mathbb{R}}$. Then*

1. $\text{dom}(f + c) = \text{dom}(f)$, $\text{stab}(f + c) = \text{stab}(f)$ and $(f + c)^\vee = f^\vee - c$;
2. $\text{dom}(\lambda f) = \text{dom}(f)$, $\text{stab}(\lambda f) = \lambda \text{stab}(f)$ and $(\lambda f)^\vee = f^\vee \lambda$;
3. $\text{dom}(f\lambda) = \lambda \text{dom}(f)$, $\text{stab}(f\lambda) = \text{stab}(f)$ and $(f\lambda)^\vee = \lambda f^\vee$;
4. $\text{dom}(\tau_{u_0}f) = \text{dom}(f) + u_0$, $\text{stab}(\tau_{u_0}f) = \text{stab}(f)$ and $(\tau_{u_0}f)^\vee = f^\vee + u_0$;
5. $\text{dom}(f + x_0) = \text{dom}(f)$, $\text{stab}(f + x_0) = \text{stab}(f) + x_0$ and $(f + x_0)^\vee = \tau_{x_0}f^\vee$.

Proof. — This follows easily from the definitions. □

We next consider direct and inverse images of concave functions by affine maps. Let $Q_{\mathbb{R}}$ be a another finite dimensional real vector space and set $P_{\mathbb{R}} = Q_{\mathbb{R}}^{\vee}$ for its dual space. For a linear map $H: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ we denote by $H^{\vee}: M_{\mathbb{R}} \rightarrow P_{\mathbb{R}}$ the dual map. We need the following lemma in order to properly define direct images.

Lemma 2.3.4. — *Let $H: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be a linear map and g a concave function on $Q_{\mathbb{R}}$. If $\text{stab}(g) \cap \text{im}(H^{\vee}) \neq \emptyset$ then, for all $u \in N_{\mathbb{R}}$,*

$$\sup_{v \in H^{-1}(u)} g(v) < \infty.$$

Proof. — Let $x \in M_{\mathbb{R}}$ such that $H^{\vee}(x) \in \text{stab}(g)$. By the definition of the stability set, $\sup_{v \in Q_{\mathbb{R}}} (g(v) - \langle v, H^{\vee}(x) \rangle) < \infty$. Thus, for any $u \in N_{\mathbb{R}}$,

$$\begin{aligned} \sup_{v \in Q_{\mathbb{R}}} (g(v) - \langle v, H^{\vee}(x) \rangle) &= \sup_{v \in Q_{\mathbb{R}}} (g(v) - \langle x, H(v) \rangle) \\ &\geq \sup_{v \in H^{-1}(u)} (g(v) - \langle x, H(v) \rangle) = \sup_{v \in H^{-1}(u)} g(v) - \langle x, u \rangle \end{aligned}$$

and so $\sup_{v \in H^{-1}(u)} g(v)$ is bounded above, as stated. \square

Definition 2.3.5. — Let $A: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be an affine map defined as $A = H + u_0$ for a linear map H and a point $u_0 \in N_{\mathbb{R}}$. Let f be a concave function on $N_{\mathbb{R}}$ such that $\text{dom}(f) \cap \text{im}(A) \neq \emptyset$ and g a concave function on $Q_{\mathbb{R}}$ such that $\text{stab}(g) \cap \text{im}(H^{\vee}) \neq \emptyset$. Then the *inverse image* of f by A is defined as

$$A^*f: Q_{\mathbb{R}} \longrightarrow \underline{\mathbb{R}}, \quad v \longmapsto f \circ A(v),$$

and the *direct image* of g by A is defined as

$$A_*g: N_{\mathbb{R}} \longrightarrow \underline{\mathbb{R}}, \quad u \longmapsto \sup_{v \in A^{-1}(u)} g(v).$$

It is easy to see that the inverse image A^*f is concave with effective domain $\text{dom}(A^*f) = A^{-1}(\text{dom}(f))$. Similarly, the direct image A_*g is concave with effective domain $\text{dom}(A_*g) = A(\text{dom}(g))$, thanks to Lemma 2.3.4.

The inverse image of a closed function is also closed. In contrast, the direct image of a closed function is not necessarily closed: consider for instance the indicator function ι_C of the set $C = \{(x, y) \in \mathbb{R}^2 \mid xy \geq 1, x > 0\}$, which is a closed concave function. Let $A: \mathbb{R}^2 \rightarrow \mathbb{R}$ be the first projection. Then $A_*\iota_C$ is the indicator function of the subset $\mathbb{R}_{>0}$, which is not a closed concave function.

We now turn to the behaviour of the sup-differential with respect to the basic operations. A first important property is the additivity.

Proposition 2.3.6. — *For each $i = 1, \dots, l$, let f_i be a concave function and $\lambda_i > 0$ a real number. Then, for all $u \in N_{\mathbb{R}}$,*

1. $\partial(\sum_i \lambda_i f_i)(u) \supset \sum_i \lambda_i \partial(f_i)(u);$

2. if $\text{ri}(\text{dom}(f_1)) \cap \cdots \cap \text{ri}(\text{dom}(f_l)) \neq \emptyset$, then

$$\partial \left(\sum_i \lambda_i f_i \right) (u) = \sum_i \lambda_i \partial(f_i)(u). \quad (2.3.1)$$

Proof. — This is [Roc70, Theorem 23.8]. □

As in Remark 2.3.2, if f_1, \dots, f_k are piecewise affine, then (2.3.1) holds under the weaker hypothesis

$$\text{dom}(f_1) \cap \cdots \cap \text{dom}(f_k) \cap \text{ri}(\text{dom}(f_{k+1})) \cap \cdots \cap \text{ri}(\text{dom}(f_l)) \neq \emptyset.$$

The following result gives the behaviour of the sup-differential with respect to linear maps

Proposition 2.3.7. — *Let $H: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be a linear map, $u_0 \in N_{\mathbb{R}}$ and $A = H + u_0$ the associated affine map. Let f be a concave function on $N_{\mathbb{R}}$, then*

1. $\partial(A^*f)(v) \supset H^\vee \partial f(Av)$ for all $v \in Q_{\mathbb{R}}$;
2. if either $\text{ri}(\text{dom}(f)) \cap \text{im}(A) \neq \emptyset$ or f is piecewise affine and $\text{dom}(f) \cap \text{im}(A) \neq \emptyset$, then for all $v \in Q_{\mathbb{R}}$ we have

$$\partial(A^*f)(v) = H^\vee \partial f(Av).$$

Proof. — The linear case $u_0 = 0$ is [Roc70, Theorem 23.9]. The general case follows from the linear case and the commutativity of the sup-differential and the translation. □

We summarize the behaviour of direct and inverse images of affine maps with respect to the Legendre-Fenchel duality.

Proposition 2.3.8. — *Let $A: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be an affine map defined as $A = H + u_0$ for a linear map H and a point $u_0 \in N_{\mathbb{R}}$. Let f be a concave function on $N_{\mathbb{R}}$ such that $\text{dom}(f) \cap \text{im}(A) \neq \emptyset$ and g a concave function on $Q_{\mathbb{R}}$ such that $\text{stab}(g) \cap \text{im}(H^\vee) \neq \emptyset$. Then*

1. $\text{stab}(A_*g) = (H^\vee)^{-1}(\text{stab}(g))$ and

$$(A_*g)^\vee = (H^\vee)^*(g^\vee) + u_0;$$
2. $H^\vee(\text{stab}(f)) \subset \text{stab}(A^*f) \subset \overline{H^\vee(\text{stab}(f))}$ and

$$(A^*\text{cl}(f))^\vee = \text{cl}((H^\vee)_*(f^\vee - u_0));$$
3. if $\text{ri}(\text{dom}(f)) \cap \text{im}(A) \neq \emptyset$ then $\text{stab}(A^*f) = H^\vee(\text{stab}(f))$ and, for all y in this set,

$$(A^*f)^\vee(y) = (H^\vee)_*(f^\vee - u_0)(y) = \max_{x \in (H^\vee)^{-1}(y)} (f^\vee(x) - \langle x, u_0 \rangle).$$

Moreover, if f is closed and $y \in \text{ri}(\text{stab}(A^*f))$, then a point $x \in (H^\vee)^{-1}(y)$ attains this maximum if and only if there exists $v \in Q_{\mathbb{R}}$ such that $x \in \partial f(Av)$. The element v verifies $y \in \partial(A^*f)(v)$.

Proof. — By Proposition 2.3.3(4,5),

$$A^*(f) = (H + u_0)^*(f) = H^*(\tau_{-u_0}f), \quad A_*g = (H + u_0)_*g = \tau_{u_0}(H_*g). \quad (2.3.2)$$

Then, except for the second half of (3), the result follows by combining (2.3.2) with the case when A is a linear map, treated in [Roc70, Theorem 16.3].

To prove the second half of (3), we first note that the concave function

$$(f^\vee - u_0)|_{(H^\vee)^{-1}(y)}$$

attains its maximum at a point x if and only if its sup-differential at x contains 0. We consider the linear inclusion

$$\iota: \ker(H^\vee) \hookrightarrow M_{\mathbb{R}}$$

and denote by $\iota^\vee: N_{\mathbb{R}} \rightarrow N_{\mathbb{R}}/\text{im}(H)$ its dual. We fix a point

$$x_0 \in (H^\vee)^{-1}(y) \cap \text{ri}(\text{stab}(f)),$$

that exists because $y \in \text{ri}(\text{stab}(A^*f))$ and $\text{stab}(A^*f) = H^\vee(\text{stab}(f))$.

Set $F = (\iota + x_0)^*(f^\vee - u_0)$. Up to a translation, F is the restriction of $f^\vee - u_0$ to $(H^\vee)^{-1}(y)$. Since, by choice, $x_0 \in \text{ri}(\text{dom}(f^\vee - u_0)) \cap \text{im}(\iota + x_0)$, we can apply Proposition 2.3.7(2) to the concave function $f^\vee - u_0$ and the affine map $\iota + x_0$ to deduce that, for any $z \in \ker(H^\vee)$, we have

$$\partial F(z) = \iota^\vee(\partial f^\vee(z + x_0) - u_0).$$

Therefore $0 \in \partial F(z)$ if and only if $\partial f^\vee(z + x_0) \cap (\ker(\iota^\vee) + u_0) \neq \emptyset$. Since $\ker(\iota^\vee) + u_0 = \text{im}(A)$, a point $x = z + x_0 \in (H^\vee)^{-1}(y)$ attains the maximum if and only if there is a $v \in Q_{\mathbb{R}}$ such that $Av \in \partial f^\vee(x)$. Being f closed, by Proposition 2.2.4 this is equivalent to $x \in \partial f(Av)$ for some $v \in Q_{\mathbb{R}}$. By Proposition 2.3.7, v satisfies $y \in \partial(A^*f)(v)$. \square

In particular, the operations of direct and inverse image of *linear* maps are dual to each other. In the notation of Proposition 2.3.8 and assuming for simplicity $\text{ri}(\text{dom}(f)) \cap \text{im}(H) \neq \emptyset$, we have

$$(H_*g)^\vee = (H^\vee)^*(g^\vee), \quad (H^*f)^\vee = (H^\vee)_*(f^\vee),$$

while the stability sets relate by $\text{stab}(H_*g) = (H^\vee)^{-1}(\text{stab}(g))$ and $\text{stab}(H^*f) = H^\vee(\text{stab}(f))$.

The last concept we recall in this section is the notion of recession function of a concave function.

Definition 2.3.9. — The *recession function* of a concave function $f: N_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$, denoted $\text{rec}(f)$, is the function

$$\text{rec}(f): N_{\mathbb{R}} \longrightarrow \underline{\mathbb{R}}, \quad u \longmapsto \inf_{v \in \text{dom}(f)} (f(u + v) - f(v)).$$

This is a concave conical function. If f is closed, its recession function can be defined as the limit

$$\operatorname{rec}(f)(u) = \lim_{\lambda \rightarrow \infty} \lambda^{-1} f(v_0 + \lambda u) \quad (2.3.3)$$

for any $v_0 \in \operatorname{dom}(f)$ [Roc70, Theorem 8.5].

It is clear from the definition that $\operatorname{dom}(\operatorname{rec}(f)) \subset \operatorname{rec}(\operatorname{dom}(f))$. The equality does not hold in general, as can be seen by considering the concave function $\mathbb{R} \rightarrow \mathbb{R}$, $u \mapsto -\exp(u)$.

If f is closed then the function $\operatorname{rec}(f)$ is closed [Roc70, Theorem 8.5]. Hence it is natural to regard recession functions as support functions.

Proposition 2.3.10. — *Let f be a concave function. Then $\operatorname{rec}(f^\vee)$ is the support function of $\operatorname{dom}(f)$. If f is closed, then $\operatorname{rec}(f)$ is the support function of $\operatorname{stab}(f)$.*

Proof. — This is [Roc70, Theorem 13.3]. □

2.4. The differentiable case

In this section we make explicit the Legendre-Fenchel duality for smooth concave functions, following [Roc70, Chapter 26].

In the differentiable and strictly concave case, the decompositions $\Pi(f)$ and $\Pi(f^\vee)$ consist of the collection of all points of $\operatorname{dom}(\partial f)$ and of $\operatorname{dom}(\partial f^\vee)$ respectively. The Legendre-Fenchel correspondence agrees with the gradient map, and it is called the Legendre transform in this context.

Recall that a function $f: N_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$ is differentiable at a point $u \in N_{\mathbb{R}}$ with $f(u) > -\infty$, if there exists some linear form $\nabla f(u) \in M_{\mathbb{R}}$ such that

$$f(v) = f(u) + \langle \nabla f(u), v - u \rangle + o(\|v - u\|),$$

where $\|\cdot\|$ denotes any fixed norm on $N_{\mathbb{R}}$. This linear form $\nabla f(u)$ is the gradient of f in the classical sense. It can be shown that a concave function f is differentiable at a point $u \in \operatorname{dom}(f)$ if and only if $\partial f(u)$ consists of a single element. If this is the case, then $\partial f(u) = \{\nabla f(u)\}$ [Roc70, Theorem 25.1]. Hence, the gradient and the sup-differential agree in the differentiable case.

Let $C \subset N_{\mathbb{R}}$ be a convex set. A function $f: C \rightarrow \mathbb{R}$ is *strictly concave* if $f(tu_1 + (1-t)u_2) > tf(u_1) + (1-t)f(u_2)$ for all different $u_1, u_2 \in C$ and $0 < t < 1$.

Definition 2.4.1. — Let $C \subset N_{\mathbb{R}}$ be an open convex set and $\|\cdot\|$ any fixed norm on $M_{\mathbb{R}}$. A differentiable concave function $f: C \rightarrow \mathbb{R}$ is of *Legendre type* if it is strictly concave and $\lim_{i \rightarrow \infty} \|\nabla f(u_i)\| \rightarrow \infty$ for every sequence $(u_i)_{i \geq 1}$ converging to a point in the boundary of C . In particular, any differentiable and strictly concave function on $N_{\mathbb{R}}$ is of Legendre type.

The stability set of a function of Legendre type has maximal dimension [Roc70, Theorem 26.5]. Therefore its relative interior agrees with its interior and, in this case, we will use the classical notation $\text{stab}(f)^\circ$ for the interior of $\text{stab}(f)$.

The following result summarizes the basics properties of the Legendre-Fenchel duality acting on functions of Legendre type.

Theorem 2.4.2. — *Let $f: C \rightarrow \mathbb{R}$ be a concave function of Legendre type defined on an open set $C \subset N_{\mathbb{R}}$ and let $D = \nabla f(C) \subset M_{\mathbb{R}}$ be the image of the gradient map. Then*

1. $D = \text{stab}(f)^\circ$;
2. $f^\vee|_D$ is a concave function of Legendre type;
3. $\nabla f: C \rightarrow D$ is a homeomorphism and $(\nabla f)^{-1} = \nabla f^\vee$;
4. for all $x \in D$ we have $f^\vee(x) = \langle x, (\nabla f)^{-1}(x) \rangle - f((\nabla f)^{-1}(x))$.

Proof. — This follows from [Roc70, Theorem 26.5]. □

Example 2.4.3. — Consider the function

$$f_{\text{FS}}: \mathbb{R}^n \longrightarrow \mathbb{R}, \quad u \longmapsto -\frac{1}{2} \log \left(1 + \sum_{i=1}^n e^{-2u_i} \right).$$

Let $\Delta^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_i \geq 0, \sum x_i \leq 1\}$ be the *standard simplex* of \mathbb{R}^n . For $(x_1, \dots, x_n) \in \Delta^n$, write $x_0 = 1 - \sum_{i=1}^n x_i$ and set

$$\varepsilon_n: \Delta^n \longrightarrow \mathbb{R}, \quad x \longmapsto -\sum_{i=0}^n x_i \log(x_i). \tag{2.4.1}$$

We have $\nabla f_{\text{FS}}(u) = \frac{1}{1 + \sum_{i=1}^n e^{-2u_i}} (e^{-2u_1}, \dots, e^{-2u_n})$ and so

$$\frac{1}{2} \varepsilon_n(\nabla f_{\text{FS}}(u)) = \frac{\sum_{i=1}^n e^{-2u_i} u_i}{1 + \sum_{i=1}^n e^{-2u_i}} + \frac{1}{2} \log \left(1 + \sum_{i=1}^n e^{-2u_i} \right) = \langle \nabla f_{\text{FS}}(u), u \rangle - f_{\text{FS}}(u),$$

which shows that $\text{stab}(f_{\text{FS}}) = \Delta^n$ and that $f_{\text{FS}}^\vee = \frac{1}{2} \varepsilon_n$.

The fact that the sup-differential agrees with the gradient and is single-valued can simplify some statements. It is interesting to make explicit the computation of the Legendre-Fenchel dual of the inverse image by an affine map of a concave function of Legendre type.

Proposition 2.4.4. — *Let $A: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be an affine map defined as $A = H + u_0$ for an injective linear map H and a point $u_0 \in N_{\mathbb{R}}$. Let $f: C \rightarrow \mathbb{R}$ be a concave function of Legendre type defined on an open convex set $C \subset N_{\mathbb{R}}$ such that $C \cap \text{im}(A) \neq \emptyset$. Then A^*f is a concave function of Legendre type on $A^{-1}(C)$,*

$$\text{stab}(A^*f)^\circ = \text{im}(\nabla(A^*f)) = H^\vee(\text{im}(\nabla f)) = H^\vee(\text{stab}(f)^\circ),$$

and, for all $v \in A^{-1}C$,

$$(A^*f)^\vee(\nabla(A^*f)(v)) = f^\vee(\nabla f(Av)) - \langle \nabla f(Av), u_0 \rangle.$$

Moreover, there is a section $\iota_{A,f}$ of $H^\vee|_{\text{stab}(f)^\circ}$ such that the diagram

$$\begin{array}{ccccc}
 & A^{-1}C & \xrightarrow{\nabla(A^*f)} & \text{stab}(A^*f)^\circ & \\
 A^*f \swarrow & \downarrow A & & \downarrow \iota_{A,f} & \searrow (A^*f)^\vee \\
 \mathbb{R} & & & & \mathbb{R} \\
 f \swarrow & C & \xrightarrow{\nabla f} & \text{stab}(f)^\circ & \searrow f^\vee - u_0
 \end{array}$$

commutes.

Proof. — This follows readily from Proposition 2.3.8. □

The section $\iota_{A,f}$ embeds $\text{stab}(A^*f)^\circ$ as a real submanifold of $\text{stab}(f)^\circ$. Varying u_0 in a suitable space of parameters, we obtain a foliation of $\text{stab}(f)^\circ$ by “parallel” submanifolds. We illustrate this phenomenon with an example in dimension 2.

Example 2.4.5. — Consider the function $f: \mathbb{R}^2 \rightarrow \mathbb{R}$ given by

$$f(u_1, u_2) = -\frac{1}{2} \log(1 + e^{-2u_1} + e^{-4u_1 - 2u_2} + e^{-2u_1 - 4u_2}).$$

It is a concave function of Legendre type whose stability set is the polytope $\Delta = \text{conv}((0, 0), (1, 0), (2, 1), (1, 2))$. The restriction of its Legendre-Fenchel dual to Δ° is also a concave function of Legendre type.

For $c \in \mathbb{R}$, consider the affine map

$$A_c: \mathbb{R} \rightarrow \mathbb{R}^2, \quad u \mapsto (-u, u + c).$$

We write $A_c = H + (0, c)$ for a linear function H . The dual of H is the function $H^\vee: \mathbb{R}^2 \rightarrow \mathbb{R}$, $(x_1, x_2) \mapsto x_2 - x_1$. Then $\text{stab}(A_c^*f)^\circ = H^\vee(\Delta^\circ)$ is the open interval $(-1, 1)$. By Proposition 2.4.4, there is a map $\iota_{A_c,f}$ embedding $(-1, 1)$ into Δ° in such a way that $\iota_{A_c,f} \circ \nabla(A_c^*f) = (\nabla f) \circ A_c$. For $u \in \mathbb{R}$,

$$\begin{aligned}
 \nabla(A_c^*f)(u) &= \frac{e^{-2u-4c} - e^{2u} - e^{2u-2c}}{1 + e^{2u} + e^{2u-2c} + e^{-2u-4c}} \in (-1, 1), \\
 (\nabla f) \circ A_c(u) &= \frac{(e^{2u} + 2e^{2u-2c} + e^{-2u-4c}, e^{2u-2c} + 2e^{-2u-4c})}{1 + e^{2u} + e^{2u-2c} + e^{-2u-4c}} \in \Delta^\circ.
 \end{aligned}$$

From this, we compute $\iota_{A_c, f}(x) = (x_1, x_2)$ with

$$\begin{cases} x_1 = \frac{-e^{-2c}}{2(1+e^{-2c})}x + \frac{2+3e^{-2c}}{2(1+e^{-2c})} \left(\frac{x^2}{\sqrt{\rho_c^2 + (1-\rho_c^2)x^2} + \rho_c} + \frac{\rho_c}{1+\rho_c} \right), \\ x_2 = \frac{2+e^{-2c}}{2(1+e^{-2c})}x + \frac{2+3e^{-2c}}{2(1+e^{-2c})} \left(\frac{x^2}{\sqrt{\rho_c^2 + (1-\rho_c^2)x^2} + \rho_c} + \frac{\rho_c}{1+\rho_c} \right), \end{cases}$$

where we have set $\rho_c = 2e^{-2c} \sqrt{1+e^{-2c}}$ for short. In particular, the image of the map $\iota_{A_c, f}$ is an arc of conic: namely the intersection of Δ° with the conic of equation

$$(x_2 - x_1)^2 = (1 - \rho_c^2)L_c(x_1, x_2)^2 + 2\rho_c L_c(x_1, x_2),$$

with $L_c(x_1, x_2) = \frac{2+e^{-2c}}{2+3e^{-2c}}x_1 + \frac{e^{-2c}}{2+3e^{-2c}}x_2 - \frac{\rho_c}{1+\rho_c}$. Varying $c \in \mathbb{R}$, these arcs of conics form a foliation of Δ° , they all pass through the vertex $(1, 2)$ as $x \rightarrow 1$, and their other end as $x \rightarrow -1$ parameterizes the relative interior of the edge $\text{conv}((1, 0), (2, 1))$, see Figure 2.

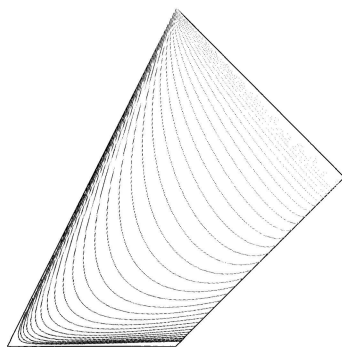


FIGURE 2. A foliation of Δ° by curves

2.5. The piecewise affine case

The Legendre-Fenchel duality for piecewise affine concave functions can be described in combinatorial terms. Moreover, some technical issues of the general theory disappear when dealing with piecewise affine concave functions on convex polyhedra and uniform limits of such functions.

Definition 2.5.1. — Let $C \subset N_{\mathbb{R}}$ be a polyhedron. A function $f: C \rightarrow \mathbb{R}$ is *piecewise affine* if there is a finite cover of C by closed subsets such that the restriction of f to each of these subsets is an affine function. Such affine functions are called *defining functions* of f . A concave function $f: N_{\mathbb{R}} \rightarrow \underline{\mathbb{R}}$ is said to be *piecewise affine* if $\text{dom}(f)$ is a polyhedron and the restriction $f|_{\text{dom}(f)}$ is piecewise affine.

Remark 2.5.2. — Considering a polyhedron C inside its affine envelope, we may think of it as a closed domain, that is, the closure of an open set. By an argument of general topology, if a closed domain C is a finite union of closed subsets $C = \bigcup_{i=1}^m D_i$, then $C = \bigcup_{i=1}^m \overline{D_i}$. Therefore, when the domain is a polyhedron, our definition of piecewise affine function agrees with the notion of piecewise linear function of [Ovc02].

Lemma 2.5.3. — *Let f be a piecewise affine function defined on a polyhedron $C \subset N_{\mathbb{R}}$. Then there exists a polyhedral complex Π in C such that the restriction of f to each polyhedron of Π is an affine function.*

Proof. — This is an easy consequence of the max-min representation of piecewise affine functions in [Ovc02], that can be applied thanks to Remark 2.5.2. \square

Definition 2.5.4. — Let C be a polyhedron, Π a polyhedral complex in C and $f: C \rightarrow \mathbb{R}$ a piecewise affine function. We say that Π and f are *compatible* if f is affine on each polyhedron of Π . Alternatively, we say that f is a piecewise affine function on Π . If the function f is concave, it is said to be *strictly concave on Π* if $\Pi = \Pi(f)$. The polyhedral complex Π is said to be *regular* if there exists a concave piecewise affine function f such that $\Pi = \Pi(f)$.

As was the case for polyhedra, piecewise affine concave functions can be described in two dual ways, which we refer as the H-representation and the V-representation.

For the *H-representation*, we consider a polyhedron

$$\Lambda = \bigcap_{1 \leq j \leq k} \{u \in N_{\mathbb{R}} \mid \langle a_j, u \rangle + \alpha_j \geq 0\}$$

as in (2.1.1) and a set of affine equations $\{(a_j, \alpha_j)\}_{k+1 \leq j \leq l} \subset M_{\mathbb{R}} \times \mathbb{R}$. We then define a concave function on $N_{\mathbb{R}}$ as

$$f(u) = \begin{cases} \min_{k+1 \leq j \leq l} (\langle a_j, u \rangle + \alpha_j) & \text{for } u \in \Lambda, \\ -\infty & \text{for } u \notin \Lambda. \end{cases} \quad (2.5.1)$$

The equation (2.5.1) is an H-representation of the function f . With this representation, the recession function of f is given by

$$\text{rec}(f)(u) = \min_{k+1 \leq j \leq l} \langle a_j, u \rangle, \quad \text{for } u \in \text{rec}(\Lambda)$$

and $\text{rec}(f)(u) = -\infty$ for $u \notin \text{rec}(\Lambda)$. In particular,

$$\text{dom}(\text{rec}(f)) = \text{rec}(\text{dom}(f)), \quad \text{stab}(\text{rec}(f)) = \text{stab}(f). \quad (2.5.2)$$

For the *V-representation*, we consider a polyhedron

$$\Lambda' = \text{cone}(b_1, \dots, b_k) + \text{conv}(b_{k+1}, \dots, b_l)$$

as in (2.1.2), a set of slopes $\{\beta_j\}_{1 \leq j \leq k} \subset \mathbb{R}$ and a set of values $\{\beta_j\}_{k+1 \leq j \leq l} \subset \mathbb{R}$. We then define a concave function on $N_{\mathbb{R}}$ as

$$g(u) = \sup \left\{ \sum_{j=1}^l \lambda_j \beta_j \mid \lambda_j \geq 0, \sum_{j=k+1}^l \lambda_j = 1, \sum_{j=1}^l \lambda_j b_j = u \right\}. \quad (2.5.3)$$

This equation is the V-representation of the function g . With this second representation, we obtain the recession function as

$$\text{rec}(g)(u) = \sup \left\{ \sum_{j=1}^k \lambda_j \beta_j \mid \lambda_j \geq 0, \sum_{j=1}^k \lambda_j b_j = u \right\}.$$

We will typically use the H-representation for functions on $N_{\mathbb{R}}$ while we will use the V-representation for functions in $M_{\mathbb{R}}$.

As we have already mentioned, the Legendre-Fenchel duality of piecewise affine concave functions can be described in combinatorial terms.

Proposition 2.5.5. — *Let Λ be a polyhedron in $N_{\mathbb{R}}$ and f a piecewise affine concave function with $\text{dom}(f) = \Lambda$ given as*

$$\begin{aligned} \Lambda &= \bigcap_{1 \leq j \leq k} \{u \in N_{\mathbb{R}} \mid \langle a_j, u \rangle + \alpha_j \geq 0\}, \\ f(u) &= \min_{k+1 \leq j \leq l} (\langle a_j, u \rangle + \alpha_j) \quad \text{for } u \in \Lambda \end{aligned}$$

with $a_j \in M_{\mathbb{R}}$ and $\alpha_j \in \mathbb{R}$. Then

$$\text{stab}(f) = \text{cone}(a_1, \dots, a_k) + \text{conv}(a_{k+1}, \dots, a_l),$$

$$f^\vee(x) = \sup \left\{ \sum_{j=1}^l -\lambda_j \alpha_j \mid \lambda_j \geq 0, \sum_{j=k+1}^l \lambda_j = 1, \sum_{j=1}^l \lambda_j a_j = x \right\} \quad \text{for } x \in \text{stab}(f).$$

Proof. — This is proved in [Roc70, pages 172–174]. □

Example 2.5.6. — Let Λ be a polyhedron in $N_{\mathbb{R}}$. Then both the indicator function ι_Λ and the support function Ψ_Λ are concave and piecewise affine. We have $\Psi_\Lambda^\vee = \iota_\Lambda$. In particular, if we fix an isomorphism $N_{\mathbb{R}} \simeq \mathbb{R}^n$, the function

$$\Psi_{\Delta^n} : N_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad (u_1, \dots, u_n) \longmapsto \min\{0, u_1, \dots, u_n\}$$

is the support function of the standard simplex $\Delta^n = \text{conv}(\mathbf{0}, e_1^\vee, \dots, e_n^\vee) \subset M_{\mathbb{R}}$, where $\{e_1, \dots, e_n\}$ is the standard basis of \mathbb{R}^n and $\{e_1^\vee, \dots, e_n^\vee\}$ is the dual basis. Hence, $\text{stab}(\Psi_{\Delta^n}) = \Delta^n$ and $\Psi_{\Delta^n}^\vee = \iota_{\Delta^n}$.

Let Λ be a polyhedron in $N_{\mathbb{R}}$ and f a piecewise affine concave function with $\text{dom}(f) = \Lambda$. Then $\text{dom}(\partial f) = \Lambda$ and $\Pi(f)$ and $\Pi(f^\vee)$ are convex decompositions of Λ and of $\Lambda' := \text{stab}(f)$ respectively. By Theorem 2.2.12, the Legendre-Fenchel correspondence

$$\mathcal{L}f : \Pi(f) \longrightarrow \Pi(f^\vee)$$

is a duality in the sense of Definition 2.2.11. However in the polyhedral case, these decompositions are dual in a stronger sense. We need to introduce some more definitions before we can properly state this duality.

Definition 2.5.7. — Let Λ be a polyhedron and K a face of Λ . The *angle* of Λ at K is defined as

$$\angle(K, \Lambda) = \{t(u - v) \mid u \in \Lambda, v \in K, t \geq 0\}.$$

It is a polyhedral cone.

Definition 2.5.8. — The *dual* of a convex cone $\sigma \subset N_{\mathbb{R}}$ is defined as

$$\sigma^{\vee} = \{x \in M_{\mathbb{R}} \mid \langle x, u \rangle \geq 0 \text{ for all } u \in \sigma\}.$$

This is a convex closed cone.

If σ is a convex closed cone, then $\sigma^{\vee\vee} = \sigma$. The following result is a direct consequence of Proposition 2.5.5.

Corollary 2.5.9. — Let f be a piecewise affine concave function on $N_{\mathbb{R}}$. Then

$$\text{rec}(\text{dom}(f))^{\vee} = \text{rec}(\text{stab}(f)).$$

In particular, if $\text{dom}(f) = N_{\mathbb{R}}$, then $\text{stab}(f)$ is a polytope.

Definition 2.5.10. — Let C, C' be polyhedra in $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$, respectively, and Π, Π' polyhedral complexes in C and C' , respectively. We say that Π and Π' are *dual* polyhedral complexes if there is a bijective map $\Pi \rightarrow \Pi', \Lambda \mapsto \Lambda^*$ such that

1. for all $\Lambda, K \in \Pi$, the inclusion $K \subset \Lambda$ holds if and only if $K^* \supset \Lambda^*$;
2. for all $\Lambda, K \in \Pi$, if $K \subset \Lambda$, then $\angle(\Lambda^*, K^*) = \angle(K, \Lambda)^{\vee}$.

For $\Lambda \in \Pi$, the angle $\angle(\Lambda, \Lambda)$ is the linear subspace generated by differences of points in Λ . Condition (2) above implies that $\angle(\Lambda, \Lambda)$ and $\angle(\Lambda^*, \Lambda^*)$ are orthogonal. In particular, $\dim(\Lambda) + \dim(\Lambda^*) = n$.

Proposition 2.5.11. — Let f be a piecewise affine concave function with $\Lambda = \text{dom}(f)$ and $\Lambda' = \text{stab}(f)$. Then $\Pi(f)$ and $\Pi(f^{\vee})$ are polyhedral complexes in Λ and Λ' respectively. Moreover, they are dual of each other. In particular, the vertices of $\Pi(f)$ are in bijection with the polyhedra of $\Pi(f^{\vee})$ of maximal dimension.

Proof. — This is proved in [PR04, Proposition 1]. □

Example 2.5.12. — Consider the standard simplex Δ^n of Example 2.5.6. Its indicator function induces the standard polyhedral complex in Δ^n consisting of the collection of its faces. The dual of ι_{Δ^n} , the support function Ψ_{Δ^n} , induces a fan $\Sigma_{\Delta^n} := \Pi(\Psi_{\Delta^n})$ of $N_{\mathbb{R}}$. The duality between these polyhedral complexes can be made explicit as

$$\Pi(\iota_{\Delta^n}) \longrightarrow \Sigma_{\Delta^n}, \quad F \longmapsto \angle(F, \Delta^n)^{\vee}.$$

Example 2.5.13. — The previous example can be generalized to an arbitrary polytope $\Delta \subset M_{\mathbb{R}}$. The indicator function ι_{Δ} induces the standard decomposition of Δ into its faces and, by duality, the support function Ψ_{Δ} induces a polyhedral complex $\Sigma_{\Delta} := \Pi(\Psi_{\Delta})$ made of cones. If Δ is of maximal dimension, then Σ_{Δ} is a fan.

The faces of Δ are in one-to-one correspondence with the cones of Σ_{Δ} through the Legendre-Fenchel correspondence. For a face F of Δ , its corresponding cone is

$$\sigma_F := F^* = \{u \in N_{\mathbb{R}} \mid \langle u, y - x \rangle \geq 0 \text{ for all } y \in \Delta, x \in F\}.$$

Reciprocally, to each cone σ corresponds a face of Δ of complementary dimension

$$F_{\sigma} := \sigma^* = \{x \in \Delta \mid \langle x, u \rangle = \Psi_{\Delta}(u) \text{ for all } u \in \sigma\}.$$

On a cone $\sigma \in \Sigma$, the function Ψ_{Δ} is defined by any vector m_{σ} in the affine space $\text{aff}(F_{\sigma})$. The cone σ is normal to F_{σ} .

We will use the notation F_{σ} in a more general context. If Σ is a refinement of Σ_{Δ} and $\sigma \in \Sigma$, we will denote by F_{σ} the face of Δ given by the condition

$$F_{\sigma} = \{x \in \Delta \mid \langle u, y - x \rangle \geq 0 \text{ for all } y \in \Delta, u \in \sigma\}.$$

For piecewise affine concave functions, the operations of taking the recession function and the associated polyhedral complex commute with each other.

Proposition 2.5.14. — *Let f be a piecewise affine concave function on $N_{\mathbb{R}}$. Then*

$$\Pi(\text{rec}(f)) = \text{rec}(\Pi(f)).$$

Proof. — Let $P_f(u, x) = f(u) + f^{\vee}(x) - \langle u, x \rangle$ be the function introduced in (2.2.1). For each $x \in \text{stab}(f)$ write $P_{f,x}(u) = P_f(u, x)$ which is a piecewise affine concave function. Let C_x be as in Definition 2.2.5. By Lemma 2.2.6,

$$C_x = \{u \in \text{dom}(f) \mid P_{f,x}(u) = 0\}.$$

Write $P'(v) = \text{rec}(f)(v) - \langle u, x \rangle$. Then $P' = \text{rec}(P_{f,x})$.

We claim that, for each $x \in \text{stab}(f)$,

$$\text{rec}(C_x) = \{v \in \text{dom}(\text{rec}(f)) \mid P'(v) = 0\}.$$

Let $v \in \text{rec}(C_x)$. Clearly $v \in \text{dom}(\text{rec}(f))$ and, since $x \in \text{stab}(f)$, the set C_x is non-empty. Let $u_0 \in C_x$. Then, for each $\lambda > 0$, $u_0 + \lambda v \in C_x$. Therefore,

$$P'(v) = \lim_{\lambda \rightarrow \infty} \frac{P_{f,x}(u_0 + \lambda v) - P_{f,x}(u_0)}{\lambda} = 0.$$

Conversely, let $v \in \text{dom}(\text{rec}(f))$ satisfying $P'(v) = 0$ and $u \in C_x$. On the one hand, by the properties of the function P_f , we have $P_{f,x}(u + v) \leq 0$. On the other hand, since $P' = \text{rec}(P_{f,x})$ and $P_{f,x}$ is a piecewise affine concave function,

$$P_{f,x}(u + v) - P_{f,x}(u) \geq P'(v) = 0.$$

Thus $P_{f,x}(u + v) \geq P_{f,x}(u) = 0$ and finally $P_{f,x}(u + v) = 0$. This implies that, if $u \in C_x$ then $u + v \in C_x$, showing $v \in \text{rec}(C_x)$. Hence the claim is proved.

By definition $\Pi(f) = \{C_x\}_{x \in \text{stab}(f)}$. Hence $\text{rec}(\Pi(f)) = \{\text{rec}(C_x)\}_{x \in \text{stab}(f)}$. For each $x \in \text{stab}(\text{rec}(f))$, write

$$C'_x = \{v \in \text{dom}(\text{rec}(f)) \mid P'(v) = 0\}.$$

Then $\Pi(\text{rec}(f)) = \{C'_x\}_{x \in \text{stab}(\text{rec}(f))}$. The result follows from the previous claim and the fact that $\text{stab}(f) = \text{stab}(\text{rec}(f))$ by (2.5.2). \square

Now we want to study the compatibility of Legendre-Fenchel duality and integral and rational structures.

Definition 2.5.15. — Let L be a lattice in a finite dimensional real vector space $L_{\mathbb{R}} = L \otimes \mathbb{R}$ and L^\vee the dual lattice. A piecewise affine concave function f on $L_{\mathbb{R}}$ is an *H-lattice concave function* if it has an H-representation as (2.5.1) with $a_j \in L^\vee$ and $\alpha_j \in \mathbb{Z}$ for $j = 1, \dots, l$. It is a *V-lattice concave function* if it has a V-representation as (2.5.3) with $b_j \in L$ and $\beta_j \in \mathbb{Z}$, for $j = 1, \dots, l$. We say that f is a *rational piecewise affine concave function* if it has an H-representation as before with $a_j \in L^\vee \otimes \mathbb{Q}$ and $\alpha_j \in \mathbb{Q}$ for $j = 1, \dots, l$, or equivalently, a V-representation with $b_j \in L \otimes \mathbb{Q}$ and $\beta_j \in \mathbb{Q}$.

Observe that the domain of a V-lattice concave function is a lattice polyhedron, whereas the domain of an H-lattice concave function is a rational polyhedron.

Let $N \simeq \mathbb{Z}^n$ be a lattice of rank n such that $N_{\mathbb{R}} = N \otimes \mathbb{R}$. Set $M = N^\vee = \text{Hom}(N, \mathbb{Z})$ for its dual lattice, so $M_{\mathbb{R}} = M \otimes \mathbb{R}$. We also set $N_{\mathbb{Q}} = N \otimes \mathbb{Q}$ and $M_{\mathbb{Q}} = M \otimes \mathbb{Q}$.

Remark 2.5.16. — The notion of H-lattice concave functions defined on the whole $N_{\mathbb{R}}$ coincides with the notion of tropical Laurent polynomials over the integers, that is, the elements of the group semi-algebra $\mathbb{Z}_{\text{trop}}[N]$, where the arithmetic operations of the base semi-ring $\mathbb{Z}_{\text{trop}} = (\mathbb{Z}, \oplus, \odot)$ are defined as $x \oplus y = \min(x, y)$ and $x \odot y = x + y$.

Proposition 2.5.17. — *Let f be a piecewise affine concave function on $N_{\mathbb{R}}$.*

1. *f is an H-lattice concave function (respectively, a rational piecewise affine concave function) if and only if f^\vee is a V-lattice concave function (respectively, a rational piecewise affine concave function) on $M_{\mathbb{R}}$.*
2. *$\text{rec}(f)$ is an H-lattice concave function if and only if $\text{stab}(f)$ is a lattice polyhedron. In this case $\text{rec}(f)$ is the support function of $\text{stab}(f)$.*

Proof. — This follows easily from Proposition 2.5.5. \square

Example 2.5.18. — If Δ is a lattice polytope, its indicator function is a V-lattice function, its support function Ψ_Δ is an H-lattice function and, when Δ has maximal dimension, the fan Σ_Δ is a rational fan. In particular, if the isomorphism $N \simeq \mathbb{R}^n$ of Example 2.5.6 is given by the choice of an integral basis e_1, \dots, e_n of N , then Δ^n is a lattice polytope, the function Ψ_{Δ^n} is an H-lattice concave function and Σ_{Δ^n} is

a rational fan. If we write $e_0 = -\sum_{i=1}^n e_i$, this is the fan generated by the vectors e_0, e_1, \dots, e_n in the sense that each cone of Σ_{Δ^n} is the cone generated by a strict subset of the above set of vectors. Figure 3 illustrates the case $n = 2$.

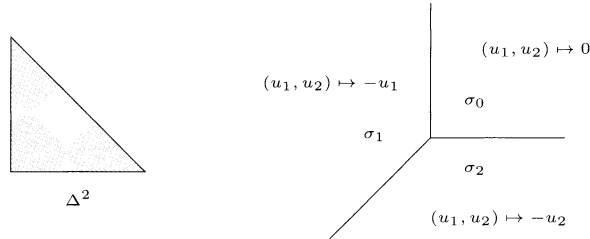


FIGURE 3. The standard simplex Δ^2 , its associated fan and support function

Definition 2.5.19. — Let Λ and Λ' be polyhedra in $N_{\mathbb{R}}$ and in $M_{\mathbb{R}}$, respectively. We set $\mathcal{P}(\Lambda, \Lambda')$ for the space of piecewise affine concave functions with effective domain Λ and stability set Λ' . We also set $\overline{\mathcal{P}}(\Lambda, \Lambda')$ for the closure of this space with respect to uniform convergence. We set

$$\mathcal{P}(\Lambda) = \bigcup_{\Lambda'} \mathcal{P}(\Lambda, \Lambda'), \quad \overline{\mathcal{P}}(\Lambda) = \bigcup_{\Lambda'} \overline{\mathcal{P}}(\Lambda, \Lambda')$$

for the space of piecewise affine concave functions with effective domain Λ and for its closure with respect to uniform convergence, respectively. If we want to single out the elements of the previous spaces whose stability set is a lattice polyhedron we will write

$$\mathcal{P}(\Lambda)_{\mathbb{Z}} = \bigcup_{\Lambda' \text{ lat. pol.}} \mathcal{P}(\Lambda, \Lambda'), \quad \overline{\mathcal{P}}(\Lambda)_{\mathbb{Z}} = \bigcup_{\Lambda' \text{ lat. pol.}} \overline{\mathcal{P}}(\Lambda, \Lambda'),$$

where the union runs over all lattice polyhedrons. We also set

$$\mathcal{P} = \bigcup_{\Lambda, \Lambda'} \mathcal{P}(\Lambda, \Lambda'), \quad \overline{\mathcal{P}} = \bigcup_{\Lambda, \Lambda'} \overline{\mathcal{P}}(\Lambda, \Lambda').$$

When we need to specify the vector space $N_{\mathbb{R}}$ we will denote it as a subindex as in $\mathcal{P}_{N_{\mathbb{R}}}$ or $\overline{\mathcal{P}}_{N_{\mathbb{R}}}$.

The following propositions contain the basic properties of the Legendre-Fenchel duality acting on $\overline{\mathcal{P}}$. The elements in $\overline{\mathcal{P}}$ are continuous functions on polyhedra. In particular, they are closed concave functions. Observe that when working with uniform limits of piecewise affine concave functions, the technical issues in §2.2 disappear.

Proposition 2.5.20. — *The piecewise affine concave functions and their uniform limits satisfy the following properties.*

1. Let $f \in \overline{\mathcal{P}}_{N_{\mathbb{R}}}$. Then $f^{\vee\vee} = f$.

2. If $f \in \mathcal{P}(\Lambda, \Lambda')$ (respectively $f \in \overline{\mathcal{P}}(\Lambda, \Lambda')$) then $f^\vee \in \mathcal{P}(\Lambda', \Lambda)$ (respectively $f^\vee \in \overline{\mathcal{P}}(\Lambda', \Lambda)$).
3. If $f \in \overline{\mathcal{P}}(\Lambda)$ then $\text{dom}(\text{rec}(f)) = \text{rec}(\Lambda)$.
4. Let $f_i \in \mathcal{P}(\Lambda_i, \Lambda'_i)$ (respectively $f_i \in \overline{\mathcal{P}}(\Lambda_i, \Lambda'_i)$), $i = 1, 2$, with $\Lambda_1 \cap \Lambda_2 \neq \emptyset$. Then $f_1 + f_2 \in \mathcal{P}(\Lambda_1 \cap \Lambda_2, \Lambda'_1 + \Lambda'_2)$ (respectively $f_1 + f_2 \in \overline{\mathcal{P}}(\Lambda_1 \cap \Lambda_2, \Lambda'_1 + \Lambda'_2)$) and $(f_1 + f_2)^\vee = f_1^\vee \boxplus f_2^\vee$.
5. Let $f_i \in \mathcal{P}(\Lambda_i, \Lambda'_i)$ (respectively $f_i \in \overline{\mathcal{P}}(\Lambda_i, \Lambda'_i)$), $i = 1, 2$, with $\Lambda'_1 \cap \Lambda'_2 \neq \emptyset$. Then $f_1 \boxplus f_2 \in \mathcal{P}(\Lambda_1 + \Lambda_2, \Lambda'_1 \cap \Lambda'_2)$ (respectively $f_1 \boxplus f_2 \in \overline{\mathcal{P}}(\Lambda_1 + \Lambda_2, \Lambda'_1 \cap \Lambda'_2)$) and $(f_1 \boxplus f_2)^\vee = f_1^\vee + f_2^\vee$.
6. Let $(f_i)_{i \geq 1} \subset \overline{\mathcal{P}}$ be a sequence converging uniformly to a function f . Then $f \in \overline{\mathcal{P}}$.

Proof. — All the statements follow, either directly from the definition, or the propositions 2.5.5 and 2.2.3. \square

Proposition 2.5.21. — Let $A: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ be an affine map defined as $A = H + u_0$ for a linear map H and a point $u_0 \in N_{\mathbb{R}}$. Let $f \in \mathcal{P}_{N_{\mathbb{R}}}$ (respectively $f \in \overline{\mathcal{P}}_{N_{\mathbb{R}}}$) with $\text{dom}(f) \cap \text{im}(A) \neq \emptyset$ and $g \in \mathcal{P}_{Q_{\mathbb{R}}}$ (respectively $g \in \overline{\mathcal{P}}_{Q_{\mathbb{R}}}$) such that $\text{stab}(g) \cap \text{im}(H^\vee) \neq \emptyset$. Then $A^*f \in \mathcal{P}_{Q_{\mathbb{R}}}$ (respectively $A^*f \in \overline{\mathcal{P}}_{Q_{\mathbb{R}}}$) and $A_*g \in \mathcal{P}_{N_{\mathbb{R}}}$ (respectively $A_*g \in \overline{\mathcal{P}}_{N_{\mathbb{R}}}$). Moreover,

1. $\text{stab}(A^*f) = H^\vee(\text{stab}(f))$, $(A^*f)^\vee = (H^\vee)_*(f^\vee - u_0)$ and, for all $y \in \text{stab}(A^*f)$,

$$(A^*f)^\vee(y) = \max_{x \in (H^\vee)^{-1}(y)} (f^\vee(x) - \langle x, u_0 \rangle);$$

2. $\text{stab}(A_*g) = (H^\vee)^{-1}(\text{stab}(g))$, $(A_*g)^\vee = (H^\vee)^*(g^\vee) + u_0$ and, for all $u \in \text{dom}(A_*g)$,

$$A_*g(u) = \max_{v \in A^{-1}(u)} g(v).$$

Proof. — These statements follow either from Proposition 2.3.8 or from [Roc70, Corollary 19.3.1]. \square

We will be concerned mainly with functions in $\overline{\mathcal{P}}$ whose effective domain is either a polytope or the whole space $N_{\mathbb{R}}$. These are the kind of functions that arise when considering proper toric varieties. The functions in $\mathcal{P}(N_{\mathbb{R}})$ can be realized as the inverse image of the support function of the standard simplex, while the functions of $\mathcal{P}(\Delta)$ can be realized as direct images of the indicator function of the standard simplex.

Lemma 2.5.22. — Let $f \in \mathcal{P}(N_{\mathbb{R}})$ and let $f(u) = \min_{0 \leq i \leq r} (a_i(u) + \alpha_i)$ be an H -representation of f . Write $\alpha = (\alpha_i - \alpha_0)_{i=1, \dots, r}$, and consider the linear map $H: N_{\mathbb{R}} \rightarrow \mathbb{R}^r$ given by $H(u) = (a_i(u) - a_0(u))_{i=1, \dots, r}$ and the affine map $A = H + \alpha$. Then

1. $f = A^*\Psi_{\Delta^r} + a_0 + \alpha_0$;
2. $f^\vee = \tau_{a_0}(H^\vee)_*(\iota_{\Delta^r} - \alpha) - \alpha_0$.

This second function can be alternatively described as the function which parameterizes the upper envelope of the extended polytope

$$\text{conv}((a_1, -\alpha_1), \dots, (a_l, -\alpha_l)) \subset M_{\mathbb{R}} \times \mathbb{R}.$$

Proof. — Statement (1) follows from the explicit description of Ψ_{Δ^r} in Example 2.5.6. Statement (2) follows from Proposition 2.3.3, Proposition 2.5.21(1) and Example 2.5.6. The last statement is a consequence of Proposition 2.5.5. \square

The next proposition characterizes the elements of $\overline{\mathcal{P}}(N_{\mathbb{R}})$ and $\overline{\mathcal{P}}(\Delta)$ for a polytope Δ .

Proposition 2.5.23. — *Let Δ be a convex polytope of $M_{\mathbb{R}}$.*

1. *The space $\overline{\mathcal{P}}(\Delta, N_{\mathbb{R}})$ agrees with the space of all continuous concave functions on Δ .*
2. *A concave function f belongs to $\overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta)$ if and only if $\text{dom}(f) = N_{\mathbb{R}}$ and $|f - \Psi_{\Delta}|$ is bounded.*

Proof. — We start by proving (1). By the properties of uniform convergence, it is clear that any element of $\overline{\mathcal{P}}(\Delta, N_{\mathbb{R}})$ is concave and continuous. Conversely, a continuous function f on Δ is uniformly continuous because Δ is compact. Therefore, given $\varepsilon > 0$ there is a $\delta > 0$ such that $|f(u) - f(v)| < \varepsilon$ for all $u, v \in \Delta$ such that $\|u - v\| < \delta$. By compactness, we can find a triangulation $\Delta = \bigcup_i \Delta_i$ with $\text{diam}(\Delta_i) < \delta$. Let $\{b_j\}_j$ be the vertices of this triangulation and consider the function $g \in \mathcal{P}(\Delta, N_{\mathbb{R}})$ defined as

$$g(u) = \sup \left\{ \sum_{j=1}^l \lambda_j f(b_j) \mid \lambda_j \geq 0, \sum_j \lambda_j = 1, \sum_j \lambda_j a_j = x \right\}.$$

For $u \in \Delta$, let b_{j_0}, \dots, b_{j_n} denote the vertices of an element of the triangulation containing u . We write $u = \lambda_{j_0} u_{j_0} + \dots + \lambda_{j_n} u_{j_n}$ for some $\lambda_{j_i} \geq 0$ and $\lambda_{j_0} + \dots + \lambda_{j_n} = 1$. By concavity, we have

$$f(u) \geq g(u) \geq \sum_{k=0}^n \lambda_{j_k} f(u_{j_k}) \geq f(u) - \varepsilon,$$

which shows that any continuous function on Δ can be arbitrarily approximated by elements of $\mathcal{P}(\Delta, N_{\mathbb{R}})$.

We now prove (2). Let $f \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta)$. By definition, for each $\varepsilon > 0$ we can find a function $g \in \mathcal{P}(N_{\mathbb{R}}, \Delta)$ with $\sup |f - g| \leq \varepsilon$. In particular, $|f - g|$ is bounded. Furthermore, $\text{rec}(g) = \Psi_{\Delta}$ and $|g - \text{rec}(g)|$ is bounded because $g \in \mathcal{P}(N_{\mathbb{R}})$. Hence $\text{dom}(f) = \text{dom}(g) = N_{\mathbb{R}}$ and $|f - \Psi_{\Delta}|$ is bounded.

Conversely, let f be a concave function such that $\text{dom}(f) = N_{\mathbb{R}}$ and $|f - \Psi_{\Delta}|$ is bounded. Then $\text{stab}(f) = \text{stab}(\Psi_{\Delta}) = \Delta$. By [Roc70, Theorem 12.2] f^{\vee} is a closed concave function on Δ . Since Δ is a polytope, by [Roc70, Theorem 10.2], f^{\vee} is continuous on Δ . Hence we can apply (1) to f^{\vee} to obtain functions $g_i \in \mathcal{P}(\Delta, N_{\mathbb{R}})$

approaching f^\vee uniformly. By Proposition 2.2.3, we conclude that the functions $g_i^\vee \in \mathcal{P}(N_{\mathbb{R}}, \Delta)$ approach f uniformly and so $f \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta)$. \square

Proposition 2.5.24. — *Let Δ be a lattice polytope of $M_{\mathbb{R}}$. Then the subset of rational piecewise affine concave functions in $\overline{\mathcal{P}}(\Delta, N_{\mathbb{R}})$ (respectively, in $\overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta)$) is dense with respect to uniform convergence.*

Proof. — This follows from Proposition 2.5.23 and the density of rational numbers. \square

2.6. Differences of concave functions

Let $C \subset N_{\mathbb{R}}$ be a convex set. A function $f: C \rightarrow \mathbb{R}$ is called a *difference of concave functions* or a *DC function* if it can be written as $f = g - h$ for concave functions $g, h: C \rightarrow \mathbb{R}$. DC functions play an important role in non-convex optimization and have been widely studied, see for instance [HT99] and the references therein. We will be interested in a subclass of DC functions, namely those which are a difference of uniform limits of piecewise affine concave functions.

Definition 2.6.1. — For a polyhedron Λ in $N_{\mathbb{R}}$ we set

$$\mathcal{D}(\Lambda) = \{g - h \mid g, h \in \mathcal{P}(\Lambda)\}, \quad \overline{\mathcal{D}}(\Lambda) = \{g - h \mid g, h \in \overline{\mathcal{P}}(\Lambda)\}.$$

and

$$\mathcal{D}(\Lambda)_{\mathbb{Z}} = \{g - h \mid g, h \in \mathcal{P}(\Lambda)_{\mathbb{Z}}\}, \quad \overline{\mathcal{D}}(\Lambda)_{\mathbb{Z}} = \{g - h \mid g, h \in \overline{\mathcal{P}}(\Lambda)_{\mathbb{Z}}\}.$$

These spaces are closed under the operations of taking finite linear combinations, upper envelope and lower envelope.

Proposition 2.6.2. — *Let Λ be a polyhedron in $N_{\mathbb{R}}$ and f_1, \dots, f_l functions in $\mathcal{D}(\Lambda)$ (respectively in $\overline{\mathcal{D}}(\Lambda)$, $\mathcal{D}(\Lambda)_{\mathbb{Z}}$ or $\overline{\mathcal{D}}(\Lambda)_{\mathbb{Z}}$). Then the functions*

1. $\sum_i \lambda_i f_i$ for any $\lambda_i \in \mathbb{R}$ (respectively $\lambda_i \in \mathbb{Z}$ for $\mathcal{D}(\Lambda)_{\mathbb{Z}}$ or $\overline{\mathcal{D}}(\Lambda)_{\mathbb{Z}}$),
2. $\max_i f_i, \min_i f_i$

are also in $\mathcal{D}(\Lambda)$ (respectively in $\overline{\mathcal{D}}(\Lambda)$, $\mathcal{D}(\Lambda)_{\mathbb{Z}}$ or $\overline{\mathcal{D}}(\Lambda)_{\mathbb{Z}}$).

Proof. — Statement (1) is obvious. For the statement (2), write $f_i = g_i - h_i$ with g_i, h_i in $\mathcal{P}(\Lambda)$ (respectively, in $\overline{\mathcal{P}}(\Lambda)$). Then the upper envelope admits the DC decomposition $\max_i f_i = g - h$ with

$$g := \sum_j g_j, \quad h := \min_i \left(h_i + \sum_{j \neq i} g_j \right),$$

which are both concave functions in $\mathcal{P}(\Lambda)$ (respectively, in $\overline{\mathcal{P}}(\Lambda)$). This shows that $\max_i f_i$ is in $\mathcal{D}(\Lambda)$ (respectively, in $\overline{\mathcal{D}}(\Lambda)$). The statement for the lower envelope follows similarly. \square

In particular, if f lies in $\mathcal{D}(\Lambda)$ or in $\overline{\mathcal{D}}(\Lambda)$, the same holds for the functions $|f|$, $\max(f, 0)$ and $\min(f, 0)$.

Corollary 2.6.3. — *The space $\mathcal{D}(\Lambda)$ coincides with the space of piecewise affine functions on Λ .*

Proof. — This follows from the max-min representation of piecewise affine functions in [Ovc02] and Proposition 2.6.2(2). \square

Some constructions for concave functions can be extended to this kind of functions. In particular, we can define the recession of a function in $\overline{\mathcal{D}}(\Lambda)$.

Definition 2.6.4. — Let Λ be a polyhedron in $N_{\mathbb{R}}$ and $f \in \overline{\mathcal{D}}(\Lambda)$. The *recession function* of f is defined as

$$\text{rec}(f): \text{rec}(\Lambda) \longrightarrow \mathbb{R}, \quad u \longmapsto \lim_{\lambda \rightarrow \infty} \frac{f(v_0 + \lambda u) - f(v_0)}{\lambda} \quad (2.6.1)$$

for any $v_0 \in \Lambda$.

Write $f = g - h$ for any $g, h \in \overline{\mathcal{D}}(\Lambda)$. By Proposition 2.5.20(3), the effective domain of both $\text{rec}(g)$ and $\text{rec}(h)$ is $\text{rec}(\Lambda)$. Therefore, by (2.3.3), for all $u \in \text{rec}(\Lambda)$, the limit (2.6.1) exists and

$$\text{rec}(f)(u) = \text{rec}(g)(u) - \text{rec}(h)(u).$$

Observe that the recession function of a function in $\mathcal{D}(\Lambda)$ is a piecewise linear function on a subdivision of the cone $\text{rec}(\Lambda)$ into polyhedral cones. Observe also that

$$|f - \text{rec}(f)| \leq |g - \text{rec}(g)| + |h - \text{rec}(h)| = O(1).$$

We will be mostly interested in the case when $\Lambda = N_{\mathbb{R}}$.

Proposition 2.6.5. — *Let $\|\cdot\|$ be any metric on $N_{\mathbb{R}}$ and $f \in \overline{\mathcal{D}}(N_{\mathbb{R}})$. Then there exists a constant $\kappa > 0$ such that, for all $u, v \in N_{\mathbb{R}}$,*

$$|f(u) - f(v)| \leq \kappa \|u - v\|.$$

A function which verifies the conclusion of this proposition is called *Lipschitzian*.

Proof. — Let $f = g - h$ with $g, h \in \overline{\mathcal{D}}(N_{\mathbb{R}})$. The effective domain of the recessions of g and of h is the whole of $N_{\mathbb{R}}$. By [Roc70, Theorem 10.5], both g and h are Lipschitzian, hence so is f . \square

Observe that $\overline{\mathcal{D}}(N_{\mathbb{R}})$ is *not* the completion of $\mathcal{D}(N_{\mathbb{R}})$ with respect to uniform convergence and neither $\overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$ is the completion of $\mathcal{D}(N_{\mathbb{R}})_{\mathbb{Z}}$. It is easy to construct functions which are uniform limits of piecewise affine ones but do not verify the Lipschitz condition.

We will consider the integral and rational structures on the space of piecewise affine functions. We will use the notation previous to Definition 2.5.15.

Definition 2.6.6. — Let Λ be a polyhedron and $f \in \mathcal{D}(\Lambda)$. We say that f is an *H-lattice* (respectively *V-lattice*) function if it can be written as the difference of two H-lattice (respectively V-lattice) concave functions with effective domain Λ . We say that f is a *rational piecewise affine* function if it is the difference of two rational piecewise affine concave functions with effective domain Λ .

Proposition 2.6.7. — *If f is an H-lattice function (respectively a rational piecewise affine function) on a polyhedron $\Lambda \subset N_{\mathbb{R}}$, then there is a polyhedral complex Π in $N_{\mathbb{R}}$, with $|\Pi| = \Lambda$, such that, for every $\Lambda' \in \Pi$,*

$$f|_{\Lambda'}(u) = \langle m_{\Lambda'}, u \rangle + l_{\Lambda'},$$

with $(m_{\Lambda'}, l_{\Lambda'}) \in M \times \mathbb{Z}$ (respectively $(m_{\Lambda'}, l_{\Lambda'}) \in M_{\mathbb{Q}} \times \mathbb{Q}$). Conversely, every piecewise affine function on Λ such that its defining functions have integral (respectively rational) coefficients, is an H-lattice function (respectively a rational piecewise affine function).

Proof. — We will prove the statement for H-lattice functions. The statement for rational piecewise affine functions is proved with the same argument. If f is an H-lattice function, we can write $f = g - h$, where g and h are H-lattice concave functions. We obtain Π as any common refinement of $\Pi(g)$ and $\Pi(h)$ to a polyhedral complex. Then the statement follows from the definition of H-lattice concave functions.

We also prove the converse only for H-lattice functions. Let g_i , $i = 1, \dots, n$, be the set of H-lattice distinct defining functions of f . By [Ovc02, Theorem 2.1] there is a family $\{S_j\}_{j \in J}$ of subsets of $\{1, \dots, n\}$ such that, for all $x \in \Lambda$,

$$f(x) = \max_{j \in J} \min_{i \in S_j} g_i(x).$$

For $j \in J$, write $f_j = \min_{i \in S_j} g_i$. It is an H-lattice concave function. Then we can write

$$f(x) = \sum_{j \in J} f_j(x) - \left(\sum_{j \in J} f_j(x) - \max_{j \in J} f_j(x) \right).$$

Since both $\sum_{j \in J} f_j$ and $\sum_{j \in J} f_j - \max_{j \in J} f_j = \min_{j \in J} \sum_{i \in J \setminus \{j\}} f_i$ are H-lattice concave functions on Λ , we conclude that f is an H-lattice function. \square

Definition 2.6.8. — Let f be a rational piecewise affine function on $N_{\mathbb{R}}$, and let Π and $\{(m_{\Lambda}, l_{\Lambda})\}_{\Lambda \in \Pi}$ be as in Proposition 2.6.7. The family $\{(m_{\Lambda}, l_{\Lambda})\}_{\Lambda \in \Pi}$ is called a set of *defining vectors* of f .

Proposition 2.6.9. — *Let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$ and f an H-lattice function on Π . Then $\text{rec}(f)$ is a conic H-lattice function on the fan $\text{rec}(\Pi)$.*

Proof. — Let $\Lambda \in \Pi$ and $(m, l) \in M \times \mathbb{Z}$ such that $f(u) = \langle m, u \rangle + l$ for $u \in \Lambda$. Then, by the definition of $\text{rec}(f)$, it is clear that $\text{rec}(f)|_{\text{rec}(\Lambda)}(u) = \langle m, u \rangle$. Hence, $\text{rec}(f)$ is a conic H-lattice function on $\text{rec}(\Pi)$. \square

2.7. Monge-Ampère measures

Let $f: C \rightarrow \mathbb{R}$ be a concave function of class \mathcal{C}^2 on an open convex set $C \subset \mathbb{R}^n$. Its Hessian matrix

$$\text{Hess}(f)(u) := \left(\frac{\partial^2 f}{\partial u_i \partial u_j}(u) \right)_{1 \leq i, j \leq n}$$

is a negative semi-definite matrix which quantifies the curvature of f at the point u . The real Monge-Ampère operator is defined as $(-1)^n$ times the determinant of this matrix. This notion can be extended as a measure to the case of an arbitrary concave function. A good reference for Monge-Ampère measures is [RT77].

Let μ be a Haar measure of $M_{\mathbb{R}}$. Assume that we choose linear coordinates (x_1, \dots, x_n) of $M_{\mathbb{R}}$ such that μ is the measure associated to the differential form $\omega = dx_1 \wedge \dots \wedge dx_n$ and the orientation of $M_{\mathbb{R}}$ defined by this system of coordinates. Let (u_1, \dots, u_n) be the dual coordinates of $N_{\mathbb{R}}$. We will use the induced orientation to identify a top differential form with a signed measure.

Definition 2.7.1. — Let f be a closed concave function on $N_{\mathbb{R}}$. The *real Monge-Ampère measure* of f with respect to μ is defined, for a Borel subset E of $N_{\mathbb{R}}$, as

$$\mathcal{M}_{\mu}(f)(E) = \mu(\partial f(E)).$$

It is a measure with support contained in $\text{dom}(\partial f)$. The correspondence $f \mapsto \mathcal{M}_{\mu}(f)$ is called the *Monge-Ampère operator*.

When the measure μ is clear from the context, we will drop it from the notation. Moreover, since we are not going to consider complex Monge-Ampère measures, we will simply call $\mathcal{M}_{\mu}(f)$ the Monge-Ampère measure of f .

By (2.2.2), the total mass of the Monge-Ampère measure is given by

$$\mathcal{M}_{\mu}(f)(N_{\mathbb{R}}) = \mu(\text{stab}(f)). \quad (2.7.1)$$

In particular, when $\text{stab}(f)$ is bounded, $\mathcal{M}_{\mu}(f)$ is a finite measure.

Proposition 2.7.2. — *The Monge-Ampère measure is a continuous map from the space of concave functions with the topology defined by uniform convergence on compact sets to the space of σ -finite measures on $N_{\mathbb{R}}$ with the weak topology.*

Proof. — This is proved in [RT77, §3]. □

The two basic examples of Monge-Ampère measures that we are interested in, are the ones associated to smooth functions and the ones associated to piecewise linear functions.

Proposition 2.7.3. — *Let C be an open convex set in $N_{\mathbb{R}}$ and $f \in \mathcal{C}^2(C)$ a concave function. Then*

$$\mathcal{M}_{\mu}(f) = (-1)^n \det(\text{Hess}(f)) du_1 \wedge \cdots \wedge du_n,$$

where the Hessian matrix is calculated with respect to the coordinates (u_1, \dots, u_n) .

Proof. — This is [RT77, Proposition 3.4] □

By contrast, the Monge-Ampère measure of a piecewise affine concave function is a discrete measure supported on the vertices of a polyhedral complex.

Proposition 2.7.4. — *Let f be a piecewise affine concave function with $\text{dom}(f) = N_{\mathbb{R}}$ and $(\Pi(f), \Pi(f^{\vee}))$ the dual pair of polyhedral complexes associated to f . Denote by $\Lambda \mapsto \Lambda^*$ the correspondence $\mathcal{L}f$. Then*

$$\mathcal{M}_{\mu}(f) = \sum_{v \in \Pi(f)^0} \mu(\partial f(v)) \delta_v = \sum_{v \in \Pi(f)^0} \mu(v^*) \delta_v = \sum_{\Lambda \in \Pi(f^{\vee})^n} \mu(\Lambda) \delta_{\Lambda^*},$$

where δ_v is the Dirac measure supported on v .

Proof. — This follows easily from the definition of $\mathcal{M}(f)$ and the properties of the Legendre correspondence of piecewise affine functions. □

Example 2.7.5. — Let $\Delta \subset M_{\mathbb{R}}$ be a polytope and Ψ_{Δ} its support function. Since $\Pi(\Psi_{\Delta})$ is a fan, it has the origin as its single vertex. Moreover, $0^* = \Delta$. Therefore,

$$\mathcal{M}_{\mu}(\Psi_{\Delta}) = \mu(\Delta) \delta_0.$$

The following relation between Monge-Ampère measure and Legendre-Fenchel duality is one of the key ingredients in the computation of the height of a toric variety. We will consider the $(n-1)$ -differential form on $N_{\mathbb{R}}$

$$\lambda = \sum_{i=1}^n (-1)^{i-1} x_i dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_n.$$

It satisfies $d\lambda = n\omega$.

Let $D \subset N_{\mathbb{R}}$ be a compact convex set and set $\partial D = D \setminus \text{ri}(D)$ for its relative boundary. If the interior of D is non-empty, by using [Roc70, theorems 25.5 and 10.4] one can show that D has piecewise smooth boundary in the sense of [AMR88, Definition 7.2.17]. Therefore, for any continuous function g on D the integral

$$\int_{\partial D} g \lambda$$

is well-defined. If the interior of D is empty, then we define this integral as zero.

Theorem 2.7.6. — *Let $f: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a concave function such that $D = \text{stab}(f)$ is compact. Then*

$$-\int_{N_{\mathbb{R}}} f d\mathcal{M}_{\mu}(f) = (n+1) \int_D f^{\vee} d\mu - \int_{\partial D} f^{\vee} \lambda. \quad (2.7.2)$$

To prove this result, we will use the following lemma to reduce to the case of strictly concave smooth functions.

Lemma 2.7.7. — *Let $f: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a concave function such that $\text{stab}(f)$ is bounded and has non-empty interior. Then there is a sequence of strictly concave smooth functions $(f_l)_{l \geq 1}$ that converges to f uniformly in $N_{\mathbb{R}}$.*

Proof. — Let $\|\cdot\|$ be the Euclidean norm on $N_{\mathbb{R}}$ induced by the choice of linear coordinates. By [Roc70, Corollary 13.3.3], the hypothesis that $\text{stab}(f)$ is bounded implies that there is a constant $\kappa > 0$ such that, for all $x, y \in N_{\mathbb{R}}$,

$$|f(x) - f(y)| \leq \kappa \|x - y\|. \quad (2.7.3)$$

For $l \geq 1$, consider the Gaussian function

$$\rho_l(x) = \frac{l^n}{(2\pi)^{n/2}} e^{-\frac{l^2 \|x\|^2}{2}}.$$

We define

$$f_l(x) = \int_{N_{\mathbb{R}}} \rho_l(x - y) f(y) \, d\mu(y).$$

The fact that ρ_l is smooth implies that f_l is smooth too, and the facts that $\text{stab}(f)$ has non-empty interior and that ρ_l is strictly positive on the whole of $N_{\mathbb{R}}$ imply that f_l is strictly concave. The equation (2.7.3) implies that the sequence $(f_k)_{k \in \mathbb{N}}$ converges uniformly to f . \square

Proof of Theorem 2.7.6. — If the interior of D is empty, then both sides of the equation (2.7.2) are zero. Therefore, the theorem is trivially true in this case. Thus, we may assume that D has non-empty interior.

Since $\text{stab}(f)$ is compact, the right-hand side of (2.7.2) is continuous with respect to uniform convergence of functions, thanks to Proposition 2.2.3. Moreover, Proposition 2.7.2 and the fact that $\mathcal{M}_{\mu}(f)$ is finite imply that the left-hand side is also continuous with respect to uniform convergence. By Lemma 2.7.7, we can find a sequence of strictly concave smooth functions $(f_l)_{l \geq 1}$ that converges uniformly to f . Hence, we may assume that f is smooth and strictly concave. In this case, the Legendre transform $\nabla f: N_{\mathbb{R}} \rightarrow D^{\circ}$ is a diffeomorphism (Theorem 2.4.2).

By the definition of the Monge-Ampère measure,

$$\int_{N_{\mathbb{R}}} f \, d\mathcal{M}_{\mu}(f) = \int_D f((\nabla f)^{-1}x) \, d\mu(x), \quad (2.7.4)$$

which, in particular, shows that the integral on the left is convergent for smooth strictly concave functions with compact stability set. Therefore, it is convergent for any concave function within the hypothesis of the theorem.

By Theorem 2.4.2(4),

$$-f((\nabla f)^{-1}(x)) = f^{\vee}(x) - \langle (\nabla f)^{-1}(x), x \rangle. \quad (2.7.5)$$

Moreover,

$$\begin{aligned} d(f^\vee \lambda)(x) &= df^\vee \wedge \lambda(x) + f^\vee d\lambda(x) \\ &= \langle \nabla f^\vee(x), x \rangle \omega + n f^\vee \omega \\ &= \langle (\nabla f)^{-1}(x), x \rangle \omega + n f^\vee \omega, \end{aligned} \tag{2.7.6}$$

where the last equality follows from Theorem 2.4.2(3). The result is obtained by combining the equations (2.7.4), (2.7.5) and (2.7.6) with the piecewise smooth Stokes' theorem [AMR88, Theorem 8.2.20]. \square

We now particularize Theorem 2.7.6 to the case when the Haar measure comes from a lattice and the convex set is a lattice polytope of maximal dimension.

Definition 2.7.8. — Let L be a lattice and set $L_{\mathbb{R}} = L \otimes \mathbb{R}$. We denote by vol_L the Haar measure on $L_{\mathbb{R}}$ normalized so that L has covolume 1.

Let N be a lattice of $N_{\mathbb{R}}$ and set $M = N^\vee$ for its dual lattice. For a concave function f , we denote by $\mathcal{M}_M(f)$ the Monge-Ampère measure with respect to the normalized Haar measure vol_M .

Notation 2.7.9. — Let Λ be a rational polyhedron in $M_{\mathbb{R}}$ and $\text{aff}(\Lambda)$ its affine hull. We denote by L_Λ the linear subspace of $M_{\mathbb{R}}$ associated to $\text{aff}(\Lambda)$ and by $M(\Lambda)$ the induced lattice $M \cap L_\Lambda$. By definition, $\text{vol}_{M(\Lambda)}$ is a measure on L_Λ , and we will denote also by $\text{vol}_{M(\Lambda)}$ the measure induced on $\text{aff}(\Lambda)$. If $v \in N_{\mathbb{R}}$ is orthogonal to L_Λ , we define $\langle \Lambda, v \rangle = \langle x, v \rangle$ for any $x \in \Lambda$. Furthermore, when $\dim(\Lambda) = n$ and F is a facet of Λ , we will denote by $v_F \in N$ the vector of minimal length that is orthogonal to L_F and satisfies $\langle F, v_F \rangle \leq \langle x, v_F \rangle$ for each $x \in \Lambda$. In other words, v_F is the minimal inner integral orthogonal vector of F as a facet of Λ .

Corollary 2.7.10. — Let $f: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a concave function such that $\Delta = \text{stab}(f)$ is a lattice polytope of dimension n . Then

$$-\int_{N_{\mathbb{R}}} f d\mathcal{M}_M(f) = (n+1) \int_{\Delta} f^\vee d\text{vol}_M + \sum_F \langle F, v_F \rangle \int_F f^\vee d\text{vol}_{M(F)},$$

where the sum is over the facets F of Δ .

Proof. — We choose (m_1, \dots, m_n) a basis of M such that (m_2, \dots, m_n) is a basis of $M(F)$ and m_1 points to the exterior direction. Expressing λ in this basis we obtain

$$\lambda|_F = -\langle F, v_F \rangle d\text{vol}_{M(F)}.$$

The result then follows from Theorem 2.7.6. \square

In §5, we will see that we can express the height of a toric variety in terms of integrals of the form $\int_{\Delta} f^\vee d\text{vol}_M$ as in the above result. In some situations, it will be useful to translate those integrals to integrals on $N_{\mathbb{R}}$.

Let $f: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a concave function and $g: \text{stab}(f) \rightarrow \mathbb{R}$ an integrable function. We consider the signed measure on $N_{\mathbb{R}}$ defined, for a Borel subset E of $N_{\mathbb{R}}$, as

$$\mathcal{M}_{M,g}(f)(E) = \int_{\partial f(E)} g \, d \text{vol}_M.$$

Clearly, $\mathcal{M}_{M,g}(f)$ is uniformly continuous with respect to $\mathcal{M}_M(f)$. By the Radon-Nicodym theorem, there is a $\mathcal{M}_M(f)$ -measurable function, that we denote $g \circ \partial f$, such that

$$\int_E g \circ \partial f \, d\mathcal{M}_M(f) = \int_E d\mathcal{M}_{M,g}(f) = \int_{\partial f(E)} g \, d \text{vol}_M. \tag{2.7.7}$$

Example 2.7.11. — When the function f is differentiable or piecewise affine, the measurable function $f^\vee \circ \partial f$ can be made explicit.

1. Let $f \in \mathcal{C}^2(N_{\mathbb{R}})$. Proposition 2.7.3 and the change of variables formula imply $g \circ \partial f = g \circ \nabla f$. For the particular case when $g = f^\vee$, Theorem 2.4.2(4) implies, for $u \in N_{\mathbb{R}}$,

$$f^\vee \circ \partial f(u) = \langle \nabla f(u), u \rangle - f(u).$$

2. Let f a piecewise affine concave function on $N_{\mathbb{R}}$. By Proposition 2.7.4, $\mathcal{M}_M(f)$ is supported in the finite set $\Pi(f)^0$ and so is $\mathcal{M}_{M,g}(f)$. For $v \in \Pi(f)^0$ write $v^* \in \Pi(f^\vee)^n$ for the dual polyhedron. Then $g \circ \partial f(v) = \frac{1}{\text{vol}_M(v^*)} \int_{v^*} g \, d \text{vol}_M$, which implies

$$f^\vee \circ \partial f(v) = \frac{1}{\text{vol}_M(v^*)} \int_{v^*} \langle x, v \rangle \, d \text{vol}_M - f(v).$$

The function $f^\vee \circ \partial f$ is defined as a $\mathcal{M}_M(f)$ -measurable function. Therefore, only its values at the points $v \in \Pi(f)^0$ are well defined. Nevertheless, we can extend the function $f^\vee \circ \partial f$ to the whole $N_{\mathbb{R}}$ by writing

$$f^\vee \circ \partial f(u) = \frac{1}{\text{vol}_\mu(\partial f(u))} \int_{\partial f(u)} \langle x, u \rangle \, d\mu - f(u)$$

for any Haar measure μ on the affine space determined by $\partial f(u)$.

The Monge-Ampère operator is homogeneous of degree n . There is an associated multilinear operator, introduced by Passare and Rullgård [PR04], which takes n concave functions as arguments.

Definition 2.7.12. — Let f_1, \dots, f_n be closed concave functions on $N_{\mathbb{R}}$. The *mixed Monge-Ampère measure* is defined by the formula

$$\mathcal{M}_M(f_1, \dots, f_n) = \frac{1}{n!} \sum_{j=1}^n (-1)^{n-j} \sum_{1 \leq i_1 < \dots < i_j \leq n} \mathcal{M}_M(f_{i_1} + \dots + f_{i_j}).$$

In principle, the mixed Monge-Ampère measure is a signed measure. Nevertheless it can be shown that it is a measure (see [PR04, §5]). Moreover, it is symmetric and multilinear in the concave functions f_i with respect to the pointwise addition.

Proposition 2.7.13. — *The mixed Monge-Ampère measure is a continuous map from the space of n -tuples of concave functions with the topology defined by uniform convergence on compact sets to the space of σ -finite measures on $N_{\mathbb{R}}$ with the weak topology.*

Proof. — The general mixed case reduces to the unmixed case $f_1 = \dots = f_n$, which is Proposition 2.7.2. \square

Definition 2.7.14. — *The mixed volume of a family of compact convex sets Q_1, \dots, Q_n of $M_{\mathbb{R}}$ is defined as*

$$\text{MV}_M(Q_1, \dots, Q_n) = \sum_{j=1}^n (-1)^{n-j} \sum_{1 \leq i_1 < \dots < i_j \leq n} \text{vol}_M(Q_{i_1} + \dots + Q_{i_j})$$

Since $\text{MV}_M(Q, \dots, Q) = n! \text{vol}_M(Q)$, the mixed volume is a generalization of the volume of a convex body. The mixed volume is symmetric and linear in each variable Q_i with respect to the Minkowski sum, and monotone with respect to inclusion [Ewa96, Chapter IV].

The total mass of the mixed Monge-Ampère measure is given by a mixed volume.

Proposition 2.7.15. — *Let f_1, \dots, f_n be concave functions such that $\text{ri}(\text{dom}(f_1)) \cap \dots \cap \text{ri}(\text{dom}(f_n)) \neq \emptyset$, then*

$$\mathcal{M}_M(f_1, \dots, f_n)(N_{\mathbb{R}}) = \frac{1}{n!} \text{MV}_M(\text{stab}(f_1), \dots, \text{stab}(f_n)).$$

Proof. — If $\text{dom}(f_i) = N_{\mathbb{R}}$ for all i , this is proved in [PR04, Proposition 3(iv)]. In the general case, this follows from the definitions of mixed Monge-Ampère measure and mixed volume, the equation (2.7.1) and Proposition 2.3.1(3). \square

Following [PS08a], we introduce an extension of the notion of integral of a concave function.

Definition 2.7.16. — *Let Q_i , $i = 0, \dots, n$, be a family of compact convex subsets of $M_{\mathbb{R}}$ and $g_i: Q_i \rightarrow \mathbb{R}$ a concave function on Q_i . The mixed integral of g_0, \dots, g_n is defined as*

$$\text{MI}_M(g_0, \dots, g_n) = \sum_{j=0}^n (-1)^{n-j} \sum_{0 \leq i_0 < \dots < i_j \leq n} \int_{Q_{i_0} + \dots + Q_{i_j}} g_{i_0} \boxplus \dots \boxplus g_{i_j} \, d \text{vol}_M.$$

For a compact convex subset $Q \subset M_{\mathbb{R}}$ and a concave function g on Q , we have $\text{MI}_M(g, \dots, g) = (n+1)! \int_Q g \, d\text{vol}_M$. The mixed integral is symmetric and additive in each variable g_i with respect to the sup-convolution. For a scalar $\lambda \in \mathbb{R}_{\geq 0}$, we have $\text{MI}_M(\lambda g_0, \dots, \lambda g_n) = \lambda \text{MI}_M(g_0, \dots, g_n)$. We refer to [PS08a, PS08b] for the proofs and more information about this notion.

CHAPTER 3

TORIC VARIETIES

In this chapter we recall some basic facts about the algebraic geometry of toric varieties and schemes. In the first place, we consider toric varieties over a field and then toric schemes over a DVR. We refer to [KKMS73, Oda88, Ful93, Ewa96, CLS11] for more details.

We will use the notations of the previous section concerning concave functions and polyhedra, with the proviso that the vector space $N_{\mathbb{R}}$ will always be equipped with a lattice N and most of the objects we consider will be compatible with this integral structure, even if not said explicitly. In particular, from now on, by a *fan* (Definition 2.1.11) we will mean a rational fan and by a *polytope* we will mean a lattice polytope.

3.1. Fans and toric varieties

Let K be a field and $\mathbb{T} \simeq \mathbb{G}_m^n$ a split torus over K . We alternatively denote it by \mathbb{T}_K if we want to refer to its field of definition.

Definition 3.1.1. — A *toric variety* is a normal variety X over K equipped with a dense open embedding $\mathbb{T} \hookrightarrow X$ and an action $\mu: \mathbb{T} \times X \rightarrow X$ that extends the action of \mathbb{T} on itself by translations. When we want to stress the torus, we will call X a toric variety *with torus* \mathbb{T} .

Toric varieties can be described in combinatorial terms as we recall in the sequel. Let $N = \text{Hom}(\mathbb{G}_m, \mathbb{T}) \simeq \mathbb{Z}^n$ be the lattice of one-parameter subgroups of \mathbb{T} and $M = N^\vee = \text{Hom}(N, \mathbb{Z})$ its dual lattice. For a ring R we set $N_R = N \otimes R$ and $M_R = M \otimes R$. We will use the additive notation for the group operations in N and M . There is a canonical isomorphism $M \simeq \text{Hom}(\mathbb{T}, \mathbb{G}_m)$ with the group of characters of \mathbb{T} . For $m \in M$ we will denote by χ^m the corresponding character.

To a fan Σ we associate a toric variety X_Σ over K by gluing together the affine toric varieties corresponding to the cones of the fan. For $\sigma \in \Sigma$, let σ^\vee be the dual cone (Definition 2.5.8) and set

$$M_\sigma = \sigma^\vee \cap M = \{m \in M \mid \langle m, u \rangle \geq 0, \forall u \in \sigma\}$$

for the saturated semigroup of its lattice points. We consider the semigroup algebra

$$K[M_\sigma] = \left\{ \sum_{m \in M_\sigma} \alpha_m \chi^m \mid \alpha_m \in K, \alpha_m = 0 \text{ for almost all } m \right\}$$

of formal finite sums of elements of M_σ , with the natural ring structure. It is an integrally closed domain of Krull dimension n . We set $X_\sigma = \text{Spec}(K[M_\sigma])$ for the associated affine toric variety. If τ is a face of σ , then $K[M_\tau]$ is a localization of $K[M_\sigma]$. Hence there is an inclusion of open sets

$$X_\tau = \text{Spec}(K[M_\tau]) \hookrightarrow X_\sigma = \text{Spec}(K[M_\sigma]).$$

For $\sigma, \sigma' \in \Sigma$, the affine toric varieties $X_\sigma, X_{\sigma'}$ glue together through the open subset $X_{\sigma \cap \sigma'}$ corresponding to their common face. Thus these affine varieties glue together to form the toric variety

$$X_\Sigma = \bigcup_{\sigma \in \Sigma} X_\sigma.$$

This is a normal variety over K of dimension n . When we need to specify the field of definition we will denote it as $X_{\Sigma, K}$. We denote by \mathcal{O}_{X_Σ} its structural sheaf and by \mathcal{K}_{X_Σ} its sheaf of rational functions. The open subsets $X_\sigma \subset X_\Sigma$ may be denoted by $X_{\Sigma, \sigma}$ when we want to include the ambient toric variety in the notation.

The cone $\{0\}$, that we denote simply by 0 , is a face of every cone and its associated affine scheme

$$X_0 = \text{Spec}(K[M])$$

is an open subset of all the schemes X_σ . This variety is an algebraic group over K canonically isomorphic to \mathbb{T} . We identify this variety with \mathbb{T} and call it the *principal open subset* of X_Σ .

For each $\sigma \in \Sigma$, the homomorphism

$$K[M_\sigma] \rightarrow K[M] \otimes K[M_\sigma], \quad \chi^m \mapsto \chi^m \otimes \chi^m$$

induces an action of \mathbb{T} on X_σ . This action is compatible with the inclusion of open sets and so it extends to an action on the whole of X_Σ

$$\mu: \mathbb{T} \times X_\Sigma \longrightarrow X_\Sigma.$$

Thus we have obtained a toric variety in the sense of Definition 3.1.1. In fact, all toric varieties are obtained in this way.

Theorem 3.1.2. — *The correspondence $\Sigma \mapsto X_\Sigma$ is a bijection between the set of fans in $N_{\mathbb{R}}$ and the set of isomorphism classes of toric varieties with torus \mathbb{T} .*

Proof. — This result is [KKMS73, §I.2, Theorem 6(i)]. □

For each $\sigma \in \Sigma$, the set of K -rational points in X_σ can be identified with the set of semigroup homomorphisms from $(M_\sigma, +)$ to the semigroup $(K, \times) := K^\times \cup \{0\}$. That is,

$$X_\sigma(K) = \text{Hom}_{\text{sg}}(M_\sigma, (K, \times)).$$

In particular, the set of K -rational points of the algebraic torus can be written intrinsically as

$$\mathbb{T}(K) = \text{Hom}_{\text{sg}}(M_0, (K, \times)) = \text{Hom}_{\text{gp}}(M, K^\times) \simeq (K^\times)^n.$$

Every affine toric variety has a distinguished rational point: we will denote by $x_\sigma \in X_\sigma(K) = \text{Hom}_{\text{sg}}(M_\sigma, (K, \times))$ the point given by the semigroup homomorphism

$$M_\sigma \ni m \mapsto \begin{cases} 1 & \text{if } -m \in M_\sigma, \\ 0 & \text{otherwise.} \end{cases}$$

For instance, the point $x_0 \in X_0 = \mathbb{T}$ is the unit of \mathbb{T} .

Most algebro-geometric properties of the toric scheme translate into combinatorial properties of the fan. In particular, X_Σ is proper if and only if the fan is *complete* in the sense that $|\Sigma| = N_{\mathbb{R}}$. The variety X_Σ is smooth if and only if every cone $\sigma \in \Sigma$ can be written as $\sigma = \mathbb{R}_{\geq 0}v_1 + \dots + \mathbb{R}_{\geq 0}v_k$ with v_1, \dots, v_k which are part of an integral basis of N . The variety X_Σ is projective if and only if the fan Σ is complete and regular (Definition 2.5.4).

Example 3.1.3. — Let Σ_{Δ^n} be the fan in Example 2.5.12. The toric variety $X_{\Sigma_{\Delta^n}}$ is the projective space $\mathbb{P}_{\mathbb{R}}^n$. More generally, to a polytope $\Delta \subset M_{\mathbb{R}}$ of maximal dimension we can associate a complete toric variety X_{Σ_Δ} , where Σ_Δ is the fan of Example 2.5.13.

3.2. Orbits and equivariant morphisms

The action of the torus induces a decomposition of a toric variety into disjoint orbits. These orbits are in one to one correspondence with the cones of the fan. Let $\sigma \in \Sigma$ and set

$$N(\sigma) = N/(N \cap \mathbb{R}\sigma), \quad M(\sigma) = N(\sigma)^\vee = M \cap \sigma^\perp, \tag{3.2.1}$$

where $\mathbb{R}\sigma$ is the linear space spanned by σ and σ^\perp is the orthogonal space to σ . We will denote by $\pi_\sigma: N \rightarrow N(\sigma)$ the projection of lattices. By abuse of notation, we will also denote by $\pi_\sigma: N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$ the induced projection of vector spaces.

The orthogonal space σ^\perp is the maximal linear space inside σ^\vee and $M(\sigma)$ is the maximal subgroup sitting inside the semigroup M_σ . Set

$$O(\sigma) = \text{Spec}(K[M(\sigma)]),$$

which is a torus over K of dimension $n - \dim(\sigma)$. The surjection of rings

$$K[M_\sigma] \longrightarrow K[M(\sigma)], \quad \chi^a \mapsto \begin{cases} \chi^a & \text{if } a \in \sigma^\perp, \\ 0 & \text{if } a \notin \sigma^\perp, \end{cases}$$

induces a closed immersion $O(\sigma) \hookrightarrow X_\sigma$. In terms of rational points, the inclusion $O(\sigma)(K) \hookrightarrow X_\sigma(K)$ sends a group homomorphism $\gamma: M(\sigma) \rightarrow K^\times$ to the semigroup homomorphism $\tilde{\gamma}: M_\sigma \rightarrow (K, \times)$ obtained by extending γ by zero. In particular, the distinguished point $x_\sigma \in X_\sigma(K)$ belongs to the image of $O(\sigma)(K)$ by the above inclusion. Composing with the open immersion $X_\sigma \hookrightarrow X_\Sigma$, we identify $O(\sigma)$ with a locally closed subvariety of X_Σ . For instance, the orbit associated to the cone 0 agrees with the principal open subset X_0 . In fact, if we consider x_σ as a rational point of X_Σ , then $O(\sigma)$ agrees with the orbit of x_σ by \mathbb{T} .

We denote by $V(\sigma)$ the Zariski closure of $O(\sigma)$ with its induced structure of closed subvariety of X_Σ . The subvariety $V(\sigma)$ has a natural structure of toric variety. To see it, we consider the fan on $N(\sigma)_\mathbb{R}$

$$\Sigma(\sigma) := \{ \pi_\sigma(\tau) \mid \tau \supset \sigma \}. \tag{3.2.2}$$

This fan is called the *star* of σ in Σ . For each $\tau \in \Sigma$ with $\sigma \subset \tau$, set $\bar{\tau} = \pi_\sigma(\tau) \in \Sigma(\sigma)$. Then, $M(\sigma)_{\bar{\tau}} = M(\sigma) \cap M_\tau$. There is a surjection of rings

$$K[M_\tau] \longrightarrow K[M(\sigma)_{\bar{\tau}}], \quad \chi^m \longmapsto \begin{cases} \chi^m & \text{if } m \in \sigma^\perp, \\ 0 & \text{if } m \notin \sigma^\perp, \end{cases}$$

that defines a closed immersion $X_{\bar{\tau}} \hookrightarrow X_\tau$. These maps glue together to give a closed immersion $\iota_\sigma: X_{\Sigma(\sigma)} \hookrightarrow X_\Sigma$.

Proposition 3.2.1. — *The closed immersion ι_σ induces an isomorphism $X_{\Sigma(\sigma)} \simeq V(\sigma)$.*

Proof. - - Since the image of each $X_{\bar{\tau}}$ contains $O(\sigma)$ as a dense orbit, we deduce the result from the construction of ι_σ . □

In view of this proposition, we will identify $V(\sigma)$ with $X_{\Sigma(\sigma)}$ and consider it as a toric variety.

We now discuss more general equivariant morphisms of toric varieties.

Definition 3.2.2. — Let $\mathbb{T}_i \simeq \mathbb{G}_m^{n_i}$, $i = 1, 2$, be split tori over K , and $\varrho: \mathbb{T}_1 \rightarrow \mathbb{T}_2$ a group morphism. Let X_i , $i = 1, 2$, be toric varieties with torus \mathbb{T}_i . A morphism $\varphi: X_1 \rightarrow X_2$ is ϱ -equivariant if the diagram

$$\begin{array}{ccc} \mathbb{T}_1 \times X_1 & \xrightarrow{\mu_1} & X_1 \\ \varrho \times \varphi \downarrow & & \downarrow \varphi \\ \mathbb{T}_2 \times X_2 & \xrightarrow{\mu_2} & X_2 \end{array}$$

is commutative. A morphism $\varphi: X_1 \rightarrow X_2$ is ϱ -toric if its restriction to \mathbb{T}_1 agrees with ϱ . We say that φ is *equivariant* or *toric* if it is ϱ -equivariant or ϱ -toric, respectively, for some ϱ .

Toric morphisms are equivariant. Indeed, a morphism is toric if and only if it is equivariant and sends the distinguished point $x_{1,0} \in X_1(K)$ to the distinguished point $x_{2,0} \in X_2(K)$.

The inclusion $V(\sigma) \rightarrow X_\Sigma$ is an example of equivariant morphism that is not toric. Moreover, the underlying morphism of tori depends on the choice of a section of the projection $\pi_\sigma: N \rightarrow N(\sigma)$.

A general equivariant morphism is obtained by composing an equivariant morphism whose image intersects the principal open subset, with the inclusion of this image as the Zariski closure of an orbit.

Equivariant morphisms whose image intersects the principal open subset can be characterized in combinatorial terms. Let \mathbb{T}_i , $i = 1, 2$, be split tori over K . Put $N_i = \text{Hom}(\mathbb{G}_m, \mathbb{T}_i)$ and let Σ_i be fans in $N_{i,\mathbb{R}}$. Let $H: N_1 \rightarrow N_2$ be a linear map such that, for every cone $\sigma_1 \in \Sigma_1$, there exists a cone $\sigma_2 \in \Sigma_2$ with $H(\sigma_1) \subset \sigma_2$, and let $p \in X_{\Sigma_2,0}(K)$ be a rational point. The linear map induces a group homomorphism

$$\varrho_H: \mathbb{T}_1 \longrightarrow \mathbb{T}_2.$$

Let $\sigma_i \in \Sigma_i$, $i = 1, 2$, be cones such that $H(\sigma_1) \subset \sigma_2$. Let $H^\vee: M_2 \rightarrow M_1$ be the map dual to H . Then there is a homomorphism of semigroups $M_{2,\sigma_2} \rightarrow M_{1,\sigma_1}$ which we also denote by H^\vee . For a monomial $\chi^m \in K[M_{2,\sigma_2}]$ we denote by $\chi^{H^\vee m}$ its image in $K[M_{1,\sigma_1}]$. The assignment $\chi^m \mapsto \chi^m(p)\chi^{H^\vee m}$ induces morphisms of algebras $K[M_{2,\sigma_2}] \rightarrow K[M_{1,\sigma_1}]$, that in turn, induce morphisms

$$X_{\sigma_1} = \text{Spec}(K[M_{1,\sigma_1}]) \longrightarrow X_{\sigma_2} = \text{Spec}(K[M_{2,\sigma_2}]).$$

These morphisms are compatible with the restriction to open subsets, and they glue together into a ϱ_H -equivariant morphism

$$\varphi_{p,H}: X_{\Sigma_1} \longrightarrow X_{\Sigma_2}. \tag{3.2.3}$$

In case $p = x_{2,0}$, the distinguished point on the principal open subset of X_{Σ_2} , this morphism is a toric morphism and will be denoted as φ_H for short.

Remark 3.2.3. — The restriction of $\varphi_{p,H}$ to the principal open subset can be written in coordinates by choosing bases of N_1 and N_2 . Let n_i be the rank of N_i . The chosen bases determine isomorphisms $X_{\Sigma_i,0} \simeq \mathbb{G}_m^{n_i}$, which give coordinates $\mathbf{x} = (x_1, \dots, x_{n_1})$ and $\mathbf{t} = (t_1, \dots, t_{n_2})$ for $X_{\Sigma_1,0}$ and $X_{\Sigma_2,0}$, respectively. We write the linear map H with respect to these basis as a matrix, and we denote its rows by a_i , $i = 1, \dots, n_2$. Write $p = (p_1, \dots, p_{n_2})$. In these coordinates, the morphism $\varphi_{p,H}$ is given by

$$\varphi_{p,H}(\mathbf{x}) = (p_1 \mathbf{x}^{a_1}, \dots, p_{n_2} \mathbf{x}^{a_{n_2}}).$$

Theorem 3.2.4. — *Let \mathbb{T}_i , N_i and Σ_i , $i = 1, 2$, be as above. Then the correspondence $(p, H) \mapsto \varphi_{p,H}$ is a bijection between*

1. *the set of pairs (p, H) , where $H: N_1 \rightarrow N_2$ is a linear map such that for every cone $\sigma_1 \in \Sigma_1$ there exists a cone $\sigma_2 \in \Sigma_2$ with $H(\sigma_1) \subset \sigma_2$, and p is a rational point of $X_{\Sigma_2,0}(K)$,*
2. *the set of equivariant morphisms $\varphi: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ whose image intersects the principal open subset of X_{Σ_2} .*

Proof. — For a point $p \in X_{\Sigma_2,0}(K) = \mathbb{T}_2(K)$, let $t_p: X_{\Sigma_2} \rightarrow X_{\Sigma_2}$ be the morphism induced by the toric action. Denote by $x_{1,0} \in X_{\Sigma_1}(K)$ the distinguished point of the principal open subset of X_{Σ_1} . The correspondence $\varphi \mapsto (t_{\varphi(x_{1,0})}^{-1} \circ \varphi, \varphi(x_{1,0}))$ establishes a bijection between the set of equivariant morphisms $\varphi: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ whose image intersects the principal open subset of X_{Σ_2} and the set of pairs (φ_0, p) , where $\varphi_0: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ is a toric morphism and $p \in X_{\Sigma_2,0}(K)$ is a rational point in the principal open subset. Then the result follows from [Oda88, Theorem 1.13]. \square

Following [Oda88, Proposition 1.14], we now show how to refine the Stein factorization for an equivariant morphism whose image intersects the principal open subset, in terms of combinatorial data. Let N_i , Σ_i , H and p be as in Theorem 3.2.4. The linear map H factorizes as

$$N_1 \xrightarrow{H_{\text{surj}}} N_3 := H(N_1) \xrightarrow{H_{\text{sat}}} N_4 := \text{sat}(N_3) \xrightarrow{H_{\text{inj}}} N_2,$$

where N_3 is the image of H and N_4 is the saturation of N_3 with respect to N_2 . Clearly $N_{3,\mathbb{R}} = N_{4,\mathbb{R}}$. By restriction, the fan Σ_2 induces a fan in this linear space. We will call this fan either Σ_3 or Σ_4 , depending on the lattice we are considering. Applying the combinatorial construction of equivariant morphisms, we obtain the following factorization of $\varphi_{p,H}$:

$$X_{\Sigma_1} \xrightarrow{\varphi_{H_{\text{surj}}}} X_{\Sigma_3} \xrightarrow{\varphi_{H_{\text{sat}}}} X_{\Sigma_4} \xrightarrow{\varphi_{p,H_{\text{inj}}}} X_{\Sigma_2}. \quad (3.2.4)$$

The first morphism has connected fibres, the second morphism is finite and surjective, and the third morphism is also finite. Therefore, $\varphi_{H_{\text{surj}}}$ and $\varphi_{p,H_{\text{inj}}} \circ \varphi_{H_{\text{sat}}}$ give a Stein factorization of $\varphi_{p,H}$. Furthermore, by [Oda88, Corollary 1.16],

$$\deg(\varphi_{H_{\text{sat}}}) = [N_4 : N_3]. \quad (3.2.5)$$

The morphism $\varphi_{p,H_{\text{inj}}}$ can be further factorized as a normalization followed by a closed immersion. In what follows, we describe this latter factorization with independent notations.

Consider a saturated sublattice Q of N , Σ a fan in $N_{\mathbb{R}}$ and $p \in X_{\Sigma,0}(K)$. Let Σ_Q be the induced fan in $Q_{\mathbb{R}}$ and $\iota: Q \hookrightarrow N$ the inclusion of Q into N . Then we have a finite equivariant morphism

$$\varphi_{p,\iota}: X_{\Sigma_Q} \longrightarrow X_{\Sigma}.$$

Set $P = Q^\vee = M/Q^\perp$ and let $\iota^\vee: M \rightarrow P$ be the dual of ι . Let $\sigma \in \Sigma$ and $\sigma' = \sigma \cap Q_{\mathbb{R}} \in \Sigma_Q$. The natural semigroup homomorphisms $M_\sigma \rightarrow P_{\sigma'}$ factors as

$$M_\sigma \longrightarrow M_{Q,\sigma} := (M_\sigma + Q^\perp)/Q^\perp \hookrightarrow P_{\sigma'} := P \cap (\sigma')^\vee.$$

The first arrow is the projection and will be denoted as $m \mapsto [m]$, while the second one is the inclusion of $M_{Q,\sigma}$ into its saturation with respect to P . We have a diagram of K -algebra morphisms

$$K[M_\sigma] \longrightarrow K[M_{Q,\sigma}] \hookrightarrow K[P_{\sigma'}],$$

where the left map is given by $\chi^m \mapsto \chi^m(p)\chi^{[m]}$, and the right map is given by $\chi^{[m]} \mapsto \chi^{\iota^\vee m}$. Let $Y_{\sigma,Q,p} \simeq \text{Spec}(K[M_{Q,\sigma}])$ be the closed subvariety of X_σ given by the left surjection. Then we have induced maps

$$X_{\sigma'} \longrightarrow Y_{\sigma,Q,p} \hookrightarrow X_\sigma.$$

These maps are compatible with the restriction to open subsets and so they glue together into a factorization of $\varphi_{p,\iota}$:

$$X_{\Sigma_Q} \longrightarrow Y_{\Sigma,Q,p} \hookrightarrow X_\Sigma. \tag{3.2.6}$$

Denote by $Y_{\Sigma,Q,p,0}$ the orbit of p under the action of the subtorus of \mathbb{T} determined by Q . Then $Y_{\Sigma,Q,p}$ is the closure of $Y_{\Sigma,Q,p,0}$, while the toric variety X_{Σ_Q} is the normalization of $Y_{\Sigma,Q,p}$. When $p = x_0$, the subvariety $Y_{\Sigma,Q,p}$ will be denoted by $Y_{\Sigma,Q}$ for short.

Observe in the previous construction that, when $\sigma = 0$, hence $\sigma' = 0$, then $M_{Q,0} = P_0$. Therefore the difference between X_{Σ_Q} and $Y_{\Sigma,Q,p}$ is concentrated in the complement of the principal open subset:

Proposition 3.2.5. — *The normalization map $X_{\Sigma_Q} \rightarrow Y_{\Sigma,Q,p}$ induces an isomorphism $X_{\Sigma_Q,0} \rightarrow Y_{\Sigma,Q,p,0}$.*

Definition 3.2.6. — A subvariety Y of X_Σ will be called a *toric subvariety* (respectively, a *translated toric subvariety*) if it is of the form $Y_{\Sigma,Q}$ (respectively, $Y_{\Sigma,Q,p}$) for a saturated sublattice $Q \subset N$ and $p \in X_{\Sigma,0}(K)$.

A translated toric subvariety is not necessarily a toric variety in the sense of Definition 3.1.1, since it may be non-normal.

Example 3.2.7. — Let $N = \mathbb{Z}^2$, $(a, b) \in N$ with $\gcd(a, b) = 1$ and $\iota: Q \hookrightarrow N$ the saturated sublattice generated by (a, b) . Let Σ be the fan in $N_{\mathbb{R}}$ of Example 2.5.12. Then $X_\Sigma = \mathbb{P}^2$ with projective coordinates $(x_0 : x_1 : x_2)$. The fan induced in $Q_{\mathbb{R}}$ has three cones: $\Sigma_Q = \{\mathbb{R}_{\leq 0}, \{0\}, \mathbb{R}_{\geq 0}\}$. Thus $X_{\Sigma_Q} = \mathbb{P}^1$. Let $p = (1 : p_1 : p_2)$ be a point of $X_{\Sigma,0}(K)$. Then $\varphi_{p,\iota}((1 : t)) = (1 : p_1 t^a : p_2 t^b)$. Therefore, $Y_{\Sigma,Q,p}$ is the curve of equation

$$p_2^a x_0^a x_1^b - p_1^b x_0^b x_2^a = 0.$$

In general, this curve is not normal. Hence it is not a toric variety.

We end this section by stating the compatibility between equivariant morphisms and orbits.

Proposition 3.2.8. — *With the notations of Theorem 3.2.4. Let $\sigma_1 \in \Sigma_1$ and let $\sigma_2 \in \Sigma_2$ be the unique cone such that $H(\sigma_1) \subset \sigma_2$ and $H(\sigma_1) \cap \text{ri}(\sigma_2) \neq \emptyset$. Let $H': N_1(\sigma_1) \rightarrow N_2(\sigma_2)$ be the linear map induced by H and let $p' \in O(\sigma_2) = \text{Spec}(K[M_2(\sigma_2)])$ be the point determined by the map $K[M_2(\sigma_2)] \rightarrow K$, $\chi^m \mapsto \chi^m(p)$, $m \in M_2(\sigma_2)$. Then there is a commutative diagram*

$$\begin{array}{ccc} X_{\Sigma_1(\sigma_1)} & \xrightarrow{\varphi_{p',H'}} & X_{\Sigma_2(\sigma_2)} \\ \iota_{\sigma_1} \downarrow & & \downarrow \iota_{\sigma_2} \\ X_{\Sigma_1} & \xrightarrow{\varphi_{p,H}} & X_{\Sigma_2}. \end{array}$$

3.3. \mathbb{T} -Cartier divisors and toric line bundles

When studying toric varieties, the objects that admit a combinatorial description are those that are compatible with the torus action. These objects are enough for many purposes. For instance, the divisor class group of a toric variety is generated by invariant divisors.

Let $\pi_2: \mathbb{T} \times X \rightarrow X$ denote the projection to the second factor and $\mu: \mathbb{T} \times X \rightarrow X$ the torus action. A Cartier divisor D is invariant if and only if

$$\pi_2^* D = \mu^* D.$$

Definition 3.3.1. — Let X be a toric variety with torus \mathbb{T} . A Cartier divisor on X is called a \mathbb{T} -Cartier divisor if it is invariant under the action of \mathbb{T} on X .

The combinatorial description of \mathbb{T} -Cartier divisors is done in terms of virtual support functions.

Definition 3.3.2. — Let Σ be a fan in $N_{\mathbb{R}}$. A function $\Psi: |\Sigma| \rightarrow \mathbb{R}$ is called a *virtual support function* on Σ if it is a conic H -lattice function (Definition 2.6.6). Alternatively, a virtual support function is a function $\Psi: |\Sigma| \rightarrow \mathbb{R}$ such that, for every cone $\sigma \in \Sigma$, there exists $m_\sigma \in M$ with $\Psi(u) = \langle m_\sigma, u \rangle$ for all $u \in \sigma$. A set of functionals $\{m_\sigma\}_{\sigma \in \Sigma}$ as above is called a set of *defining vectors* of Ψ . A concave virtual support function on a complete fan will be called a *support function*.

A support function on a complete fan in the sense of the previous definition, is the support function of a polytope as in Example 2.2.1: it is the support function of the polytope

$$\text{conv}(\{m_\sigma\}_{\sigma \in \Sigma^n}) \subset M_{\mathbb{R}},$$

where Σ^n is the subset of n -dimensional cones of Σ .

Two vectors $m, m' \in M$ define the same functional on a cone σ if and only if $m - m' \in \sigma^\perp$. Hence, for a given virtual support function Ψ on a fan Σ , each defining vector m_σ is unique up to the orthogonal space σ^\perp . In particular, $m_\sigma \in M$ is uniquely defined for $\sigma \in \Sigma^n$ and, in the other extreme, m_0 can be any point of M .

Let $\{m_\sigma\}_{\sigma \in \Sigma}$ be a set of defining vectors of Ψ . These vectors have to satisfy the compatibility condition

$$m_\sigma|_{\sigma \cap \sigma'} = m_{\sigma'}|_{\sigma \cap \sigma'} \text{ for all } \sigma, \sigma' \in \Sigma.$$

On each open set X_σ , the vector m_σ determines a rational function χ^{-m_σ} . For $\sigma, \sigma' \in \Sigma$, the above compatibility condition implies that $\chi^{-m_\sigma} / \chi^{-m_{\sigma'}}$ is a regular function on the overlap $X_\sigma \cap X_{\sigma'} = X_{\sigma \cap \sigma'}$ and so Ψ determines a Cartier divisor on X_Σ :

$$D_\Psi := \{(X_\sigma, \chi^{-m_\sigma})\}_{\sigma \in \Sigma}.$$

This Cartier divisor does not depend on the choice of defining vectors and it is a \mathbb{T} -Cartier divisor. All \mathbb{T} -Cartier divisors are obtained in this way.

Theorem 3.3.3. — *Let Σ be a fan in $N_\mathbb{R}$ and X_Σ the corresponding toric variety. The correspondence $\Psi \mapsto D_\Psi$ is a bijection between the set of virtual support functions on Σ and the set of \mathbb{T} -Cartier divisors on X_Σ . Two Cartier divisors D_{Ψ_1} and D_{Ψ_2} are rationally equivalent if and only if the function $\Psi_1 - \Psi_2$ is linear.*

Proof. — This is proved in [KKMS73, §I.2, Theorem 9]. □

We next recall the relationship between Cartier divisors and line bundles in the toric case.

Definition 3.3.4. — Let X be a toric variety and L a line bundle on X . A *toric structure* on L is the choice of a nonzero vector z on the fibre $L_{x_0} = x_0^*L$ over the distinguished point. A *toric line bundle* is a pair (L, z) , where L is a line bundle on X and z is a toric structure on L . A rational section s of a toric line bundle is a *toric section* if it is regular and nowhere vanishing on the principal open subset X_0 and $s(x_0) = z$. In order not to burden the notation, a toric line bundle will generally be denoted by L , the vector z being implicit.

Remark 3.3.5. — Let L be a toric line bundle and denote by 0 its zero section. Let $V(L) = \mathbf{Spec}_X(\mathrm{Sym}(L^\vee))$ be the total space of L . Then $\mathbb{T}' := V(L|_{\mathbb{T}}) \setminus 0(\mathbb{T})$ admits a unique structure of split torus of dimension $n + 1$ characterized by the properties

1. z is the unit of \mathbb{T}' ;
2. the projection $\mathbb{T}' \rightarrow \mathbb{T}$ is a morphism of algebraic groups;
3. every toric section s induces a morphism of algebraic groups $\mathbb{T} \rightarrow \mathbb{T}'$.

The terminology “toric structure”, “toric line bundle” and “toric section” comes from the fact that $V(L)$ admits a unique structure of toric variety with torus \mathbb{T}' satisfying the conditions:

1. z is the distinguished point of the principal open subset;
2. the structural morphism $V(L) \rightarrow X$ is a toric morphism;
3. for each point $x \in X$ and vector $w \in L_x$, the morphism $\mathbb{G}_m \rightarrow V(L)$, given by scalar multiplication $\lambda \mapsto \lambda w$, is equivariant;
4. every toric section s determines a toric morphism $U \rightarrow V(L)$, where U is the invariant open subset of regular points of s .

This can be shown using the construction of $V(L)$ as a toric variety in [Oda88, Proposition 2.1].

Remark 3.3.6. — Every toric line bundle equipped with a toric section admits a unique structure of \mathbb{T} -equivariant line bundle such that the toric section becomes an invariant section. Conversely, every \mathbb{T} -equivariant toric line bundle admits a unique invariant toric section. Thus, there is a natural bijection between the space of \mathbb{T} -equivariant toric line bundles and the space of toric line bundles with a toric section. In particular, every line bundle admits a structure of \mathbb{T} -equivariant line bundle. This is not the case for higher rank vector bundles on toric varieties, nor for line bundles on other spaces with group actions like, for instance, elliptic curves.

To a Cartier divisor D , one associates an invertible sheaf of fractional ideals of \mathcal{K}_X , denoted $\mathcal{O}(D)$. When D is a \mathbb{T} -Cartier divisor given by a set of defining vectors $\{m_\sigma\}_{\sigma \in \Sigma}$, the sheaf $\mathcal{O}(D)$ can be realized as the subsheaf of \mathcal{O}_X -modules generated, in each open subset X_σ , by the rational function χ^{m_σ} . The section $1 \in \mathcal{K}_X$ provides us with a distinguished rational section s_D such that $\text{div}(s_D) = D$. Since D is supported on the complement of the principal open subset, s_D is regular and nowhere vanishing on X_0 . We set $z = s_D(x_0)$. This is a toric structure on $\mathcal{O}(D)$. From now on, we will assume that $\mathcal{O}(D)$ is equipped with this toric structure. Then $((\mathcal{O}(D), z), s_D)$ is a toric line bundle with a toric section.

Theorem 3.3.7. — *Let X be a toric variety with torus \mathbb{T} . Then the correspondence $D \mapsto ((\mathcal{O}(D), s_D(x_0)), s_D)$ determines a bijection between the sets of*

1. \mathbb{T} -Cartier divisors on X ,
2. isomorphism classes of pairs (L, s) where L is a toric line bundle and s is a toric section.

Proof. — We have already shown that a \mathbb{T} -Cartier divisor produces a toric line bundle with a toric section. Let now $((L, z), s)$ be a toric line bundle equipped with a toric section and Σ the fan that defines X . Since every line bundle on an affine toric variety is trivial, for each $\sigma \in \Sigma$ we can find a section s_σ that generates L on X_σ and such

that $s_\sigma(x_0) = z$. Since s is regular and nowhere vanishing on X_0 and $s(x_0) = z$, we can find elements $m_\sigma \in M$ such that $s = \chi^{-m_\sigma} s_\sigma$, because any regular nowhere vanishing function on a torus is a constant times a monomial. The elements m_σ glue together to define a virtual support function Ψ on Σ that does not depend on the chosen trivialization. It is easy to see that the correspondence $(L, s) \mapsto D_\Psi$ is the inverse of the previous one, which proves the theorem. \square

Thanks to this result and Theorem 3.3.3, we can freely move between the languages of virtual support functions, \mathbb{T} -Cartier divisors, and toric line bundles with a toric section.

Notation 3.3.8. — Let Ψ be a virtual support function, we write $((L_\Psi, z_\Psi), s_\Psi)$ for the toric line bundle with toric section associated to the \mathbb{T} -Cartier divisor D_Ψ by Theorem 3.3.7. When we do not need to make explicit the vector z_Ψ , we will simply write (L_Ψ, s_Ψ) . Conversely, given a toric line bundle L with toric section s we will denote $\Psi_{L,s}$ the corresponding virtual support function.

We next recall the relationship between Cartier divisors and Weil divisors in the toric case.

Definition 3.3.9. — A \mathbb{T} -Weil divisor on a toric variety X is a finite formal linear combination of hypersurfaces of X which are invariant under the torus action.

The invariant hypersurfaces of a toric variety are particular cases of the toric subvarieties considered in the previous section: they are the varieties of the form $V(\tau)$ for $\tau \in \Sigma^1$ a ray. Hence, a \mathbb{T} -Weil divisor is a finite formal linear combination of subvarieties of the form $V(\tau)$ for $\tau \in \Sigma^1$.

There is a correspondence that to each Cartier divisor on X associates a Weil divisor. To the \mathbb{T} -Cartier divisor D_Ψ , it corresponds the \mathbb{T} -Weil divisor

$$[D_\Psi] = \sum_{\tau \in \Sigma^1} -\Psi(v_\tau)V(\tau), \tag{3.3.1}$$

where $v_\tau \in N$ is the smallest nonzero lattice point in τ .

Example 3.3.10. — We continue with the notation of examples 2.5.18 and 3.1.3. The fan Σ_{Δ^n} has $n + 1$ rays. For each $i = 0, \dots, n$, the closure of the orbit corresponding to the ray generated by the vector e_i is the standard hyperplane of \mathbb{P}^n

$$H_i := V(\langle e_i \rangle) = \{(p_0 : \dots : p_n) \in \mathbb{P}^n \mid p_i = 0\}.$$

The function Ψ_{Δ^n} is a support function on Σ_{Δ^n} and the \mathbb{T} -Weil divisor associated to $D_{\Psi_{\Delta^n}}$ is $[D_{\Psi_{\Delta^n}}] = H_0$.

For a toric variety X_Σ of dimension n , we denote by $\text{Div}_{\mathbb{T}}(X_\Sigma)$ its group of \mathbb{T} -Cartier divisors, and by $Z_{n-1}^{\mathbb{T}}(X_\Sigma)$ its group of \mathbb{T} -Weil divisors. Recall that $\text{Pic}(X_\Sigma)$, the Picard group of X_Σ , is the group of isomorphism classes of line bundles. Let

$A_{n-1}(X_\Sigma)$ denote the Chow group of cycles of dimension $n - 1$. The following result shows that these groups can be computed in terms of invariant divisors.

Theorem 3.3.11. — *Let Σ be a fan in $N_{\mathbb{R}}$ that is not contained in any hyperplane. Then there is a commutative diagram with exact rows*

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & M & \longrightarrow & \text{Div}_{\mathbb{T}}(X_\Sigma) & \longrightarrow & \text{Pic}(X_\Sigma) & \longrightarrow & 0 \\
 & & \parallel & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & M & \longrightarrow & Z_{n-1}^{\mathbb{T}}(X_\Sigma) & \longrightarrow & A_{n-1}(X_\Sigma) & \longrightarrow & 0
 \end{array}$$

Proof. — This is the first proposition in [Ful93, §3.4]. □

Remark 3.3.12. — In the previous theorem, the hypothesis that Σ is not contained in any hyperplane is only needed for the injectivity of the second arrow in each row of the diagram.

In view of Theorem 3.3.7, the upper exact sequence of the diagram in Theorem 3.3.11 can be interpreted as follows.

Corollary 3.3.13. — *Let X be a toric variety with torus \mathbb{T} .*

1. *Every toric line bundle L on X admits a toric section. Moreover, if s and s' are two toric sections, then there exists $m \in M$ such that $s' = \chi^m s$.*
2. *If the fan Σ that defines X is not contained in any hyperplane, and L and L' are toric line bundles on X , then there is at most one isomorphism between them.*

Proof. — This follows from theorems 3.3.11 and 3.3.7. □

We next study the intersection of a \mathbb{T} -Cartier divisor with the closure of an orbit. Let Σ be a fan in $N_{\mathbb{R}}$ and Ψ the virtual support function on Σ given by the set of defining vectors $\{m_\tau\}_{\tau \in \Sigma}$. Let σ be a cone of Σ and $\iota_\sigma: V(\sigma) \hookrightarrow X_\Sigma$ the associated closed immersion. We consider first the case when $\Psi|_\sigma = 0$. Let $\tau \supset \sigma$ be another cone of Σ . For vectors $u \in \tau$ and $v \in \mathbb{R}\sigma$ such that $u + v \in \tau$, the condition $\Psi|_\sigma = 0$ implies

$$\Psi(u + v) = \langle m_\tau, u + v \rangle = \langle m_\tau, u \rangle = \Psi(u)$$

because $m_\tau|_{\mathbb{R}\sigma} = 0$. Hence, we can define a function

$$\Psi(\sigma): N(\sigma)_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad u + \mathbb{R}\sigma \longmapsto \Psi(u + v) \tag{3.3.2}$$

for any $v \in \mathbb{R}\sigma$ such that $u + v \in \bigcup_{\tau \supset \sigma} \tau$.

It is easy to produce a set of defining vectors of $\Psi(\sigma)$. For each cone $\tau \supset \sigma$ we denote by $\bar{\tau} = \pi_\sigma(\tau)$ the corresponding cone in $\Sigma(\sigma)$. Since $m_\tau|_{\mathbb{R}\sigma} = 0$, then $m_\tau \in M(\sigma) = M \cap \sigma^\perp$. We set $m_{\bar{\tau}} = m_\tau \in M(\sigma)$.

Proposition 3.3.14. — *Let notation be as above. If $\Psi|_\sigma = 0$, then D_Ψ intersects $V(\sigma)$ properly and $\iota_\sigma^* D_\Psi = D_{\Psi(\sigma)}$. Moreover, $\{m_{\bar{\tau}}\}_{\bar{\tau} \in \Sigma(\sigma)}$ is a set of defining vectors of $\Psi(\sigma)$.*

Proof. — The \mathbb{T} -Cartier divisor D_Ψ is given by $\{(X_\tau, \chi^{-m_\tau})\}_{\tau \in \Sigma}$. If $m_\sigma = 0$, the local equation of D_Ψ in X_σ is $\chi^0 = 1$. Therefore, the orbit $O(\sigma)$ does not meet the support of D_Ψ . Hence $V(\sigma)$ and D_Ψ intersect properly.

To see that $\{m_{\bar{\tau}}\}_{\bar{\tau} \in \Sigma(\sigma)}$ is a set of defining vectors, we pick a point $\bar{u} \in \bar{\tau}$ and we choose $u \in \tau$ such that $\pi_\sigma(u) = \bar{u}$. Then

$$\Psi(\sigma)(\bar{u}) = \Psi(u) = m_\tau(u) = m_{\bar{\tau}}(\bar{u}),$$

which proves the claim. Now, using the characterization of $\Psi(\sigma)$ in terms of defining vectors, we have

$$\iota_\sigma^* D_\Psi = \{(X_\tau \cap V(\sigma), \chi^{-m_\tau}|_{X_\tau \cap V(\sigma)})\}_{\bar{\tau}} = \{(X_{\bar{\tau}}, \chi^{-m_{\bar{\tau}}})\}_{\bar{\tau}} = D_{\Psi(\sigma)}. \quad \square$$

When $\Psi|_\sigma \neq 0$, the cycles D_Ψ and $V(\sigma)$ do not intersect properly, and we can only intersect D_Ψ with $V(\sigma)$ up to rational equivalence. To this end, we choose any m'_σ such that $\Psi(u) = \langle m'_\sigma, u \rangle$ for every $u \in \sigma$. Then the divisor $D_{\Psi - m'_\sigma}$ is rationally equivalent to D_Ψ and $\Psi - m'_\sigma|_\sigma = 0$. By the above result, this divisor intersects $V(\sigma)$ properly, and its restriction to $V(\sigma)$ is given by the virtual support function $(\Psi - m'_\sigma)(\sigma)$.

Example 3.3.15. — We can use the above description of the restriction of a line bundle to an orbit to compute the degree of an orbit of dimension one. Let Σ be a complete fan and $\tau \in \Sigma^{n-1}$. Hence $V(\tau)$ is a toric curve. Let σ_1 and σ_2 be the two n -dimensional cones that have τ as a common face. Let Ψ be a virtual support function. Choose $v \in \sigma_1$ such that $\pi_\tau(v)$ is a generator of the lattice $N(\tau)$. Then, by (3.3.1) and (3.3.2),

$$\deg_{D_\Psi}(V(\tau)) = \deg(\iota_\tau^* D_\Psi) = m_{\sigma_2}(v) - m_{\sigma_1}(v).$$

Let now (L, z) be a toric line bundle on X_Σ and $\sigma \in \Sigma$. The line bundle $\iota_\sigma^* L$ on $V(\sigma)$ has an induced toric structure. Let s be a toric section of L that is regular and nowhere vanishing on X_σ , and set $z_\sigma = s(x_\sigma) \in L_{x_\sigma} \setminus \{0\}$. If s' is another such section, then $s' = \chi^m s$ for an $m \in M$ such that $m|_\sigma = 0$, by Corollary 3.3.13. Therefore $s'(x_\sigma) = s(x_\sigma)$. Hence, z_σ does not depend on the choice of section and $(\iota_\sigma^* L, z_\sigma)$ is the induced toric line bundle. The following result follows easily from the constructions.

Proposition 3.3.16. — *Let (L, z) be a toric line bundle on X_Σ and $\sigma \in \Sigma$. Let Ψ be a virtual support function such that $\Psi|_\sigma = 0$ and $(L, z) \simeq (L_\Psi, z_\Psi)$ as toric line bundles. Then $\iota_\sigma^*(L, z) \simeq (L_{\Psi(\sigma)}, z_{\Psi(\sigma)})$.*

We next study the inverse image of a \mathbb{T} -Cartier divisor with respect to equivariant morphisms as those in Theorem 3.2.4. Let $N_i, \Sigma_i, i = 1, 2$, and let $H: N_1 \rightarrow N_2$

and $p \in X_{\Sigma_2,0}(K)$ be as in Theorem 3.2.4. Let $\varphi_{p,H}$ be the associated equivariant morphism, Ψ a virtual support function on Σ_2 and $\{m'_{\tau'}\}_{\tau' \in \Sigma_2}$ a set of defining vectors of Ψ . For each cone $\tau \in \Sigma_1$ we choose a cone $\tau' \in \Sigma_2$ such that $H(\tau) \subset \tau'$ and we write $m_\tau = H^\vee(m'_{\tau'})$. The following result follows easily from the definitions

Proposition 3.3.17. — *The divisor D_Ψ intersects properly the image of $\varphi_{p,H}$. The function $\Psi \circ H$ is a virtual support function on Σ_1 and*

$$\varphi_{p,H}^* D_\Psi = D_{\Psi \circ H}.$$

Moreover, $\{m_\tau\}_{\tau \in \Sigma_1}$ is a set of defining vectors of $\Psi \circ H$.

Remark 3.3.18. — If L is a toric line bundle on X_{Σ_2} and φ is a toric morphism, then φ^*L has an induced toric structure. Namely, $\varphi^*(L, z) = (\varphi^*L, \varphi^*z)$. By contrast, if $\varphi: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ is a general equivariant morphism that meets the principal open subset, there is no natural toric structure on φ^*L , because the image of the distinguished point $x_{1,0}$ does not need to agree with $x_{2,0}$. If (L, s) is a toric line bundle equipped with a toric section, then we set $\varphi^*(L, s) = ((\varphi^*L, (\varphi^*s)(x_{1,0})), \varphi^*s)$. However, the underlying toric bundle of $\varphi^*(L, s)$ depends on the choice of the toric section.

3.4. Positivity properties of \mathbb{T} -Cartier divisors

Let Σ be a fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . In this section, we will assume that Σ is complete or, equivalently, that the variety X_Σ is proper.

Many geometric properties of the pair (X_Σ, D_Ψ) can be read directly from Ψ . For instance, the following result relates the concavity of the virtual support function Ψ with the positivity of D_Ψ .

Proposition 3.4.1. — *Let $\mathcal{O}(D_\Psi)$ be the line bundle associated to D_Ψ .*

1. $\mathcal{O}(D_\Psi)$ is generated by global sections if and only if Ψ is concave.
2. $\mathcal{O}(D_\Psi)$ is ample if and only if Ψ is strictly concave on Σ .

Proof. — This is classical, see for instance [Ful93, §3.4]. □

In the latter case, the fan Σ agrees with the polyhedral complex $\Pi(\Psi)$ (Definition 2.2.5) and the pair (X_Σ, D_Ψ) is completely determined by Ψ . Thus, the variety X_Σ is projective if and only if the fan Σ is complete and regular (Definition 2.5.4).

We associate to Ψ the subset of $M_{\mathbb{R}}$

$$\Delta_\Psi = \{x \in M_{\mathbb{R}} \mid \langle x, u \rangle \geq \Psi(u) \text{ for all } u \in N_{\mathbb{R}}\}.$$

This set is either empty or a lattice polytope. When $\mathcal{O}(D_\Psi)$ is generated by global sections, the polytope Δ_Ψ agrees with $\text{stab}(\Psi)$, and Ψ is the support function of Δ_Ψ .

The polytope Δ_Ψ encodes a lot of information about the pair (X_Σ, D_Ψ) . For instance, we can read from it the space of global sections of $\mathcal{O}(D_\Psi)$.

Proposition 3.4.2. — *A monomial rational section $\chi^m \in \mathcal{K}_{X_\Sigma}$, $m \in M$, is a regular global section of $\mathcal{O}(D_\Psi)$ if and only if $m \in \Delta_\Psi$. Moreover, the set $\{\chi^m\}_{m \in M \cap \Delta_\Psi}$ is a K -basis of the space of global sections $\Gamma(X_\Sigma, \mathcal{O}(D_\Psi))$.*

Proof. — See for instance [Ful93, §3.4]. □

Also the intersection number between toric divisors can be read off from the corresponding polytopes.

Proposition 3.4.3. — *Let D_{Ψ_i} , $i = 1, \dots, n$, be \mathbb{T} -Cartier divisors on X_Σ generated by their global sections. Then*

$$(D_{\Psi_1} \cdots D_{\Psi_n}) = \text{MV}_M(\Delta_{\Psi_1}, \dots, \Delta_{\Psi_n}). \tag{3.4.1}$$

where MV_M denotes the mixed volume function associated to the Haar measure vol_M on $M_{\mathbb{R}}$ (Definition 2.7.14). In particular, for a \mathbb{T} -Cartier divisor D_Ψ generated by its global sections,

$$\text{deg}_{D_\Psi}(X_\Sigma) = (D_\Psi^n) = n! \text{vol}_M(\Delta_\Psi). \tag{3.4.2}$$

Proof. — This follows from [Oda88, Proposition 2.10]. □

Remark 3.4.4. — The intersection multiplicity and the degree in the above proposition only depend on the isomorphism class of the line bundles $\mathcal{O}(D_{\Psi_i})$ and not on the \mathbb{T} -Cartier divisors themselves. It is easy to check directly that the right-hand sides of (3.4.1) and (3.4.2) only depend on the isomorphism classes of the line bundles. In fact, let L be a toric line bundle generated by global sections and s_1, s_2 two toric sections. For $i = 1, 2$, set $D_i = \text{div}(s_i)$ and let Ψ_i be the corresponding support function and Δ_i the associated polytope. Then $s_2 = \chi^m s_1$ for some $m \in M$. Thus $\Psi_2 = \Psi_1 - m$ and $\Delta_2 = \Delta_1 - m$. Since the volume and the mixed volume are invariant under translation, we see that these formulae do not depend on the choice of sections.

Definition 3.4.5. — A *polarized toric variety* is a pair (X_Σ, D_Ψ) , where X_Σ is a toric variety and D_Ψ is an ample \mathbb{T} -Cartier divisor.

Polarized toric varieties can be classified in terms of their polytopes.

Theorem 3.4.6. — *The correspondence $(X_\Sigma, D_\Psi) \mapsto \Delta_\Psi$ is a bijection between the set of polarized toric varieties and the set of lattice polytopes of dimension n of M . Two ample \mathbb{T} -Cartier divisors D_Ψ and $D_{\Psi'}$ on a toric variety X_Σ are rationally equivalent if and only if $\Delta_{\Psi'}$ is the translate of Δ_Ψ by an element of M .*

Proof. — If Ψ is a strictly concave function on Σ , then Δ_Ψ is an n -dimensional lattice polytope. Conversely, if Δ is a lattice polytope in $M_{\mathbb{R}}$, then Ψ_Δ , the support function of Δ , is a strictly concave function on the complete fan $\Sigma_\Delta = \Pi(\Psi_\Delta)$ (see examples 2.5.13 and 2.5.18). Therefore, the result follows from Theorem 3.3.3 and the construction in Remark 3.4.4. □

Remark 3.4.7. — When D_Ψ is only generated by its global sections, the polytope Δ_Ψ may not determine the variety X_Σ , but it does determine a polarized toric variety that is the image of X_Σ by a toric morphism. Write $\Delta = \Delta_\Psi$ for short. Let $M(\Delta)$ be as in Notation 2.7.9 and choose $m \in \text{aff}(\Delta) \cap M$. Set $N(\Delta) = M(\Delta)^\vee$. The translated polytope $\Delta - m$ has the same dimension as its ambient space $L_\Delta = M(\Delta)_\mathbb{R}$. By the theorem above, it defines a complete fan Σ_Δ in $N(\Delta)_\mathbb{R}$ together with a support function $\Psi_\Delta: N(\Delta) \rightarrow \mathbb{R}$. The projection $N \rightarrow N(\Delta)$ induces a toric morphism

$$\varphi: X_\Sigma \longrightarrow X_{\Sigma_\Delta},$$

the divisor D_{Ψ_Δ} is ample, and $D_\Psi = \varphi^* D_{\Psi_\Delta} + \text{div}(\chi^{-m})$.

Example 3.4.8. — The projective morphisms associated to \mathbb{T} -Cartier divisors generated by global sections can also be made explicit in terms of the lattice points of the associated polytopes. Consider a toric variety X_Σ of dimension n equipped with a \mathbb{T} -Cartier divisor D_Ψ generated by global sections. Let $m_0, \dots, m_r \in \Delta_\Psi \cap M$ be such that $\text{conv}(m_0, \dots, m_r) = \Delta_\Psi$. These vectors determine an H-representation $\Psi = \min_{i=0, \dots, r} m_i$. Let $H: N_\mathbb{R} \rightarrow \mathbb{R}^r$ be the linear map defined by $H(u) = (m_i(u) - m_0(u))_{i=1, \dots, r}$. By Lemma 2.5.22, $\Psi = H^* \Psi_{\Delta^r} + m_0$.

In \mathbb{R}^r we consider the fan Σ_{Δ^r} , whose associated toric variety is \mathbb{P}^r . One easily verifies that, for each $\sigma \in \Sigma$, there is $\sigma' \in \Sigma_{\Delta^r}$ with $H(\sigma) \subset \sigma'$. Let $p = (p_0 : \dots : p_r)$ be an arbitrary rational point of the principal open subset of \mathbb{P}^r . The equivariant morphism $\varphi_{p,H}: X \rightarrow \mathbb{P}^r_K$ can be written explicitly as $(p_0 \chi^{m_0} : \dots : p_r \chi^{m_r})$. Moreover, $D_\Psi = \varphi_{p,H}^* D_{\Psi_{\Delta^r}} + \text{div}(\chi^{-m_0})$.

The orbits of a polarized toric variety (X_Σ, D_Ψ) are in one-to-one correspondence with the faces of Δ_Ψ .

Proposition 3.4.9. — *Let Σ be a complete fan in $N_\mathbb{R}$ and Ψ a strictly concave function on Σ . The correspondence $F \mapsto O(\sigma_F)$ is a bijection between the set of faces of Δ_Ψ and the set of the orbits under the action of \mathbb{T} on X_Σ .*

Proof. — This follows from Example 2.5.13. □

The equation (3.3.1) gives a formula for the Weil divisor $[D_\Psi]$ in terms of the virtual support function Ψ . When the line bundle $\mathcal{O}(D_\Psi)$ is ample, we can interpret this formula in terms of the facets of the polytope Δ_Ψ .

Let D_Ψ be an ample divisor on X_Σ . The polytope Δ_Ψ has maximal dimension n . For each facet F of Δ_Ψ , let v_F be as in Notation 2.7.9. The ray $\tau_F = \mathbb{R}_{\geq 0} v_F$ is a cone of Σ .

Proposition 3.4.10. — *With the previous hypothesis,*

$$\text{div}(s_\Psi) = [D_\Psi] = \sum_F -\langle F, v_F \rangle V(\tau_F),$$

where the sum is over the facets F of Δ .

Proof. — Since Ψ is strictly concave on Σ , the Legendre-Fenchel correspondence shows that the set of rays of the form τ_F agrees with the set Σ^1 . Moreover, $\Psi(v_F) = \langle F, v_F \rangle$, because Ψ is the support function of Δ . The proposition then follows from (3.3.1). \square

For a \mathbb{T} -Cartier divisor generated by global sections, we can interpret its intersection with the closure of an orbit, and its inverse image with respect to an equivariant morphism, in terms of direct and inverse images of concave functions.

Proposition 3.4.11. — *Let Σ be a complete fan in $N_{\mathbb{R}}$ and $\Psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ a support function on Σ .*

1. *Let $\sigma \in \Sigma$, F_{σ} the associated face of Δ_{Ψ} , and $m'_{\sigma} \in F_{\sigma} \cap M$. Let $\pi_{\sigma}: N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$ be the natural projection. Then*

$$(\Psi - m'_{\sigma})(\sigma) = (\pi_{\sigma})_*(\Psi - m'_{\sigma}). \tag{3.4.3}$$

In particular, the restriction of $D_{\Psi - m'_{\sigma}}$ to $V(\sigma)$ is given by the concave function $(\pi_{\sigma})_(\Psi - m'_{\sigma})$. Moreover, the associated polytope is*

$$\Delta_{(\Psi - m'_{\sigma})(\sigma)} = F_{\sigma} - m'_{\sigma} \subset M(\sigma)_{\mathbb{R}} = \sigma^{\perp}. \tag{3.4.4}$$

2. *Let $H: N' \rightarrow N$ be a linear map and $H^{\vee}: M \rightarrow M'$ its dual map, where $M' = (N')^{\vee}$. Let Σ' be a fan in $N'_{\mathbb{R}}$ such that, for each $\sigma' \in \Sigma'$ there is $\sigma \in \Sigma$ with $H(\sigma') \subset \sigma$, and let $p \in X_{\Sigma,0}(K)$. Then*

$$\varphi_{p,H}^* D_{\Psi} = D_{H^{\vee} \Psi}, \tag{3.4.5}$$

and the associated polytope is

$$\Delta_{H^{\vee} \Psi} = H^{\vee}(\Delta_{\Psi}) \subset M'_{\mathbb{R}}. \tag{3.4.6}$$

Proof. — The equation (3.4.3) follows from (3.3.2), while the equation (3.4.5) follows from Proposition 3.3.17. Then (3.4.4) and (3.4.6) follow from Proposition 2.5.21. \square

As a consequence of the above construction, we can compute easily the degree of any orbit.

Corollary 3.4.12. — *Let Σ be a complete fan in $N_{\mathbb{R}}$, $\Psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ a support function on Σ , and $\sigma \in \Sigma$ a cone of dimension $n - k$. Then*

$$\deg_{D_{\Psi}}(V(\sigma)) = k! \operatorname{vol}_{M(F_{\sigma})}(F_{\sigma}).$$

Proof. — In view of (3.4.4) and (3.4.2), it is enough to prove that $M(\sigma) = M(F_{\sigma})$. But this follows from the fact that $L_{F_{\sigma}} = \sigma^{\perp}$ (see Notation 2.7.9). \square

Example 3.4.13. — Let $\tau \in \Sigma^{n-1}$. The degree of the curve $V(\tau)$ agrees with the lattice length of F_τ .

We will also need the toric version of the Nakai-Moishezon criterion.

Theorem 3.4.14. — Let X_Σ be a proper toric variety and D_Ψ a \mathbb{T} -Cartier divisor on X_Σ .

1. The following properties are equivalent:
 - (a) D_Ψ is ample;
 - (b) $(D_\Psi \cdot C) > 0$ for every curve C in X_Σ ;
 - (c) $(D_\Psi \cdot V(\tau)) > 0$ for every $\tau \in \Sigma^{n-1}$;
 - (d) the function Ψ is strictly concave on Σ .
2. The following properties are equivalent:
 - (a) D_Ψ is generated by its global sections;
 - (b) $(D_\Psi \cdot C) \geq 0$ for every curve C in X_Σ ;
 - (c) $(D_\Psi \cdot V(\tau)) \geq 0$ for every $\tau \in \Sigma^{n-1}$;
 - (d) the function Ψ is concave.

Proof. — The equivalence between (1a) and (1d) and between (2a) and (2d) is Proposition 3.4.1. The rest of (1) and (2) is proved in [Mav00], see also [Oda88, Theorem 2.18] for (1) in the case of smooth toric varieties. \square

A direct consequence of theorems 3.4.14 and 3.3.11 is that, in a toric variety, a divisor is nef if and only if it is generated by global sections, and every ample divisor is generated by global sections.

3.5. Toric schemes over a discrete valuation ring

In this section we recall some basic facts about the algebraic geometry of toric schemes over a DVR. These toric schemes were introduced in [KKMS73, Chapter IV, §3], and we refer to this reference for more details or to [Gub12] for a study of toric schemes over general valuation rings and their relation with tropical geometry. They are described and classified in terms of fans in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$. In this section we will mostly consider proper toric schemes over a DVR. As a consequence of Corollary 2.1.13, proper toric schemes over a DVR can be described and classified in terms of complete SCR polyhedral complexes in $N_{\mathbb{R}}$ as, for instance, in [NS06].

Let K be a field equipped with a nontrivial discrete valuation $\text{val}_K : K^\times \rightarrow \mathbb{R}$ whose group of values is \mathbb{Z} . In this section we do not assume K to be complete. As usual, we denote by K° the valuation ring, by $K^{\circ\circ}$ its maximal ideal, by ϖ a generator of $K^{\circ\circ}$ and by k the residue field. Since the group of values of val_K is \mathbb{Z} , then $\text{val}_K(\varpi) = 1$. We denote by S the base scheme $S = \text{Spec}(K^\circ)$, by η and o the generic and the

special points of S and, for a scheme \mathcal{X} over S , we set $\mathcal{X}_\eta = \mathcal{X} \times_S \text{Spec}(K)$ and $\mathcal{X}_o = \mathcal{X} \times_S \text{Spec}(k)$ for its generic and special fibre respectively. We will denote by $\mathbb{T}_S = \mathbb{T}_{K^o} \simeq \mathbb{G}_{m,S}^n$ a split torus over S . Let $\mathbb{T} = \mathbb{T}_K$, N and M be as in §3.1. We will write $\widetilde{N} = N \oplus \mathbb{Z}$ and $\widetilde{M} = M \oplus \mathbb{Z}$.

Definition 3.5.1. — A *toric scheme over S* of relative dimension n is a normal integral separated S -scheme of finite type, \mathcal{X} , equipped with a dense open embedding $\mathbb{T}_K \hookrightarrow \mathcal{X}_\eta$ and an S -action of \mathbb{T}_S over \mathcal{X} that extends the action of \mathbb{T}_K on itself by translations. If we want to stress the torus acting on \mathcal{X} we will call them *toric schemes with torus \mathbb{T}_S* .

If \mathcal{X} is a toric scheme over S , then \mathcal{X}_η is a toric variety over K with torus \mathbb{T} .

Definition 3.5.2. — Let X be a toric variety over K with torus \mathbb{T}_K and let \mathcal{X} be a toric scheme over S with torus \mathbb{T}_S . We say that \mathcal{X} is a *toric model* of X over S if the identity of \mathbb{T}_K can be extended to an isomorphism from X to \mathcal{X}_η .

If \mathcal{X} and \mathcal{X}' are toric models of X and $\alpha: \mathcal{X} \rightarrow \mathcal{X}'$ is an S -morphism, we say that α is a *morphism of toric models* if its restriction to \mathbb{T}_K is the identity.

Since, by definition, a toric scheme is integral and contains \mathbb{T} as a dense open subset, it is flat over S . Thus a toric model is a particular case of a model as in Definition 1.3.2.

Let $\widetilde{\Sigma}$ be a fan in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$. To the fan $\widetilde{\Sigma}$ we associate a toric scheme $\mathcal{X}_{\widetilde{\Sigma}}$ over S . Let $\sigma \in \widetilde{\Sigma}$ be a cone and $\sigma^\vee \subset \widetilde{M}_{\mathbb{R}}$ its dual cone. Set $\widetilde{M}_\sigma = \widetilde{M} \cap \sigma^\vee$. Let $K^\circ[\widetilde{M}_\sigma]$ be the semigroup K° -algebra of \widetilde{M}_σ . By definition, $(0, 1) \in \widetilde{M}_\sigma$. Thus $(\chi^{(0,1)} - \varpi)$ is an ideal of $K^\circ[\widetilde{M}_\sigma]$. There is a natural isomorphism

$$K^\circ[\widetilde{M}_\sigma]/(\chi^{(0,1)} - \varpi) \simeq \left\{ \sum_{(m,l) \in \widetilde{M}_\sigma} \alpha_{m,l} \varpi^l \chi^m \mid \alpha_{m,l} \in K^\circ \text{ and } \alpha_{m,l} = 0 \text{ for almost all } (m,l) \right\} \quad (3.5.1)$$

that we use to identify both rings. The ring $K^\circ[\widetilde{M}_\sigma]/(\chi^{(0,1)} - \varpi)$ is an integrally closed domain. We set

$$\mathcal{X}_\sigma = \text{Spec}(K^\circ[\widetilde{M}_\sigma]/(\chi^{(0,1)} - \varpi))$$

for the associated affine toric scheme over S . For short we will use the notation

$$K^\circ[\mathcal{X}_\sigma] = K^\circ[\widetilde{M}_\sigma]/(\chi^{(0,1)} - \varpi). \quad (3.5.2)$$

For cones $\sigma, \sigma' \in \widetilde{\Sigma}$, with $\sigma \subset \sigma'$ we have a natural open immersion of affine schemes $\mathcal{X}_\sigma \hookrightarrow \mathcal{X}_{\sigma'}$. Using these open immersions as gluing data, we define the scheme

$$\mathcal{X}_{\widetilde{\Sigma}} = \bigcup_{\sigma \in \widetilde{\Sigma}} \mathcal{X}_\sigma.$$

This is a reduced and irreducible normal scheme of finite type over S of relative dimension n .

There are two types of cones in $\widetilde{\Sigma}$. The ones that are contained in the hyperplane $N_{\mathbb{R}} \times \{0\}$, and the ones that are not. If σ is contained in $N_{\mathbb{R}} \times \{0\}$, then $(0, -1) \in \widetilde{M}_\sigma$, and ϖ is invertible in $K^\circ[\mathcal{X}_\sigma]$. Therefore $K^\circ[\mathcal{X}_\sigma] \simeq K[M_\sigma]$; hence \mathcal{X}_σ is contained in the generic fibre and it agrees with the affine toric variety X_σ . If σ is not contained in $N_{\mathbb{R}} \times \{0\}$, then \mathcal{X}_σ is not contained in the generic fibre.

To stress the difference between both types of affine schemes we will use the following notations. Let Π be the SCR polyhedral complex in $N_{\mathbb{R}}$ obtained by intersecting $\widetilde{\Sigma}$ by the hyperplane $N_{\mathbb{R}} \times \{1\}$ as in Corollary 2.1.13, and Σ the fan in $N_{\mathbb{R}}$ obtained by intersecting $\widetilde{\Sigma}$ with $N_{\mathbb{R}} \times \{0\}$. For $\Lambda \in \Pi$, the cone $c(\Lambda) \in \widetilde{\Sigma}$ is not contained in $N \times \{0\}$. We will write $\widetilde{M}_\Lambda = \widetilde{M}_{c(\Lambda)}$, $K^\circ[\widetilde{M}_\Lambda] = K^\circ[\widetilde{M}_{c(\Lambda)}]$, $\mathcal{X}_\Lambda = \mathcal{X}_{c(\Lambda)}$ and $K^\circ[\mathcal{X}_\Lambda] = K^\circ[\mathcal{X}_{c(\Lambda)}]$.

Given polyhedrons $\Lambda, \Lambda' \in \Pi$, with $\Lambda \subset \Lambda'$, we have a natural open immersion of affine toric schemes $\mathcal{X}_\Lambda \hookrightarrow \mathcal{X}_{\Lambda'}$. Moreover, if a cone $\sigma \in \Sigma$ is a face of a cone $c(\Lambda)$ for some $\Lambda \in \Pi$, then the affine toric variety X_σ is also an open subscheme of \mathcal{X}_Λ . The open cover (3.5.2) can be written as

$$\mathcal{X}_{\widetilde{\Sigma}} = \bigcup_{\Lambda \in \Pi} \mathcal{X}_\Lambda \cup \bigcup_{\sigma \in \Sigma} X_\sigma.$$

We will reserve the notation \mathcal{X}_Λ , $\Lambda \in \Pi$, for the affine toric schemes that are not contained in the generic fibre and denote by X_σ , $\sigma \in \Sigma$, the affine toric schemes contained in the generic fibre, because they are toric varieties over K .

The scheme \mathcal{X}_0 corresponding to the polyhedron $0 := \{0\}$ is a group S -scheme which is canonically isomorphic to \mathbb{T}_S . The S -action of \mathbb{T}_S over $\mathcal{X}_{\widetilde{\Sigma}}$ is constructed as in the case of varieties over a field. Moreover there are open immersions $\mathbb{T}_K \hookrightarrow \mathcal{X}_\eta \hookrightarrow \mathcal{X}_{\widetilde{\Sigma}}$ of schemes over S and the action of \mathbb{T}_S on $\mathcal{X}_{\widetilde{\Sigma}}$ extends the action of \mathbb{T}_K on itself. Thus $\mathcal{X}_{\widetilde{\Sigma}}$ is a toric scheme over S . Moreover, the fan Σ defines a toric variety over K which coincides with the generic fibre $\mathcal{X}_{\widetilde{\Sigma}, \eta}$. Thus, $\mathcal{X}_{\widetilde{\Sigma}}$ is a toric model of X_Σ . The special fibre $\mathcal{X}_{\widetilde{\Sigma}, \sigma} = \mathcal{X}_{\widetilde{\Sigma}} \times_S \text{Spec}(k)$ has an induced action by \mathbb{T}_k , but, in general, it is not a toric variety over k , because it is not irreducible nor reduced. The reduced schemes associated to its irreducible components are toric varieties over k with this action.

Every toric scheme over S can be obtained by the above construction. Indeed, this construction gives a classification of toric schemes by fans in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$ [KKMS73, §IV.3(e)].

If the fan $\tilde{\Sigma}$ is complete, then the scheme $\mathcal{X}_{\tilde{\Sigma}}$ is proper over S . In this case the set $\{\mathcal{X}_{\Lambda}\}_{\Lambda \in \Pi}$ is an open cover of $\mathcal{X}_{\tilde{\Sigma}}$. Proper toric schemes over S can also be classified by complete SCR polyhedral complexes in $N_{\mathbb{R}}$. This is not the case for general toric schemes over S as is shown in [BS11].

Theorem 3.5.3. — *The correspondence $\Pi \mapsto \mathcal{X}_{c(\Pi)}$, where $c(\Pi)$ is the fan introduced in Definition 2.1.5, is a bijection between the set of complete SCR polyhedral complexes in $N_{\mathbb{R}}$ and the set of isomorphism classes of proper toric schemes over S of relative dimension n .*

Proof. — Follows from [KKMS73, §IV.3(e)] and Corollary 2.1.13. □

If we are interested in toric schemes as toric models of a toric variety, we can restate the previous result as follows.

Theorem 3.5.4. — *Let Σ be a complete fan in $N_{\mathbb{R}}$. Then there is a bijective correspondence between equivariant isomorphism classes of proper toric models over S of X_{Σ} and complete SCR polyhedral complexes Π in $N_{\mathbb{R}}$ such that $\text{rec}(\Pi) = \Sigma$.*

Proof. — Follows easily from Theorem 3.5.3. □

For the rest of the section we will restrict ourselves to the proper case and we will denote by Π a complete SCR polyhedral complex. To it we associate a complete fan $c(\Pi)$ in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$ and a complete fan $\text{rec}(\Pi)$ in $N_{\mathbb{R}}$. For short, we will use the notation

$$\mathcal{X}_{\Pi} = \mathcal{X}_{c(\Pi)},$$

and we will identify the generic fibre $\mathcal{X}_{\Pi, \eta}$ with the toric variety $X_{\text{rec}(\Pi)}$.

Example 3.5.5. — We continue with Example 3.1.3. The fan Σ_{Δ^n} is in particular an SCR polyhedral complex and the associated toric scheme over S is \mathbb{P}_S^n , the projective space over S .

This example can be generalized to any complete fan Σ in $N_{\mathbb{R}}$.

Definition 3.5.6. — Let Σ be a complete fan in $N_{\mathbb{R}}$. Then Σ is also a complete SCR polyhedral complex. Clearly $\text{rec}(\Sigma) = \Sigma$. The toric scheme \mathcal{X}_{Σ} is a model over S of X_{Σ} which is called the *canonical model*. Its special fibre

$$\mathcal{X}_{\Sigma, o} = X_{\Sigma, k}$$

is the toric variety over k defined by the fan Σ .

The description of toric orbits in the case of a toric scheme over a DVR is more involved than the case of toric varieties over a field, because we have to consider two kind of orbits.

In the first place, there is a bijection between $\text{rec}(\Pi)$ and the set of orbits under the action of \mathbb{T}_K on $\mathcal{X}_{\Pi, \eta}$, that sends a cone $\sigma \in \text{rec}(\Pi)$ to the orbit $O(\sigma) \subset \mathcal{X}_{\Pi, \eta} = X_{\text{rec}(\Pi)}$ as in the case of toric varieties over a field. We will denote by $\mathcal{V}(\sigma)$ the Zariski closure

in \mathcal{X}_Π of the orbit $O(\sigma)$ with its structure of reduced closed subscheme. Then $\mathcal{V}(\sigma)$ is a horizontal S -scheme, in the sense that the structure morphism $\mathcal{V}(\sigma) \rightarrow S$ is dominant, of relative dimension $n - \dim(\sigma)$.

Next we describe $\mathcal{V}(\sigma)$ as a toric scheme over S . As before, we write $N(\sigma) = N/(N \cap \mathbb{R}\sigma)$ and let $\pi_\sigma: N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$ be the linear projection. Each polyhedron $\Lambda \in \Pi$ such that $\sigma \subset \text{rec}(\Lambda)$ defines a polyhedron $\pi_\sigma(\Lambda)$ in $N(\sigma)_{\mathbb{R}}$. One verifies that these polyhedra form a complete SCR polyhedral complex in $N(\sigma)_{\mathbb{R}}$, that we denote $\Pi(\sigma)$. This polyhedral complex is called the *star* of σ in Π .

Proposition 3.5.7. — *There is a canonical isomorphism of toric schemes*

$$\mathcal{X}_{\Pi(\sigma)} \longrightarrow \mathcal{V}(\sigma).$$

Proof. — The proof is analogous to the proof of Proposition 3.2.1. □

In the second place, there is a bijection between Π and the set of orbits under the action of \mathbb{T}_k on \mathcal{X}_o over the closed point o . Given a polyhedron $\Lambda \in \Pi$, we set

$$\widetilde{N}(\Lambda) = \widetilde{N}/(\widetilde{N} \cap \mathbb{R}c(\Lambda)), \quad \widetilde{M}(\Lambda) = \widetilde{N}(\Lambda)^\vee = \widetilde{M} \cap c(\Lambda)^\perp.$$

We denote $\mathbb{T}(\Lambda) = \text{Spec}(k[\widetilde{M}(\Lambda)])$. This is a torus over the residue field k of dimension $n - \dim(\Lambda)$. There is a surjection of rings

$$K^\circ[\widetilde{M}_\Lambda] \longrightarrow k[\widetilde{M}(\Lambda)], \quad \chi^{(m,l)} \longmapsto \begin{cases} \chi^{(m,l)} & \text{if } (m,l) \in \widetilde{M}(\Lambda), \\ 0 & \text{if } (m,l) \notin \widetilde{M}(\Lambda). \end{cases}$$

Since the element $(0, 1)$ does not belong to $\widetilde{M}(\Lambda)$, this surjection sends the ideal $(\chi^{(0,1)} - \varpi)$ to zero. Therefore, it factorizes through a surjection $K^\circ[\mathcal{X}_\Lambda] \rightarrow k[\widetilde{M}(\Lambda)]$, that defines a closed immersion $\mathbb{T}(\Lambda) \hookrightarrow \mathcal{X}_\Lambda$. Let $O(\Lambda)$ be the image of this map and $V(\Lambda)$ the Zariski closure of this orbit in \mathcal{X}_Π . The subscheme $O(\Lambda)$ is contained in the special fibre $\mathcal{X}_{\Pi,o}$, because the surjection sends ϖ to zero. By this reason, the orbits of this type will be called *vertical*. Therefore, $V(\Lambda)$ is a vertical cycle in the sense that its image by the structure morphism is the closed point o .

The variety $V(\Lambda)$ has a structure of toric variety with torus $\mathbb{T}(\Lambda)$. This structure is not canonical because the closed immersion $\mathbb{T}(\Lambda) \hookrightarrow \mathcal{X}_\Lambda$ depends on the choice of ϖ . We can describe this structure as follows. For each polyhedron Λ' such that Λ is a face of Λ' , the image of $c(\Lambda')$ under the projection $\pi_\Lambda: \widetilde{N}_{\mathbb{R}} \rightarrow \widetilde{N}(\Lambda)_{\mathbb{R}}$ is a strongly convex rational cone that we denote $\sigma_{\Lambda'}$. The cones $\sigma_{\Lambda'}$ form a fan of $\widetilde{N}(\Lambda)_{\mathbb{R}}$ that we denote $\Pi(\Lambda)$. Observe that the fan $\Pi(\Lambda)$ is the analogue of the star of a cone defined in (3.2.2). For each cone $\sigma \in \Pi(\Lambda)$ there is a unique polyhedron $\Lambda_\sigma \in \Pi$ such that Λ is a face of Λ_σ and $\sigma = \pi_\Lambda(c(\Lambda_\sigma))$.

Proposition 3.5.8. — *There is an isomorphism of toric varieties over k*

$$X_{\Pi(\Lambda),k} \longrightarrow V(\Lambda).$$

Proof. — Again, the proof is analogous to the proof of Proposition 3.2.1. □

The description of the adjacency relations between orbits is similar to the one for toric varieties over a field. The orbit $V(\Lambda)$ is contained in $V(\Lambda')$ if and only if the polyhedron Λ' is a face of the polyhedron Λ . Similarly, $\mathcal{V}(\sigma)$ is contained in $\mathcal{V}(\sigma')$ if and only if σ' is a face of σ . Finally, $V(\Lambda)$ is contained in $\mathcal{V}(\sigma)$ if and only if σ is a face of the cone $\text{rec}(\Lambda)$.

Remark 3.5.9. — As a consequence of the above construction, we see that there is a one-to-one correspondence between the vertices of Π and the components of the special fibre. For each $v \in \Pi^0$, the component $V(v)$ is a toric variety over k defined by the fan $\Pi(v)$ in $\widetilde{N}_{\mathbb{R}}/\mathbb{R}(v, 1)$. The orbits contained in $V(v)$ correspond to the polyhedra $\Lambda \in \Pi$ containing v . In particular, the components given by two vertices $v, v' \in \Pi^0$ share an orbit of dimension l if and only if there exists a polyhedron of dimension $n - l$ containing both v and v' .

To each polyhedron $\Lambda \in \Pi$, hence to each vertical orbit, we can associate a combinatorial invariant, which we call its multiplicity. For a vertex $v \in \Pi^0$, this invariant agrees with the order of vanishing of ϖ along the component $V(v)$ (see (3.6.2)).

Denote by $j: N \rightarrow \widetilde{N}$ the inclusion $j(u) = (u, 0)$ and by $\text{pr}: \widetilde{M} \rightarrow M$ the projection $\text{pr}(m, l) = m$. We identify N with its image. We set

$$N(\Lambda) = N/(N \cap \mathbb{R}c(\Lambda)), \quad M(\Lambda) = M \cap \text{pr}(c(\Lambda)^\perp).$$

Remark 3.5.10. — The lattice $M(\Lambda)$ can also be described as $M(\Lambda) = M \cap L_\Lambda^\perp$. Therefore, for a cone $\sigma \subset N_{\mathbb{R}}$, the notation just introduced agrees with the one in (3.2.1). Here, the polyhedron Λ is contained in $N_{\mathbb{R}}$. By contrast, for a polyhedron $\Gamma \subset M_{\mathbb{R}}$, we follow Notation 2.7.9, so $M(\Gamma) = M \cap L_\Gamma$.

Then j and pr induce inclusions of lattices of finite index $N(\Lambda) \rightarrow \widetilde{N}(\Lambda)$ and $\widetilde{M}(\Lambda) \rightarrow M(\Lambda)$, that we denote also by j and pr , respectively. These inclusions are dual of each other and in particular, their indexes agree.

Definition 3.5.11. — The *multiplicity* of a polyhedron $\Lambda \in \Pi$ is defined as

$$\text{mult}(\Lambda) = [M(\Lambda) : \text{pr}(\widetilde{M}(\Lambda))] = [\widetilde{N}(\Lambda) : j(N(\Lambda))].$$

Lemma 3.5.12. — *If $\Lambda \in \Pi$, then $\text{mult}(\Lambda) = \min\{n \geq 1 \mid \exists p \in \text{aff}(\Lambda), np \in N\}$.*

Proof. — We consider the inclusion $\mathbb{Z} \rightarrow \tilde{N}(\Lambda)$ that sends $n \in \mathbb{Z}$ to the class of $(0, n)$. There is a commutative diagram with exact rows and columns

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & N(\Lambda) \cap \mathbb{Z} & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{Z}/(N(\Lambda) \cap \mathbb{Z}) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & N(\Lambda) & \longrightarrow & \tilde{N}(\Lambda) & \longrightarrow & \tilde{N}(\Lambda)/N(\Lambda) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \\
 & & N(\Lambda)/(N(\Lambda) \cap \mathbb{Z}) & \longrightarrow & \tilde{N}(\Lambda)/\mathbb{Z} & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

It is easy to see that the bottom arrow in the diagram is an isomorphism. By the Snake lemma the right vertical arrow is an isomorphism. Therefore

$$\text{mult}(\Lambda) = [\mathbb{Z} : \mathbb{Z} \cap N(\Lambda)].$$

We verify that $\mathbb{Z} \cap N(\Lambda) = \{n \in \mathbb{Z} \mid \exists p \in \text{aff}(\Lambda), np \in N\}$, from which the lemma follows. □

We now discuss equivariant morphisms of toric schemes.

Definition 3.5.13. — Let $\mathbb{T}_i, i = 1, 2$, be split tori over S and $\varrho: \mathbb{T}_1 \rightarrow \mathbb{T}_2$ a morphism of algebraic group schemes. Let \mathcal{X}_i be toric schemes over S with torus \mathbb{T}_i and let μ_i denote the corresponding action. A morphism $\varphi: \mathcal{X}_1 \rightarrow \mathcal{X}_2$ is ϱ -equivariant if the diagram

$$\begin{array}{ccc}
 \mathbb{T}_1 \times \mathcal{X}_1 & \xrightarrow{\mu_1} & \mathcal{X}_1 \\
 \varrho \times \varphi \downarrow & & \downarrow \varphi \\
 \mathbb{T}_2 \times \mathcal{X}_2 & \xrightarrow{\mu_2} & \mathcal{X}_2
 \end{array}$$

commutes. A morphism $\varphi: \mathcal{X}_1 \rightarrow \mathcal{X}_2$ is ϱ -toric if its restriction to $\mathbb{T}_{1,\eta}$, the torus over K , coincides with that of ϱ .

It can be verified that a toric morphism of schemes over S is also equivariant. In the sequel, we extend the construction of equivariant morphisms in §3.2 to proper toric schemes. Before that, we need to relate rational points on the open orbit of the toric variety with lattice points in N .

Definition 3.5.14. — The valuation map of the field, $\text{val}_K: K^\times \rightarrow \mathbb{Z}$, induces a valuation map on $\mathbb{T}(K)$, also denoted $\text{val}_K: \mathbb{T}(K) \rightarrow N$, by the identifications $\mathbb{T}(K) = \text{Hom}(M, K^\times)$ and $N = \text{Hom}(M, \mathbb{Z})$.

Let $\mathbb{T}_{S,i}$, $i = 1, 2$, be split tori over S . For each i , let N_i be the corresponding lattice and Π_i a complete SCR polyhedral complex in $N_{i,\mathbb{R}}$. Let $A: N_1 \rightarrow N_2$ be an affine map such that, for every $\Lambda_1 \in \Pi_1$, there exists $\Lambda_2 \in \Pi_2$ with $A(\Lambda_1) \subset \Lambda_2$. Let $p \in \mathcal{X}_{\Pi_2,0}(K) = \mathbb{T}_2(K)$ such that $\text{val}_K(p) = A(0)$. Write $A = H + \text{val}_K(p)$, where $H: N_1 \rightarrow N_2$ is a linear map. H induces a morphism of algebraic groups

$$\varrho_H: \mathbb{T}_{S,1} \longrightarrow \mathbb{T}_{S,2}.$$

Let $\Sigma_i = \text{rec}(\Pi_i)$. For each cone $\sigma_1 \in \Sigma_1$, there exists a cone $\sigma_2 \in \Sigma_2$ with $H(\sigma_1) \subset \sigma_2$. Therefore H and p define an equivariant morphism $\varphi_{p,H}: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ of toric varieties over K as in Theorem 3.2.4.

Proposition 3.5.15. — *With the above hypothesis, the morphism $\varphi_{p,H}$ can be extended to a ϱ_H -equivariant morphism*

$$\Phi_{p,A}: \mathcal{X}_{\Pi_1} \longrightarrow \mathcal{X}_{\Pi_2}.$$

Proof. — Let $\Lambda_i \in \Pi_i$ such that $A(\Lambda_1) \subset \Lambda_2$. Then the map $\widetilde{M}_2 \rightarrow \widetilde{M}_1$ given by $(m, l) \mapsto (H^\vee m, \langle m, \text{val}_K(p) \rangle + l)$ for $m \in M$ and $l \in \mathbb{Z}$ (which is just the dual of the linearization of A) induces a morphism of semigroups $\widetilde{M}_{2,\Lambda_2} \rightarrow \widetilde{M}_{1,\Lambda_1}$. Since $\chi^m(p)\varpi^{-\langle m, \text{val}_K(p) \rangle}$ belongs to K° , the assignment

$$\chi^{(m,l)} \longmapsto (\chi^m(p)\varpi^{-\langle m, \text{val}_K(p) \rangle})\chi^{(H^\vee m, \langle m, \text{val}_K(p) \rangle + l)}$$

defines a ring morphism $K^\circ[\widetilde{M}_{2,\Lambda_2}] \rightarrow K^\circ[\widetilde{M}_{1,\Lambda_1}]$. This morphism sends $\chi^{(0,1)} - \varpi$ to $\chi^{(0,1)} - \varpi$, hence induces a morphism $K^\circ[\mathcal{X}_{\Lambda_2}] \rightarrow K^\circ[\mathcal{X}_{\Lambda_1}]$ and a map $\mathcal{X}_{\Lambda_1} \rightarrow \mathcal{X}_{\Lambda_2}$. Varying Λ_1 and Λ_2 we obtain maps that glue together into a map

$$\Phi_{p,A}: \mathcal{X}_{\Pi_1} \longrightarrow \mathcal{X}_{\Pi_2}.$$

By construction, this map extends $\varphi_{p,H}$ and is equivariant with respect to the morphism ϱ_H . □

As an example of the above construction, we consider the toric subschemes associated to orbits under the action of subtori. Let N be a lattice, Π a complete SCR polyhedral complex in $N_{\mathbb{R}}$ and set $\Sigma = \text{rec}(\Pi)$. Let $Q \subset N$ be a saturated sublattice and let $p \in X_{\Sigma,0}(K)$. We set $u_0 = \text{val}_K(p)$. We consider the affine map $A: Q_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$ given by $A(v) = v + u_0$. Recall that the sublattice Q and the point p induce maps of toric varieties (3.2.6)

$$X_{\Sigma_Q} \longrightarrow Y_{\Sigma_Q,p} \hookrightarrow X_{\Sigma}.$$

We want to identify the toric model of X_{Σ_Q} induced by the toric model \mathcal{X}_Π of X_Σ . We define the complete SCR polyhedral complex $\Pi_{Q,u_0} = A^{-1}\Pi$ of $Q_{\mathbb{R}}$. Then, $\text{rec}(\Pi_{Q,u_0}) = \Sigma_Q$. Applying the construction of Proposition 3.5.15, we obtain an equivariant morphism of schemes over S

$$\mathcal{X}_{\Pi_{Q,u_0}} \longrightarrow \mathcal{X}_\Pi.$$

The image of this map is the Zariski closure of $Y_{\Sigma_Q,p}$ and $\mathcal{X}_{\Pi_{Q,u_0}}$ is a toric model of X_{Σ_Q} . This map will be denoted either as $\Phi_{p,A}$ or $\Phi_{p,Q}$. Observe that the abstract toric scheme $\mathcal{X}_{\Pi_{Q,u_0}}$ only depends on Q and on $\text{val}_K(p)$.

3.6. \mathbb{T} -Cartier divisors on toric schemes

The theory of \mathbb{T} -Cartier divisors carries over to the case of toric schemes over a DVR. We keep the notations of the previous section. In particular, K is a field equipped with a nontrivial discrete valuation val_K . Let \mathcal{X} be a toric scheme over $S = \text{Spec}(K^\circ)$ with torus \mathbb{T}_S . There are two morphisms from $\mathbb{T}_S \times \mathcal{X}$ to \mathcal{X} : the toric action, that we denote by μ , and the second projection, that we denote by π_2 . A Cartier divisor D on \mathcal{X} is called a \mathbb{T} -Cartier divisor if $\mu^*D = \pi_2^*D$.

\mathbb{T} -Cartier divisors over a toric scheme can be described combinatorially. For simplicity, we will discuss only the case of proper schemes. So, let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$, and \mathcal{X}_Π the corresponding toric scheme. Let ϕ be an H-lattice function on Π (Definitions 2.6.6 and 2.5.4). Then ϕ defines a \mathbb{T} -Cartier divisor in a way similar to the one for toric varieties over a field. We recall that the schemes $\{\mathcal{X}_\Lambda\}_{\Lambda \in \Pi}$ form an open cover of \mathcal{X}_Π . Choose a set of defining vectors $\{(m_\Lambda, l_\Lambda)\}_{\Lambda \in \Pi}$ of ϕ . Then we set

$$D_\phi = \{(\mathcal{X}_\Lambda, \varpi^{-l_\Lambda} \chi^{-m_\Lambda})\}_{\Lambda \in \Pi}, \quad (3.6.1)$$

where we are using the identification (3.5.1). The divisor D_ϕ only depends on ϕ and not on a particular choice of defining vectors.

We consider now toric schemes and \mathbb{T} -Cartier divisors over S as models of toric varieties and \mathbb{T} -Cartier divisors over K .

Definition 3.6.1. — Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Let (X_Σ, D_Ψ) be the associated toric variety and \mathbb{T} -Cartier divisor defined over K . A *toric model* of (X_Σ, D_Ψ) is a triple (\mathcal{X}, D, e) , where \mathcal{X} is a toric model over S of X , D is a \mathbb{T} -Cartier divisor on \mathcal{X} and $e > 0$ is an integer such that the isomorphism $\iota: X_\Sigma \rightarrow \mathcal{X}_\eta$ that extends the identity of \mathbb{T}_K satisfies $\iota^*(D) = eD_\Psi$. When $e = 1$, the toric model $(\mathcal{X}, D, 1)$ will be denoted simply by (\mathcal{X}, D) . A toric model will be called *proper* whenever the scheme \mathcal{X} is proper over S .

Example 3.6.2. — We continue with Example 3.5.5. The function Ψ_{Δ^n} is an H-lattice concave function on Σ_{Δ^n} and $(\mathbb{P}_{\mathbb{S}}^n, D_{\Psi_{\Delta^n}})$ is a proper toric model of $(\mathbb{P}_{\mathbb{K}}^n, D_{\Psi_{\Delta^n}})$.

This example can be generalized as follows.

Definition 3.6.3. — Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Then Σ is a complete SCR polyhedral complex in $N_{\mathbb{R}}$ and Ψ is a rational piecewise affine function on Σ . Then $(\mathcal{X}_{\Sigma}, D_{\Psi})$ is a model over S of (X_{Σ}, D_{Ψ}) , which is called the *canonical model*.

Definition 3.6.4. — Let \mathcal{X} be a toric scheme and \mathcal{L} a line bundle on \mathcal{X} . A *toric structure* on \mathcal{L} is the choice of an element z of the fibre \mathcal{L}_{x_0} , where $x_0 \in \mathcal{X}_{\eta}$ is the distinguished point. A *toric line bundle* on \mathcal{X} is a pair (\mathcal{L}, z) , where \mathcal{L} is a line bundle over \mathcal{X} and z is a toric structure on \mathcal{L} . Frequently, when the toric structure is clear from the context, the element z will be omitted from the notation and a toric line bundle will be denoted by the underlying line bundle. A *toric section* is a rational section that is regular and non vanishing over the principal open subset $X_0 \subset \mathcal{X}_{\eta}$ and such that $s(x_0) = z$. Exactly as in the case of toric varieties over a field, each \mathbb{T} -Cartier divisor defines a toric line bundle $\mathcal{O}(D)$ together with a toric section. When the \mathbb{T} -Cartier divisor comes from an H-lattice function ϕ , the toric line bundle and toric section will be denoted \mathcal{L}_{ϕ} and s_{ϕ} respectively.

The following result follows directly from the definitions.

Proposition 3.6.5. — Let (X_{Σ}, D_{Ψ}) be a toric variety with a \mathbb{T} -Cartier divisor. Every toric model (\mathcal{X}, D, e) of (X_{Σ}, D_{Ψ}) induces a model $(\mathcal{X}, \mathcal{O}(D), e)$ of (X_{Σ}, L_{Ψ}) , in the sense of Definition 1.3.4, where the identification of $\mathcal{O}(D)|_{X_{\Sigma}}$ with $L_{\Psi}^{\otimes e}$ matches the toric sections determined by the Cartier divisors (Theorem 3.3.7). Such models will be called toric models.

Proposition-Definition 3.6.6. — We say that two toric models $(\mathcal{X}_i, D_i, e_i)$, $i = 1, 2$, are *equivalent*, if there exists a toric model (\mathcal{X}', D', e') of (X_{Σ}, D_{Ψ}) and morphisms of toric models $\alpha_i: \mathcal{X}' \rightarrow \mathcal{X}_i$, $i = 1, 2$, such that $e' \alpha_i^* D_i = e_i D'$. This is an equivalence relation.

Proof. — Symmetry and reflexivity are straightforward. For transitivity assume that we have toric models $(\mathcal{X}_i, D_i, e_i)$, $i = 1, 2, 3$, that the first and second model are equivalent through (\mathcal{X}', D', e') and that the second and the third are equivalent through $(\mathcal{X}'', D'', e'')$. Then, by Theorem 3.5.4, \mathcal{X}' and \mathcal{X}'' are defined by SCR polyhedral complexes Π' and Π'' respectively, with $\text{rec}(\Pi') = \text{rec}(\Pi'') = \Sigma$. Let $\Pi''' = \Pi' \cdot \Pi''$. By Lemma 2.1.9, $\text{rec}(\Pi''') = \Sigma$. Thus Π''' determines a model \mathcal{X}''' of X_{Σ} . This model has morphisms β' and β'' to \mathcal{X}' and \mathcal{X}'' respectively. We put $e''' = e' e''$ and $D''' = e'' \beta'^* D' = e' \beta''^* D''$. Now it is easy to verify that $(\mathcal{X}''', D''', e''')$ provides the transitivity property. \square

We are interested in proper toric models and equivalence classes because, by Definition 1.3.5, a proper toric model of (X_Σ, D_Ψ) induces an algebraic metric on L_Ψ^{an} . By Proposition 1.3.6, equivalent toric models define the same algebraic metric.

We can classify proper models of \mathbb{T} -Cartier divisors (and therefore of toric line bundles) in terms of \mathbb{H} -lattice functions. We first recall the classification of \mathbb{T} -Cartier divisors.

Theorem 3.6.7. — *Let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$ and \mathcal{X}_Π the associated toric scheme over S . The correspondence $\phi \mapsto D_\phi$ is an isomorphism between the group of \mathbb{H} -lattice functions on Π and the group of \mathbb{T} -Cartier divisors on \mathcal{X}_Π . Moreover, if ϕ_1 and ϕ_2 are two \mathbb{H} -lattice functions on Π , then the divisors D_{ϕ_1} and D_{ϕ_2} are rationally equivalent if and only if $\phi_1 - \phi_2$ is affine.*

Proof. — The result follows from [KKMS73, §IV.3(h)]. □

We next derive the classification theorem for models of \mathbb{T} -Cartier divisors.

Theorem 3.6.8. — *Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Then the correspondence $(\Pi, \phi) \mapsto (\mathcal{X}_\Pi, D_\phi)$ is a bijection between:*

- *the set of pairs (Π, ϕ) , where Π is a complete SCR polyhedral complex in $N_{\mathbb{R}}$ with $\text{rec}(\Pi) = \Sigma$ and ϕ is an \mathbb{H} -lattice function on Π such that $\text{rec}(\phi) = \Psi$;*
- *the set of isomorphism classes of toric models (\mathcal{X}, D) of (X_Σ, D_Ψ) .*

Proof. — Denote by $\iota: X_\Sigma = X_{\text{rec}(\Pi)} \rightarrow \mathcal{X}_\Pi$ the open immersion of the generic fibre. The recession function (Definition 2.6.4) determines the restriction of the \mathbb{T} -Cartier divisor to the fibre over the generic point. Therefore, when ϕ is an \mathbb{H} -lattice function on Π with $\text{rec}(\phi) = \Psi$, we have that

$$\iota^* D_\phi = D_{\text{rec}(\phi)} = D_\Psi.$$

Thus $(\mathcal{X}_\Pi, D_\phi)$ is a toric model of (X_Σ, D_Ψ) . The statement follows from Theorem 3.5.4 and Theorem 3.6.7. □

Remark 3.6.9. — Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Let (\mathcal{X}, D, e) be a toric model of (X_Σ, D_Ψ) . Then, by Theorem 3.6.8, there exists a complete SCR polyhedral complex Π in $N_{\mathbb{R}}$ with $\text{rec}(\Pi) = \Sigma$ and a rational piecewise affine function ϕ on Π such that $e\phi$ is an \mathbb{H} -lattice function, $\text{rec}(\phi) = \Psi$ and $(\mathcal{X}, D, e) = (\mathcal{X}_\Pi, D_{e\phi}, e)$. Moreover, if (\mathcal{X}', D', e') is another toric model that gives the function ϕ' , then both models are equivalent if and only if $\phi = \phi'$. Thus, to every toric model we have associated a rational piecewise affine function ϕ on Π such that $\text{rec}(\phi) = \Psi$. Two equivalent models give rise to the same function.

The converse is not true. Given a rational piecewise affine function ϕ , with $\text{rec}(\phi) = \Psi$, we can find a complete SCR polyhedral complex Π such that ϕ is piecewise affine on Π . But, in general, $\text{rec}(\Pi)$ does not agree with Σ . What we can expect is

that $\Sigma' := \text{rec}(\Pi)$ is a refinement of Σ . Therefore the function ϕ gives us an equivalence class of toric models of $(X_{\Sigma'}, D_\Psi)$. But ϕ may not determine an equivalence class of toric models of (X_Σ, D_Ψ) . In Corollary 4.5.5 in next section we will give a necessary condition for a function ϕ to define an equivalence class of toric models of (X_Σ, D_Ψ) and in Example 4.5.6 we will exhibit a function that does not satisfy this necessary condition. By contrast, as we will see in Theorem 3.7.3, the concave case is much more transparent.

The correspondence between \mathbb{T} -Cartier divisors and \mathbb{T} -Weil divisors has to take into account that we have two types of orbits. Each vertex $v \in \Pi^0$ defines a vertical invariant prime Weil divisor $V(v)$ and every ray $\tau \in \text{rec}(\Pi)^1$ defines a horizontal prime Weil divisor $\mathcal{V}(\tau)$. If $v \in \Pi^0$ is a vertex, by Lemma 3.5.12, its multiplicity $\text{mult}(v)$ is the smallest positive integer $\nu \geq 1$ such that $\nu v \in N$. If τ is a ray, we denote by v_τ the smallest lattice point of $\tau \setminus \{0\}$.

Proposition 3.6.10. — *Let ϕ be an H-lattice function on Π . Let D_ϕ be the associated \mathbb{T} -Cartier divisor. Then the corresponding \mathbb{T} -Weil divisor is given by*

$$[D_\phi] = \sum_{v \in \Pi^0} -\text{mult}(v)\phi(v)V(v) + \sum_{\tau \in \text{rec}(\Pi)^1} -\text{rec}(\phi)(v_\tau)\mathcal{V}(\tau).$$

Proof. — By Lemma 3.5.12, for $v \in \Pi^0$, the vector $\text{mult}(v)v$ is the minimal lattice vector in the ray $\text{c}(v)$. Now it is easy to adapt the proof of [Ful93, §3.3, Lemma] to prove this proposition. \square

Example 3.6.11. — Consider the constant H-lattice function $\phi(u) = -1$. This function corresponds to the principal divisor $\text{div}(\varpi)$. Then

$$\text{div}(\varpi) = \sum_{v \in \Pi^0} \text{mult}(v)V(v). \tag{3.6.2}$$

Thus, for a vertex v , the multiplicity of v agrees with the multiplicity of the divisor $V(v)$ in the special fibre $\text{div}(\varpi)$. In particular, the special fibre $\mathcal{X}_{\Pi,0}$ is reduced if and only if all vertices of Π^0 belong to N .

We next study the restriction of \mathbb{T} -Cartier divisors to orbits and their inverse image by equivariant morphisms. Let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$, and ϕ an H-lattice function on Π . Set $\Sigma = \text{rec}(\Pi)$, and $\Psi = \text{rec}(\phi)$. Choose sets of defining vectors $\{(m_\Lambda, l_\Lambda)\}_{\Lambda \in \Pi}$ and $\{m_\sigma\}_{\sigma \in \Sigma}$ for ϕ and Ψ , respectively.

Let $\sigma \in \Sigma$. We describe the restriction of D_ϕ to $\mathcal{V}(\sigma)$, the closure of a horizontal orbit. As in the case of toric varieties over a field, we first consider the case when $\Psi|_\sigma = 0$. Recall that $\mathcal{V}(\sigma)$ agrees with the toric scheme associated to the polyhedral complex $\Pi(\sigma)$ and that each element of $\Pi(\sigma)$ is the image by $\pi_\sigma : N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$

of a polyhedron $\Lambda \in \Pi$ with $\sigma \subset \text{rec}(\Lambda)$. The condition $\Psi|_\sigma = 0$ implies that we can define

$$\phi(\sigma): N(\sigma)_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad u + \mathbb{R}\sigma \longmapsto \phi(u + v) \tag{3.6.3}$$

for any $v \in \mathbb{R}\sigma$ such that $u + v \in \bigcup_{\text{rec}(\Lambda) \supset \sigma} \Lambda$. The function $\phi(\sigma)$ can also be described in terms of defining vectors. For each $\Lambda \in \Pi$ with $\sigma \subset \text{rec}(\Lambda)$, we will denote $\bar{\Lambda} \in \Pi(\sigma)$ for its image by π_σ . For each Λ as before, the condition $\Psi|_\sigma = 0$ implies that $m_\Lambda \in M(\sigma)$. Hence we define $(m_{\bar{\Lambda}}, l_{\bar{\Lambda}}) = (m_\Lambda, l_\Lambda)$ for $\Lambda \in \Pi$ with $\text{rec}(\Lambda) \supset \sigma$.

Proposition 3.6.12. — *If $\Psi|_\sigma = 0$ then the divisor D_ϕ and the horizontal orbit $\mathcal{V}(\sigma)$ intersect properly. Moreover, the set $\{(m_{\bar{\Lambda}}, l_{\bar{\Lambda}})\}_{\bar{\Lambda} \in \Pi(\sigma)}$ is a set of defining vectors of $\phi(\sigma)$ and the restriction of D_ϕ to $\mathcal{V}(\sigma)$ is $D_{\phi(\sigma)}$.*

Proof. — The proof is analogous to the proof of Proposition 3.3.14. □

If $\Psi|_\sigma \neq 0$, then $\mathcal{V}(\sigma)$ and D_ϕ do not intersect properly and we can only restrict D_ϕ with $\mathcal{V}(\sigma)$ up to rational equivalence. To this end, we consider the divisor $D_{\phi - m_\sigma}$, that is rationally equivalent to D_ϕ and intersects properly with $\mathcal{V}(\sigma)$. The restriction of this divisor to $\mathcal{V}(\sigma)$ corresponds to the H-lattice function $(\phi - m_\sigma)(\sigma)$ as defined above.

Let now $\Lambda \in \Pi$ be a polyhedron. We will denote by $\tilde{\pi}_\Lambda: \tilde{N} \rightarrow \tilde{N}(\Lambda)$ and $\pi_\Lambda: N \rightarrow N(\Lambda)$ the projections and by $\tilde{\pi}_\Lambda^\vee: \tilde{M}(\Lambda) \rightarrow \tilde{M}$ and $\pi_\Lambda^\vee: M(\Lambda) \rightarrow M$ the dual maps. We will use the same notation for the linear maps obtained by tensoring with \mathbb{R} .

We first assume that $\phi|_\Lambda = 0$. If $u \in \tilde{N}(\Lambda)_{\mathbb{R}}$, then there exists a polyhedron Λ' with Λ a face of Λ' and a point $(v, r) \in c(\Lambda')$ that is sent to u under the projection $\tilde{\pi}_\Lambda$. Then we set

$$\phi(\Lambda): \tilde{N}(\Lambda)_{\mathbb{R}} \longrightarrow \mathbb{R}, \quad u \longmapsto r\phi(v/r) = m_{\Lambda'}(v) + rl_{\Lambda'}. \tag{3.6.4}$$

The condition $\phi|_\Lambda = 0$ implies that the above equation does not depend on the choice of (v, r) .

We can describe also $\phi(\Lambda)$ in terms of defining vectors. For each cone $\sigma \in \Pi(\Lambda)$ let $\Lambda_\sigma \in \Pi$ be the polyhedron that has Λ as a face and such that $c(\Lambda)$ is mapped to σ by $\tilde{\pi}_\Lambda$. The condition $\phi|_\Lambda = 0$ implies that $(m_{\Lambda_\sigma}, l_{\Lambda_\sigma}) \in \tilde{M}(\Lambda)$. We set $m_\sigma = (m_{\Lambda_\sigma}, l_{\Lambda_\sigma})$.

Proposition 3.6.13. — *If $\phi|_\Lambda = 0$ then the divisor D_ϕ intersects properly the orbit $V(\Lambda)$. Moreover, the set $\{m_\sigma\}_{\sigma \in \Pi(\Lambda)}$ is a set of defining vectors of $\phi(\Lambda)$ and the restriction of D_ϕ to $V(\Lambda)$ is the divisor $D_{\phi(\Lambda)}$.*

Proof. — The proof is analogous to that of Proposition 3.3.14. □

As before, when $\phi|_\Lambda \neq 0$, we can only restrict D_ϕ to $V(\Lambda)$ up to rational equivalence. In this case we just apply the previous proposition to the function $\phi - m_\Lambda - l_\Lambda$.

Example 3.6.14. — We particularize (3.6.4) to the case of one-dimensional vertical orbits. Let Λ be a $(n - 1)$ -dimensional polyhedron. Hence $V(\Lambda)$ is a vertical curve. Let Λ_1 and Λ_2 be the two n -dimensional polyhedron that have Λ as a common face. Let $v \in N_{\mathbb{Q}}$ such that the class $[(v, 0)]$ is a generator of the lattice $\tilde{N}(\Lambda)$ and the affine space $(v, 0) + \mathbb{R}c(\Lambda)$ meets $c(\Lambda_1)$. This second condition fixes one of the two generators of $\tilde{N}(\Lambda)$. Then, by the equation (3.3.1)

$$\deg_{D_\phi}(V(\Lambda)) = \deg([D_\phi|_{V(\Lambda)}]) = m_{\Lambda_2}(v) - m_{\Lambda_1}(v). \tag{3.6.5}$$

We end this section discussing the inverse image of a \mathbb{T} -Cartier divisor by an equivariant morphism. With the notation of Proposition 3.5.15, let ϕ be an H -lattice function on Π_2 , and $\{(m_\Lambda, l_\Lambda)\}_{\Lambda \in \Pi_2}$ a set of defining vectors of ϕ . For each $\Gamma \in \Pi_1$ we choose a polyhedron $\Gamma' \in \Pi_2$ such that $A(\Gamma) \subset \Gamma'$. We set $m_\Gamma = H^\vee(m_{\Gamma'})$ and $l_\Gamma = m_{\Gamma'}(\text{val}_K(p)) + l_{\Gamma'}$. The following proposition follows easily.

Proposition 3.6.15. — *The divisor D_ϕ intersects properly the image of $\Phi_{p,A}$. The function $\phi \circ A$ is an H -lattice function on Π_1 and*

$$\Phi_{p,A}^* D_\phi = D_{\phi \circ A}.$$

Moreover, $\{(m_\Gamma, l_\Gamma)\}_{\Gamma \in \Pi_1}$ is a set of defining vectors of $\phi \circ A$.

3.7. Positivity on toric schemes

The relationship between the positivity of the line bundle and the concavity of the virtual support function can be extended to the case of toric schemes over a DVR. In particular, we have the following version of the Nakai-Moishezon criterion.

Theorem 3.7.1. — *Let Π be a complete SCR complex in $N_{\mathbb{R}}$ and \mathcal{X}_Π its associate toric scheme over S . Let ϕ be an H -lattice function on Π and D_ϕ the corresponding \mathbb{T} -Cartier divisor on \mathcal{X}_Π .*

1. *The following properties are equivalent:*

- (a) *D_ϕ is ample;*
- (b) *$D_\phi \cdot C > 0$ for every vertical curve C contained in $X_{\Pi,o}$;*
- (c) *$D_\phi \cdot V(\Lambda) > 0$ for every $(n - 1)$ -dimensional polyhedron $\Lambda \in \Pi$;*
- (d) *The function ϕ is strictly concave on Π .*

2. *The following properties are equivalent:*

- (a) *D_ϕ is generated by global sections;*
- (b) *$D_\phi \cdot C \geq 0$ for every vertical curve C contained in $X_{\Sigma,o}$;*
- (c) *$D_\phi \cdot V(\Lambda) \geq 0$ for every $(n - 1)$ -dimensional polyhedron $\Lambda \in \Pi$;*
- (d) *The function ϕ is concave.*

Proof. — In both cases, the fact that (a) implies (b) and that (b) implies (c) is clear. The fact that (c) implies (d) follows from the equation (3.6.5). The fact that (1d) implies (1a) is [KKMS73, §IV.3(k)].

Finally, we prove that (2d) implies (2a). Let ϕ be an H-lattice concave function. Each pair $(m, l) \in \widetilde{M}$ defines a rational section $\varpi^l \chi^m s_\phi$ of D_ϕ . The section is regular if and only if the function $m(u) + l$ lies above ϕ . Moreover, for a polyhedron $\Lambda \in \Pi$, this section does not vanish on \mathcal{X}_Λ if and only if $\phi(u) = m(u) + l$ for all $u \in \Lambda$. Therefore, the affine pieces of the graph of ϕ define a set of global sections that generate $\mathcal{O}(D_\phi)$. \square

Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Let X_Σ and D_Ψ be the associated proper toric variety over K and \mathbb{T} -Cartier divisor.

Definition 3.7.2. — Let (\mathcal{X}, D, e) be a toric model of (X_Σ, D_Ψ) . Then (\mathcal{X}, D, e) is *semipositive* if the \mathbb{T} -Cartier divisor D satisfies any of the equivalent conditions of Theorem 3.7.1(2).

Observe that, if a toric model (\mathcal{X}, D, e) of (X_Σ, D_Ψ) is semipositive, then $(\mathcal{X}, \mathcal{O}(D), e)$ is a semipositive model of $(X_\Sigma, \mathcal{O}(D_\Psi))$ in the sense of Definition 1.3.12. Equivalence classes of semipositive toric models are classified by rational concave functions.

Theorem 3.7.3. — *Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Then the correspondence of Theorem 3.6.8 induces a bijective correspondence between the space of equivalence classes of semipositive toric models of (X_Σ, D_Ψ) over S and the space of rational piecewise affine concave functions ϕ on $N_{\mathbb{R}}$ with $\text{rec}(\phi) = \Psi$.*

Proof. — Let (\mathcal{X}, D, e) be a semipositive toric model. By Theorem 3.6.8, to the pair (\mathcal{X}, D) corresponds a pair (Π, ϕ') , where ϕ' is an H-lattice function on Π , $\text{rec}(\Pi) = \Sigma$ and $\text{rec}(\phi') = e\Psi$. By Theorem 3.7.1, the function ϕ' is concave. We put $\phi = \frac{1}{e}\phi'$. It is clear that equivalent models produce the same function.

Conversely, let ϕ be a rational piecewise affine concave function. Let $\Pi' = \Pi(\phi)$. This is a rational polyhedral complex. Let $\Sigma' = \text{rec}(\Pi')$. This is a conic rational polyhedral complex. By Proposition 2.5.14, $\Sigma' = \Pi(\Psi)$. Since $\Psi = \text{rec}(\phi)$ is concave, hence a support function on Σ , we deduce that Σ is a refinement of Σ' . Put $\Pi = \Pi' \cdot \Sigma$ (Definition 2.1.8). Since Π' is a rational polyhedral complex and Σ is a fan, then Π is an SCR polyhedral complex. Moreover, by Lemma 2.1.9,

$$\text{rec}(\Pi) = \text{rec}(\Pi' \cdot \Sigma) = \text{rec}(\Pi') \cdot \text{rec}(\Sigma) = \Sigma' \cdot \Sigma = \Sigma.$$

Let $e > 0$ be an integer such that $e\phi$ is an H-lattice function. Then $(\mathcal{X}_\Pi, D_{e\phi}, e)$ is a toric model of (X_Σ, D_Ψ) . Both procedures are inverse of each other. \square

A direct consequence of Theorem 3.7.3 is that the \mathbb{T} -Cartier divisor D_Ψ admits a semipositive model if and only if Ψ is concave, hence a support function. By Proposition 3.4.1(1), this is equivalent to the fact that D_Ψ is generated by global sections.

Recall that, for a toric variety over a field, a \mathbb{T} -Cartier divisor generated by global sections can be determined either by the support function Ψ or by its stability set Δ_Ψ . In the case of toric schemes over a DVR, if ϕ is a concave rational piecewise affine function on Π and $\Psi = \text{rec}(\phi)$, then the stability set of ϕ agrees with the stability set of Ψ . Then the equivalence class of toric models determined by ϕ is also determined by the Legendre-Fenchel dual function ϕ^\vee .

Corollary 3.7.4. — *Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a support function on Σ . The correspondence of Theorem 3.7.3 and Legendre-Fenchel duality induce a bijection between the space of equivalence classes of semipositive toric models of (X_Σ, D_Ψ) and that of rational piecewise affine concave functions on $M_{\mathbb{R}}$ with effective domain Δ_Ψ .*

Proof. — From Theorem 3.7.3, the space of equivalence classes of semipositive toric models of (X_Σ, D_Ψ) is in bijection with the space of rational piecewise affine concave functions ϕ on $N_{\mathbb{R}}$ with $\text{rec}(\phi) = \Psi$.

Let ϕ be a function in this latter space. Then $\text{dom}(\phi) = N_{\mathbb{R}}$ and $\text{stab}(\phi) = \Delta_\Psi$. By propositions 2.5.17(1) and 2.5.20(2), the function ϕ^\vee is a rational piecewise affine concave function on $M_{\mathbb{R}}$ with effective domain Δ_Ψ . Conversely, if ϑ is a rational piecewise affine concave function on $M_{\mathbb{R}}$ with effective domain Δ_Ψ , then, by the same propositions, ϑ^\vee is a rational piecewise affine concave function with effective domain $N_{\mathbb{R}}$ and stability set Δ_Ψ . By Proposition 2.5.17(2), the function $\text{rec}(\vartheta^\vee)$ agrees with Ψ . By Proposition 2.5.20(1) the above correspondences are inverse of each other, thus establishing the bijection. \square

Let Π be a complete SCR complex in $N_{\mathbb{R}}$ and ϕ an H-lattice concave function on Π . Then the \mathbb{T} -Cartier divisor D_ϕ is generated by global sections and we can interpret its restriction to toric orbits in terms of direct and inverse images of concave functions.

Proposition 3.7.5. — *Let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$ and ϕ an H-lattice concave function on Π . Set $\Sigma = \text{rec}(\Pi)$ and $\Psi = \text{rec}(\phi)$. Let $\sigma \in \Sigma$ and $m_\sigma \in M$ such that $\Psi|_\sigma = m_\sigma|_\sigma$. Let $\pi_\sigma: N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$ be the projection and $\pi_\sigma^\vee: M(\sigma)_{\mathbb{R}} \rightarrow M_{\mathbb{R}}$ the dual inclusion. Then*

$$(\phi - m_\sigma)(\sigma) = (\pi_\sigma)_*(\phi - m_\sigma), \tag{3.7.1}$$

Hence the restriction of the divisor $D_{\phi - m_\sigma}$ to $\mathcal{V}(\sigma)$ corresponds to the H-lattice concave function $(\pi_\sigma)_*(\phi - m_\sigma)$. Dually,

$$(\phi - m_\sigma)(\sigma)^\vee = (\pi_\sigma^\vee + m_\sigma)^*\phi^\vee. \tag{3.7.2}$$

In other words, the Legendre-Fenchel dual of $(\phi - m_\sigma)(\sigma)$ is the restriction of ϕ^\vee to the face F_σ translated by $-m_\sigma$.

Proof. — For the equation (3.7.1), we suppose without loss of generality that $m_\sigma = 0$, and hence $\Psi|_\sigma = 0$. Let $u \in N(\sigma)_\mathbb{R}$. Then, the function $\phi|_{\pi_\sigma^{-1}(u)}$ is concave. Let $\Lambda \in \Pi$ such that $\text{rec}(\Lambda) = \sigma$ and $\pi_\sigma^{-1}(u) \cap \Lambda \neq \emptyset$. Then, $\pi_\sigma^{-1}(u) \cap \Lambda$ is a polyhedron of maximal dimension in $\pi_\sigma^{-1}(u)$. The restriction of ϕ to this polyhedron is constant and, by (3.6.3), agrees with $\phi(\sigma)(u)$. Therefore, by concavity,

$$(\pi_\sigma)_* \phi(u) = \max_{v \in \pi_\sigma^{-1}(u)} \phi(v),$$

agrees with $\phi(\sigma)(u)$. Thus we obtain (3.7.1). The equation (3.7.2) follows from the previous equation and Proposition 2.5.21(2). To prove (3.7.2) when $m_\sigma \neq 0$ we use Proposition 2.3.3(5). \square

We now consider the case of a vertical orbit. For a function ϕ as before, with $\Psi = \text{rec}(\phi)$, we denote by $c(\phi): \widetilde{N}_\mathbb{R} \rightarrow \mathbb{R}$ the concave function given by

$$c(\phi)(u, r) = \begin{cases} r\phi(u/r) & \text{if } r > 0, \\ \Psi(u) & \text{if } r = 0, \\ -\infty & \text{if } r < 0. \end{cases}$$

The function $c(\phi)$ is a support function on $c(\Pi)$.

Lemma 3.7.6. — *The stability set of $c(\phi)$ is the epigraph $\text{epi}(-\phi^\vee) \subset \widetilde{M}_\mathbb{R}$.*

Proof. — The H-representation of $c(\phi)$ is

$$\begin{aligned} \text{dom}(c(\phi)) &= \{(u, r) \in \widetilde{N}_\mathbb{R} \mid r \geq 0\}, \\ c(\phi)(u, r) &= \min_\Lambda (m_\Lambda(u) + l_\Lambda r). \end{aligned}$$

By Proposition 2.5.5

$$\text{stab}(c(\phi)) = \mathbb{R}_{\geq 0}(0, 1) + \text{conv}(\{(m_\Lambda, l_\Lambda)\}_{\Lambda \in \Pi}).$$

Furthermore, by the same proposition, for $x \in \text{stab}(\phi)$,

$$\phi^\vee(x) = \sup \left\{ \sum_\Lambda -\lambda_\Lambda l_\Lambda \mid \lambda_\Lambda \geq 0, \sum_\Lambda \lambda_\Lambda = 1, \sum_\Lambda \lambda_\Lambda m_\Lambda = x \right\}.$$

Hence $\text{epi}(-\phi^\vee) = \mathbb{R}_{\geq 0}(0, 1) + \text{conv}(\{(m_\Lambda, l_\Lambda)\}_{\Lambda \in \Pi})$, which proves the statement. \square

Proposition 3.7.7. — *Let Π and ϕ be as before and let $\Lambda \in \Pi$. Let $m_\Lambda \in M$ and $l_\Lambda \in \mathbb{Z}$ be such that $\phi|_\Lambda = (m_\Lambda + l_\Lambda)|_\Lambda$. Let $\tilde{\pi}_\Lambda: \widetilde{N}_\mathbb{R} \rightarrow \widetilde{N}(\Lambda)_\mathbb{R}$ be the projection, and $\tilde{\pi}_\Lambda^\vee: \widetilde{M}(\Lambda)_\mathbb{R} \rightarrow \widetilde{M}_\mathbb{R}$ the dual map. Then*

$$(\phi - m_\Lambda - l_\Lambda)(\Lambda) = (\tilde{\pi}_\Lambda)_*(c(\phi - m_\Lambda - l_\Lambda)). \tag{3.7.3}$$

Moreover, this is a support function on the fan $\Pi(\Lambda)$. Its stability set is the polytope $\Delta_{\phi,\Lambda} := (\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda))^{-1} \text{epi}(-\phi^\vee)$. Hence, the restriction of the divisor $D_{\phi-m_\Lambda-l_\Lambda}$ to the variety $V(\Lambda)$ is the divisor associated to the support function of $\Delta_{\phi,\Lambda}$.

Proof. — To prove the equation (3.7.3) we may assume that $m_\Lambda = 0$ and $l_\Lambda = 0$. Let $u \in \tilde{N}(\Lambda)_\mathbb{R}$. Then, the function $c(\phi)|_{\tilde{\pi}_\Lambda^{-1}(u)}$ is concave. Let $\Lambda' \in \Pi$ such that Λ is a face of Λ' and $\tilde{\pi}_\Lambda^{-1}(u) \cap c(\Lambda') \neq \emptyset$. Then, $\tilde{\pi}_\Lambda^{-1}(u) \cap c(\Lambda')$ is a polyhedron of maximal dimension of $\tilde{\pi}_\Lambda^{-1}(u)$ and the restriction of $c(\phi)$ to this polyhedron is constant and, by (3.6.4), agrees with $\phi(\Lambda)(u)$. Therefore, by concavity,

$$(\tilde{\pi}_\Lambda)_* c(\phi)(u) = \max_{v \in \pi_\sigma^{-1}(u)} c(\phi)(v),$$

agrees with $\phi(\Lambda)(u)$. This proves the equation (3.7.3).

Back in the general case when m_Λ and l_Λ may be different from zero, by Proposition 2.5.21, Proposition 2.3.3(5) and Lemma 3.7.6 we have

$$\begin{aligned} \text{stab}((\tilde{\pi}_\Lambda)_*(c(\phi - m_\Lambda - l_\Lambda))) &= (\tilde{\pi}_\Lambda^\vee)^{-1} \text{stab}(c(\phi - m_\Lambda - l_\Lambda)) \\ &= (\tilde{\pi}_\Lambda^\vee)^{-1}(\text{stab}(c(\phi)) - (m_\Lambda, l_\Lambda)) \\ &= (\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda))^{-1} \text{stab}(c(\phi)) \\ &= (\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda))^{-1} \text{epi}(-\phi^\vee). \end{aligned}$$

The remaining statements are clear. □

We next interpret the above result in terms of dual polyhedral complexes. Let $\Pi(\phi)$ and $\Pi(\phi^\vee)$ be the pair of dual polyhedral complexes associated to ϕ . Since ϕ is piecewise affine on Π , then Π is a refinement of $\Pi(\phi)$. For each $\Lambda \in \Pi$ we will denote by $\bar{\Lambda} \in \Pi(\phi)$ the smallest element of $\Pi(\phi)$ that contains Λ . It is characterized by the fact that $\text{ri}(\Lambda) \cap \text{ri}(\bar{\Lambda}) \neq \emptyset$. Let $\Lambda^* \in \Pi(\phi^\vee)$ be the polyhedron $\Lambda^* = \mathcal{L}\phi(\bar{\Lambda})$. This polyhedron agrees with $\partial\phi(u_0)$ for any $u_0 \in \text{ri}(\Lambda)$. Then the function $\phi^\vee|_{\Lambda^*}$ is affine. The polyhedron $\Lambda^* - m_\Lambda$ is contained in $M(\Lambda)_\mathbb{R}$. The polyhedron

$$\tilde{\Lambda}^* = \{(x, -\phi^\vee(x)) | x \in \Lambda^*\}$$

is a face of $\text{epi}(-\phi^\vee)$ and it agrees with the intersection of the image of $\pi_\Lambda^\vee + (m_\Lambda, l_\Lambda)$ with this epigraph. We consider the commutative diagram of lattices

$$\begin{array}{ccc} \tilde{M}(\Lambda) & \xrightarrow{\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda)} & \tilde{M} \\ \text{pr} \downarrow & & \downarrow \text{pr} \\ M(\Lambda) & \xrightarrow{\pi_\Lambda^\vee + m_\Lambda} & M, \end{array}$$

where π_Λ^\vee is the inclusion $M(\Lambda) \subset M$, and the corresponding commutative diagram of real vector spaces obtained by tensoring with \mathbb{R} . This diagram induces a commutative diagram of polytopes

$$\begin{array}{ccc} \Delta_{\phi,\Lambda} & \xrightarrow{\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda)} & \widetilde{\Lambda}^* \\ \text{pr} \downarrow & & \downarrow \text{pr} \\ \Lambda^* - m_\Lambda & \xrightarrow{\pi_\Lambda^\vee + m_\Lambda} & \Lambda^*, \end{array}$$

where all the arrows are isomorphisms.

In other words, the polytope $\Delta_{\phi,\Lambda}$ associated to the restriction of $D_{\phi-m_\Lambda-l_\Lambda}$ to $V(\Lambda)$ is obtained as follows. We include $\widetilde{M}(\Lambda)_\mathbb{R}$ in $\widetilde{M}_\mathbb{R}$ throughout the affine map $\tilde{\pi}_\Lambda^\vee + (m_\Lambda, l_\Lambda)$. The image of this map intersects the polyhedron $\text{epi}(-\phi^\vee)$ in the face of it that lies above Λ^* . The inverse image of this face agrees with $\Delta_{\phi,\Lambda}$.

Since we have an explicit description of the polytope $\Delta_{\phi,\Lambda}$, we can easily calculate the degree with respect to D_ϕ of an orbit $V(\Lambda)$.

Proposition 3.7.8. — *Let Π be a complete SCR polyhedral complex in $N_\mathbb{R}$ and ϕ an H -lattice concave function on Π . Let $\Lambda \in \Pi$ be a polyhedron of dimension $n - k$, $u_0 \in \text{ri}(\Lambda)$ and $\Lambda^* = \partial\phi(u_0)$. Then*

$$\text{mult}(\Lambda) \deg_{D_\phi}(V(\Lambda)) = k! \text{vol}_{M(\Lambda)}(\Lambda^*), \tag{3.7.4}$$

where $\text{mult}(\Lambda)$ is the multiplicity of Λ (see Definition 3.5.11).

Proof. — From the description of $D_\phi|_{V(\Lambda)}$ and Proposition 3.4.3, we know that

$$\deg_{D_\phi}(V(\Lambda)) = k! \text{vol}_{\widetilde{M}(\Lambda)}(\Delta_{\phi,\Lambda}).$$

Since

$$\text{vol}_{\widetilde{M}(\Lambda)}(\Delta_{\phi,\Lambda}) = \frac{1}{[M(\Lambda) : \widetilde{M}(\Lambda)]} \text{vol}_{M(\Lambda)}(\Lambda^*),$$

the result follows from the definition of the multiplicity. □

Remark 3.7.9. — If $\dim(\Lambda^*) < k$, then both sides of (3.7.4) are zero. If $\dim(\Lambda^*) = k$, then $M(\Lambda) = M(\Lambda^*)$ and $\text{vol}_{M(\Lambda)}(\Lambda^*)$ agrees with the lattice volume of Λ^* .

We now interpret the inverse image by an equivariant morphism, of a \mathbb{T} -Cartier divisor generated by global sections, in terms of direct and inverse images of concave functions.

Proposition 3.7.10. — *With the hypothesis of Proposition 3.5.15, let ϕ_2 be an H -lattice concave function on Π_2 and D_{ϕ_2} the corresponding \mathbb{T} -Cartier divisor. Then $\Phi_{p,A}^* D_{\phi_2}$ is the \mathbb{T} -Cartier divisor associated to the H -lattice concave function $\phi_1 = A^* \phi_2$. Moreover the Legendre-Fenchel dual is given by*

$$\phi_1^\vee = (H^\vee)_*(\phi_2^\vee - \text{val}_K(p)).$$

Proof. — The first statement is Proposition 3.6.15. The second statement follows from Proposition 2.5.21(1). \square

Example 3.7.11. — Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a support function on Σ . By Theorem 3.7.3, any equivalence class of semipositive models of (X_{Σ}, D_{Ψ}) is determined by a rational piecewise affine concave function ϕ with $\text{rec}(\phi) = \Psi$. By Lemma 2.5.22, any such function can be realized as the inverse image by an affine map of the support function of a standard simplex. Using the previous proposition, any equivalence class of semipositive toric models can be induced by an equivariant projective morphism.

More explicitly, let $e > 0$ be an integer such that $e\phi$ is an \mathbb{H} -lattice concave function. Let Π be a complete SCR complex in $N_{\mathbb{R}}$ compatible by $e\phi$ and such that $\text{rec}(\Pi) = \Sigma$ (see the proof of Theorem 3.7.3). Then, $(\mathcal{X}_{\Pi}, D_{e\phi}, e)$ is a toric model of (X_{Σ}, D_{Ψ}) in the class determined by ϕ .

Choose an \mathbb{H} -representation $e\phi(u) = \min_{0 \leq i \leq r} (m_i(u) + l_i)$ with $(m_i, l_i) \in \widetilde{M}$ for $i = 0, \dots, r$. Put $\alpha = (l_1 - l_0, \dots, l_r - l_0)$. Let H and A be as in Lemma 2.5.22. In our case, H is a morphism of lattices and

$$e\phi = A^* \Psi_{\Delta^r} + m_0 + l_0.$$

We follow examples 3.1.3, 3.3.10, 3.4.8 and 3.6.2, and consider \mathbb{P}_S^r as a toric scheme over S . Let $p = (p_0 : \dots : p_r)$ be a rational point in the principal open subset of \mathbb{P}_K^r such that $\text{val}_K(p) = \alpha$. Observe that, in this example, the map val_K from the set of rational points of the principal open subset of \mathbb{P}_K^r to N (Definition 3.5.14) is given explicitly by the formula

$$\text{val}_K(p_0 : \dots : p_r) = (\text{val}_K(p_1/p_0), \dots, \text{val}_K(p_r/p_0)).$$

One can verify that the hypothesis of Proposition 3.5.15 are satisfied. Let $\Phi_{p,A}: \mathcal{X}_{\Pi} \rightarrow \mathbb{P}_S^r$ be the associated morphism. Then

$$D_{e\phi} = \Phi_{p,A}^* D_{\Psi_{\Delta^r}} + \text{div}(\varpi^{-l_0} \chi^{-m_0}).$$

CHAPTER 4

METRICS AND MEASURES ON TORIC VARIETIES

In this chapter, we study the metrics on a toric line bundle that are invariant under the action of the compact torus. Our aim is to obtain a characterization, in terms of convex analysis, of semipositive toric metrics and of their associated measures.

We set the notation for most of this chapter. Let K be either \mathbb{R} , \mathbb{C} or a field which is complete with respect to a non-Archimedean absolute value. In the non-Archimedean case, we will use the notations of §1.3, although, for the moment, we do not assume that the absolute value is associated to a discrete valuation.

Let \mathbb{T} be an n -dimensional split torus over K . Set N and $M = N^\vee$ for the corresponding lattices and let Σ be a fan in $N_{\mathbb{R}}$ as in §3.1. For each cone $\sigma \in \Sigma$, we will denote by X_σ the corresponding affine toric variety and by X_σ^{an} its analytification. These spaces glue together into a toric variety X_Σ and an analytic space X_Σ^{an} , respectively. When $K = \mathbb{C}$, the latter agrees with the complex analytic space $X_\Sigma(\mathbb{C})$ whereas in the non-Archimedean case, it is a Berkovich space. When $K = \mathbb{R}$, we will use the technique of Remark 1.1.5 to reduce the study of X_Σ^{an} to that of the associated complex analytic space.

4.1. The variety with corners $X_\Sigma(\mathbb{R}_{\geq 0})$

The variety with corners associated to the fan Σ is a partial compactification of $N_{\mathbb{R}}$, and can be seen as a real analogue of the toric variety X_Σ . It was introduced by Mumford in [AMRT75]. More recently, it has also appeared in the context of tropical geometry as the “extended tropicalization” of [Kaj08] and [Pay09].

With notations as above, for a cone $\sigma \in \Sigma$ we set

$$X_\sigma(\mathbb{R}_{\geq 0}) = \text{Hom}_{\text{sg}}(M_\sigma, (\mathbb{R}_{\geq 0}, \times)).$$

On $X_\sigma(\mathbb{R}_{\geq 0})$, we put the coarsest topology such that, for each $m \in M_\sigma$, the map $X_\sigma(\mathbb{R}_{\geq 0}) \rightarrow \mathbb{R}_{\geq 0}$ given by $\gamma \mapsto \gamma(m)$ is continuous. Using [Ful93, §1.2, Proposition 2], we can see that, if τ is a face of σ , then there is a dense open immersion

$X_\tau(\mathbb{R}_{\geq 0}) \hookrightarrow X_\sigma(\mathbb{R}_{\geq 0})$. Hence the topological spaces $X_\sigma(\mathbb{R}_{\geq 0})$ glue together to define a topological space $X_\Sigma(\mathbb{R}_{\geq 0})$. This is the *variety with corners* associated to X_Σ . Analogously to the algebraic case, one can prove that this topological space is Hausdorff and that the spaces $X_\sigma(\mathbb{R}_{\geq 0})$ can be identified with open subspaces of $X_\Sigma(\mathbb{R}_{\geq 0})$ satisfying, for $\sigma, \sigma' \in \Sigma$,

$$X_\sigma(\mathbb{R}_{\geq 0}) \cap X_{\sigma'}(\mathbb{R}_{\geq 0}) = X_{\sigma \cap \sigma'}(\mathbb{R}_{\geq 0}).$$

We have that

$$\mathbb{T}(\mathbb{R}_{\geq 0}) := \text{Hom}_{\text{sg}}(M, \mathbb{R}_{\geq 0}) = \text{Hom}_{\text{gp}}(M, \mathbb{R}_{> 0}) \simeq (\mathbb{R}_{> 0})^n$$

is a topological Abelian group that acts on $X_\Sigma(\mathbb{R}_{\geq 0})$.

For each $\sigma \in \Sigma$ there is a continuous map $\rho_\sigma : X_\sigma^{\text{an}} \rightarrow X_\sigma(\mathbb{R}_{\geq 0})$. This map is given, in the Archimedean case, by

$$X_\sigma^{\text{an}} = \text{Hom}_{\text{sg}}(M_\sigma, (\mathbb{C}, \times)) \xrightarrow{|\cdot|} \text{Hom}_{\text{sg}}(M_\sigma, (\mathbb{R}_{\geq 0}, \times)) = X_\sigma(\mathbb{R}_{\geq 0}).$$

In the non-Archimedean case, since a point $p \in X_\sigma^{\text{an}}$ corresponds to a multiplicative seminorm on $K[M_\sigma]$ and a point in $X_\sigma(\mathbb{R}_{\geq 0})$ corresponds to a semigroup homomorphism from M_σ to $(\mathbb{R}_{\geq 0}, \times)$, we define $\rho_\sigma(p)$ as the semigroup homomorphism that to an element $m \in M_\sigma$ corresponds $|\chi^m(p)|$.

In both cases, these maps glue together to define a continuous map

$$\rho_\Sigma : X_\Sigma^{\text{an}} \rightarrow X_\Sigma(\mathbb{R}_{\geq 0}). \tag{4.1.1}$$

Lemma 4.1.1. — *For $\sigma \in \Sigma$, the map ρ_Σ satisfies $\rho_\Sigma^{-1}(X_\sigma(\mathbb{R}_{\geq 0})) = X_\sigma^{\text{an}}$.*

Proof. — By construction, $X_\sigma^{\text{an}} \subset \rho_\Sigma^{-1}(X_\sigma(\mathbb{R}_{\geq 0}))$. For the reverse inclusion we will write only the non-Archimedean case. Assume that $p \in \rho_\Sigma^{-1}(X_\sigma(\mathbb{R}_{\geq 0}))$. There is a cone σ' with $p \in X_{\sigma'}^{\text{an}}$. Let $\tau = \sigma \cap \sigma'$ be the common face. Then p is a multiplicative seminorm of $K[M_{\sigma'}]$ and we show next that it can be extended to a multiplicative seminorm of $K[M_\tau]$. By [Ful93, §1.2 Proposition 2] there is an element $u \in M_{\sigma'}$ such that $M_\tau = M_{\sigma'} + \mathbb{Z}_{\geq 0}(-u)$. Hence $K[M_\tau] = K[M_{\sigma'} + \mathbb{Z}_{\geq 0}(-u)]$. Since $\rho_\Sigma(p) \in X_\tau(\mathbb{R}_{\geq 0})$ we have that $|\chi^u(p)| \neq 0$. Therefore p extends to a multiplicative seminorm of $K[M_\tau]$. Hence $p \in X_\tau^{\text{an}} \subset X_\sigma^{\text{an}}$. \square

Corollary 4.1.2. — *The map $\rho_\Sigma : X_\Sigma^{\text{an}} \rightarrow X_\Sigma(\mathbb{R}_{\geq 0})$ is proper.*

Proof. — When Σ is complete, the analytic space X_Σ^{an} is compact. Since $X_\sigma(\mathbb{R}_{\geq 0})$ is Hausdorff, the map ρ_Σ is proper. Assume now that Σ is not necessarily complete. Let $\sigma \in \Sigma$ be a cone. Let Σ' be a complete fan that contains σ . By Lemma 4.1.1, the fact that $\rho_{\Sigma'}$ is proper implies that ρ_σ is proper. Since the condition of being proper is local on $X_\Sigma(\mathbb{R}_{\geq 0})$, the fact that ρ_σ is proper for all cones $\sigma \in \Sigma$ implies that ρ_Σ is proper. \square

We denote by $\mathbf{e}: \mathbb{R} \rightarrow \mathbb{R}_{>0}$ the map $u \mapsto \exp(-u)$. This map induces a homeomorphism

$$N_{\mathbb{R}} = \text{Hom}(M, \mathbb{R}) \longrightarrow \text{Hom}_{\text{sg}}(M, (\mathbb{R}_{\geq 0}, \times)) = X_0(\mathbb{R}_{\geq 0})$$

that we also denote by \mathbf{e} .

We define a valuation map in both Archimedean and non-Archimedean cases, by setting, for $\alpha \in K^\times$,

$$\text{val}(\alpha) = -\log |\alpha|.$$

Next, we define a map $\text{val}: X_0^{\text{an}} \rightarrow N_{\mathbb{R}}$. For $p \in X_0^{\text{an}}$, we denote by $\text{val}(p) \in \text{Hom}_{\text{sg}}(M, \mathbb{R}) = N_{\mathbb{R}}$ the morphism given by

$$m \longmapsto \langle m, \text{val}(p) \rangle = -\log |\chi^m(p)|. \tag{4.1.2}$$

Then, there is a commutative diagram

$$\begin{array}{ccc} & X_0^{\text{an}} & \\ \text{val} \swarrow & & \downarrow \rho_0 \\ N_{\mathbb{R}} & \xrightarrow{\mathbf{e}} & X_0(\mathbb{R}_{\geq 0}). \end{array} \tag{4.1.3}$$

When K is non-Archimedean and the associated valuation is discrete, we set

$$\lambda_K = -\log |\varpi|, \quad \mathbf{e}_K(u) = \mathbf{e}(\lambda_K u), \quad \text{val}_K(p) = \frac{\text{val}(p)}{\lambda_K} \tag{4.1.4}$$

for $u \in N_{\mathbb{R}}$ and $p \in X_0^{\text{an}}$. This latter map extends the map $\text{val}_K: \mathbb{T}(K) \rightarrow N$ of Definition 3.5.14. In order to make some statements more compact, if K is Archimedean, we will write $\lambda_K = 1$, $\mathbf{e}_K = \mathbf{e}$ and $\text{val}_K = \text{val}$.

Remark 4.1.3. — The map val only depends on the absolute value and is invariant under valued field extensions. It can be defined for arbitrary valued fields. The map val_K is the valuation normalized with respect to the field K . It is only defined for discrete valuations. The advantage of val_K is that the image of a rational point belongs to the lattice, that is

$$\text{val}_K(X_0(K)) \subset N.$$

The map \mathbf{e} allows us to see $X_{\Sigma}(\mathbb{R}_{\geq 0})$ as a partial compactification on $N_{\mathbb{R}}$. Following [AMRT75, Chapter I, §1] we can give another description of the topology of $X_{\Sigma}(\mathbb{R}_{\geq 0})$. For $\sigma \in \Sigma$, we consider the set

$$N_{\sigma} = \coprod_{\tau \text{ face of } \sigma} N(\tau)_{\mathbb{R}}, \tag{4.1.5}$$

where $N(\tau)$ denotes the lattice introduced in (3.2.1).

We first extend the map \mathbf{e} to a map $N_{\sigma} \rightarrow X_{\sigma}(\mathbb{R}_{\geq 0})$. For τ a face of σ , we consider the semigroup $M(\tau) \cup \{-\infty\}$. Each group homomorphisms $M(\tau) \rightarrow (\mathbb{R}_{>0}, \times)$ can be

extended to a semigroup morphism $M(\tau) \cup \{-\infty\} \rightarrow (\mathbb{R}_{\geq 0}, \times)$ by sending $-\infty$ to 0. There is a morphism of semigroups $M_\sigma \rightarrow M(\tau) \cup \{-\infty\}$ given by

$$m \mapsto \begin{cases} m & \text{if } m \in \tau^\perp, \\ -\infty & \text{otherwise,} \end{cases}$$

that induces an injective map

$$N(\tau)_\mathbb{R} \xrightarrow{\mathbf{e}} \text{Hom}_{\text{gp}}(M(\tau), (\mathbb{R}_{>0}, \times)) \longrightarrow \text{Hom}_{\text{sg}}(M_\sigma, (\mathbb{R}_{\geq 0}, \times)) = X_\sigma(\mathbb{R}_{\geq 0}).$$

Glueing together these maps for all faces of σ we obtain the map $\mathbf{e}: N_\sigma \rightarrow X_\sigma(\mathbb{R}_{\geq 0})$. One may verify that this map is a bijection.

We next define a topology on N_σ . To this end, we choose a positive definite bilinear pairing in $N_\mathbb{R}$. Hence we can identify the quotient spaces $N(\tau)_\mathbb{R}$ with subspaces of $N_\mathbb{R}$, that, for simplicity, we will denote also by $N(\tau)_\mathbb{R}$. For a point $u \in N(\tau)_\mathbb{R}$, let $U \subset N(\tau)_\mathbb{R}$ be a neighbourhood of u . For each τ' face of τ , τ induces a cone $\pi_{\tau'}(\tau)$ contained in $N(\tau')_\mathbb{R}$. If $p \in \tau$, then its image $\pi_{\tau'}(p)$ in $N(\tau')_\mathbb{R}$ is contained in $\pi_{\tau'}(\tau)$. We write

$$W(\tau, U, p) = \coprod_{\tau' \text{ face of } \tau} \pi_{\tau'}(U + p + \tau). \tag{4.1.6}$$

The topology of N_σ is defined by the fact that $\{W(\tau, U, p)\}_{U,p}$ is a basis of neighbourhoods of u in N_σ . With this topology, the map $\mathbf{e}: N_\sigma \rightarrow X_\sigma(\mathbb{R}_{\geq 0})$ is a homeomorphism.

We write

$$N_\Sigma = \coprod_{\sigma \in \Sigma} N(\sigma)_\mathbb{R},$$

and put on N_Σ the topology that makes $\{N_\sigma\}_{\sigma \in \Sigma}$ an open cover. Then the map \mathbf{e} extends to a homeomorphism between N_Σ and $X_\Sigma(\mathbb{R}_{\geq 0})$ and the map val in (4.1.3) extends to a proper continuous map $\text{val}: X_\Sigma^{\text{an}} \rightarrow N_\Sigma$ such that the diagram

$$\begin{array}{ccc} & X_\Sigma^{\text{an}} & \\ \text{val} \swarrow & \downarrow \rho_\Sigma & \\ N_\Sigma & \xrightarrow{\mathbf{e}} & X_\Sigma(\mathbb{R}_{\geq 0}) \end{array} \tag{4.1.7}$$

is commutative.

Remark 4.1.4. — In case we are given a strictly concave support function Ψ on a complete fan Σ , then N_Σ is homeomorphic to the polytope Δ_Ψ introduced in §3.4. An homeomorphism is obtained as the composition of \mathbf{e} with the moment map $\mu: X_\Sigma(\mathbb{R}_{\geq 0}) \rightarrow \Delta_\Psi$ induced by Ψ :

$$\begin{array}{ccccc} N_\Sigma & \xrightarrow{\mathbf{e}} & X_\Sigma(\mathbb{R}_{\geq 0}) & \xrightarrow{\mu} & \Delta_\Psi \\ u & \mapsto & \mathbf{e}(u) & \mapsto & \frac{\sum \exp(-\langle m, u \rangle) m}{\sum \exp(-\langle m, u \rangle)} \end{array}$$

where the sums in the last expression are over the elements $m \in M \cap \Delta_\Psi$.

We end this section stating the functorial properties of the space $X_\Sigma(\mathbb{R}_{\geq 0})$. We start by studying field extensions. Assume that K is non-Archimedean and let K' be a complete valued field extension of K . As explained before Definition 1.2.1, there is a map $\nu: X_{\Sigma, K'}^{\text{an}} \rightarrow X_{\Sigma, K}^{\text{an}}$.

Proposition 4.1.5. — *The diagram*

$$\begin{array}{ccc}
 X_{\Sigma, K'}^{\text{an}} & \xrightarrow{\nu} & X_{\Sigma, K}^{\text{an}} \\
 \text{val} \downarrow & \swarrow & \downarrow \rho_{\Sigma, K} \\
 N_\Sigma & \xrightarrow{\mathbf{e}} & X_\Sigma(\mathbb{R}_{\geq 0})
 \end{array}$$

is commutative.

Proof. — The commutativity of the diagram follows from the fact that the map $X_{\Sigma, K'}^{\text{an}} \rightarrow X_{\Sigma, K}^{\text{an}}$ is given by restricting seminorms. \square

We next study the inclusion of closed orbits. Let N and Σ be as before and $\sigma \in \Sigma$. Recall that there is an induced fan $\Sigma(\sigma)$ in $N(\sigma)_\mathbb{R}$ defined in (3.2.2) and a closed immersion $\iota_\sigma: X_{\Sigma(\sigma)} \rightarrow X_\Sigma$ defined before Proposition 3.2.1. We will also denote by ι_σ the induced morphism of analytic spaces. The map \mathbf{e} gives us an homeomorphism $N(\sigma)_\mathbb{R} \rightarrow X_{\Sigma(\sigma), 0}(\mathbb{R}_{\geq 0})$. Hence the natural map $N(\sigma)_\mathbb{R} \hookrightarrow N_\sigma$ induces an inclusion $X_{\Sigma(\sigma), 0}(\mathbb{R}_{\geq 0}) \rightarrow X_\sigma(\mathbb{R}_{\geq 0})$.

Proposition 4.1.6. — *The inclusion $X_{\Sigma(\sigma), 0}(\mathbb{R}_{\geq 0}) \rightarrow X_\sigma(\mathbb{R}_{\geq 0})$ extends to a continuous map $\iota_\sigma: X_{\Sigma(\sigma)}(\mathbb{R}_{\geq 0}) \rightarrow X_\Sigma(\mathbb{R}_{\geq 0})$. Moreover, there is a commutative diagram*

$$\begin{array}{ccc}
 X_{\Sigma(\sigma)}^{\text{an}} & \xrightarrow{\iota_\sigma} & X_\Sigma^{\text{an}} \\
 \rho_{\Sigma(\sigma)} \downarrow & & \downarrow \rho_\Sigma \\
 X_{\Sigma(\sigma)}(\mathbb{R}_{\geq 0}) & \xrightarrow{\iota_\sigma} & X_\Sigma(\mathbb{R}_{\geq 0})
 \end{array}$$

Proof. — To construct the map ι_σ at the level of varieties with corners one can imitate the construction of the morphism ι_σ given before Proposition 3.2.1. It is possible to verify that the obtained map is continuous. To prove the commutativity of the diagram, it is enough to restrict oneself to the principal open affine subset $X_{\Sigma(\sigma), 0}^{\text{an}}$ or $X_{\Sigma(\sigma), 0}(\mathbb{R}_{\geq 0})$, where it follows from the concrete description of points either as multiplicative seminorms or as semigroup homomorphisms. We leave to the reader the verification of the details. \square

Notation 4.1.7. — Let N_i and Σ_i be a complete fan in $N_{i, \mathbb{R}}$, $i = 1, 2$. Let $H: N_1 \rightarrow N_2$ be a linear map such that, for each cone $\sigma_1 \in \Sigma_1$, there is a cone $\sigma_2 \in \Sigma_2$ with $H(\sigma_1) \subset \sigma_2$. Let $p \in X_{\Sigma_2, 0}(K)$ and $A: N_{1, \mathbb{R}} \rightarrow N_{2, \mathbb{R}}$ the affine map $A = H + \text{val}(p)$.

By Theorem 3.2.4 there is an equivariant morphism $\varphi_{p,H}: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$. We denote also by $\varphi_{p,H}$ both, the corresponding morphism between analytic spaces, and the map

$$\varphi_{p,H} = \mathbf{e} \circ A \circ \mathbf{e}^{-1}: X_{\Sigma_1,0}(\mathbb{R}_{\geq 0}) \rightarrow X_{\Sigma_2,0}(\mathbb{R}_{\geq 0}), \tag{4.1.8}$$

even though the latter depends only on $\text{val}(p)$ and H and not on p itself.

The proof of the following proposition is left to the reader.

Proposition 4.1.8. — *The map (4.1.8) extends to a continuous map $X_{\Sigma_1}(\mathbb{R}_{\geq 0}) \rightarrow X_{\Sigma_2}(\mathbb{R}_{\geq 0})$ that we also denote by $\varphi_{p,H}$. Moreover, there is a commutative diagram*

$$\begin{array}{ccc} X_{\Sigma_1}^{\text{an}} & \xrightarrow{\varphi_{p,H}} & X_{\Sigma_2}^{\text{an}} \\ \rho_{\Sigma_1} \downarrow & & \downarrow \rho_{\Sigma_2} \\ X_{\Sigma_1}(\mathbb{R}_{\geq 0}) & \xrightarrow{\varphi_{p,H}} & X_{\Sigma_2}(\mathbb{R}_{\geq 0}). \end{array}$$

4.2. Analytic torus actions

When K is Archimedean, the analytic torus $\mathbb{T}^{\text{an}} \simeq (\mathbb{C}^\times)^n$ is a group which acts on the analytic toric variety $X_{\Sigma}^{\text{an}} = X_{\Sigma}(\mathbb{C})$. The *compact torus* of \mathbb{T}^{an} is defined as the subset

$$\mathbb{S} = \{p \in \mathbb{T}^{\text{an}} \mid |\chi^m(p)| = 1 \text{ for all } m \in M\}.$$

It is a compact topological subgroup of \mathbb{T}^{an} , homeomorphic to $(S^1)^n$ and which has a Haar measure of total volume 1. The map ρ_{Σ} defined in (4.1.1) is equivariant, in the sense that, for all $t \in \mathbb{T}^{\text{an}}$ and $p \in X_{\Sigma}^{\text{an}}$,

$$\rho_{\Sigma}(t \cdot p) = \rho_0(t) \cdot \rho_{\Sigma}(p).$$

The orbits of the action of \mathbb{S} on X_{Σ}^{an} agree with the fibers of the map ρ_{Σ} defined in (4.1.1): for a point $p \in X_{\Sigma}^{\text{an}}$,

$$\mathbb{S} \cdot p = \rho_{\Sigma}^{-1}(\rho_{\Sigma}(p)). \tag{4.2.1}$$

Therefore the variety with corners $X_{\Sigma}(\mathbb{R}_{\geq 0})$ can be understood as the quotient of X_{Σ}^{an} by the action of the closed subgroup \mathbb{S} . Since the map ρ_{Σ} is proper, the topology of $X_{\Sigma}(\mathbb{R}_{\geq 0})$ is the final topology with respect to this map.

In the non-Archimedean case, the analogues of these properties are more subtle. For the remainder of the section we will assume that K is non-Archimedean. Following [Ber90, Chapter 5], an *analytic group* G over K is an analytic space over K endowed with three morphisms $G \times G \rightarrow G$ (multiplication), $\text{Spec}(K)^{\text{an}} \rightarrow G$ (identity) and $G \rightarrow G$ (inversion), satisfying the natural conditions.

An *action* of G on an analytic space X over K is a morphism

$$\mu: G \times X \longrightarrow X,$$

also satisfying the natural conditions in this context.

The rational points $G(K)$ form an abstract group but, in general, the set of points of the topological space underlying the analytic space G has no natural group structure induced by the analytic group structure. Instead, we can define a correspondence that associates, to $g \in G$ and $p \in X$, the subset of points

$$g \cdot p = \mu(\text{pr}^{-1}(g, p)),$$

where $\text{pr}: G \times X \rightarrow G \times_{\text{topo}} X$ is the projection induced from the functorial properties of the direct product of sets, the first product being in the category of analytic spaces whereas the second is in the category of topological spaces. The set $g \cdot p$ may contain more than one point as shown in Corollary 4.2.11, for example. This “multiplication” of points satisfies the properties that, for all $g, h \in G$ and $p, q \in X$,

$$g \cdot (h \cdot p) = (g \cdot h) \cdot p, \tag{4.2.2}$$

$$p \in g \cdot q \iff q \in g^{-1} \cdot p, \tag{4.2.3}$$

where g^{-1} denotes the image of g by the inversion map [Ber90, Proposition 5.1.1(i)]. If either $g \in G(K)$ or $p \in X(K)$, then $g \cdot p$ consists of a single point.

A non-empty subset $H \subset G$ is a subgroup if it satisfies that, for all $g, h \in H$, $g^{-1} \in H$ and $g \cdot h \in H$. For a subgroup H and a point $x \in X$, the orbit of p with respect to H is defined as the subset

$$H \cdot p = \bigcup_{h \in H} h \cdot p.$$

By (4.2.3), different orbits are either disjoint or coincide.

Although we have defined $g \cdot p$ as a set, for some special elements of G or X we can single out a distinguished point of this subset with good properties.

Let K' and K'' be two complete valued field extensions of K , recall that the tensor product $K' \otimes_K K''$ has a tensor product norm defined as

$$\|\gamma\| = \inf_{\gamma = \sum_i \alpha_i \otimes \beta_i} \max_i |\alpha_i| |\beta_i|.$$

Then $K' \widehat{\otimes} K''$ is defined as the completion of $K' \otimes_K K''$ with respect to this norm.

Definition 4.2.1. — Let Z be an analytic space over K . A point $p \in Z$ is called *peaked* if, for any complete valued field extension K' of K , the tensor product norm of $\mathcal{H}(p) \widehat{\otimes} K'$ is multiplicative.

Let $g \in G$ and $p \in X$. The set $\text{pr}^{-1}(g, p)$ can be identified with the set of multiplicative seminorms of $\mathcal{H}(g) \widehat{\otimes} \mathcal{H}(p)$ that are bounded by the tensor product norm.

Definition 4.2.2. — Let $g \in G$ and $p \in X$. It follows from the definition that if one of these points is peaked, then the tensor product norm of $\mathcal{H}(g) \widehat{\otimes} \mathcal{H}(p)$ is multiplicative, and so it defines a point of $\text{pr}^{-1}(g, p) \in G \times X$. We denote by $g * p \in X$ the image by μ of this point.

Remark 4.2.3. — Assume that G and X are the analytification of an affine algebraic group $\text{Spec}(A)$ and of an affine algebraic variety $\text{Spec}(B)$ over K respectively. Assume also that the action is induced by a morphism $B \rightarrow A \otimes B$. Let $g \in G$ and $p \in X$. If either g or p is peaked, then the point $g * p \in X$ is given by the multiplicative seminorm of B induced by the tensor product norm of $\mathcal{H}(g) \widehat{\otimes} \mathcal{H}(p)$ through the composition $B \rightarrow A \otimes B \rightarrow \mathcal{H}(g) \widehat{\otimes} \mathcal{H}(p)$.

Proposition 4.2.4. — *Let G be an analytic group and X an analytic space with an action of G .*

1. *The points of $G(K)$ and of $X(K)$ are peaked. If either $g \in G$ or $p \in X$ is rational, then $g \cdot p = \{g * p\}$.*
2. *If $g \in G$ and $p \in X$ are peaked, then $g * p$ is peaked.*
3. *If two of the three points $g_1 \in G$, $g_2 \in G$ and $p \in X$ are peaked, then $(g_1 * g_2) * p = g_1 * (g_2 * p)$.*
4. *If $g \in G$ is peaked, then the map $X \rightarrow X$ given by $p \mapsto g * p$ is continuous. If $p \in X$ is peaked then the map $G \rightarrow X$ given by $g \mapsto g * p$ is continuous.*

Proof. — The first statement follows directly from the definition. The remaining statements are proved in [Ber90, Proposition 5.2.8]. □

Proposition 4.2.5. — *Let $\varphi: Y \rightarrow Z$ be a closed immersion of algebraic varieties over K . Then $p \in Y^{\text{an}}$ is peaked if and only if $\varphi^{\text{an}}(p)$ is peaked.*

Proof. — Since φ is a closed immersion, we have that $\mathcal{H}(\varphi^{\text{an}}(p)) = \mathcal{H}(p)$, which implies the result. □

The example of interest for us is when G and X are the analytification of a split algebraic torus and an algebraic toric variety over K , respectively. Let notations be as at the beginning of this chapter and assume that K is non-Archimedean. Then the analytic torus \mathbb{T}^{an} is an analytic group as above.

The map ρ_σ is equivariant in the following sense.

Proposition 4.2.6. — *Let $t \in \mathbb{T}^{\text{an}}$ and $p \in X_\Sigma^{\text{an}}$. Then*

$$\rho_\Sigma(t \cdot p) = \rho_0(t) \cdot \rho_\Sigma(p).$$

Proof. — We can assume that $p \in X_\sigma^{\text{an}}$ for a cone $\sigma \in \Sigma$. The set $\text{pr}^{-1}(t, p)$ is the set of multiplicative seminorms of $K[M] \otimes K[M_\sigma]$ that extend the absolute value of K and that satisfy, for $f \in K[M]$ and $g \in K[M_\sigma]$,

$$|f \otimes 1| = |f(t)|, \quad |1 \otimes g| = |g(p)|. \tag{4.2.4}$$

Therefore, if $m \in M_\sigma$, and $q \in t \cdot p$ is the image by μ of a multiplicative seminorm $|\cdot|_q$ of $K[M] \otimes K[M_\sigma]$ satisfying (4.2.4), then

$$\rho_\sigma(q)(m) = |\chi^m(q)| = |\chi^m \otimes \chi^m|_q = |(\chi^m \otimes 1)(1 \otimes \chi^m)|_q$$

By the multiplicativity of $|\cdot|_q$

$$\rho_\sigma(q)(m) = |(\chi^m \otimes 1)|_q |(1 \otimes \chi^m)|_q.$$

By (4.2.4),

$$\rho_\sigma(q)(m) = |\chi^m(t)||\chi^m(p)| = \rho_0(t)(m)\rho_\sigma(p)(m) = (\rho_0(t) \cdot \rho_\sigma(p))(m),$$

proving the result. \square

The compact torus $\mathbb{S} \subset \mathbb{T}^{\text{an}}$ is a subgroup in the analytic sense and its underlying topological space is compact. However, as discussed previously it is not an abstract group. Thus we cannot apply the theory of locally compact topological groups to obtain a Haar measure on \mathbb{S} . The role of the Haar measure of \mathbb{S} will be played by a Dirac delta measure centred at a special point of \mathbb{S} .

Definition 4.2.7. — The *Gauss norm* of $K[M]$ is the norm given, for $f = \sum \alpha_m \chi^m \in K[M]$, by $\max_m |\alpha_m|$.

The following result is classical.

Proposition 4.2.8. — *The Gauss norm is multiplicative.*

Proof. — Let $f = \sum_m \alpha_m \chi^m$ and $g = \sum_l \beta_l \chi^l$ and write $fg = \sum_k \epsilon_k \chi^k$ with $\epsilon_k = \sum_{m+l=k} \alpha_m \beta_l$. Then, since the absolute value of K is ultrametric,

$$\max_{k \in M_\sigma} (|\epsilon_k|) \leq \max_{m \in M_\sigma} (|\alpha_m|) \max_{l \in M_\sigma} (|\beta_l|).$$

Let $C_f = \{m \in M_\sigma \mid \max_{m'} (|\alpha_{m'}|) = |\alpha_m|\}$ and define C_g analogously. Let r be a vertex of the Minkowski sum $\text{conv}(C_f) + \text{conv}(C_g)$. Then there is a unique decomposition $r = m_r + l_r$ with $m_r \in C_f$ and $l_r \in C_g$. Hence $\epsilon_r = \alpha_{m_r} \beta_{l_r}$. Thus

$$\max_{k \in M_\sigma} (|\epsilon_k|) \geq |\epsilon_r| = \max_{m \in M_\sigma} (|\alpha_m|) \max_{l \in M_\sigma} (|\beta_l|),$$

which concludes the proof. \square

Definition 4.2.9. — The *Gauss point* of \mathbb{T}^{an} is the point ζ corresponding to the Gauss norm of $K[M]$. Thus, if $f = \sum \alpha_m \chi^m \in K[M]$, then

$$|f(\zeta)| = \max_m |\alpha_m|.$$

It is clear that $\zeta \in \mathbb{S} \subset \mathbb{T}^{\text{an}}$.

The Gauss point satisfies the following invariance property, that indicates that it is reasonable to consider the Dirac delta measure δ_ζ as the non-Archimedean analogue of the Haar measure on \mathbb{S} .

Proposition 4.2.10. — *The Gauss point ζ is peaked. Moreover, for any $t \in \mathbb{S}$ one has $t * \zeta = \zeta$.*

Proof. — Let K' be a complete valued field over K . We denote by $\widehat{K'[M]}$ the completion of $K'[M]$ with respect to the Gauss norm. Since there is an isometry

$$\widehat{K[M]} \widehat{\otimes} K' = \widehat{K'[M]},$$

and the Gauss norm is multiplicative, then [Ber90, Lemma 5.2.2] implies that the Gauss point is peaked.

Let $f = \sum \alpha_m \chi^m \in K[M]$. The action of \mathbb{T} on itself is given by the morphism of algebras $K[M] \rightarrow K[M] \otimes K[M]$ that sends f to $\sum \alpha_m \chi^m \otimes \chi^m$.

For $t \in \mathbb{T}^{\text{an}}$, by Remark 4.2.3, the value $|f(t * \zeta)|$ is the norm of the image of f in $\mathcal{H}(\zeta) \widehat{\otimes} \mathcal{H}(t)$. Since the map

$$\widehat{K[M]} \widehat{\otimes} \mathcal{H}(t) \rightarrow \mathcal{H}(\zeta) \widehat{\otimes} \mathcal{H}(t)$$

is an isometric embedding, it is enough to compute the norm of the image of f in $\widehat{K[M]} \widehat{\otimes} \mathcal{H}(t) = \widehat{\mathcal{H}(t)[M]}$. Therefore

$$|f(t * \zeta)| = \left| \sum \alpha_m \chi^m(t) \chi^m \right| = \max_m (|\alpha_m| |\chi^m(t)|).$$

Assume now that $t \in \mathbb{S}$. Then $|\chi^m(t)| = 1$ for all $m \in M$. Thus $|f(t * \zeta)| = \max_m |\alpha_m|$ and so $t * \zeta = \zeta$. □

Corollary 4.2.11. — *The Gauss point satisfies $\zeta \cdot \zeta = \mathbb{S}$.*

Proof. — Since \mathbb{S} is a subgroup, $\zeta \cdot \zeta \subset \mathbb{S}$. Let now $t \in \mathbb{S}$. By Proposition 4.2.10, $\zeta = \zeta * t \in \zeta \cdot t$. By (4.2.3), $t \in \zeta \cdot \zeta^{-1}$. Since $\zeta = \zeta^{-1}$, we deduce that $t \in \zeta \cdot \zeta$, proving the result. □

On each fibre of the map ρ_Σ there is a point with similar properties to those of the Gauss point, giving a continuous section of ρ_Σ .

Proposition-Definition 4.2.12. — Let $\sigma \in \Sigma$. For each $\gamma \in \text{Hom}_{\text{sg}}(M_\sigma, \mathbb{R}_{\geq 0})$, the seminorm that, to a function $\sum \alpha_m \chi^m \in K[M_\sigma]$ assigns the value $\max_m (|\alpha_m| \gamma(m))$, is a multiplicative seminorm on $K[M_\sigma]$ that extends the absolute value of K . Therefore it determines a point of X_σ^{an} that we denote $\theta_\sigma(\gamma)$. The maps θ_σ are injective, continuous and proper. Moreover, they glue together to define a map

$$\theta_\Sigma: X_\Sigma(\mathbb{R}_{\geq 0}) \rightarrow X_\Sigma^{\text{an}}$$

that is a continuous and proper section of ρ_Σ .

Proof. — Let $\gamma \in \text{Hom}_{\text{sg}}(M_\sigma, \mathbb{R}_{\geq 0})$. The fact that the seminorm $\theta_\sigma(\gamma)$ extends the absolute value of K is clear. That it is multiplicative is proved with an argument similar to the one in the proof of Proposition 4.2.8. Thus we obtain a point $\theta_\sigma(\gamma) \in X_\sigma^{\text{an}}$.

We show next that the map θ_σ is continuous. The topology of X_σ^{an} is the coarsest topology that makes the functions $p \mapsto |f(p)|$ continuous for all $f \in K[M_\sigma]$. Thus to show that θ_σ is continuous, it is enough to show that the map $\gamma \mapsto |f(\theta_\sigma(\gamma))|$ is

continuous on $X_\sigma(\mathbb{R}_{\geq 0}) = \text{Hom}_{\text{sg}}(M_\sigma, \mathbb{R}_{\geq 0})$. The topology of $X_\sigma(\mathbb{R}_{\geq 0})$ is the coarsest topology such that, for each $m \in M_\sigma$, the map $\gamma \mapsto \gamma(m)$ is continuous. Since, for $f = \sum_{m \in M_\sigma} \alpha_m \chi^m$,

$$|f(\theta_\sigma(\gamma))| = \max(|\alpha_m| \gamma(m)),$$

we deduce that θ_σ is continuous.

The facts that the maps θ_σ glue together to give a continuous map θ_Σ and that θ_Σ is a section of ρ_Σ follow easily from the definitions. The analogue of Lemma 4.1.1 is true for θ_Σ , hence θ_σ is proper by the argument of the proof of Corollary 4.1.2. \square

Observe that $\zeta = \theta_0(1)$. The following result extends Proposition 4.2.10 to the points in the image of θ_Σ .

Proposition 4.2.13. — *Let $t \in \mathbb{T}^{\text{an}}$, $p \in X_\Sigma^{\text{an}}$, $\gamma \in X_\Sigma(\mathbb{R}_{\geq 0})$ and $\tau \in \mathbb{T}(\mathbb{R}_{\geq 0})$. Then the points $\theta_\Sigma(\gamma)$ and $\theta_0(\tau)$ are peaked and*

$$t * \theta_\Sigma(\gamma) = \theta_\Sigma(\rho_0(t) \cdot \gamma), \quad \theta_0(\tau) * p = \theta_\Sigma(\tau \cdot \rho_\Sigma(p)).$$

Proof. — Let $\sigma \in \Sigma$ such that $\gamma \in X_\sigma(\mathbb{R}_{\geq 0})$. By similar arguments as those in the proof of Proposition 4.2.10, we see that $\theta_\sigma(\gamma)$ is peaked and that, for $f = \sum \alpha_m \chi^m \in K[M_\sigma]$,

$$|f(t * \theta_\sigma(\gamma))| = \max_m |\alpha_m| |\chi^m(t)| \gamma(m) = \max_m |\alpha_m| (\rho_0(t) \cdot \gamma)(m) = |f(\theta_\sigma(\rho_0(t) \cdot \gamma))|,$$

which proves the first formula. The rest of the proposition can be proved along the same lines. \square

A direct consequence of Proposition 4.2.13 is the following equivariance result for θ_Σ . It implies that $(\text{im}(\theta_0), *)$ is a topological group acting by $*$ on the topological space $\text{im}(\theta_\Sigma)$, with an action isomorphic to the action of $\mathbb{T}(\mathbb{R}_{\geq 0})$ on $X_\Sigma(\mathbb{R}_{\geq 0})$.

Corollary 4.2.14. — *Let $\tau \in \mathbb{T}(\mathbb{R}_{\geq 0})$ and $\gamma \in X_\Sigma(\mathbb{R}_{\geq 0})$. Then*

$$\theta_\Sigma(\tau \cdot \gamma) = \theta_0(\tau) * \theta_\Sigma(\gamma).$$

The orbits of the action of \mathbb{S} on X_Σ^{an} agree with the fibers of the map ρ_Σ .

Proposition 4.2.15. — *Let $p \in X_\Sigma^{\text{an}}$. Then*

$$\mathbb{S} \cdot p = \rho_\Sigma^{-1}(\rho_\Sigma(p)).$$

Proof. — By Proposition 4.2.6, $\rho_\Sigma(\mathbb{S} \cdot p) = \rho_\Sigma(p)$ and so $\mathbb{S} \cdot p \subset \rho_\Sigma^{-1}(\rho_\Sigma(p))$.

Conversely, let $q \in \rho_\Sigma^{-1}(\rho_\Sigma(p))$. By Proposition 4.2.13, $\zeta * p = \zeta * q$. Therefore $\mathbb{S} \cdot p \cap \mathbb{S} \cdot q \neq \emptyset$. Thus, both orbits agree and $q \in \mathbb{S} \cdot p$, concluding the proof. \square

The previous proposition shows that, also in the non-Archimedean case, the space $X_\Sigma(\mathbb{R}_{\geq 0})$ can be understood as the quotient of X_Σ^{an} by the action of the closed subgroup \mathbb{S} . Note that, since the map ρ_Σ is proper, the topology of $X_\Sigma(\mathbb{R}_{\geq 0})$ is the final topology with respect to this map.

We next discuss the functorial properties for the map θ_Σ . Let K' be a complete valued field extension of K , and consider the map $\nu: X_{\Sigma, K'}^{\text{an}} \rightarrow X_{\Sigma, K}^{\text{an}}$.

Proposition 4.2.16. — *The diagram*

$$\begin{array}{ccc} X_{\Sigma, K'}^{\text{an}} & \xrightarrow{\nu} & X_{\Sigma, K}^{\text{an}} \\ & \swarrow \theta_{\Sigma, K'} & \uparrow \theta_{\Sigma, K} \\ & & X_\Sigma(\mathbb{R}_{\geq 0}) \end{array}$$

is commutative.

Proof. — The commutativity of the diagram follows from the fact that the map $X_{\Sigma, K'}^{\text{an}} \rightarrow X_{\Sigma, K}^{\text{an}}$ is given by restricting seminorms. \square

The map θ_Σ is compatible with the inclusion of closure of orbits. The proof of the following proposition is left to the reader.

Proposition 4.2.17. — *With the notations of Proposition 4.1.6, there is a commutative diagram*

$$\begin{array}{ccc} X_{\Sigma(\sigma)}^{\text{an}} & \xrightarrow{\iota_\sigma} & X_\Sigma^{\text{an}} \\ \theta_{\Sigma(\sigma)} \uparrow & & \uparrow \theta_\Sigma \\ X_{\Sigma(\sigma)}(\mathbb{R}_{\geq 0}) & \xrightarrow{\iota_\sigma} & X_\Sigma(\mathbb{R}_{\geq 0}). \end{array}$$

In some cases, the map θ_Σ is compatible with equivariant maps.

Proposition 4.2.18. — *With Notation 4.1.7, assume that the dual linear map H^\vee is injective. Then the diagram*

$$\begin{array}{ccc} X_{\Sigma_1}^{\text{an}} & \xrightarrow{\varphi_{p, H}} & X_{\Sigma_2}^{\text{an}} \\ \theta_{\Sigma_1} \uparrow & & \uparrow \theta_{\Sigma_2} \\ X_{\Sigma_1}(\mathbb{R}_{\geq 0}) & \xrightarrow{\varphi_{p, H}} & X_{\Sigma_2}(\mathbb{R}_{\geq 0}) \end{array}$$

is commutative.

Proof. — It is enough to treat the local case. Write M_i for the dual lattice of N_i , $i = 1, 2$, and $H^\vee: M_2 \rightarrow M_1$ for the dual of H . Let $\sigma \in \Sigma_1$ be a cone, $q \in X_\sigma^{\text{an}}$, and $f = \sum \alpha_m \chi^m \in K[M_{2, \sigma}]$. Then

$$|f(\varphi_{p, H}(q))| = \left| \sum_{m \in M_2} \alpha_m \chi^m(p) \chi^{H^\vee(m)}(q) \right| = \left| \sum_{n \in M_1} \left(\sum_{\substack{m \in M_2 \\ H^\vee(m) = n}} \alpha_m \chi^m(p) \right) \chi^n(q) \right|$$

If $\gamma \in X_\sigma(\mathbb{R}_{\geq 0})$ and $q = \theta_\sigma(\gamma)$ then

$$|f(\varphi_{p,H}(q))| = \max_{n \in M_1} \left| \sum_{\substack{m \in M_2 \\ H^\vee(m)=n}} \alpha_m \chi^m(p) \right| \gamma(n).$$

Since H^\vee is injective

$$|f(\varphi_{p,H}(q))| = \max_{m \in M_2} |\alpha_m| |\chi^m(p)| \gamma(H^\vee(m)).$$

But, by Proposition 4.1.8, $|\chi^m(p)| \gamma(H^\vee(m)) = \rho_0(p)(m) \gamma(H^\vee(m)) = \varphi_{p,H}(\gamma)(m)$.

Thus

$$|f(\varphi_{p,H}(\theta_{\Sigma_1}(\gamma)))| = \max_{m \in M_2} |\alpha_m| \varphi_{p,H}(\gamma)(m) = |f(\theta_{\Sigma_2}(\varphi_{p,H}(\gamma)))|,$$

concluding the proof. □

Corollary 4.2.19. — *With Notation 4.1.7, for any point $\gamma \in X_{\Sigma_1}(\mathbb{R}_{\geq 0})$, the point $\varphi_{p,H}(\theta_{\Sigma_1}(\gamma))$ is peaked.*

Proof. — We first treat the case when $\gamma \in X_{\Sigma_1,0}(\mathbb{R}_{\geq 0})$. Following (3.2.4), we factorize $\varphi_{p,H}$ as

$$X_{\Sigma_1} \xrightarrow{\varphi_{H_{\text{surj}}}} X_{\Sigma_3} \xrightarrow{\varphi_{H_{\text{sat}}}} X_{\Sigma_4} \xrightarrow{\varphi_{p,H_{\text{inj}}}} X_{\Sigma_2}.$$

Since H_{surj}^\vee and H_{sat}^\vee are injective, by Proposition 4.2.18, we deduce that

$$\varphi_{H_{\text{sat}}}(\varphi_{H_{\text{surj}}}(\theta_{\Sigma_1}(\gamma))) = \theta_{\Sigma_4}(\varphi_{H_{\text{sat}}}(\varphi_{H_{\text{surj}}}(\gamma))).$$

By Proposition 4.2.13, this latter point is peaked. By Proposition 3.2.5, the map $\varphi_{p,H_{\text{inj}}}: X_{\Sigma_4,0} \rightarrow X_{\Sigma_2,0}$ is a closed immersion. Therefore, by Proposition 4.2.5, we deduce that $\varphi_{p,H}(\theta_{\Sigma_1}(\gamma))$ is peaked, proving the result in this case.

The general case follows from the previous one together with propositions 3.2.8 and 4.2.5. □

4.3. Toric metrics

With the notations at the beginning of this chapter, assume furthermore that Σ is complete. Let L be a toric line bundle on X_Σ and s a toric section of L (Definition 3.3.4). By theorems 3.3.7 and 3.3.3, we can find a virtual support function Ψ on Σ such that there is an isomorphism $L \simeq \mathcal{O}(D_\Psi)$ that sends s to s_Ψ . The algebraic line bundle L defines an analytic line bundle L^{an} on X_Σ^{an} . Let $\bar{L} = (L, \|\cdot\|)$, where $\|\cdot\|$ is a metric on L^{an} .

Every toric object has a certain invariance property with respect to the action of \mathbb{T} . This is also the case for metrics. Since \mathbb{T}^{an} is non compact, we cannot ask for a metric to be \mathbb{T}^{an} -invariant, but we can impose \mathbb{S} -invariance. In view of equation (4.2.1) and Proposition 4.2.15, a function $f: \mathbb{T}^{\text{an}} \rightarrow \mathbb{R}$ will be called *\mathbb{S} -invariant* if it is constant along the fibres of ρ_0 .

We need a preliminary result.

Proposition 4.3.1. — *With notations as above, if the function $p \mapsto \|s(p)\|$ is \mathbb{S} -invariant, then, for every toric section s' , the function $p \mapsto \|s'(p)\|$ is \mathbb{S} -invariant too.*

Proof. — If s' is a toric section of L , then there is an element $m \in M$ such that $s' = \chi^m s$. Since for any element $t \in \mathbb{S}$ we have $|\chi^m(t)| = 1$, if the function $\|s(p)\|$ is \mathbb{S} -invariant, then the function $\|s'(p)\| = \|\chi^m(p)s(p)\|$ is also \mathbb{S} -invariant. \square

Definition 4.3.2. — Let L be a toric line bundle on X_Σ . A metric on L^{an} is *toric* if the function $p \mapsto \|s(p)\|$ is \mathbb{S} -invariant.

Given an arbitrary metric on a toric line bundle, we can associate to it a toric metric by an averaging process.

Definition 4.3.3. — Let L be a toric line bundle on X_Σ and $\|\cdot\|$ a metric on L^{an} . For $\sigma \in \Sigma$, let s_σ be a toric section of L which is regular and non-vanishing in X_σ .

If K is Archimedean, we set, for $p \in X_\sigma^{\text{an}}$,

$$\|s_\sigma(p)\|_{\mathbb{S}} = \exp \left(\int_{\mathbb{S}} \log \|s_\sigma(t \cdot p)\| \, d\mu_{\text{Haar}}(t) \right),$$

where μ_{Haar} is the Haar measure of \mathbb{S} of total volume 1.

If K is non-Archimedean, we set, for $p \in X_\sigma^{\text{an}}$,

$$\|s_\sigma(p)\|_{\mathbb{S}} = \|s_\sigma(\theta_\Sigma(\rho_\Sigma(p)))\|$$

where ρ_Σ is defined in (4.1.1) and θ_Σ in Proposition-Definition 4.2.12.

It is easy to verify that these functions define a toric metric $\|\cdot\|_{\mathbb{S}}$ on L^{an} .

Observe that the previous definition is compatible with the idea that δ_ζ is the analogue, in the non-Archimedean case, of the Haar measure of \mathbb{S} of total volume 1, because $\theta_\Sigma(\rho_\Sigma(p)) = \zeta * p$.

Proposition 4.3.4. — *The averaging process in Definition 4.3.3 is multiplicative with respect to products of metrized line bundles, is continuous with respect to uniform convergence of metrics and leaves invariant toric metrics.*

Proof. — This follows easily from the definition of $\|\cdot\|_{\mathbb{S}}$. \square

To the metrized line bundle \bar{L} and the section s we associate the function $g_{\bar{L},s}: X_0^{\text{an}} \rightarrow \mathbb{R}$ given by $g_{\bar{L},s}(p) = -\log \|s(p)\|$. In the Archimedean case, the function $g_{\bar{L},s}$ is 1/2 times the usual Green function associated to the metrized line

bundle \bar{L} and the section s . The metric $\|\cdot\|$ is toric if and only if the function $g_{\bar{L},s}$ is \mathbb{S} -invariant. In this case we can form the commutative diagram

$$\begin{array}{ccc}
 X_0^{\text{an}} & \xrightarrow{g_{\bar{L},s}} & \mathbb{R} \\
 \text{val} \downarrow & \nearrow & \\
 N_{\mathbb{R}} & &
 \end{array}
 \tag{4.3.1}$$

The dashed arrow exists as a continuous function because ρ_0 , hence val , is a proper surjective map and, by \mathbb{S} -invariance, $g_{\bar{L},s}$ is constant along the fibres. This justifies the following definition.

Definition 4.3.5. — Let L be a toric line bundle on X_{Σ} and s a toric section of L . Let $\|\cdot\|$ be a metric on L^{an} and set $\bar{L} = (L, \|\cdot\|)$. We define the function $\psi_{\bar{L},s} : N_{\mathbb{R}} \rightarrow \mathbb{R}$ given, for $u \in N_{\mathbb{R}}$, by

$$\psi_{\bar{L},s}(u) = \log \|s(p)\|_{\mathbb{S}}
 \tag{4.3.2}$$

for any $p \in X_0^{\text{an}}$ with $\text{val}(p) = u$. When the line bundle and the section are clear from the context, we will alternatively denote this function as $\psi_{\|\cdot\|}$.

The facts that $\|\cdot\|_{\mathbb{S}}$ is \mathbb{S} -invariant and that s is a nowhere vanishing regular section on X_0^{an} imply that (4.3.2) gives a well-defined continuous function on $N_{\mathbb{R}}$. In the case when $\|\cdot\|$ is toric, we have that

$$\psi_{\bar{L},s}(u) = \log \|s(p)\|
 \tag{4.3.3}$$

for $u \in N_{\mathbb{R}}$ and any $p \in X_0^{\text{an}}$ with $\text{val}(p) = u$.

We will also use the following variant of the function $\psi_{\bar{L},s}$. It will be most useful when treating metrics induced by integral models.

Definition 4.3.6. — Let notations be as in Definition 4.3.5 and suppose that absolute value of K is either Archimedean or associated to a discrete valuation. We define the function $\phi_{\bar{L},s} : N_{\mathbb{R}} \rightarrow \mathbb{R}$ given, for $u \in N_{\mathbb{R}}$, by

$$\phi_{\bar{L},s}(u) = \frac{\log \|s(p)\|_{\mathbb{S}}}{\lambda_K}$$

for any $p \in X_0^{\text{an}}$ with $\text{val}_K(p) = u$. When the line bundle and the section are clear from the context, we will alternatively denote this function as $\phi_{\|\cdot\|}$.

Remark 4.3.7. — The function $\phi_{\bar{L},s}$ agrees with the right multiplication $\psi_{\bar{L},s} \lambda_K^{-1}$, that is,

$$\phi_{\bar{L},s}(u) = \lambda_K^{-1} \psi_{\bar{L},s}(\lambda_K u)
 \tag{4.3.4}$$

for all $u \in N_{\mathbb{R}}$. Hence, the functions $\phi_{\|\cdot\|}$ and $\psi_{\|\cdot\|}$ carry the same information and it is easy to move from one to the other. The difference between both functions is similar to the difference between val_K and val discussed in Remark 4.1.3.

We study the effect of taking a field extension. Let K'/K be a finite extension of complete valued fields. We denote by $e_{K'/K}$ the ramification degree of K' over K .

Proposition 4.3.8. — *Let notations be as in Definition 4.3.5 and consider a finite extension of complete valued fields K'/K . Let \bar{L}' and s' be the metrized toric line bundle and toric section on $X_\Sigma \times \text{Spec}(K')$ obtained after base change to K' . Then*

$$\psi_{\bar{L}',s'} = \psi_{\bar{L},s}, \quad \phi_{\bar{L}',s'} = \phi_{\bar{L},s} e_{K'/K},$$

that is, $\phi_{\bar{L}',s'}(u) = e_{K'/K} \phi_{\bar{L},s}\left(\frac{u}{e_{K'/K}}\right)$ for all $u \in N_{\mathbb{R}}$.

Proof. — The first statement follows from the definition of $\psi_{\bar{L},s}$ and propositions 4.1.5 and 4.2.16. The second statement follows from the first one, equation (4.3.4) and the fact that $\lambda_K = e_{K'/K} \lambda_{K'}$. \square

Example 4.3.9. — With the notation in examples 2.5.6 and 3.3.10, consider the standard simplex Δ^n with fan $\Sigma = \Sigma_{\Delta^n}$ and support function $\Psi = \Psi_{\Delta^n}$. To these data correspond the toric variety $X_\Sigma = \mathbb{P}^n$, the toric line bundle $L_\Psi = \mathcal{O}(1)$, and the toric section s_Ψ whose associated Weil divisor is the hyperplane at infinity H_0 .

1. The canonical metrics $\|\cdot\|_{\text{can}}$ in examples 1.3.11 and 1.4.4 are toric and both satisfy $\psi_{\|\cdot\|_{\text{can}}} = \Psi$.
2. The Fubini-Study metric $\|\cdot\|_{\text{FS}}$ in Example 1.1.2 is also toric and satisfies $\psi_{\|\cdot\|_{\text{FS}}} = f_{\text{FS}}$, where f_{FS} is the function in Example 2.4.3.

In general, the space of toric metrics on the line bundle L can be put into a one-to-one correspondence with a certain class of continuous functions on $N_{\mathbb{R}}$.

Proposition 4.3.10. — *Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ . Let X_Σ and $L = \mathcal{O}(D_\Psi)$ be the associated proper toric variety over K and toric line bundle.*

1. *Given a metric $\|\cdot\|$ on L^{an} , the function $\psi_{\|\cdot\|} - \Psi$ extends to a continuous function on N_Σ .*
2. *The correspondence $\|\cdot\| \mapsto \psi_{\|\cdot\|}$ is a bijection between the set of toric metrics on L^{an} and the set of continuous functions $\psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ with the property that $\psi - \Psi$ can be extended to a continuous function on N_Σ .*

Proof. — We first prove (1). Let $\{m_\sigma\}$ be a set of defining vectors of Ψ . For each cone $\sigma \in \Sigma$, the section $s_\sigma = \chi^{m_\sigma} s$ is a nowhere vanishing regular section on X_σ^{an} . By (4.1.2), for $p \in X_0^{\text{an}}$,

$$\psi_{\|\cdot\|}(\text{val}(p)) - \langle m_\sigma, \text{val}(p) \rangle = \log \|s(p)\|_{\mathbb{S}} + \log |\chi^{m_\sigma}(p)| = \log \|s_\sigma(p)\|_{\mathbb{S}}.$$

Since $\|s_\sigma\|_{\mathbb{S}}$ is a nowhere vanishing regular function on X_σ^{an} , the function $\log \|s_\sigma\|_{\mathbb{S}}$ is a continuous function on X_σ^{an} that is \mathbb{S} -invariant. So it defines a continuous function on $X_\sigma(\mathbb{R}_{\geq 0})$. As a consequence, $\psi_{\|\cdot\|} - m_\sigma$ extends to a continuous function on N_σ .

Now, if we see that $\Psi - m_\sigma$ extends also to a continuous function on N_σ we will be able to extend $\psi_{\|\cdot\|} - \Psi$ to a continuous function on N_σ for every $\sigma \in \Sigma$ and therefore to N_Σ .

Let τ be a face of σ and let $u \in N(\tau)_\mathbb{R}$. Let $W(\tau, U, p)$ be a neighbourhood of u as in (4.1.6). By taking U small enough and $p \in \tau$ far away from the origin, we can assume that $W(\tau, U, p) \cap N_\mathbb{R}$ is contained in the set of cones that have τ as a face. Since Ψ and m_σ agree when restricted to σ (hence when restricted to τ) it follows that, if $w + t \in W(\tau, U, p) \cap N_\mathbb{R}$ with $w \in U$ and $t \in p + \tau$, then $(\Psi - m_\sigma)(w + t)$ only depends on w and not on t . Hence it can be extended to a continuous function on the whole $W(\tau, U, p)$. By moving τ , u , U and p we see that it can be extended to a continuous function on N_σ , which completes the proof of the first statement.

For the second statement, let now ψ be a function on $N_\mathbb{R}$ such that $\psi - \Psi$ extends to a continuous function on N_Σ . We define a toric metric $\|\cdot\|$ on the restriction $L^{\text{an}}|_{X_0^{\text{an}}}$ by the formula

$$\|s(p)\| = \exp(\psi(\text{val}(p))). \tag{4.3.5}$$

Then, by the argument before, $\psi - m_\sigma$ extends to a continuous function on N_σ , which proves that $\|\cdot\|$ extends to a metric over X_σ^{an} . Varying $\sigma \in \Sigma$ we obtain that $\|\cdot\|$ extends to a metric over X_Σ^{an} . We can verify that this assignment is the inverse of the correspondence $\|\cdot\| \mapsto \psi_{\|\cdot\|}$, when the latter is restricted to the space of toric metrics on L^{an} . \square

Remark 4.3.11. — Assume that K is non-Archimedean and with discrete valuation. Let $\psi: N_\mathbb{R} \rightarrow \mathbb{R}$ be a function and consider the right multiplication $\phi = \psi \lambda_K^{-1}$. Since Ψ is conic, $\Psi \lambda_K^{-1} = \Psi$. Therefore $\psi - \Psi$ extends to a continuous function on N_Σ if and only if $\phi - \Psi$ does. Thus the statement of Proposition 4.3.10 remains true if we replace the function ψ by the function ϕ .

Notation 4.3.12. — For a function $\psi: N_\mathbb{R} \rightarrow \mathbb{R}$ with the property that $\psi - \Psi$ can be extended to a continuous function on N_Σ , we denote by $\|\cdot\|_\psi$ the metric given by the correspondence in Proposition 4.3.10(2). It is the metric defined in (4.3.5) above.

Corollary 4.3.13. — For any metric $\|\cdot\|$ on L^{an} , the function $|\psi_{\|\cdot\|} - \Psi|$ is bounded.

Proof. — Since we are assuming that Σ is complete, the space $N_\Sigma \simeq X_\Sigma(\mathbb{R}_{\geq 0})$ is compact. Thus the corollary follows from Proposition 4.3.10(1). \square

Proposition 4.3.14. — The correspondence $(\bar{L}, s) \mapsto \psi_{\bar{L}, s}$ satisfies the following properties.

1. Let $\bar{L}_i = (L_i, \|\cdot\|_i)$, $i = 1, 2$, be toric line bundles equipped with a metric and s_i a toric section of L_i . Then

$$\psi_{\bar{L}_1 \otimes \bar{L}_2, s_1 \otimes s_2} = \psi_{\bar{L}_1, s_1} + \psi_{\bar{L}_2, s_2}.$$

2. Let $\bar{L} = (L, \|\cdot\|)$ be a toric line bundle equipped with a metric and s a toric section of L . Then

$$\psi_{\bar{L}^{\otimes -1}, s^{\otimes -1}} = -\psi_{\bar{L}, s}.$$

3. Let (L, s) be a toric line bundle and section, and $(\|\cdot\|_l)_{l \geq 1}$ a sequence of metrics on L converging to a metric $\|\cdot\|$ with respect to the distance in (1.4.1). Then $\psi_{\|\cdot\|_l}$ converges uniformly to $\psi_{\|\cdot\|}$.

Proof. — This follows easily from the definitions. □

A consequence of Proposition 4.3.10(2) is that every toric line bundle has a distinguished toric metric.

Proposition-Definition 4.3.15. — Let Σ be a complete fan, X_Σ the corresponding toric variety, and L a toric line bundle on X_Σ . Let s be a toric section of L and Ψ the virtual support function on Σ associated to (L, s) by theorems 3.3.7 and 3.3.3. The metric on L^{an} associated to the function Ψ by Proposition 4.3.10(2) only depends on the structure of toric line bundle of L . This toric metric is called the *canonical metric* of L^{an} and is denoted $\|\cdot\|_{\text{can}}$. We write $\bar{L}^{\text{can}} = (L, \|\cdot\|_{\text{can}})$.

Proof. — Let s' be another toric section of L . Then there is an element $m \in M$ such that $s' = \chi^m s$. The corresponding virtual support function is $\Psi' = \Psi - m$. Denote by $\|\cdot\|$ and $\|\cdot\|'$ the metrics associated to s, Ψ and to s', Ψ' respectively. Then

$$\|s(p)\|' = \|\chi^{-m} s'(p)\|' = e^{(m+\Psi')(\text{val}(p))} = e^{\Psi(\text{val}(p))} = \|s(p)\|.$$

Thus both metrics agree. □

The canonical metrics $\|\cdot\|_{\text{can}}$ in examples 1.3.11 and 1.4.4 are particular cases of the canonical metric of Proposition-Definition 4.3.15.

Proposition 4.3.16. — *The canonical metric is compatible with the tensor product of line bundles.*

1. Let $L_i, i = 1, 2$, be toric line bundles on X . Then $\overline{L_1 \otimes L_2}^{\text{can}} = \overline{L_1}^{\text{can}} \otimes \overline{L_2}^{\text{can}}$.
2. Let L be a toric line bundle on X . Then $\overline{L^{\otimes -1}}^{\text{can}} = (\overline{L}^{\text{can}})^{\otimes -1}$.

Proof. — This follows easily from the definitions. □

Next we describe the behaviour of the correspondence of Proposition 4.3.10(2) with respect to equivariant morphisms. We start with the case of orbits. Let Σ be a complete fan in N and Ψ a virtual support function on Σ . Let L and s be the associated toric line bundle and toric section, and $\{m_\sigma\}_{\sigma \in \Sigma}$ a set of defining vectors of Ψ . Let $\sigma \in \Sigma$ and $V(\sigma)$ the corresponding closed subvariety. As in Proposition 3.3.16, the restriction of L to $V(\sigma)$ is a toric line bundle. Since $V(\sigma)$ and $\text{div}(s)$ may not intersect properly we can not restrict s directly to $V(\sigma)$. By contrast, $D_{\Psi - m_\sigma} = \text{div}(\chi^{m_\sigma} s)$ intersects properly $V(\sigma)$ and we can restrict the section $\chi^{m_\sigma} s$ to $V(\sigma)$ to

obtain a toric section of $\mathcal{O}(D_{(\Psi-m_\sigma)(\sigma)}) \simeq L|_{V(\sigma)}$. Denote $\iota: V(\sigma) \rightarrow X_\Sigma$ the closed immersion. For short, we write $s_\sigma = \chi^{m_\sigma} s$. Then $\iota^* s_\sigma$ is a nowhere vanishing section on $O(\sigma)$. Recall that $V(\sigma)$ has a structure of toric variety given by the fan $\Sigma(\sigma)$ on $N(\sigma)$ (Proposition 3.2.1). The principal open subset of $V(\sigma)$ is the orbit $O(\sigma)$.

Let $\|\cdot\|$ be a metric on L^{an} and write $\bar{L} = (L, \|\cdot\|)$. By the proof of Proposition 4.3.10, the function $\psi_{\bar{L},s} - m_\sigma = \psi_{\bar{L},s_\sigma}$ can be extended to a continuous function on N_σ that we denote $\bar{\psi}_{\bar{L},s_\sigma}$.

Proposition 4.3.17. — *The function $\psi_{\iota^*\bar{L},\iota^*s_\sigma}: N(\sigma)_\mathbb{R} \rightarrow \mathbb{R}$ agrees with the restriction of $\bar{\psi}_{\bar{L},s_\sigma}$ to the subset $N(\sigma)_\mathbb{R}$ of N_σ .*

Proof. — The section s is nowhere vanishing on $X_{\Sigma,\sigma}$. Therefore, the function $g_{\bar{L},s_\sigma}: X_0^{\text{an}} \rightarrow \mathbb{R}$ of diagram (4.3.1) can be extended to a continuous function on $X_{\Sigma,\sigma}^{\text{an}}$ that we also denote $g_{\bar{L},s_\sigma}$. By the definition of the inverse image of a metric, there is a commutative diagram

$$\begin{array}{ccc} O(\sigma)^{\text{an}} & \xrightarrow{\iota} & X_{\Sigma,\sigma}^{\text{an}} \\ & \searrow & \downarrow g_{\bar{L},s_\sigma} \\ & & \mathbb{R}. \end{array}$$

$g_{\iota^*\bar{L},\iota^*s_\sigma}$

We next prove the result in the Archimedean case. Let \mathbb{T}_σ be the torus corresponding to the quotient lattice $N(\sigma)$, and \mathbb{S}_σ the compact subtorus of $\mathbb{T}_\sigma^{\text{an}}$. Denote by $\pi_\sigma: \mathbb{S} \rightarrow \mathbb{S}_\sigma$. Let $\mu_{\text{Haar},\sigma}$ be that Haar measure of \mathbb{S}_σ of total measure 1. Then $\mu_{\text{Haar},\sigma} = (\pi_\sigma)_* \mu_{\text{Haar}}$. The inclusion ι satisfies that, for $t \in \mathbb{S}$ and $p \in O(\sigma)^{\text{an}}$ then $\iota(\pi_\sigma(t) \cdot p) = t \cdot \iota(p)$. Thus

$$\begin{aligned} \log \|\iota^* s_\sigma(p)\|_{\mathbb{S}_\sigma} &= - \int_{\mathbb{S}_\sigma} g_{\iota^*\bar{L},\iota^*s_\sigma}(t \cdot p) \, d\mu_{\text{Haar},\sigma}(t) \\ &= - \int_{\mathbb{S}} g_{\bar{L},s_\sigma}(t \cdot \iota(p)) \, d\mu_{\text{Haar}}(t) = \log \|s_\sigma(\iota(p))\|_{\mathbb{S}}, \end{aligned}$$

which implies the result.

We next prove the statement in the non-Archimedean case. By propositions 4.1.6 and 4.2.17,

$$\begin{aligned} \log \|\iota^* s_\sigma(p)\|_{\mathbb{S}_\sigma} &= -g_{\iota^*\bar{L},\iota^*s_\sigma}(\theta_{\Sigma(\sigma)}(\rho_{\Sigma(\sigma)}(p))) \\ &= -g_{\bar{L},s_\sigma}(\theta_\Sigma(\rho_\Sigma(\iota(p)))) = \log \|s_\sigma(\iota(p))\|_{\mathbb{S}}, \end{aligned}$$

which implies the result. □

Corollary 4.3.18. — *Let \bar{L} be a toric line bundle on X_Σ equipped with the canonical metric, $\sigma \in \Sigma$ and $\iota: V(\sigma) \rightarrow X_\Sigma$ the closed immersion. Then the restriction $\iota^*\bar{L}$ is a toric line bundle equipped with the canonical metric.*

Proof. — Choose a toric section s of L whose divisor meets $V(\sigma)$ properly. Let Ψ be the corresponding virtual support function. The condition of proper intersection is equivalent to $\Psi|_\sigma = 0$. Then Ψ extends to a continuous function $\bar{\Psi}$ on N_σ and the restriction of $\bar{\Psi}$ to $N(\sigma)$ is equal to $\Psi(\sigma)$ (Proposition 3.3.14). Hence the result follows from Proposition 4.3.17. \square

We next study the case of an equivariant morphism whose image intersects the principal open subset. Let $N_i, \Sigma_i, i = 1, 2, H, p$ and A be as in Proposition 4.1.8. Let Ψ_2 be a virtual support function on Σ_2 and let $\Psi_1 = \Psi_2 \circ H$. This is a virtual support function on Σ_1 . Let (L_i, s_i) be the corresponding toric line bundles and sections. By Proposition 3.3.17 and Theorem 3.3.7, there is an isomorphism $\varphi_{p,H}^* L_2 \simeq L_1$ that sends $\varphi_{p,H}^* s_2$ to s_1 . We use this isomorphism to identify them. The following result follows from Proposition 4.1.8 and is left to the reader.

Proposition 4.3.19. — *Let $\|\cdot\|$ be a toric metric on L_2^{an} and write $\bar{L}_2 = (L_2, \|\cdot\|)$, $\bar{L}_1 = (L_1, \varphi_{p,H}^* \|\cdot\|)$. The equality $\psi_{\bar{L}_1, s_1} = \psi_{\bar{L}_2, s_2} \circ A$ holds.*

The canonical metric is stable by inverse image under toric morphisms. The following result follows easily from the definitions.

Corollary 4.3.20. — *Assume furthermore that $p = x_0$ and so the equivariant morphism $\varphi_{p,H} = \varphi_H: X_{\Sigma_1} \rightarrow X_{\Sigma_2}$ is a toric morphism. If \bar{L} is a toric line bundle on X_{Σ_2} equipped with the canonical metric, then $\varphi_H^* \bar{L}$ is a toric line bundle equipped with the canonical metric.*

The inverse image of the canonical metric by an equivariant map does not need to be the canonical metric. In fact, the analogue of Example 3.7.11 in terms of metrics shows that many different metrics can be obtained as the inverse image of the canonical metric on the projective space.

Example 4.3.21. — Let Σ be a complete fan in $N_{\mathbb{R}}$ and X_Σ the corresponding toric variety. Recall the description of the projective space \mathbb{P}^r as a toric variety given in Example 3.1.3. Let $H: N \rightarrow \mathbb{Z}^r$ be a linear map such that, for each $\sigma \in \Sigma$ there exist $\tau \in \Sigma_{\Delta^r}$ with $H(\sigma) \subset \tau$. Let $p \in \mathbb{P}_0^r(K)$. Then we have an equivariant morphism $\varphi_{p,H}: X_\Sigma \rightarrow \mathbb{P}^r$. Consider the support function Ψ_{Δ^r} on Σ_{Δ^r} . Then $L_{\Psi_{\Delta^r}} = \mathcal{O}_{\mathbb{P}^r}(1)$. Write $L = \varphi_{p,H}^* L_{\Psi_{\Delta^r}}, s = \varphi_{p,H}^* s_{\Psi_{\Delta^r}}$ and $\Psi = H^* \Psi_{\Delta^r}$. Thus $(L, s) = (L_\Psi, s_\Psi)$.

Set $A = H + \text{val}(p)$ for the affine map. Let $\|\cdot\|$ be the metric on L^{an} induced by the canonical metric of $\mathcal{O}(D_{\Psi_{\Delta^r}})^{\text{an}}$ and let ψ be the function associated to it by Definition 4.3.5. By Proposition 4.3.19, $\psi = A^* \Psi_{\Delta^r}$. This is a piecewise affine concave function on $N_{\mathbb{R}}$ with $\text{rec}(\psi) = \Psi$ that can be made explicit as follows.

Let $\{e_1, \dots, e_r\}$ be the standard basis of \mathbb{Z}^r and $\{e_1^\vee, \dots, e_r^\vee\}$ the dual basis. Write $m_i = e_i^\vee \circ H \in M$ and $l_i = e_i^\vee(\text{val}(p)) \in \mathbb{R}$. Then

$$\Psi = \min\{0, m_1, \dots, m_r\}, \quad \psi = \min\{0, m_1 + l_1, \dots, m_r + l_r\}.$$

Proof. — This follows readily from the previous result together with Example 1.4.4 in the Archimedean case and Example 1.3.11 in the non-Archimedean case and the fact that the inverse image of a semipositive metric is also semipositive. \square

The conclusion of Proposition 4.3.19 is not true for non toric metrics, because the averaging process in Definition 4.3.3 does not commute with inverse images by equivariant morphisms. Nevertheless, with the notations before Proposition 4.3.19, we can compute $\psi_{L_2, s_2} \circ A$ as an average over all equivariant morphisms associated with the affine map A . In the non-Archimedean case, this averaging process will be described by a limit process on algebraic points of $X_{\Sigma_2}^{\text{an}}$. Recall that the algebraic points of a Berkovich space are dense. Since Berkovich spaces are not necessarily metrizable, in principle, one should approximate an arbitrary point by a *net* of algebraic points. Nevertheless, thanks to [Poi12, Théorème 5.3], Berkovich spaces are of type Fréchet-Uryshon, which implies that every point can be approximated by a *sequence* of algebraic points.

The next result will be needed in the proof of Proposition 4.7.1.

Proposition 4.3.24. — *With the notations previous to Proposition 4.3.19, let $\|\cdot\|$ be a metric on L_2^{an} .*

1. *Assume that K is Archimedean. Let $p \in X_{\Sigma_2, 0}(K)$ and put $u_0 = \text{val}(p)$. Then, for $u \in N_{1, \mathbb{R}}$,*

$$\psi_{\|\cdot\|}(u_0 + H(u)) = \int_{\mathbb{S}_2} \psi_{\varphi_{t \cdot p, H}^* \|\cdot\|}(u) \, d\mu_{\text{Haar}_2}(t),$$

where \mathbb{S}_2 is the compact subtorus of the torus associated to the lattice N_2 , and μ_{Haar_2} is the Haar measure of \mathbb{S}_2 of total volume 1.

2. *Assume that K is non-Archimedean. Let $u_0 \in \lambda_K N_{2, \mathbb{Q}}$ and $(q_i)_{i \in \mathbb{N}}$ be a sequence of points $q_i \in \text{val}^{-1}(u_0) \cap X_{\Sigma_2, \text{alg}}^{\text{an}}$ with $\lim_{i \rightarrow \infty} q_i = \theta_{\Sigma_2} \circ \mathbf{e}(u_0)$. For each $i \in \mathbb{N}$, let K'_i be a finite extension of K and $\tilde{q}_i \in X_{\Sigma_2, 0}(K'_i)$ a point over q_i . We denote by $\|\cdot\|_{K'_i}$ the metric induced on the line bundle L_{2, K'_i} by base change. Then, for $u \in N_{1, \mathbb{R}}$,*

$$\psi_{\|\cdot\|}(u_0 + H(u)) = \lim_{i \rightarrow \infty} \psi_{\varphi_{\tilde{q}_i, H}^* \|\cdot\|_{K'_i}}(u).$$

Proof. — We first prove (1). Let $q \in X_{\Sigma_1}^{\text{an}}$ with $\text{val}(q) = u$. By definition,

$$\int_{\mathbb{S}_2} \psi_{\varphi_{t_2 \cdot p, H}^* \|\cdot\|}(u) \, d\mu_{\text{Haar}_2}(t_2) = - \int_{\mathbb{S}_2} \int_{\mathbb{S}_1} g_{\varphi_{t_2 \cdot p, H}^* \|\cdot\|}(t_1 \cdot q) \, d\mu_{\text{Haar}_1}(t_1) \, d\mu_{\text{Haar}_2}(t_2).$$

where \mathbb{S}_i is the compact subtorus of the torus associated to the lattice N_i , and $\mu_{\text{Haar}, i}$ is the Haar measure of \mathbb{S}_i of total volume 1, $i = 1, 2$. Let $\varrho_H: \mathbb{T}_1 \rightarrow \mathbb{T}_2$ be the morphism of tori induced by the linear map H . Now we compute

$$g_{\varphi_{t_2 \cdot p, H}^* \|\cdot\|}(t_1 \cdot q) = g_{\|\cdot\|}(\varphi_{t_2 \cdot p, H}(t_1 \cdot q)) = g_{\|\cdot\|}(t_2 \cdot \varrho_H(t_1) \cdot \varphi_{p, H}(q)).$$

We want to characterize all the functions that can be obtained with a slight generalization of the previous construction. In this case we will use the function ϕ instead of ψ .

Proposition 4.3.22. — *Assume that the absolute value of K is either Archimedean or associated to a discrete valuation. Let Σ be a complete fan in N and Ψ a support function on Σ . Write $L = L_\Psi$ and $s = s_\Psi$. Let $\phi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ a piecewise affine concave function with $\text{rec}(\phi) = \Psi$, that has an H -representation*

$$\phi = \min_{i=0, \dots, r} \{m_i + l_i\},$$

with $m_i \in M_{\mathbb{Q}}$ and $l_i \in \mathbb{R}$ in the Archimedean case and $l_i \in \mathbb{Q}$ in the non-Archimedean case. Then there is an equivariant morphism $\varphi: X_\Sigma \rightarrow \mathbb{P}^r$, an integer $e > 0$ and an isomorphism $L^{\otimes e} \simeq \varphi^* \mathcal{O}(1)$ such that the metric $\|\cdot\|$ induced on L^{an} by the canonical metric of $\mathcal{O}(1)^{\text{an}}$ satisfies $\phi_{\|\cdot\|} = \phi$.

Proof. — First observe that the condition $l_i \in \mathbb{R}$ in the Archimedean case and $l_i \in \mathbb{Q}$ in the non-Archimedean case is equivalent to the condition $l_i \in \mathbb{Q} \text{val}_K(K^\times)$. Let $e > 0$ be an integer such that $em_i \in M$ and $el_i \in \text{val}_K(K^\times)$ for $i = 0, \dots, r$.

Consider the linear map $H: N_{\mathbb{R}} \rightarrow \mathbb{R}^r$ given by $H(u) = (em_i(u) - em_0(u))_{i=1, \dots, r}$ and the affine map $A = H + \mathbf{l}$ with $\mathbf{l} = (el_i - el_0)_{i=1, \dots, r}$. By Lemma 2.5.22,

$$e\phi = A^* \Psi_{\Delta^r} + em_0 + el_0.$$

For each $\sigma \in \Sigma$, we claim that there exists $\sigma_{i_0} \in \Sigma_{\Delta^r}$ such that $H(\sigma) \subset \sigma_{i_0}$. Indeed, $\Psi(u) = \min_i \{m_i(u)\}$. Since Ψ is a support function on Σ , for each $\sigma \in \Sigma$, there exists an i_0 such that $\Psi(u) = m_{i_0}(u)$ for all $u \in \sigma$. Writing $e_0^\vee = 0$, this condition implies

$$\min_{0 \leq i \leq r} \{e_i^\vee(H(u))\} = e_{i_0}^\vee(H(u)) \quad \text{for all } u \in \sigma.$$

Hence, $H(\sigma) \subset \sigma_{i_0}$, where $\sigma_{i_0} \in \Sigma_{\Delta^r}$ is the cone $\{v \mid \min_{0 \leq i \leq r} \{e_i^\vee(v)\} = e_{i_0}^\vee(v)\}$ and the claim is proved.

Therefore, we can apply Theorem 3.2.4 and given a point $p \in \mathbb{P}^r(K)$ such that $\text{val}_K(p) = \mathbf{l}$, there is an equivariant map $\varphi_{p,H}: X_\Sigma \rightarrow \mathbb{P}^r$. By Example 3.4.8, there is an isomorphism $L^{\otimes e} \simeq \varphi_{p,H}^* \mathcal{O}(1)$ and $a \in K^\times$ with $\text{val}_K(a) = l_0$ such that $(a^{-1} \chi^{-m_0 s})^{\otimes e}$ corresponds to $\varphi_{p,H}^*(s_{\Psi_{\Delta^r}})$.

Let \bar{L} be the line bundle L equipped with the metric induced by the above isomorphism and the canonical metric of $\mathcal{O}(1)^{\text{an}}$. Then

$$\phi_{\bar{L},s} = \phi_{\bar{L},a^{-1} \chi^{-m_0 s}} + m_0 + l_0 = \frac{1}{e} A^* \Psi_{\Delta^r} + m_0 + l_0 = \phi,$$

as stated. □

Corollary 4.3.23. — *Let ϕ be as in Proposition 4.3.22 and $\psi = \phi \lambda_K$. Then the metric $\|\cdot\|_\psi$ is semipositive.*

Consider the morphism of compact tori $\varrho: \mathbb{S}_2 \times \mathbb{S}_1 \rightarrow \mathbb{S}_2$ given by $\varrho(t_2, t_1) = t_2 \cdot \varrho_H(t_1)$. The measure $\varrho_*(\mu_{\text{Haar},1} \times \mu_{\text{Haar},2})$ is an invariant measure on \mathbb{S}_2 of total volume 1. Thus agrees with $\mu_{\text{Haar},2}$. Hence

$$\begin{aligned} \int_{\mathbb{S}_2} \int_{\mathbb{S}_1} g_{\|\cdot\|}(t_2 \cdot \varrho_H(t_1) \cdot \varphi_{p,H}(q)) \, d\mu_{\text{Haar},1}(t_1) \, d\mu_{\text{Haar},2}(t_2) \\ = \int_{\mathbb{S}_2} g_{\|\cdot\|}(t_2 \cdot \varphi_{p,H}(q)) \, d\mu_{\text{Haar},2}(t_2). \end{aligned}$$

Since $\text{val}(\varphi_{p,H}(q)) = u_0 + H(u)$, we obtain,

$$- \int_{\mathbb{S}_2} g_{\|\cdot\|}(t_2 \cdot \varphi_{p,H}(q)) \, d\mu_{\text{Haar},2}(t_2) = \psi_{\|\cdot\|}(u_0 + H(u)),$$

proving the result.

Next we prove (2). In view of Proposition 4.3.8, it is enough to treat the case when K is algebraically closed and hence $K'_i = K$ and $\tilde{q}_i = q_i$. Then, by definitions 4.3.5 and 4.3.3,

$$\lim_{i \rightarrow \infty} \psi_{\varphi_{q_i, H}^*}^{\|\cdot\|}(u) = - \lim_{i \rightarrow \infty} g_{\varphi_{q_i, H}^*}^{\|\cdot\|}(\theta_{\Sigma_1}(\mathbf{e}(u))) = - \lim_{i \rightarrow \infty} g_{\|\cdot\|}(\varphi_{q_i, H}(\theta_{\Sigma_1}(\mathbf{e}(u)))).$$

Identifying $X_{\Sigma_2,0}$ with \mathbb{T}_2 and denoting by x_0 the distinguished point of $X_{\Sigma_2,0}(K)$, we obtain, using 4.2.4(1)

$$\varphi_{q_i, H}(\theta_{\Sigma_1}(\mathbf{e}(u))) = q_i \cdot \varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u))) = q_i * \varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u))).$$

By Corollary 4.2.19, the point $\varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u)))$ is peaked. Thus, by Proposition 4.2.4(4),

$$\lim_{i \rightarrow \infty} g_{\|\cdot\|}(q_i * \varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u)))) = g_{\|\cdot\|}(\theta_{\Sigma_2}(\mathbf{e}(u_0))) * \varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u))).$$

By propositions 4.2.13 and 4.1.8

$$\begin{aligned} \theta_{\Sigma_2}(\mathbf{e}(u_0)) * \varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u))) &= \theta_{\Sigma_2}(\mathbf{e}(u_0)) \cdot \rho_{\Sigma_2}(\varphi_{x_0, H}(\theta_{\Sigma_1}(\mathbf{e}(u)))) \\ &= \theta_{\Sigma_2}(\mathbf{e}(u_0)) \cdot \varphi_{x_0, H}(\mathbf{e}(u)) = \theta_{\Sigma_2}(\mathbf{e}(u_0 + H(u))). \end{aligned}$$

Therefore

$$\lim_{i \rightarrow \infty} \psi_{\varphi_{q_i, H}^*}^{\|\cdot\|}(u) = -g_{\|\cdot\|}(\theta_{\Sigma_2}(\mathbf{e}(u_0 + H(u)))) = \psi_{\|\cdot\|}(u_0 + H(u)),$$

proving the result. □

4.4. Smooth metrics and their associated measures

We now discuss the relationship between semipositivity of smooth metrics and concavity of the associated function in the Archimedean case. Moreover we will determine the associated measure.

We keep the notation at the beginning of the chapter but we restrict to the case when K is either \mathbb{R} or \mathbb{C} and Σ is a complete fan. Let Ψ be a virtual support function

on Σ , with L and s the corresponding toric line bundle and section. Let L^{an} be the analytic line bundle on X_{Σ}^{an} associated to L .

Proposition 4.4.1. — *Let $\|\cdot\|$ be a smooth toric metric on L^{an} . Then $\|\cdot\|$ is semipositive if and only if the function $\psi = \psi_{\|\cdot\|}$ is concave.*

Proof. — Since the condition of being semipositive is closed, it is enough to check it in the open set X_0^{an} . We choose an integral basis of $M = N^{\vee}$. This determines isomorphisms

$$X_0^{\text{an}} \simeq (\mathbb{C}^{\times})^n, \quad X_0(\mathbb{R}_{\geq 0}) \simeq (\mathbb{R}_{> 0})^n, \quad N_{\mathbb{C}} \simeq \mathbb{C}^n, \quad N_{\mathbb{R}} \simeq \mathbb{R}^n.$$

Let z_1, \dots, z_n be the coordinates of X_0^{an} and u_1, \dots, u_n the coordinates of $N_{\mathbb{R}}$ determined by these isomorphisms. With these coordinates, the map

$$\text{val}: X_0^{\text{an}} \rightarrow N_{\mathbb{R}}$$

is given by

$$\text{val}(z_1, \dots, z_n) = \frac{-1}{2}(\log(z_1 \bar{z}_1), \dots, \log(z_n \bar{z}_n)).$$

As usual, we set $\bar{L} = (L, \|\cdot\|)$ and $g = g_{\bar{L},s} = -\log \|s\|$. Then the integral valued first Chern class is given by

$$\frac{1}{2\pi i} c_1(\bar{L}) = \frac{-1}{\pi i} \partial \bar{\partial} g = \frac{i}{\pi} \sum_{k,l} \frac{\partial^2 g}{\partial z_k \partial \bar{z}_l} dz_k \wedge d\bar{z}_l. \tag{4.4.1}$$

The standard orientation of the unit disk $\mathbb{D} \subset \mathbb{C}$ is given by $dx \wedge dy = (i/2) dz \wedge d\bar{z}$. Hence, the metric of \bar{L} is semipositive if and only if the matrix $G = (\frac{\partial^2 g}{\partial z_k \partial \bar{z}_l})_{k,l}$ is semi-positive definite. Since

$$\frac{\partial^2 g}{\partial z_k \partial \bar{z}_l} = \frac{-1}{4z_k \bar{z}_l} \frac{\partial^2 \psi}{\partial u_k \partial \bar{u}_l}, \tag{4.4.2}$$

if we write $\text{Hess}(\psi) = (\frac{\partial^2 \psi}{\partial u_k \partial \bar{u}_l})_{k,l}$ and $Z = \text{diag}((2z_1)^{-1}, \dots, (2z_n)^{-1})$, then $G = -\bar{Z}^t \text{Hess}(\psi) Z$. Therefore G is semi-positive definite if and only if $\text{Hess}(\psi)$ is semi-negative definite, hence, if and only if ψ is concave. \square

Proposition 4.4.2. — *Let $\|\cdot\|$ be a smooth metric on L^{an} . Then $\|\cdot\|_{\mathbb{S}}$ is also smooth. Moreover, if $\|\cdot\|$ is semipositive, then $\|\cdot\|_{\mathbb{S}}$ is semipositive too.*

Proof. — The first statement follows from the definition of $\|\cdot\|_{\mathbb{S}}$ and the preservation of smoothness under integration of $\log \|s_{\sigma}\|$ along the compact subsets $\mathbb{S} \cdot p$ for $p \in X_{\sigma}^{\text{an}}$, $\sigma \in \Sigma$.

For the second statement, we have

$$c_1(L, \|\cdot\|_{\mathbb{S}}) = \int_{\mathbb{S}} t^* c_1(L, \|\cdot\|) d\mu_{\text{Haar}}(t)$$

where t^* denotes the inverse image under the multiplication map $t: X_{\Sigma}^{\text{an}} \rightarrow X_{\Sigma}^{\text{an}}$. Therefore, if $(L, \|\cdot\|)$ is semipositive, then $(L, \|\cdot\|_{\mathbb{S}})$ is semipositive too. \square

As a direct consequence of propositions 4.4.2 and 4.4.1, if the line bundle L^{an} admits a semipositive smooth metric, then its virtual support function Ψ is concave. By Proposition 3.4.1(1), this latter condition is equivalent to the fact that L is generated by global sections.

For a semipositive smooth toric metric $\|\cdot\|$ on L^{an} , we can characterize the associated measure on X^{an} in terms of the Monge-Ampère measure of the concave function $\psi_{\|\cdot\|}$.

Definition 4.4.3. — Let $\psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be a concave function and $\mathcal{M}_M(\psi)$ the Monge-Ampère measure associated to ψ and the lattice M . We denote by $\overline{\mathcal{M}}_M(\psi)$ the measure on N_{Σ} given by

$$\overline{\mathcal{M}}_M(\psi)(E) = \mathcal{M}_M(\psi)(E \cap N_{\mathbb{R}})$$

for any Borel subset E of N_{Σ} . We will use the same notation for the mixed Monge-Ampère measure.

By its very definition, the measure $\overline{\mathcal{M}}_M(\psi)$ is bounded with total mass

$$\overline{\mathcal{M}}_M(\psi)(N_{\Sigma}) = \text{vol}_M(\Delta_{\Psi})$$

and the set $N_{\Sigma} \setminus N_{\mathbb{R}}$ has measure zero.

Theorem 4.4.4. — Let $\|\cdot\|$ be a semipositive smooth toric metric on L^{an} . Let $c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}$ be the measure defined by \overline{L} . Then,

$$\text{val}_*(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}) = n! \overline{\mathcal{M}}_M(\psi), \tag{4.4.3}$$

where val is the map in the diagram (4.1.7). In addition, this measure is uniquely characterized by the equation (4.4.3) and the property of being \mathbb{S} -invariant.

Proof. — Since the measure $c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}$ is given by a smooth volume form and $X_{\Sigma}^{\text{an}} \setminus X_0^{\text{an}}$ is a set of Lebesgue measure zero, the measure $c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}$ is determined by its restriction to the dense open subset X_0^{an} . Thus, to prove (4.4.3) it is enough to show that

$$\text{val}_*(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}|_{X_0^{\text{an}}}) = n! \mathcal{M}_M(\psi). \tag{4.4.4}$$

We use the coordinate system of the proof of Proposition 4.4.1. We denote by $\tilde{\mathbf{e}}: N_{\mathbb{C}} \rightarrow X_0(\mathbb{C})$ the map induced by the morphism $\mathbb{C} \rightarrow \mathbb{C}^{\times}$ given by $z \mapsto \exp(-z)$. We write $u_k + iv_k$ for the complex coordinates of $N_{\mathbb{C}}$. Then

$$\tilde{\mathbf{e}}^* \left(\frac{dz_k \wedge d\bar{z}_k}{z_k \bar{z}_k} \right) = (-2i) du_k \wedge dv_k. \tag{4.4.5}$$

Using now the equations (4.4.1), (4.4.2) and (4.4.5), we obtain that

$$\begin{aligned} \frac{1}{(2\pi i)^n} \tilde{\mathbf{e}}^* c_1(\overline{L})^{\wedge n} &= \tilde{\mathbf{e}}^* \left(\frac{i^n}{\pi^n} n! \det(G) dz_1 \wedge d\bar{z}_1 \wedge \cdots \wedge dz_n \wedge d\bar{z}_n \right) \\ &= \frac{(-1)^n}{(2\pi)^n} n! \det(\text{Hess}(\psi)) du_1 \wedge dv_1 \wedge \cdots \wedge du_n \wedge dv_n. \end{aligned}$$

Since the map val is the composition of $\tilde{\mathbf{e}}^{-1}$ with the projection $N_{\mathbb{C}} \rightarrow N_{\mathbb{R}}$, integrating with respect to the variables v_1, \dots, v_n in the domain $[0, 2\pi]^n$, taking into account the natural orientation of \mathbb{C}^n and the orientation of $N_{\mathbb{R}}$ given by the coordinate system, and the fact that the normalization factor $1/(2\pi i)^n$ is implicit in the current $\delta_{X_{\Sigma}}$, we obtain

$$\text{val}_*(c_1(\bar{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}|_{X_0^{\text{an}}}) = (-1)^n n! \det(\text{Hess}(\psi)) du_1 \wedge \dots \wedge du_n.$$

Thus the equation (4.4.4) follows from Proposition 2.7.3. Finally, the last statement follows from the fact that, in a compact Abelian group there is a unique Haar measure with fixed total volume. \square

We end this section by making explicit the compatibility of the previous constructions with the conjugation in the case of toric varieties over \mathbb{R} . Let Σ be a fan in $N_{\mathbb{R}}$, and $X_{\Sigma, \mathbb{R}}$ and $X_{\Sigma, \mathbb{C}}$ the corresponding toric varieties over \mathbb{R} and \mathbb{C} . Recall that the underlying complex analytic spaces of $X_{\Sigma, \mathbb{C}}^{\text{an}}$ and $X_{\Sigma, \mathbb{R}}^{\text{an}}$ agree (see Remark 1.1.5) and are denoted by X_{Σ}^{an} .

Proposition 4.4.5. — *Let Σ be a complete fan and $\varsigma: X_{\Sigma}^{\text{an}} \rightarrow X_{\Sigma}^{\text{an}}$ the anti-linear involution of Remark 1.1.5.*

1. *There are commutative diagrams*

$$\begin{array}{ccc} X_{\Sigma}^{\text{an}} & \xrightarrow{\varsigma} & X_{\Sigma}^{\text{an}} \\ & \searrow \rho_{\Sigma} & \downarrow \rho_{\Sigma} \\ & & X_{\Sigma}(\mathbb{R}_{\geq 0}), \end{array} \qquad \begin{array}{ccc} X_{\Sigma}^{\text{an}} & \xrightarrow{\varsigma} & X_{\Sigma}^{\text{an}} \\ & \searrow \text{val} & \downarrow \text{val} \\ & & N_{\Sigma}. \end{array}$$

2. *Let $L_{\mathbb{R}}$ be a line bundle on $X_{\Sigma, \mathbb{R}}$ and $L_{\mathbb{C}}$ the line bundle over $X_{\Sigma, \mathbb{C}}$ obtained by base change. The assignment that to each metric $\|\cdot\|_{\mathbb{R}}$ on $L_{\mathbb{R}}$ associates the metric $\|\cdot\|_{\mathbb{C}}$ on $L_{\mathbb{C}}$ given by forgetting the anti-linear involution, induces a bijection between the set of toric metrics on $L_{\mathbb{R}}$ and the set of toric metrics on $L_{\mathbb{C}}$. Moreover $\psi_{\|\cdot\|_{\mathbb{C}}} = \psi_{\|\cdot\|_{\mathbb{R}}}$.*

Proof. — We first prove (1). The first commutativity follows from the invariance of the absolute value under complex conjugation and the second follows from the first and the commutativity of diagram (4.1.7).

To prove (2) we have to show that, if $\|\cdot\|$ is a toric metric on $L_{\mathbb{C}}$, then it is compatible with complex conjugation. That is, if s a toric section of $L_{\mathbb{C}}$ defined over \mathbb{R} and $p \in X_0^{\text{an}}$, then $\|s(p)\| = \|s(\varsigma(p))\|$. Since the fibres of ρ_{Σ} are orbits under \mathbb{S} , by (1), there is an element $t \in \mathbb{S}$ such that $\varsigma(p) = t(p)$. Since the metric is toric

$$\|s(\varsigma(p))\| = \|s(t(p))\| = \|s(p)\|.$$

The last assertion is clear because the definitions of $\psi_{\|\cdot\|_{\mathbb{C}}}$ and $\psi_{\|\cdot\|_{\mathbb{R}}}$ agree. \square

4.5. Algebraic metrics from toric models

Next we study some properties of algebraic metrics with particular emphasis on the ones that arise from toric models. We keep the notation at the beginning of the chapter and we assume that K is a complete field with respect to an absolute value associated to a nontrivial discrete valuation. We also assume that the fan Σ is complete.

Since we will discuss the relationship between metrics and algebraic models it is preferable to work with the functions ϕ of Definition 4.3.6 instead of the functions of Definition 4.3.5.

We begin by studying the relationship between the maps val_K and red .

Lemma 4.5.1. — *Let Π be a complete SCR polyhedral complex of $N_{\mathbb{R}}$ such that $\text{rec}(\Pi) = \Sigma$. Let $\mathcal{X} := \mathcal{X}_{\Pi}$ be the model of X_{Σ} determined by Π . Let $\Lambda \in \Pi$ and $p \in X_0^{\text{an}}$. Then $\text{red}(p) \in \mathcal{X}_{\Lambda}$ if and only if $\text{val}_K(p) \in \Lambda$.*

Proof. — By the definition of the semigroup \widetilde{M}_{Λ} , the condition $\text{val}_K(p) \in \Lambda$ holds if and only if $\langle m, \text{val}_K(p) \rangle + l \geq 0$ for all $(m, l) \in \widetilde{M}_{\Lambda}$. This is equivalent to $\log |\chi^{-m}(p)| + \log |\varpi|^{-l} \geq 0$ for all $(m, l) \in \widetilde{M}_{\Lambda}$. In turn, this is equivalent to $|\chi^m(p)\varpi^l| \leq 1$, for all $(m, l) \in \widetilde{M}_{\Lambda}$. Hence, $\text{val}_K(p) \in \Lambda$ if and only if $|a(p)| \leq 1$ for all $a \in K^{\circ}[\mathcal{X}_{\Lambda}]$, which is exactly the condition $\text{red}(p) \in \mathcal{X}_{\Lambda}$ (see (1.3.1)). \square

Corollary 4.5.2. — *With the same hypothesis as in Lemma 4.5.1, $\text{red}(p) \in O(\Lambda)$ if and only if $\text{val}_K(p) \in \text{ri}(\Lambda)$.*

Proof. — This follows from Lemma 4.5.1 and the fact that the special fibre is

$$\mathcal{X}_{\Lambda, o} = \coprod_{\Lambda' \text{ face of } \Lambda} O(\Lambda'),$$

and $\text{ri}(\Lambda) = \Lambda \setminus \bigcup_{\Lambda' \text{ proper face of } \Lambda} \Lambda'$. \square

Let Ψ be a virtual support function on Σ and (L, s) the corresponding toric line bundle and section. We denote by L^{an} the analytic line bundle on X_{Σ}^{an} associated to L . Let Π be a complete SCR polyhedral complex in $N_{\mathbb{R}}$ such that $\text{rec}(\Pi) = \Sigma$ and ϕ a rational piecewise affine function on Π with $\text{rec}(\phi) = \Psi$. Let $e > 0$ be an integer such that $e\phi$ is an H-lattice function. By Theorem 3.6.8, the pair $(\Pi, e\phi)$ determines a toric model $(\mathcal{X}_{\Pi}, \mathcal{L}_{e\phi}, e)$ of (X_{Σ}, L) . We will write $\mathcal{L} = \mathcal{L}_{e\phi}$ for short. Definition 1.3.5 gives us an algebraic metric $\|\cdot\|_{\mathcal{L}}$ on L^{an} . The following proposition closes the circle.

Proposition 4.5.3. — *The algebraic metric $\|\cdot\|_{\mathcal{L}}$ is toric and the equality $\phi_{\|\cdot\|_{\mathcal{L}}} = \phi$ holds. The function $\phi - \Psi$ extends to a continuous function on N_{Σ} and the metric $\|\cdot\|_{\phi\lambda_K}$ associated to $\phi\lambda_K$ (Notation 4.3.12) agrees with $\|\cdot\|_{\mathcal{L}}$.*

Proof. — The tensor product $s^{\otimes e}$ defines a rational section of \mathcal{L} . Let $\Lambda \in \Pi$ and choose $m_{\Lambda} \in M$, $l_{\Lambda} \in \mathbb{Z}$ such that $e\phi|_{\Lambda} = m_{\Lambda} + l_{\Lambda}|_{\Lambda}$. Let $u \in \Lambda$ and $p \in X_0^{\text{an}}$ with

$u = \text{val}_K(p)$. Then $\text{red}(p) \in \mathcal{X}_\Lambda$. But in \mathcal{X}_Λ the section $\chi^{m_\Lambda} \varpi^{l_\Lambda} s^{\otimes e}$ is regular and non-vanishing. Therefore, by Definition 1.3.5,

$$\|\chi^{m_\Lambda}(p) \varpi^{l_\Lambda} s^{\otimes e}(p)\|_{\mathcal{L}} = 1.$$

Thus

$$\frac{1}{\lambda_K} \log \|s(p)\|_{\mathcal{L}} = \frac{1}{e\lambda_K} \log |\chi^{-m_\Lambda}(p) \varpi^{-l_\Lambda}| = \frac{1}{e} (\langle m_\Lambda, u \rangle + l_\Lambda) = \phi(u),$$

which shows that the metric is toric. Moreover,

$$\phi_{\|\cdot\|_{\mathcal{L}}}(u) = \frac{1}{\lambda_K} \log \|s(p)\|_{\mathcal{L}} = \phi(u),$$

and therefore, ϕ agrees with the function associated to the metric $\|\cdot\|_{\mathcal{L}}$. By Proposition 4.3.10(1), and Remark 4.3.11, $\phi - \Psi$ extends to a continuous function on N_Σ and the metric $\|\cdot\|_{\phi\lambda_K}$ agrees with $\|\cdot\|_{\mathcal{L}}$. \square

Example 4.5.4. — In the non-Archimedean case, the canonical metric of Proposition-Definition 4.3.15 is the toric algebraic metric induced by the canonical model of Definition 3.6.3.

Proposition 4.5.3 imposes a necessary condition for a rational piecewise affine function to determine a model of (X_Σ, L_Ψ) .

Corollary 4.5.5. — *Let Ψ be a virtual support function on Σ and ϕ a rational piecewise affine function on $N_{\mathbb{R}}$, with $\text{rec}(\phi) = \Psi$, such that there exists a complete SCR polyhedral complex Π with $\text{rec}(\Pi) = \Sigma$ and ϕ piecewise affine on Π . Then $\phi - \Psi$ can be extended to a continuous function on N_Σ .*

Proof. — If there exists such a SCR polyhedral complex Π , then Π and ϕ determine a model of $\mathcal{O}(D_\Psi)$ and hence an algebraic metric $\|\cdot\|$ arising from a toric model. By Proposition 4.5.3, $\phi = \phi_{\|\cdot\|}$ and, by Proposition 4.3.10(1), the function $\phi_{\|\cdot\|} - \Psi$ extends to a continuous function on N_Σ . \square

Example 4.5.6. — Let $N = \mathbb{Z}^2$ and consider the fan Σ generated by the vectors $e_0 = (-1, -1)$, $e_1 = (1, 0)$ and $e_2 = (0, 1)$. Then $X_\Sigma = \mathbb{P}^2$. The virtual support function $\Psi = 0$ corresponds to the trivial line bundle $\mathcal{O}_{\mathbb{P}^2}$. Consider the function

$$\phi(x, y) = \begin{cases} 0 & \text{if } x \leq 0, \\ x & \text{if } 0 \leq x \leq 1, \\ 1 & \text{if } 1 \leq x. \end{cases}$$

Then $\text{rec}(\phi) = \Psi$, but ϕ does not extend to a continuous function on N_Σ and therefore it does not determine a model of $(X_\Sigma, \mathcal{O}_{\mathbb{P}^2})$. By contrast, let Σ' be the fan obtained subdividing Σ by adding the edge corresponding to $e' = (0, -1)$. Then $\pi: X_{\Sigma'} \rightarrow X_\Sigma$ is isomorphic to a blow-up of \mathbb{P}^2 at one point. The function ϕ extends to a continuous function on $N_{\Sigma'}$ and it corresponds to a toric model of $(X_{\Sigma'}, \pi^* \mathcal{O}_{\mathbb{P}^2})$.

Question 4.5.7. — Is the condition in Corollary 4.5.5 also sufficient? In other words, let N , Σ and Ψ be as before and ϕ a rational piecewise affine function on $N_{\mathbb{R}}$ such that $\phi - \Psi$ can be extended to a continuous function on N_{Σ} . Does it exist a complete SCR polyhedral complex Π such that $\text{rec}(\Pi) = \Sigma$ and ϕ is piecewise affine on Π ?

Remark 4.5.8. — By the proof of Theorem 3.7.3 and Corollary 4.5.5, when ϕ is a piecewise affine concave function, the conditions

1. $|\phi - \Psi|$ is bounded;
2. $\phi - \Psi$ can be extended to a continuous function on N_{Σ} ;
3. there exist a complete SCR polyhedral complex Π with $\text{rec}(\Pi) = \Sigma$ and ϕ piecewise affine on Π ;

are equivalent. In particular, the answer to the above question is positive when ϕ is concave.

Corollary 4.5.9. — Let Σ be a complete fan and Ψ a support function on Σ . Let ϕ be a rational piecewise affine concave function on $N_{\mathbb{R}}$ with $\text{rec}(\phi) = \Psi$. Then the metric $\|\cdot\|_{\phi\lambda_K}$ is algebraic and has a semipositive toric model.

Proof. — By Theorem 3.7.3, the concave function ϕ determines an equivalence class of semipositive toric models of (X_{Σ}, L) . Any toric model in this class defines an algebraic metric on L^{an} . By Proposition 1.3.6, this metric only depends on ϕ and we denote it by $\|\cdot\|$. Proposition 4.5.3 implies that $\|\cdot\|_{\phi\lambda_K} = \|\cdot\|$, hence this metric is given by a semipositive toric model. \square

We have seen that rational piecewise affine functions give rise to toric algebraic metrics. We now study the converse. In fact this converse is more general, in the sense that any algebraic metric determines a rational piecewise affine function.

Theorem 4.5.10. — Let Σ be a complete fan, Ψ a virtual support function on Σ and (L, s) the corresponding toric line bundle and section. Let $\|\cdot\|$ be an algebraic metric on L^{an} .

1. The function $\phi_{\|\cdot\|}$ is rational piecewise affine.
2. If $\|\cdot\|$ is toric and $\phi_{\|\cdot\|}$ is concave, then this metric has a semipositive toric model.

Before proving the theorem, we introduce a variant of the function ϕ for rational functions. Let g be a rational function on X_{Σ} . Then we consider the function $\phi_g: N_{\mathbb{R}} \rightarrow \mathbb{R}$ defined, for $u \in N_{\mathbb{R}}$, as

$$\phi_g(u) = \frac{\log |g \circ \theta_0 \circ \mathbf{e}_K(u)|}{\lambda_K}$$

where θ_0 is defined in Proposition-Definition 4.2.12 and \mathbf{e}_K in (4.1.4).

Lemma 4.5.11. — *Let g be a rational function on X_Σ . Then the function ϕ_g is an H -lattice function (Definition 2.6.6). In particular, it is piecewise affine.*

Proof. — The function g can be written as $g = \frac{\sum_{m \in M} \alpha_m \chi^m}{\sum_{m \in M} \beta_m \chi^m}$. Then

$$\begin{aligned} \phi_g(u) &= \frac{1}{\lambda_K} \log |g \circ \theta_0 \circ \mathbf{e}_K(u)| \\ &= \frac{1}{\lambda_K} \log \left| \sum_{m \in M} \alpha_m \chi^m(\theta_0 \circ \mathbf{e}_K(u)) \right| - \frac{1}{\lambda_K} \log \left| \sum_{m \in M} \beta_m \chi^m(\theta_0 \circ \mathbf{e}_K(u)) \right| \\ &= \max_{m \in M} \left(\frac{\log |\alpha_m|}{\lambda_K} - \langle m, u \rangle \right) - \max_{m \in M} \left(\frac{\log |\beta_m|}{\lambda_K} - \langle m, u \rangle \right) \\ &= \max_{m \in M} (-\text{val}_K(\alpha_m) - \langle m, u \rangle) - \max_{m \in M} (-\text{val}_K(\beta_m) - \langle m, u \rangle) \\ &= \min_{m \in M} (\langle m, u \rangle + \text{val}_K(\beta_m)) - \min_{m \in M} (\langle m, u \rangle + \text{val}_K(\alpha_m)). \end{aligned}$$

Thus, it is the difference of two H -lattice concave functions. □

Proof of Theorem 4.5.10. — Since the metric is algebraic, there exist a proper K° -scheme \mathcal{X} and a line bundle \mathcal{L} on \mathcal{X} such that the base change of $(\mathcal{X}, \mathcal{L})$ to K is isomorphic to $(X_\Sigma, L^{\otimes e})$. Let $\{\mathcal{U}_i, s_i\}$ be a trivialization of \mathcal{L} . Let $C_i = \text{red}^{-1}(\mathcal{U}_i \cap \mathcal{X}_\circ)$. The subsets C_i form a finite closed cover of X_Σ^{an} . On \mathcal{U}_i we can write $s_\Psi^{\otimes e} = g_i s_i$ for a certain rational function g_i . Therefore, on C_i , we have $\log \|s_\Psi(p)\| = \frac{\log |g_i(p)|}{e}$. By Lemma 4.5.11, it follows that there is a finite closed cover of $N_\mathbb{R}$ and the restriction of $\phi_{\|\cdot\|}$ to each of these closed subsets is rational piecewise affine. Therefore $\phi_{\|\cdot\|}$ is rational piecewise affine. This proves (1).

We now prove the statement (2). By (1) and Proposition 4.3.10(1), the concave function $\phi_{\|\cdot\|}$ is rational piecewise affine with recession function equal to Ψ . Since $\|\cdot\|$ is toric, Proposition 4.3.10(2) implies that it agrees with the metric associated to $\phi_{\|\cdot\|} \lambda_K$. The statement then follows from Corollary 4.5.9. □

We now study the effect of taking a field extension.

Proposition 4.5.12. — *Let Σ be a complete fan in $N_\mathbb{R}$ and Π a complete SCR polyhedral complex in $N_\mathbb{R}$ with $\Sigma = \text{rec}(\Pi)$. Let Π' be the polyhedral complex in $N_\mathbb{R}$ obtained from Π by applying a homothety of ratio $e_{K'/K}$. Then*

$$\mathcal{X}_{\Pi', K'^\circ} = \text{Nor}(\mathcal{X}_{\Pi, K^\circ} \times \text{Spec}(K'^\circ)),$$

where Nor denotes the normalization of a scheme.

Proof. — The statement can be checked locally. Let Λ be a polyhedron of Π . Let $\Lambda' = e_{K'/K} \Lambda$. Then it is clear that

$$K^\circ[\mathcal{X}_\Lambda] \otimes_{K^\circ} K'^\circ \subset K'^\circ[\mathcal{X}_{\Lambda'}].$$

Since the right-hand side ring is integrally closed, the integral closure of the left side ring is contained in the right side ring. Therefore we need to prove that $K'[\widetilde{M}_{\Lambda'}]$ is integral over the left side ring. Let $(a, l) \in \widetilde{M}_{\Lambda'}$. Thus $(e_{K'/K}a, l) \in \widetilde{M}_{\Lambda}$. Then the monomial $\chi^a \varpi'^l \in K'^{\circ}[\mathcal{X}_{\Lambda'}]$ satisfies

$$(\chi^a \varpi'^l)^{e_{K'/K}} = (\chi^{e_{K'/K}a} \varpi'^l) \in K^{\circ}[\mathcal{X}_{\Lambda}] \otimes_{K^{\circ}} K'^{\circ}.$$

Hence $\chi^a \varpi'^l$ is integral over $K^{\circ}[\mathcal{X}_{\Lambda}] \otimes_{K^{\circ}} K'^{\circ}$. Since these monomials generate $K'^{\circ}[\mathcal{X}_{\Lambda'}]$, we obtain the result. \square

4.6. The one-dimensional case

We now study in detail the non-Archimedean one-dimensional case. Besides being a concrete example of the relationship between functions, models, algebraic metrics, and measures, it is also a crucial step in the proof that a toric metric is semipositive if and only if the corresponding function is concave. Of this equivalence, up to now we have proved only one implication and the reverse implication will be proved in the next section.

The only one-dimensional proper toric variety over a field is the projective line. Algebraic metrics over this toric variety come from integral models of line bundles. We will describe these in detail. The first part of this section dissects models of the projective line itself, while the second part turns to models of line bundles and metrics. A good reference for curves over local rings or more generally over Dedekind domains is the book [Liu02], where the reader can find most of the results that we need.

Let K be a field which is complete with respect to an absolute value associated to a nontrivial discrete valuation. We will use the notation in §1.2. In particular, K° denotes the valuation ring of K .

Definition 4.6.1. — Let X be an integral projective curve over K . A *semi-stable* model of X is an integral projective scheme \mathcal{X} of finite type over $\text{Spec}(K^{\circ})$ with an isomorphism $X \rightarrow \mathcal{X}_{\eta}$, such that the special fibre \mathcal{X}_o , after extension of scalars to the algebraic closure of the residue field, is reduced and its singular points are ordinary double points. We will say that the model is regular if the scheme \mathcal{X} is regular.

This definition is the specialization of [Liu06, Definition 2.1] to models of curves over a DVR. Note that a semi-stable model as in Definition 4.6.1 is a semi-stable curve over $\text{Spec}(K^{\circ})$ in the sense of [Liu02, Definition 10.3.14] because, by [Liu02, Proposition 4.3.9] the hypothesis on \mathcal{X} imply that it is flat over $\text{Spec}(K^{\circ})$.

To a semi-stable model whose special fibre has split double points, we can associate the dual graph of the special fibre. This graph contains one vertex for each irreducible component of the special fibre and one edge for each double point, see [Liu02, Definition 10.3.17].

We will use the following result to eventually reduce any integral model of \mathbb{P}_K^1 to a simpler form.

Proposition 4.6.2. — *Let \mathcal{X} be a projective model over K° of \mathbb{P}_K^1 . Then there exists a finite extension K' of K with valuation ring K'° , a regular semi-stable model \mathcal{X}' of $\mathbb{P}_{K'}^1$, and a proper morphism of models $\mathcal{X}' \rightarrow \mathcal{X} \times \text{Spec}(K'^\circ)$.*

Proof. — The existence of the finite extension K' and a semi-stable model dominating $\mathcal{X} \times \text{Spec}(K'^\circ)$ is guaranteed by [Liu06, Corollary 2.8]. Then [Liu06, Proposition 2.7] implies the existence of a regular semi-stable model dominating $\mathcal{X} \times \text{Spec}(K'^\circ)$. \square

Consider the toric variety $X_\Sigma \simeq \mathbb{P}^1$. We can choose an isomorphism $N \simeq \mathbb{Z}$ and $N_{\mathbb{R}} \simeq \mathbb{R}$. Then $\Sigma = \{\mathbb{R}_-, \{0\}, \mathbb{R}_+\}$. Let 0 denote the invariant point of \mathbb{P}_K^1 corresponding to the cone \mathbb{R}_+ and ∞ the invariant point corresponding to the cone \mathbb{R}_- .

Let \mathcal{X} be a regular semi-stable model of \mathbb{P}_K^1 . We will assume that all the components and double points of the special fibre are defined over the residue field $k = K^\circ/K^{\circ\circ}$ and that each irreducible component contains a rational point. Since the generic fibre $\mathcal{X}_\eta \simeq \mathbb{P}_K^1$ is connected and of genus zero, by [Liu02, Lemma 10.3.18], we deduce that all components of the special fibre are rational curves and that its dual graph is a tree. Let D_0 and D_∞ denote the horizontal divisors corresponding to the point 0 and ∞ of \mathbb{P}_K^1 . Then, there is a chain of rational curves that links the divisor D_0 with D_∞ and that is contained in the special fibre. We will denote the irreducible components of the special fibre that form this chain by E_0, \dots, E_l , in such a way that the component E_0 meets D_0 , the component E_l meets D_∞ and, for $0 < i < l$, the component E_i meets only E_{i-1} and E_{i+1} . The other components of \mathcal{X}_o will be grouped in branches, each branch has its root in one of the components E_i . We will denote by $F_{i,j}$, $j \in \Theta_i$ the components that belong to a branch with root in E_i . We are not giving any particular order to the sets Θ_i .

We denote by $E \cdot F$ the intersection product of two 1-cycles of \mathcal{X} . Since the special fibre is reduced, we have

$$\text{div}(\varpi) = \sum_{i=0}^l \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right).$$

Again by the assumption of semi-stability, the intersection product of two different components of \mathcal{X}_o is either 1, if they meet, or zero, if they do not meet. Since the intersection product of $\text{div}(\varpi)$ with any component of \mathcal{X}_o is zero, we deduce that, if E is any component of \mathcal{X}_o , the self-intersection product $E \cdot E$ is equal to minus the number of components that meet E . In particular, all components $F_{i,j}$ that are terminal, are (-1) -curves. By Castelnuovo’s criterion [Liu02, Theorem 9.3.8], we can successively blow-down all the components $F_{i,j}$ to obtain a new regular semi-stable model of \mathbb{P}_K^1 whose special fibre consist of a chain of rational curves. For reasons that will become apparent later, we denote this model as $\mathcal{X}_{\mathfrak{S}}$.

Lemma 4.6.3. — *If we view χ^1 as a rational function on \mathcal{X} , then there is an integer a such that*

$$\operatorname{div}(\chi^1) = D_0 - D_\infty + \sum_{i=0}^l (a - i) \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right).$$

Proof. — It is clear that

$$\operatorname{div}(\chi^1) = D_0 - D_\infty + \sum_{i=0}^l a_i E_i + \sum_{j \in \Theta_i} a_{i,j} F_{i,j}$$

for certain coefficients a_i and $a_{i,j}$ that we want to determine as much as possible.

If a component F of \mathcal{X}_0 , with coefficient a , does not meet D_0 nor D_∞ , but meets $r \geq 1$ other components, and the coefficients of $r - 1$ of these components are equal to a , while the coefficient of the remaining component is b , we obtain that

$$0 = \operatorname{div}(\chi^1) \cdot F = aF \cdot F + a(r - 1) + b = -ra + a(r - 1) + b = b - a$$

Thus $b = a$. Starting with the components $F_{i,j}$ that are terminal, we deduce that, for all i and $j \in \Theta_i$, $a_i = a_{i,j}$. Therefore,

$$\operatorname{div}(\chi^1) = D_0 - D_\infty + \sum_{i=0}^l a_i \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right).$$

In particular, the lemma is proved for $l = 0$. Assume now that $l > 0$.

It only remains to show that $a_i = a_0 - i$, that we prove by induction. For $i = 1$, we compute

$$0 = \operatorname{div}(\chi^1) \cdot E_0 = D_0 \cdot E_0 + a_0 E_0 \cdot E_0 + a_0 \sum_{j \in \Theta_0} F_{0,j} \cdot E_0 + a_1 E_1 \cdot E_0 = 1 - a_0 + a_1.$$

Thus $a_1 = a_0 - 1$. For $1 < i \leq l$, by induction hypothesis, $a_{i-1} = a_{i-2} - 1$. Then

$$0 = \operatorname{div}(\chi^1) \cdot E_{i-1} = a_{i-2} - 2a_{i-1} + a_i = 1 - a_{i-1} + a_i.$$

Hence $a_i = a_{i-1} - 1$. Applying again the induction hypothesis, we deduce $a_i = a_0 - i$, proving the lemma. \square

The determination of $\operatorname{div}(\chi^1)$ allows us to give a partial description of the map $\operatorname{red}: X_\Sigma^{\text{an}} \rightarrow \mathcal{X}_o$. The points of \mathcal{X}_o appearing in this description, are the points $q_0 := D_0 \cap E_0$, $q_i := E_{i-1} \cap E_i$, $i = 1, \dots, l$, $q_{l+1} := E_l \cap D_\infty$ and the generic points of the components E_i that we denote η_i , $i = 0, \dots, l$.

Lemma 4.6.4. — *Let $p \in X_\Sigma^{\text{an}}$. Then*

$$\operatorname{red}(p) = \begin{cases} q_0 & \text{if } |\chi^1(p)| < |\varpi|^a, \\ q_i & \text{if } |\varpi|^{a-i+1} < |\chi^1(p)| < |\varpi|^{a-i}, \\ q_{l+1} & \text{if } |\varpi|^{a-l} < |\chi^1(p)|, \\ \eta_i & \text{if } |\chi^1(p)| = |\varpi|^{a-i} \text{ and } p \in \operatorname{im}(\theta_\Sigma). \end{cases}$$

Proof. — Let $1 \leq i \leq l$. The rational function $x := \chi^1 \varpi^{-a+i}$ has a zero of order one along the component E_{i-1} and the support of its divisor does not contain the component E_i . On the other hand, the rational function $y := \chi^{-1} \varpi^{a-i+1}$ has a zero of order one along the component E_i and the support of its divisor does not contain the component E_{i-1} . Thus $\{x, y\}$ is a system of parameters in a neighbourhood of q_i . We denote

$$A = K^\circ[\chi^1 \varpi^{-a+i}, \chi^{-1} \varpi^{a-i+1}] \simeq K^\circ[x, y]/(xy - \varpi).$$

The local ring at the point q_i is $A_{(x,y)}$. Let p be a point such that $|\varpi|^{a-i+1} < |\chi^1(p)| < |\varpi|^{a-i}$. Therefore, for $f \in A$ we have $|f(p)| \leq 1$. Moreover, if $f \in (x, y)$, then $|f(p)| < 1$. Since the ideal (x, y) is maximal, we deduce that, for $f \in A$, the condition $|f(p)| < 1$ is equivalent to the condition $f \in (x, y)$. This implies that $\text{red}(p) = q_i$. A similar argument works for q_0 and q_{l+1} .

Assume now that $p \in \text{im}(\theta_\Sigma)$ and that $|\chi^1(p)| = |\varpi|^{a-i}$. If $i \neq 0$ we consider again the ring A , but in this case $|x(p)| = |\chi^1(p)\varpi^{-a+i}| = 1$. Let $I = \{f \in A \mid |f(p)| < 1\}$. It is clear that $(y, \varpi) \subset I$. For $f = \sum_{m \in \mathbb{Z}} \beta_m \chi^m \in A$, since $p \in \text{im}(\theta_\Sigma)$, we have

$$|f(p)| = \sup_m (|\beta_m| |\chi^1(p)|^m).$$

This implies that $I \subset (y, \varpi)$. Hence I is the ideal that defines the component E_i and this is equivalent to $\text{red}(p) = \eta_i$. The case $i = 0$ is analogous. \square

The image by red of the remaining points of X_Σ^{an} is not characterized only by the value of $|\chi^1(p)|$. Using a proof similar to that of the lemma, one can show that, if $|\chi^1(p)| = |\varpi|^{a-i}$ then $\text{red}(p)$ belongs either to E_i or to any of the components $F_{i,j}$, $j \in \Theta_i$.

We denote by ξ_i (respectively $\xi_{i,j}$) the point of X_Σ^{an} associated by Proposition 1.3.3 to the component E_i (respectively $F_{i,j}$). These points satisfy $\text{red}(\xi_i) = \eta_i$ and $\text{red}(\xi_{i,j}) = \eta_{i,j}$, where $\eta_{i,j}$ is the generic point of $F_{i,j}$.

Lemma 4.6.5. — *Let $0 \leq i \leq l$. Then, for every $j \in \Theta_i$,*

$$\text{val}_K(\xi_i) = \text{val}_K(\xi_{i,j}) = a - i,$$

where a is the integer of Lemma 4.6.3. Furthermore, for $j \in \Theta_i$ we have

$$\xi_i = \theta_\Sigma(\rho_\Sigma(\xi_i)) = \theta_\Sigma(\rho_\Sigma(\xi_{i,j})).$$

Proof. — We consider the rational function $\varpi^{-a+i} \chi^1$. Since the support of $\text{div}(\varpi^{-a+i} \chi^1)$ does not contain the component E_i nor any of the components $F_{i,j}$, we have

$$|\varpi^{-a+i} \chi^1(\xi_i)| = |\varpi^{-a+i} \chi^1(\xi_{i,j})| = 1.$$

Then, using (4.1.2) we deduce

$$\text{val}_K(\xi_i) = \frac{-\log |\chi^1(\xi_i)|}{\lambda_K} = \frac{-\log |\varpi^{a-i}|}{-\log |\varpi|} = a - i$$

and similarly with $\xi_{i,j}$, which proves the first part of the statement.

From these equalities and the definitions (4.1.1) and 4.2.12 of the maps θ_Σ and ρ_Σ , the point $\theta_\Sigma(\rho_\Sigma(\xi_i)) \in X_\Sigma^{\text{an}}$ is the multiplicative seminorm

$$\sum_{m \in M} \alpha_m \chi^m \mapsto \max_{m \in M} |\alpha_m \chi^m(\xi_i)| = |\varpi|^{\min_{m \in M} (\text{val}_K(\alpha_m) + (a-i)m)}.$$

By Proposition 1.3.3, the point $\xi_i \in X_\Sigma^{\text{an}}$ is the multiplicative semi-norm

$$\sum_{m \in M} \alpha_m \chi^m \mapsto |\varpi|^{\text{ord}_{E_i}(\sum_{m \in M} \alpha_m \chi^m)}.$$

Using the same notations as in the proof of Lemma 4.6.4, any element $f = \sum_{m \in M} \alpha_m \chi^m \in A$ can be written $f = \sum_{m \in \mathbb{Z}} \beta_m \varpi^{\text{val}_K(\alpha_m) + (a-i+1)m} y^{-m}$ where the β_m 's are units in K° . Now, the ideal of definition of E_i is (y, ϖ) and therefore $\text{ord}_{E_i}(f) = \min_{m \in \mathbb{Z}} (\text{val}_K(\alpha_m) + (a-i)m)$. Thus, the point ξ_i coincide with $\theta_\Sigma(\rho_\Sigma(\xi_i))$. This shows the equality $\xi_i = \theta_\Sigma(\rho_\Sigma(\xi_i))$. The remaining equality follows then from the fact that $\rho_\Sigma(\xi_{i,j}) = \rho_\Sigma(\xi_i)$, $j \in \Theta_i$, the image by ρ_Σ depending only on the valuation $\text{val}_K(\xi_i) = \text{val}_K(\xi_{i,j})$. This completes the proof of the statement. \square

Let now Ψ be a virtual support function on Σ and $L = \mathcal{O}(D_\Psi)$ the associated toric line bundle on X_Σ . Let $e \geq 1$ and \mathcal{L} a line bundle on \mathcal{X} which is a model over $\text{Spec}(K^\circ)$ of $L^{\otimes e}$. Let $\|\cdot\|$ be the metric on L^{an} given by the proper model $(\mathcal{X}, \mathcal{L}, e)$ and $\phi_{\|\cdot\|}$ the corresponding function on $N_{\mathbb{R}} \simeq \mathbb{R}$.

Let $m_0, m_\infty \in M \simeq \mathbb{Z}$ such that

$$\Psi(u) = \begin{cases} m_\infty u & \text{if } u \leq 0, \\ m_0 u & \text{if } u \geq 0. \end{cases}$$

Then the divisor of the toric section s_Ψ is given by $\text{div}(s_\Psi) = -m_0[0] + m_\infty[\infty]$, and so $L \simeq \mathcal{O}(m_\infty - m_0)$.

Let's now consider $s_\Psi^{\otimes e}$ as a rational section of \mathcal{L} and denote by D its associated Cartier divisor, so that $\mathcal{L} \simeq \mathcal{O}(D)$. Then

$$D = -em_0 D_0 + em_\infty D_\infty + \sum_{i=0}^l \left(\alpha_i E_i + \sum_{j \in \Theta_i} \alpha_{i,j} F_{i,j} \right) \tag{4.6.1}$$

for certain coefficients α_i and $\alpha_{i,j}$. To have more compact formulae, we will use the conventions

$$\begin{aligned} E_{-1} &= D_0, & \alpha_{-1} &= -em_0, & \Theta_{-1} &= \emptyset, \\ E_{l+1} &= D_\infty, & \alpha_{l+1} &= em_\infty, & \Theta_{l+1} &= \emptyset. \end{aligned} \tag{4.6.2}$$

For example, the equation (4.6.1) can then be written as

$$D = \sum_{i=-1}^{l+1} \left(\alpha_i E_i + \sum_{j \in \Theta_i} \alpha_{i,j} F_{i,j} \right).$$

Lemma 4.6.6. — *The function $\phi_{\|\cdot\|}$ is given by*

$$\phi_{\|\cdot\|}(u) = \begin{cases} m_0 u - m_0 a - \frac{\alpha_0}{e} & \text{if } u \geq a, \\ \frac{(\alpha_{i+1} - \alpha_i)u - (\alpha_{i+1} - \alpha_i)(a-i) - \alpha_i}{e} & \text{if } a - i \geq u \geq a - i - 1, \\ m_\infty u - m_\infty(a - l) - \frac{\alpha_l}{e} & \text{if } a - l \geq u. \end{cases}$$

In other words, if Π is the polyhedral complex in $N_{\mathbb{R}}$ given by the intervals

$$(-\infty, a - l], \quad [a - i, a - i + 1], \quad i = 1, \dots, l, \quad [a, \infty),$$

then $\phi_{\|\cdot\|}$ is the rational piecewise affine function on Π characterized by the conditions

1. $\text{rec}(\phi_{\|\cdot\|}) = \Psi$,
2. the value of $\phi_{\|\cdot\|}$ at the point $a - i$ is $-\alpha_i/e$.

Proof. — Let $p \in \text{im}(\theta_\Sigma)$ be such that $\text{val}_K(p) > a$, hence $|\chi^1(p)| < |\varpi|^a$. By Lemma 4.6.4, this implies that $\text{red}(p) = q_0$. In a neighbourhood of q_0 , the divisor of \mathcal{X} of the rational section $s_\Psi^{\otimes e} \chi^{em_0} \varpi^{-\alpha_0 - em_0 a}$ is zero, and so

$$\|s_\Psi^{\otimes e}(p) \chi^{em_0}(p) \varpi^{-\alpha_0 - em_0 a}\| = 1.$$

Let $u \in N_{\mathbb{R}}$ and $p \in \text{im}(\theta_\Sigma)$ such that $\text{val}_K(p) = u$. Then, by definitions 4.3.6 and 4.3.3,

$$\begin{aligned} \phi_{\|\cdot\|}(u) &= \frac{\log \|s_\Psi^{\otimes e}(p)\|}{e\lambda_K} \\ &= \frac{-em_0 \log |\chi^1(p)| + (\alpha_0 + em_0 a) \log |\varpi|}{-e \log |\varpi|} \\ &= m_0(u - a) - \frac{\alpha_0}{e}. \end{aligned}$$

The other cases are proved in a similar way. □

Since $\text{rec}(\Pi) = \Sigma$, by Theorem 3.5.3, this polyhedral complex defines a toric model \mathcal{X}_Π of X_Σ .

Proposition 4.6.7. — *The identity map of X_Σ extends to an isomorphism of models $\mathcal{X}_\mathbb{S} \rightarrow \mathcal{X}_\Pi$.*

Denote temporarily this measure by μ . Then, using the conventions (4.6.2) and Lemma 4.6.5, for $l > 0$, we obtain,

$$\begin{aligned} (\theta_\Sigma)_*(\rho_\Sigma)_*\mu &= \frac{1}{e} \sum_{i=0}^l \left(\deg_{\mathcal{L}}(E_i) + \sum_{j \in \Theta_i} \deg_{\mathcal{L}}(F_{i,j}) \right) \delta_{\xi_i} \\ &= \frac{1}{e} \sum_{i=0}^l \left(D \cdot E_i + \sum_{j \in \Theta_i} D \cdot F_{i,j} \right) \delta_{\xi_i} \\ &= \frac{1}{e} \sum_{i=0}^l \sum_{r=-1}^{l+1} \left(\alpha_r E_r + \sum_{s \in \Theta_r} \alpha_{r,s} F_{r,s} \right) \cdot \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right) \delta_{\xi_i} \\ &= \frac{1}{e} \sum_{i=0}^l \left(\alpha_{i-1} E_{i-1} + \alpha_i E_i + \alpha_{i+1} E_{i+1} \right) \cdot \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right) \delta_{\xi_i} \\ &= \frac{1}{e} \sum_{i=1}^{l-1} (\alpha_{i-1} - 2\alpha_i + \alpha_{i+1}) \delta_{\xi_i} + \frac{1}{e} \sum_{i=0,l} (\alpha_{i-1} - \alpha_i + \alpha_{i+1}) \delta_{\xi_i}, \end{aligned}$$

while, for $l = 0$, we obtain

$$(\theta_\Sigma)_*(\rho_\Sigma)_*\mu = (\alpha_{-1} + \alpha_1) \delta_{\xi_0}.$$

In the previous computation we have used that, since $E_r \cdot \text{div}(\varpi) = F_{r,s} \cdot \text{div}(\varpi) = 0$, then, for all i, j, r, s ,

$$F_{r,s} \cdot \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right) = 0$$

and

$$E_r \cdot \left(E_i + \sum_{j \in \Theta_i} F_{i,j} \right) = \begin{cases} 0 & \text{if } r \neq i-1, i, i+1, \\ 1 & \text{if } r = i-1, i+1, \\ -2 & \text{if } 0 < r = i < l, \\ -1 & \text{if } 0 < l, r = i = 0, l \\ 0 & \text{if } r = i = l = 0. \end{cases}$$

An analogous computation with the model $(\mathcal{X}_{\mathbb{S}}, \mathcal{O}(D_{\mathbb{S}}), e)$ shows that

$$c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\mathbb{S}}} = \frac{1}{e} \sum_{i=0}^l \deg_{\mathcal{O}(D_{\mathbb{S}})}(\pi_* E_i) \delta_{\xi_i} = \frac{1}{e} \sum_{i=0}^l (D_{\mathbb{S}} \cdot \pi_* E_i) \delta_{\xi_i}$$

where the intersection product now is on $\mathcal{X}_{\mathbb{S}}$. Using again the conventions (4.6.2) and (4.6.3), we get

$$D_{\mathbb{S}} \cdot \pi_* E_i = \sum_{r=-1}^{l+1} \alpha_r (\pi_* E_r \cdot \pi_* E_i) = \begin{cases} \alpha_{i-1} - 2\alpha_i + \alpha_{i+1} & \text{if } 0 < i < l, \\ \alpha_{i-1} - \alpha_i + \alpha_{i+1} & \text{if } 0 < l, i = 0, l, \\ \alpha_{-1} + \alpha_1 & \text{if } 0 = l, i = 0. \end{cases}$$

Proof. — The special fibre of \mathcal{X}_Π is a chain of rational curves E_i , $i = 0, \dots, l$, corresponding to the points $a - i$. The monomial χ^1 is a section of the trivial line bundle on \mathcal{X}_Π and corresponds to the function $\phi(u) = -u$. Using Proposition 3.6.10 we obtain that

$$\operatorname{div}(\chi^1) = D_0 - D_\infty + \sum_{i=0}^l (a - i)E_i,$$

where D_0 and D_∞ are again the horizontal divisors determined by the points 0 and ∞ .

Since the vertices of the polyhedral complex Π are integral, using the equation (3.6.2) we deduce that $\operatorname{div}(\varpi)$ is reduced.

Then the result follows from [Lic68, Corollary 1.13] using an explicit description of the local rings at the points of the special fibre as in the proof of Lemma 4.6.4. \square

From Proposition 4.6.7, we obtain a proper morphism $\pi: \mathcal{X} \rightarrow \mathcal{X}_\mathbb{S} \simeq \mathcal{X}_\Pi$. Set $D_\mathbb{S} = \pi_* D$ with D the divisor on \mathcal{X} in the equation (4.6.1). Then

$$D_\mathbb{S} = -em_0\pi_*D_0 + em_\infty\pi_*D_\infty + \sum_{i=0}^l \alpha_i\pi_*E_i = \sum_{i=-1}^{l+1} \alpha_i\pi_*E_i, \tag{4.6.3}$$

where in the last expression we use the conventions (4.6.2).

Let $D_{e\phi_{\|\cdot\|}}$ be the \mathbb{T} -Cartier divisor on \mathcal{X}_Π determined by the function $e\phi_{\|\cdot\|}$ as in (3.6.1). Using equation (4.6.3), Proposition 3.6.10 and Lemma 4.6.6, we see that $D_\mathbb{S} = D_{e\phi_{\|\cdot\|}}$. Thus $(\mathcal{X}_\mathbb{S}, \mathcal{O}(D_\mathbb{S}))$ is the toric model of $(X_\Sigma, L^{\otimes e})$ induced by $(\Pi, e\phi_{\|\cdot\|})$ through Theorem 3.6.8 and Proposition 3.6.5. Let $\|\cdot\|_\mathbb{S}$ be the toric metric associated to $\|\cdot\|$ (Definition 4.3.3). By Propositions 4.5.3 and 4.3.10, the metric $\|\cdot\|_\mathbb{S}$ agrees with the toric metric defined by the model $(\mathcal{X}_\mathbb{S}, \mathcal{O}(D_\mathbb{S}), e)$. Thus, we have identified a toric model that corresponds to the metric $\|\cdot\|_\mathbb{S}$. This allows us to compute directly the associated measure.

Lemma 4.6.8. — *Let $X_\Sigma \simeq \mathbb{P}_K^1$ be a one-dimensional toric variety over K and $L \simeq \mathcal{O}(D_\Psi)$ a toric line bundle on X_Σ . Let $\|\cdot\|$ be an algebraic metric defined by a regular semi-stable model whose components and double points of the special fibre are defined over the residue field $K^\circ/K^{\circ\circ}$ and such that each irreducible component contains a rational point. Let $\|\cdot\|_\mathbb{S}$ the associated toric metric. Then*

$$c_1(L, \|\cdot\|_\mathbb{S}) \wedge \delta_{X_\Sigma} = (\theta_\Sigma)_*(\rho_\Sigma)_*(c_1(L, \|\cdot\|) \wedge \delta_{X_\Sigma}) = (\theta_\Sigma)_*(e_K)_*(-\phi''_{\|\cdot\|}),$$

where the second derivative $\phi''_{\|\cdot\|}$ is taken in the sense of distributions.

Proof. — Let $(\mathcal{X}, \mathcal{L}, e)$ be a regular semi-stable model defining the metric $\|\cdot\|$. Let D be the divisor on \mathcal{X} defined by the rational section $s_\Psi^{\otimes e}$, so that $\mathcal{L} \simeq \mathcal{O}(D)$. Since the special fibre is reduced, by the equation (1.3.6) we have

$$c_1(L, \|\cdot\|) \wedge \delta_{X_\Sigma} = \frac{1}{e} \sum_{i=0}^l \left(\deg_{\mathcal{L}}(E_i) \delta_{\xi_i} + \sum_{j \in \Theta_i} \deg_{\mathcal{L}}(F_{i,j}) \delta_{\xi_{i,j}} \right).$$

For $l > 0$, we obtain

$$c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\Sigma}} = \frac{1}{e} \sum_{i=1}^{l-1} (\alpha_{i-1} - 2\alpha_i + \alpha_{i+1}) \delta_{\xi_i} + \frac{1}{e} \sum_{i=0, l} (\alpha_{i-1} - \alpha_i + \alpha_{i+1}) \delta_{\xi_i} \quad (4.6.4)$$

while, for $l = 0$,

$$c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\Sigma}} = \frac{1}{e} (\alpha_{-1} + \alpha_1) \delta_{\xi_0}. \quad (4.6.5)$$

The first equality follows then by comparing equations (4.6.4) and (4.6.5) with the previous computation of $(\theta_{\Sigma})_*(\rho_{\Sigma})_*\mu$.

For the second equality, Lemma 4.6.4 and the definition of ξ_i imply that $\xi_i = \theta_{\Sigma}(\mathbf{e}_K(a - i))$. Hence

$$(\theta_{\Sigma})_*(\mathbf{e}_K)_*(\delta_{a-i}) = \delta_{\xi_i}.$$

The result follows from the explicit description of $\phi_{\|\cdot\|}$ in Lemma 4.6.6 and the explicit description of $c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\Sigma}}$ given by (4.6.4) and (4.6.5). \square

Using Proposition 4.6.2, we can extend Lemma 4.6.8 to the case when the model is not semi-stable.

Theorem 4.6.9. — *Let $X_{\Sigma} \simeq \mathbb{P}_K^1$ be a one-dimensional toric variety over K . Let $L \simeq \mathcal{O}(D_{\Psi})$ be a toric line bundle, $\|\cdot\|$ an algebraic metric and $\|\cdot\|_{\mathbb{S}}$ the associated toric metric. Then*

$$c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\Sigma}} = (\theta_{\Sigma})_*(\rho_{\Sigma})_*(c_1(L, \|\cdot\|) \wedge \delta_{X_{\Sigma}}) = (\theta_{\Sigma})_*(\mathbf{e}_K)_*(-\phi''_{\|\cdot\|}),$$

where the second derivative $\phi''_{\|\cdot\|}$ is taken in the sense of distributions.

Proof. — Let $(\mathcal{X}, \mathcal{L}, e)$ be a proper model of $(X_{\Sigma}, L^{\otimes e})$ that realizes the algebraic metric $\|\cdot\|$. For short, denote

$$\mu = c_1(L, \|\cdot\|) \wedge \delta_{X_{\Sigma}}, \quad \mu_{\mathbb{S}} = c_1(L, \|\cdot\|_{\mathbb{S}}) \wedge \delta_{X_{\Sigma}}.$$

By Proposition 4.6.2, there is a finite extension K' of K , a regular semi-stable model \mathcal{X}' of $X_{\Sigma, K'}$ and a proper morphism of models $\mathcal{X}' \rightarrow \mathcal{X} \times \text{Spec}(K'^{\circ})$. We may further assume that all the components and the double points of the special fibre of \mathcal{X}' are defined over $K'^{\circ}/K'^{\circ\circ}$ and that each irreducible component contains a rational point. Let $(L', \|\cdot\|')$ be the metrized line bundle obtained by base change to K' . Using propositions 1.3.6 and 4.3.8, it is possible to show that the toric metric $(\|\cdot\|')_{\mathbb{S}}$ agrees with the metric obtained from $\|\cdot\|_{\mathbb{S}}$ by base change. We denote by $\nu: X_{\Sigma, K'}^{\text{an}} \rightarrow X_{\Sigma, K}^{\text{an}}$ the map of analytic spaces and by μ' , $\mu'_{\mathbb{S}}$, θ'_{Σ} and ρ'_{Σ} the corresponding objects for $X_{\Sigma, K'}$. Then, by the propositions 1.4.7, 4.2.16 and 4.1.5 and the first equality in Lemma 4.6.8,

$$\mu_{\mathbb{S}} = \nu_*\mu'_{\mathbb{S}} = \nu_*(\theta'_{\Sigma})_*(\rho'_{\Sigma})_*\mu' = (\theta_{\Sigma})_*(\rho'_{\Sigma})_*\mu' = (\theta_{\Sigma})_*(\rho_{\Sigma})_*\mu,$$

which proves the first equality of the theorem.

Using the second equality in Lemma 4.6.8 and Proposition 4.2.16, we deduce that

$$\mu_{\mathbb{S}} = \nu_* \mu'_{\mathbb{S}} = \nu_* (\theta'_{\Sigma})_* (\mathbf{e}_{K'})_* (-\phi''_{\|\cdot\|'}) = (\theta_{\Sigma})_* (\mathbf{e}_K)_* (-\phi''_{\|\cdot\|}). \quad (4.6.6)$$

We have that, for $u \in N_{\mathbb{R}}$,

$$\mathbf{e}_{K'}(u) = \mathbf{e}_K \left(\frac{u}{e_{K'/K}} \right), \quad \phi_{\|\cdot\|'}(u) = e_{K'/K} \phi_{\|\cdot\|} \left(\frac{u}{e_{K'/K}} \right),$$

where the second equality follows from Proposition 4.3.8. Using these formulae, one can verify that

$$(\mathbf{e}_{K'})_* = (\mathbf{e}_K)_* \left(\frac{1}{e_{K'/K}} \right)_*, \quad \phi''_{\|\cdot\|'} = (e_{K'/K})_* \phi''_{\|\cdot\|},$$

where $\frac{1}{e_{K'/K}}$ and $e_{K'/K}$ denote the corresponding homotheties of $N_{\mathbb{R}}$. This implies

$$(\mathbf{e}_{K'})_* (\phi''_{\|\cdot\|'}) = (\mathbf{e}_K)_* (\phi''_{\|\cdot\|}).$$

The second equality in the statement then follows from this equation together with (4.6.6). \square

We can now relate semipositivity of the metric with concavity of the associated function in the one-dimensional case.

Corollary 4.6.10. — *Let $X_{\Sigma} \simeq \mathbb{P}^1_K$ be a one-dimensional toric variety over K . Let (L, s) be a toric line bundle with a toric section and $\|\cdot\|$ a metric with a semipositive model. Then the function $\phi_{\|\cdot\|}$ is concave and the toric metric $\|\cdot\|_{\mathbb{S}}$ has a semipositive model.*

Proof. — Since the metric $\|\cdot\|$ has a semipositive model, $c_1(L, \|\cdot\|) \wedge \delta_{X_{\Sigma}}$ is a measure, and not just a signed measure. Theorem 4.6.9 implies that the direct image by $(\text{val}_K)_* (\theta_{\Sigma})_* (\mathbf{e}_K)_*$ of this measure coincides with $-\phi''_{\|\cdot\|}$. Hence $-\phi''_{\|\cdot\|}$ is also a measure and so $\phi_{\|\cdot\|}$ is concave, proving the first statement.

For the second statement, observe that $\phi_{\|\cdot\|_{\mathbb{S}}} = \phi_{\|\cdot\|}$. By Proposition 4.3.10(1), the recession of this function agrees with Ψ . Corollary 4.5.9 then implies that the metric $\|\cdot\|_{\mathbb{S}}$ has a semipositive toric model. \square

4.7. Algebraic metrics and their associated measures

We come back to the setting of §4.5. We assume that K is a complete field with respect to an absolute value associated to a nontrivial discrete valuation and that Σ is a complete fan. Let Ψ be a virtual support function on Σ and set $(L, s) = (L_{\Psi}, s_{\Psi})$.

Proposition 4.7.1. — *Let $\|\cdot\|$ be a metric with a semipositive model on L^{an} . Then both functions $\psi_{\|\cdot\|}$ and $\phi_{\|\cdot\|}$ are concave.*

Proof. — Assume that $\|\cdot\|$ has a semipositive model. Since the condition of being concave is closed, if we prove that, for all choices of $u_0 \in \lambda_K N_{\mathbb{Q}}$ and $v_0 \in N$ primitive, the restriction of $\psi_{\|\cdot\|}$ to the line $u_0 + \mathbb{R}v_0$ is concave, we will deduce that the function $\psi_{\|\cdot\|}$ is concave. Fix $u_0 \in \lambda_K N_{\mathbb{Q}}$ and $v_0 \in N$ primitive and let $e \geq 1$ such that $eu_0 \in \lambda_K N$. Then $K' := K(\varpi^{1/e})$ is a finite extension of K and there is a unique extension of the absolute value of K to K' . We will denote with $'$ the objects obtained by base change to K' .

We consider the affine map $A: \mathbb{Z} \rightarrow N$ given by $l \mapsto eu_0 + lv_0$, and let H be the linear part of A . By Theorem 1.2.2(6), the subset of $X_{\Sigma, K'}^{\text{an}}$ of algebraic points is dense. By [Poi12, Théorème 5.3], we can choose a sequence K'_i , $i \in \mathbb{N}$, of finite extensions of K' and a sequence of points $\tilde{q}_i \in X_{\Sigma, 0}(K'_i)$, $i \in \mathbb{N}$, such that, if we denote by q_i the image of \tilde{q}_i in $X_{\Sigma, K'}^{\text{an}}$, then $\text{val}(q_i) = u_0$ and

$$\lim_{i \rightarrow \infty} q_i = \theta_{\Sigma}(\mathbf{e}(u_0)).$$

Recall the equivariant morphisms $\varphi_{\tilde{q}_i, H}: \mathbb{P}_{K'_i}^1 \rightarrow X_{\Sigma, K'_i}$ of Theorem 3.2.4. By Definition 4.3.6 and Proposition 4.3.24(2), we have

$$\psi_{\|\cdot\|}(u_0 + uv_0) = \lim_{i \rightarrow \infty} \psi_{\varphi_{\tilde{q}_i, H} \|\cdot\|_{K'_i}}(u).$$

By Corollary 4.6.10, for each $i \in \mathbb{N}$, the function $\psi_{\varphi_{\tilde{q}_i, H} \|\cdot\|_{K'_i}}$ is concave. Since the limit of concave functions is concave, the restriction of $\psi_{\|\cdot\|}$ to $u_0 + \mathbb{R}v_0$ is concave. We conclude that $\psi_{\|\cdot\|}$ is concave. Hence, $\phi_{\|\cdot\|}$ is concave too. \square

Corollary 4.7.2. — *Let $\|\cdot\|$ be a metric with a semipositive model on L^{an} . Then the toric metric $\|\cdot\|_{\mathbb{S}}$ has a semipositive toric model.*

Proof. — By Proposition 4.7.1, the function $\phi = \phi_{\|\cdot\|}$ is concave. By Theorem 4.5.10(1), it is also rational piecewise affine. By Proposition 4.3.10(1), its recession agrees with Ψ , hence this latter is concave. Corollary 4.5.9 then implies that the metric $\|\cdot\|_{\mathbb{S}} = \|\cdot\|_{\phi \lambda_K}$ has a semipositive toric model. \square

Putting together Proposition 4.7.1 and Theorem 4.5.10, we see that the relationship between semipositivity of the metric and concavity of the associated function given in the Archimedean case by Proposition 4.4.1 carries over to the non-Archimedean case.

Corollary 4.7.3. — *Let $\|\cdot\|$ be a toric algebraic metric and $\phi_{\|\cdot\|}$ the associated function. Then $\|\cdot\|$ has a semipositive model if and only if the function $\phi_{\|\cdot\|}$ is concave.*

We can now characterize the Chambert-Loir measure associated to a toric metric with a semipositive model.

Theorem 4.7.4. — *Let $\|\cdot\|$ be a toric metric on L^{an} with a semipositive model and $\phi = \phi_{\|\cdot\|}$ the associated function on $N_{\mathbb{R}}$. Let $c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}$ be the associated measure. Then*

$$\text{val}_{K, *}(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_{\Sigma}}) = n! \overline{\mathcal{M}}_M(\phi), \tag{4.7.1}$$

where $\overline{\mathcal{M}}_M(\phi)$ is the measure in Definition 4.4.3. Moreover,

$$c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma} = (\theta_\Sigma)_*(\mathbf{e}_K)_* n! \overline{\mathcal{M}}_M(\phi). \tag{4.7.2}$$

Proof. — Since the metric has a semipositive model, by Proposition 4.7.1 the function ϕ is concave. By Theorem 4.5.10 it is defined by a toric model $(\mathcal{X}_\Pi, D_\phi, e)$ of (X_Σ, D_Ψ) in the equivalence class determined by ϕ . As in Remark 3.5.9, the irreducible components of $\mathcal{X}_{\Pi, o}$ are in bijection with the vertices of Π . For each vertex $v \in \Pi^0$, let ξ_v be the point of X_Σ^{an} corresponding to the generic point of $V(v)$ given by Proposition 1.3.3. Then, by the equations (1.3.6) and (3.6.2),

$$c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma} = \frac{1}{e^n} \sum_{v \in \Pi^0} \text{mult}(v) \deg_{D_\phi}(V(v)) \delta_{\xi_v}.$$

Thus, by Corollary 4.5.2,

$$\text{val}_{K,*}(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma}) = \frac{1}{e^n} \sum_{v \in \Pi^0} \text{mult}(v) \deg_{D_\phi}(V(v)) \delta_v.$$

But, using Proposition 2.7.4 and Proposition 3.7.8, the Monge-Ampère measure is given by

$$\begin{aligned} \mathcal{M}_M(\phi) &= \frac{1}{e^n} \mathcal{M}_M(e\phi) \\ &= \frac{1}{e^n} \sum_{v \in \Pi^0} \text{vol}_M(v^*) \delta_v \\ &= \frac{1}{n! e^n} \sum_{v \in \Pi^0} \text{mult}(v) \deg_{D_\phi}(V(v)) \delta_v. \end{aligned}$$

Since $\mathcal{M}_M(\phi)$ is a finite sum of Dirac delta measures, we obtain that

$$\overline{\mathcal{M}}_M(\phi) = \frac{1}{n! e^n} \sum_{v \in \Pi^0} \text{mult}(v) \deg_{D_\phi}(V(v)) \delta_v.$$

Hence we have proved (4.7.1). To prove (4.7.2), we just observe that $\xi_v = \theta_0 \circ \mathbf{e}_K(v)$. □

We can rewrite Theorem 4.7.4 in terms of the function $\psi_{\|\cdot\|}$ of Definition 4.3.5.

Corollary 4.7.5. — *Let $\|\cdot\|$ be a toric metric on L^{an} with a semipositive model and $\psi = \psi_{\|\cdot\|}$ the associated function on $N_{\mathbb{R}}$. Let $c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma}$ be the associated measure. Then*

$$\text{val}_*(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma}) = n! \overline{\mathcal{M}}_M(\psi).$$

Moreover,

$$c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma} = (\theta_\Sigma)_*(\mathbf{e})_* n! \overline{\mathcal{M}}_M(\psi).$$

4.8. Semipositive and DSP metrics

Let K be a valued field and \mathbb{T} an n -dimensional split torus over K , as in the beginning of the chapter. In the non-Archimedean case assume furthermore that the valuation is discrete. Let Σ be a complete fan in $N_{\mathbb{R}}$ and Ψ a virtual support function on Σ , and denote by (L, s) the corresponding toric line bundle and section.

We are now in position to characterize toric semipositive metrics.

Theorem 4.8.1. — *Let notation be as above.*

1. *The assignment $\|\cdot\| \mapsto \psi_{\|\cdot\|}$ is a bijection between the space of semipositive toric metrics on L^{an} and the space of concave functions ψ on $N_{\mathbb{R}}$ such that $|\psi - \Psi|$ is bounded.*
2. *Assume that Ψ is a support function and let Δ_{Ψ} be the corresponding polytope. The assignment $\|\cdot\| \mapsto \psi_{\|\cdot\|}^{\vee}$ is a bijection between the space of semipositive toric metrics on L^{an} and the space of continuous concave functions on Δ_{Ψ} .*

Proof. — To prove the statement (1), consider a toric semipositive metric $\|\cdot\|$. By Corollary 4.3.13 the function $|\psi_{\|\cdot\|} - \Psi|$ is bounded. By Definition 1.4.1, there is a sequence $(\|\cdot\|_l)_{l \geq 1}$ of smooth (respectively algebraic) semipositive metrics that converges to $\|\cdot\|$. Since $\|\cdot\|$ is toric, $\|\cdot\|_{\mathbb{S}} = \|\cdot\|$. Hence, by Proposition 4.3.4, the sequence of toric metrics $(\|\cdot\|_{l,\mathbb{S}})_{l \geq 1}$ also converges to $\|\cdot\|$. We set $\psi_l = \psi_{\|\cdot\|_{l,\mathbb{S}}}$. By the propositions 4.4.2 and 4.4.1 in the Archimedean case and Proposition 4.7.1 and Corollary 4.7.2 in the non-Archimedean case, the functions ψ_l are concave. Since, by Proposition 4.3.14(3), the sequence $(\psi_l)_{l \geq 1}$ converges uniformly on $N_{\mathbb{R}}$ to $\psi_{\|\cdot\|}$, the latter is concave.

Conversely, let now ψ be a concave function on $N_{\mathbb{R}}$ such that $|\psi - \Psi|$ is bounded. Then Ψ is a support function and $\text{stab}(\psi) = \text{stab}(\Psi)$ agrees with the polytope Δ_{Ψ} . Let $\|\cdot\|$ be the metric on the restriction of L^{an} to X_0^{an} determined by ψ . Write $\phi = \psi \lambda_K^{-1}$. By Proposition 2.5.24 there is a sequence of rational piecewise affine concave functions $(\phi_l)_{l \geq 1}$ with stability set Δ_{Ψ} , that converge uniformly to ϕ . Since $\text{stab}(\phi_l) = \Delta_{\Psi}$, by Proposition 2.3.10, $\text{rec}(\phi_l) = \Psi$. Since ϕ_l is a piecewise affine concave function, by Remark 4.5.8, $\phi_l - \Psi$ can be extended to a continuous function on N_{Σ} . Therefore, $\phi - \Psi$ and hence $\psi - \Psi$, can be extended to a continuous function on N_{Σ} . Consequently the metric $\|\cdot\|$ can be extended to X_{Σ}^{an} . Let $\|\cdot\|_l$ be the metric associated to $\phi_l \lambda_K$. Then the sequence of metrics $(\|\cdot\|_l)_{l \geq 1}$ converges to $\|\cdot\|$. By Corollary 4.3.23, the metric $\|\cdot\|_l$ is semipositive, both in the Archimedean and in the non-Archimedean cases. We deduce that $\|\cdot\|$ is semipositive, which completes the proof of (1).

The statement (2) follows from (1) and propositions 2.5.23 and 2.5.20(2). □

Remark 4.8.2. — With notations as in Theorem 4.8.1, if Ψ is a support function, then the space in (1) coincides with $\overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta_{\Psi})$ (Definition 2.5.19), otherwise it is empty. The space in (2) coincides with $\overline{\mathcal{P}}(\Delta_{\Psi}, N_{\mathbb{R}})$.

Remark 4.8.3. — For the case $K = \mathbb{C}$, statement (2) in the above result is related to the Guillemin-Abreu classification of Kähler structures on symplectic toric varieties as explained in [Abr03]. By definition, a symplectic toric variety is a compact symplectic manifold of dimension $2n$ together with a Hamiltonian action of the compact torus $\mathbb{S} \simeq (S^1)^n$. These spaces are classified by Delzant polytopes of $M_{\mathbb{R}}$, see for instance [Gui95, Definition page 8] for the definition of Delzant polytope and [Gui95, Appendix 1] for the classification. For a given Delzant polytope $\Delta \subset M_{\mathbb{R}}$, the possible $(S^1)^n$ -invariant Kähler forms on the symplectic toric variety corresponding to Δ are classified by smooth convex functions on Δ° satisfying some conditions near the border of Δ . Several differential geometric invariants of a Kähler toric variety can be translated and studied in terms of this convex function, also called the “symplectic potential”.

For a smooth positive toric metric $\|\cdot\|$ on $L_{\Psi_{\Delta}}(\mathbb{C})$, the Chern form defines a Kähler structure on the complex toric variety $X_{\Sigma_{\Delta}}(\mathbb{C})$. It turns out that the corresponding symplectic potential coincides with minus the function $\psi_{\|\cdot\|}^{\vee}$. It would be most interesting to explore further this connection.

Proposition 4.8.4. — *Let $\|\cdot\|$ be a semipositive metric on L^{an} . Then $\|\cdot\|_{\mathbb{S}}$ is a semipositive toric metric. In particular, $\psi_{\|\cdot\|}$ is concave.*

Proof. — Let $(\|\cdot\|_l)_{l \geq 1}$ be a sequence of smooth (respectively algebraic) semipositive metrics on L^{an} that converges to $\|\cdot\|$. By Proposition 4.3.4, the sequence of toric metrics $(\|\cdot\|_{l, \mathbb{S}})_{l \geq 1}$ converges to $\|\cdot\|_{\mathbb{S}}$. By Proposition 4.4.2 in the Archimedean case and Corollary 4.7.2 in the non-Archimedean case, the metrics $\|\cdot\|_{l, \mathbb{S}}$ are smooth (respectively algebraic) semipositive. Hence, $\|\cdot\|_{\mathbb{S}}$ is semipositive. The last statement follows from Theorem 4.8.1(1). \square

Corollary 4.8.5. — *The line bundle L^{an} admits a semipositive metric if and only if L is generated by global sections.*

Proof. — Suppose that L^{an} admits a semipositive metric $\|\cdot\|$. By Proposition 4.8.4, $\psi_{\|\cdot\|}$ is concave. Hence, $\Psi = \text{rec}(\psi_{\|\cdot\|})$ is concave too which, by Proposition 3.4.1(1), is equivalent to the fact that L is generated by global sections.

Reciprocally, if L is generated by its global sections, then the function Ψ is concave and therefore defines a semipositive toric metric on L^{an} , by Theorem 4.8.1(1). \square

Here is what we can say about toric DSP metrics.

Theorem 4.8.6. — *Let Ψ be a virtual support function on Σ . Then the map $\|\cdot\| \mapsto \psi_{\|\cdot\|}$ is a bijection between:*

- *the space of toric metrics on L_{Ψ}^{an} such that there is a refinement Σ' of Σ with associated birational toric morphism $\varphi: X_{\Sigma'} \rightarrow X_{\Sigma}$ so that $\varphi^*\|\cdot\|$ is a DSP toric metric on $\varphi^*L_{\Psi}^{\text{an}}$;*
- *the space of functions $\psi \in \overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$ (Definition 2.6.1) with $\text{rec}(\psi) = \Psi$.*

Proof. — Let $\|\cdot\|$ be a toric metric on L_{Ψ}^{an} and Σ' a refinement of Σ with associated birational toric morphism $\varphi: X_{\Sigma'} \rightarrow X_{\Sigma}$ so that $\varphi^*\|\cdot\|$ is a DSP toric metric on $\varphi^*L_{\Psi}^{\text{an}}$. By definition, there exists semipositive metrized line bundles $(L_1, \|\cdot\|_1)$ and $(L_2, \|\cdot\|_2)$ on $X_{\Sigma'}$ such that

$$(\varphi^*L_{\Psi}, \varphi^*\|\cdot\|) = (L_1, \|\cdot\|_1) \otimes (L_2, \|\cdot\|_2)^{\otimes -1}.$$

By propositions 4.3.4 and 4.8.4, $(\varphi^*L_{\Psi}, \varphi^*\|\cdot\|) = (L_1, \|\cdot\|_{1,\mathbb{S}}) \otimes (L_2, \|\cdot\|_{2,\mathbb{S}})^{\otimes -1}$ and $\|\cdot\|_{i,\mathbb{S}}, i = 1, 2$, is a semipositive toric metric. By Theorem 4.8.1(1), $\psi_{\|\cdot\|_{i,\mathbb{S}}} \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta_i)$, where Δ_i denotes the lattice polytope corresponding to L_i . In particular, $\psi_{\|\cdot\|_{i,\mathbb{S}}} \in \overline{\mathcal{P}}(N_{\mathbb{R}})_{\mathbb{Z}}$ (Definition 2.5.19), hence using Proposition 4.3.19,

$$\psi_{\|\cdot\|} = \psi_{\varphi^*\|\cdot\|} = \psi_{\|\cdot\|_{1,\mathbb{S}}} - \psi_{\|\cdot\|_{2,\mathbb{S}}} \in \overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$$

and $\text{rec}(\psi_{\|\cdot\|}) = \text{rec}(\psi_{\|\cdot\|_{1,\mathbb{S}}}) - \text{rec}(\psi_{\|\cdot\|_{2,\mathbb{S}}}) = \Psi$.

Conversely, let $\psi \in \overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$ such that $\text{rec}(\psi) = \Psi$. Let $\psi = \psi_1 - \psi_2$ with $\psi_i \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta_i)$. By Definition 2.5.19 and Corollary 2.5.9, there are lattice polytopes $\Delta_i, i = 1, 2$, such that $\psi_i \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta_i)$. We can assume without loss of generality that these lattice polytopes have dimension n . Let (X_{Σ_i}, D_i) be the polarized toric variety determined by Δ_i by the correspondence in Theorem 3.4.6. Let Σ' be a fan on $N_{\mathbb{R}}$ simultaneously refining Σ and $\Sigma_i, i = 1, 2$, and let $\varphi: X_{\Sigma'} \rightarrow X_{\Sigma}$ and $\varphi_i: X_{\Sigma'} \rightarrow X_{\Sigma_i}$ be the associated birational toric maps. Set $L_i = \varphi_i^*O(D_i), i = 1, 2$.

Since $\Psi = \text{rec}(\psi_1) - \text{rec}(\psi_2)$, we have $\varphi^*L_{\Psi} = L_1 \otimes L_2^{\otimes -1}$. By Theorem 4.8.1(1), the function ψ_i determines a semipositive toric metric on L_i^{an} that we denote by $\|\cdot\|_i$. Then

$$(\varphi^*L_{\Psi}, \varphi^*\|\cdot\|) := (L_1, \|\cdot\|_1) \otimes (L_2, \|\cdot\|_2)^{\otimes -1}$$

is a toric DSP metrized line bundle on $X_{\Sigma'}$ and $\psi_{\|\cdot\|} = \psi$. □

Example 4.8.7. — Let Ψ be a virtual support function on Σ . By Corollary 2.6.3, $\Psi \in \mathcal{D}(N_{\mathbb{R}})_{\mathbb{Z}} \subset \overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$ and, moreover $\text{rec}(\Psi) = \Psi$. Therefore, by Theorem 4.8.6, there is a birational toric morphism $\varphi: X_{\Sigma'} \rightarrow X_{\Sigma}$ so that the inverse image $\varphi^*\|\cdot\|_{\Psi}$ of the canonical metric on L_{Ψ}^{an} is a DSP toric metric on $\varphi^*L_{\Psi}^{\text{an}}$. Note that $\varphi^*\|\cdot\|_{\Psi}$ coincides with the canonical metric on $\varphi^*L_{\Psi}^{\text{an}}$.

By Theorem 4.8.1, if the function Ψ is concave or, equivalently by Proposition 3.4.1(1), if the line bundle $O(D_{\Psi})$ is generated by global sections, then $\|\cdot\|_{\Psi}$ is semipositive.

Remark 4.8.8. — The correspondence in Theorem 4.8.6 gives also a bijection between the space of DSP toric metrics on L_{Ψ}^{an} and the space of functions $\psi \in \overline{\mathcal{D}}(N_{\mathbb{R}})_{\mathbb{Z}}$ such that $\text{rec}(\psi) = \Psi$ that can be written as $\psi = \psi_1 - \psi_2$ with $\psi_i \in \overline{\mathcal{P}}(N_{\mathbb{R}}, \Delta_i)$ for a lattice polytope Δ_i whose support function is compatible with the fan Σ in the sense of Definition 2.5.4. This follows easily from the proofs of theorems 3.4.6 and 4.8.6.

Whether these spaces coincide with those in Theorem 4.8.6 is yet to be decided.

We now study the compatibility of the restriction of semipositive toric metrics to toric orbits and its inverse image by equivariant maps with direct and inverse image of concave functions. This is an extension of propositions 3.7.5 and 3.7.10. We start with the case of orbits, and we state a variant of Proposition 4.3.17 for semipositive metrics.

Proposition 4.8.9. — *Let Ψ be a support function on Σ , set $L = L_{\Psi}$ and $s = s_{\Psi}$. Let $\|\cdot\|$ be a semipositive toric metric on L^{an} , denote $\overline{L} = (L, \|\cdot\|)$ and $\psi = \psi_{\overline{L}, s}$ the associated concave function on $N_{\mathbb{R}}$. Let $\sigma \in \Sigma$ and $m_{\sigma} \in M$ such that $\Psi|_{\sigma} = m_{\sigma}|_{\sigma}$. Let $\pi_{\sigma}: N_{\mathbb{R}} \rightarrow N(\sigma)_{\mathbb{R}}$ be the projection, $\pi_{\sigma}^{\vee}: M(\sigma)_{\mathbb{R}} \rightarrow M_{\mathbb{R}}$ the dual inclusion and $\iota: V(\sigma) \rightarrow X_{\Sigma}$ the closed immersion. Set $s_{\sigma} = \chi^{m_{\sigma}} s$. Then*

$$\psi_{\iota^* \overline{L}, \iota^* s_{\sigma}} = (\pi_{\sigma})_*(\psi - m_{\sigma}). \tag{4.8.1}$$

Dually, we have

$$\psi_{\iota^* \overline{L}, \iota^* s_{\sigma}}^{\vee} = (\pi_{\sigma}^{\vee} + m_{\sigma})^* \psi^{\vee}. \tag{4.8.2}$$

In other words, the Legendre-Fenchel dual of $\psi_{\iota^* \overline{L}, \iota^* s_{\sigma}}$ is the translate by $-m_{\sigma}$ of the restriction of ψ^{\vee} to the face F_{σ} .

Proof. — As in the proof of Proposition 3.7.5, it is enough to prove the equation (4.8.1). By replacing ψ by $\psi - m_{\sigma}$, we assume without loss of generality that $m_{\sigma} = 0$. By the continuity of the metric, the function ψ can be extended to a continuous function $\overline{\psi}_{\sigma}$ on N_{σ} , where N_{σ} is the compactification of $N_{\mathbb{R}}$ in the directions of the cone σ (see (4.1.5)). In this way, the function $\psi_{\iota^* \overline{L}, \iota^* s_{\sigma}}$ is the restriction of $\overline{\psi}_{\sigma}$ to $N(\sigma)_{\mathbb{R}}$. Fix $u_0 \in N(\sigma)_{\mathbb{R}}$ and write $s = \overline{\psi}_{\sigma}(u_0)$. By continuity, for any $\varepsilon > 0$ there exists a neighbourhood W of u_0 in N_{σ} such that for all $u \in W \cap N_{\mathbb{R}}$ we have $|f(u) - s| < \varepsilon$. By the definition of the topology of N_{σ} (see (4.1.6)), such a set $W \cap N_{\mathbb{R}}$ is of the form $U + p + \sigma$ with U a neighbourhood of a point $u \in N_{\mathbb{R}}$ such that $\pi_{\sigma}(u) = u_0$ and $p \in \mathbb{R}\sigma$. Therefore, we conclude that for any $\varepsilon > 0$ there exists $u \in N_{\mathbb{R}}$ satisfying $\pi_{\sigma}(u) = u_0$ and $p \in \mathbb{R}\sigma$ such that, for all $r \in p + \sigma$,

$$s - \varepsilon < \psi(u + r) < s + \varepsilon.$$

Now, by definition

$$(\pi_{\sigma})_*(\psi)(u_0) = \sup_{\substack{u \in N_{\mathbb{R}} \\ \pi_{\sigma}(u) = u_0}} \psi(u).$$

Thus it is clear that $(\pi_\sigma)_*(\psi)(u_0) \geq s$, suppose $(\pi_\sigma)_*(\psi)(u_0) > s$. Let $v \in N_{\mathbb{R}}$ satisfying $\pi_\sigma(v) = u_0$ be such that $\psi(v) > s$ and set $\varepsilon = \psi(v) - s > 0$. By the previous discussion, there exists $u \in N_{\mathbb{R}}$ satisfying $\pi_\sigma(u) = u_0$ and $p \in \mathbb{R}\sigma$ such that, for all $r \in p + \sigma$,

$$s - \varepsilon < \psi(u + r) < s + \varepsilon = \psi(v). \tag{4.8.3}$$

Write $q = v - u \in \mathbb{R}\sigma$, since σ is a cone of maximal dimension in $\mathbb{R}\sigma$, there exists a point $r \in (q + \sigma) \cap (p + \sigma)$. By the right inequality of (4.8.3) $\psi(u + r) < \psi(u + q)$ and the function $g(\lambda) := \psi(u + r + \lambda(r - q))$ of the variable $\lambda \in \mathbb{R}$, satisfies $g(0) < g(-1)$. Furthermore, since the function ψ is concave, so is g which therefore stays for $\lambda > 0$ below a line of negative slope $g(0) - g(-1)$. This implies $\lim_{\lambda \rightarrow +\infty} g(\lambda) = -\infty$, that is

$$\lim_{\lambda \rightarrow +\infty} \psi(u + r + \lambda(r - q)) = -\infty. \tag{4.8.4}$$

Since, by construction $r + \mathbb{R}_{\geq 0}(r - q)$ is contained in $p + \sigma$, the equation (4.8.4) contradicts the left inequality of (4.8.3). Hence, for $u_0 \in N(\sigma)_{\mathbb{R}}$,

$$(\pi_\sigma)_*(f)(u_0) = \sup_{\substack{u \in N_{\mathbb{R}} \\ \pi_\sigma(u) = u_0}} \psi(u) = s = \overline{\psi}_\sigma(u_0) = \psi_{\iota^* \overline{L}, \iota^* s_\sigma}(u_0),$$

which proves equation (4.8.1). □

We now interpret the inverse image of a semipositive toric metric by an equivariant map whose image intersects the principal open subset in terms of direct and inverse images of concave functions.

Proposition 4.8.10. — *Let N_1 and N_2 be lattices and Σ_i be a complete fan in $N_{i,\mathbb{R}}$, $i = 1, 2$. Let $H : N_1 \rightarrow N_2$ be a linear map such that, for each $\sigma_1 \in \Sigma_1$, there exists $\sigma_2 \in \Sigma_2$ with $H(\sigma_1) \subset \sigma_2$. Let $p \in X_{\Sigma_2,0}(K)$ and write $A : N_{1,\mathbb{R}} \rightarrow N_{2,\mathbb{R}}$ for the affine map $A = H + \text{val}(p)$. Let Ψ_2 be a support function on Σ_2 and $\|\cdot\|$ a semipositive toric metric on $L_{\Psi_2}^{\text{an}}$. Then*

$$\psi_{\varphi_{p,H}^* \|\cdot\|} = A^* \psi_{\|\cdot\|}.$$

Moreover, the Legendre-Fenchel dual of this function is given by

$$\psi_{\varphi_{p,H}^* \|\cdot\|}^\vee = (H^\vee)_*(\psi_{\|\cdot\|}^\vee - \text{val}(p)).$$

Proof. — The first statement is a direct consequence of Proposition 4.3.19 while the second one follows from Proposition 2.5.21(1). □

Finally, we characterize the measures associated to semipositive metrics.

Theorem 4.8.11. — *Let Ψ be a support function on Σ and set $L = L_\Psi$. Let $\|\cdot\|$ be a semipositive metric on L^{an} and $\psi = \psi_{\|\cdot\|}$ the corresponding concave function. Then*

$$\text{val}_*(c_1(\overline{L})^{\wedge n} \wedge \delta_{X_\Sigma}) = n! \overline{\mathcal{M}}_M(\psi). \tag{4.8.5}$$

Moreover, the measure $c_1(\overline{L})^n \wedge \delta_{X_\Sigma}$ is characterized, in the Archimedean case, by the equation (4.8.5) and the fact of being toric, while in the non-Archimedean case it is given by

$$c_1(\overline{L})^n \wedge \delta_{X_\Sigma} = (\theta_\Sigma)_*(\mathbf{e})_* n! \overline{\mathcal{M}}_M(\psi).$$

Proof. — For short, denote $\mu = \text{val}_*(c_1(\overline{L})^n \wedge \delta_{X_\Sigma})$. Let $\|\cdot\|_l$ be a sequence of semipositive smooth metrics (respectively metrics with a semipositive model) converging to $\|\cdot\|$. By Proposition 1.4.5, the measures $c_1(L, \|\cdot\|_l)^n \wedge \delta_{X_\Sigma}$ converge to $c_1(\overline{L})^n \wedge \delta_{X_\Sigma}$. Therefore, the measures $\text{val}_*(c_1(L, \|\cdot\|_l)^n \wedge \delta_{X_\Sigma})$ converge to the measure μ on N_Σ . Theorem 1.4.10(1) implies that the measure of $X_\Sigma^{\text{an}} \setminus X_0^{\text{an}}$ with respect to $c_1(\overline{L})^n \wedge \delta_{X_\Sigma}$ is zero. Therefore $N_\Sigma \setminus N_{\mathbb{R}}$ has μ -measure zero. Denote $\psi_l = \psi_{(\|\cdot\|_l)_\mathfrak{s}}$. By Proposition 2.7.2, the measures $\mathcal{M}_M(\psi_l)$ converge to the measure $\mathcal{M}_M(\psi)$. Thus $\mu|_{N_{\mathbb{R}}} = n! \mathcal{M}_M(\psi)$ by (4.4.3) and (4.7.1). Then, (4.8.5) follows from this and the fact that the measure of $N_\Sigma \setminus N_{\mathbb{R}}$ is zero.

The last statement of the theorem follows from Theorem 4.4.4 in the Archimedean case and Corollary 4.7.5 in the non-Archimedean case by a limit argument. \square

Corollary 4.8.12. — For $i = 0, \dots, n - 1$, let Ψ_i be a support function on Σ and set $L_i = L_{\Psi_i}$. Let $\|\cdot\|_i$ be a semipositive metric on L_i^{an} and $\psi_i = \psi_{\|\cdot\|_i}$ the corresponding concave function. Then

$$\text{val}_*(c_1(\overline{L}_0) \wedge \dots \wedge c_1(\overline{L}_{n-1}) \wedge \delta_{X_\Sigma}) = n! \overline{\mathcal{M}}_M(\psi_0, \dots, \psi_{n-1}).$$

Proof. — This follows from Theorem 4.8.11 by multilinearity. \square

4.9. Adelic toric metrics

Now let $(\mathbb{K}, \mathfrak{M})$ be an adelic field (Definition 1.5.1). We fix a complete fan Σ in $N_{\mathbb{R}}$ and a virtual support function Ψ on Σ . Let X be the associated toric variety and (L, s) the associated toric line bundle and section.

Definition 4.9.1. — A toric metric on L is a family $(\|\cdot\|_v)_{v \in \mathfrak{M}}$, where $\|\cdot\|_v$ is a toric metric on L_v^{an} . A toric metric is *adelic* if $\psi_{\|\cdot\|_v} = \Psi$ for all but finitely many v .

The following result is a direct consequence of Theorem 4.8.1.

Proposition 4.9.2. — With the previous notations,

1. there is a bijection between the set of semipositive adelic toric metric on L and the set of families of continuous concave functions $(\psi_v)_{v \in \mathfrak{M}}$ on $N_{\mathbb{R}}$ such that $|\psi_v - \Psi|$ is bounded and $\psi_v = \Psi$ for all but finitely many v ;
2. there is a bijection between the set of semipositive adelic toric metric on L and the set of families of continuous concave functions $(\psi_v^\vee)_{v \in \mathfrak{M}}$ on Δ_Ψ such that $\psi_v^\vee = 0$ for all but finitely many v .

For global fields, the notions of quasi-algebraic toric metric and of adelic toric metric agree.

Theorem 4.9.3. — *Let \mathbb{K} be a global field. A toric metric on L is quasi-algebraic (Definition 1.5.13) if and only if it is an adelic toric metric.*

Proof. — Let $(\|\cdot\|_v)_{v \in \mathfrak{M}_{\mathbb{K}}}$ be a metric on L and write $\bar{L} = (L, (\|\cdot\|_v)_{v \in \mathfrak{M}_{\mathbb{K}}})$. Suppose first that \bar{L} is toric and quasi-algebraic. Let $S \subset \mathfrak{M}_{\mathbb{K}}$ be a finite set containing the Archimedean places, \mathbb{K}_S° as in Definition 1.5.12, $e \geq 1$ an integer and $(\mathcal{X}, \mathcal{L})$ a proper model over \mathbb{K}_S° of $(X, L^{\otimes e})$ so that $\|\cdot\|_v$ is induced by the localization \mathcal{L}_v for all $v \notin S$. The generic fibre of $(\mathcal{X}, \mathcal{L})$ is isomorphic with that of the canonical model $(\mathcal{X}_{\Sigma}, \mathcal{O}(D_{e\Psi}))$ (Definitions 3.5.6 and 3.6.3). Since \mathbb{K}_S° is Noetherian, this isomorphism and its inverse are defined over $\mathbb{K}_{S'}^{\circ}$ for certain finite subset S' containing S . Thus, enlarging the finite set S if necessary, we can suppose that, over \mathbb{K}_S° , $(\mathcal{X}, \mathcal{L})$ agrees with the canonical model $(\mathcal{X}_{\Sigma}, \mathcal{O}(D_{e\Psi}))$. Hence, $\|\cdot\|_v = \|\cdot\|_{v, e\Psi}^{1/e} = \|\cdot\|_{v, \Psi}$ for all places $v \notin S$. In consequence, it is an adelic toric metric.

Conversely, suppose that \bar{L} is a toric adelic metrized line bundle. Let S be the union of the set of Archimedean places and $\{v \in \mathfrak{M}_{\mathbb{K}} \mid \psi_v \neq \Psi\}$. By definition, this is a finite set. Let $(\mathcal{X}_{\Sigma}, \mathcal{O}(D_{\Psi}))$ be the canonical model over \mathbb{K}_S° of (X_{Σ}, L) . Then $\|\cdot\|_v$ is the metric induced by this model, for all $v \notin S$. Hence \bar{L} is quasi-algebraic. \square

CHAPTER 5

HEIGHT OF TORIC VARIETIES

In this chapter, we will state and prove a formula to compute the height of a toric variety with respect to a toric line bundle.

5.1. Local heights of toric varieties

Let K be either \mathbb{R} , \mathbb{C} or a complete field with respect to an absolute value associated to a nontrivial discrete valuation. Let $N \simeq \mathbb{Z}^n$ be a lattice and $M = N^\vee$ the dual lattice. We will use the notations of §3 and we recall the definition of λ_K in (4.1.4).

Let Σ be a complete fan on $N_{\mathbb{R}}$ and X_Σ the corresponding proper toric variety. In Definition 1.4.11 we recalled the definition of local heights. These local heights depend, not only on cycles and metrized line bundles, but also on the choice of sections of the involved line bundles. For toric line bundles, Proposition-Definition 4.3.15, provides us with a distinguished choice of a toric metric, the canonical metric. This metric is DSP and, if the line bundle is generated by global sections, it is semipositive (see Example 4.8.7). By comparing any DSP metric to the canonical metric, we can define a local height for toric line bundles that is independent from the choice of sections.

Definition 5.1.1. — Let $\bar{L}_i = (L_i, \|\cdot\|_i)$, $i = 0, \dots, d$, be a family of toric line bundles, with DSP toric metrics. Denote by \bar{L}_i^{can} the same line bundles equipped with the canonical metric. Let Y be a d -dimensional irreducible subvariety of X_Σ and $\varphi: Y' \rightarrow Y$ a birational morphism with Y' projective. Then the *toric local height* of Y with respect to $\bar{L}_0, \dots, \bar{L}_d$ is

$$h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y) = h_{\varphi^* \bar{L}_0, \dots, \varphi^* \bar{L}_d}(Y'; s_0, \dots, s_d) - h_{\varphi^* \bar{L}_0^{\text{can}}, \dots, \varphi^* \bar{L}_d^{\text{can}}}(Y'; s_0, \dots, s_d),$$

where s_0, \dots, s_d are sections meeting Y' properly. We extend the definition to d -dimensional cycles by linearity. When $\bar{L}_0 = \dots = \bar{L}_d = \bar{L}$ we will denote

$$h_{\bar{L}}^{\text{tor}}(Y) = h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y).$$

Remark 5.1.2. — Even if the notion of toric local height in the above definition differs from that of local height of Definition 1.4.11, we will be able to use it to compute global heights because, for toric subvarieties and closures of orbits, the sum over all places of the local canonical heights is zero (see Proposition 5.2.4). This is the case, in particular, for the height of the total space X_Σ .

By Theorem 1.4.17 (2, 3), the toric local height $h_{\overline{L}_0, \dots, \overline{L}_d}^{\text{tor}}(Y)$ does not depend on the choice of Y' nor on the choice of sections. However, it does depend on the toric structure of the line bundles (see Definition 3.3.4), because the canonical metric depends on the toric structure.

Proposition 5.1.3. — *The toric local height is symmetric and multilinear with respect to tensor product of metrized toric line bundles. In particular, let Σ be a complete fan, \overline{L}_i a family of $d+1$ toric line bundles with DSP toric metrics and Y an algebraic cycle of X_Σ of dimension d . Then*

$$h_{\overline{L}_0, \dots, \overline{L}_d}^{\text{tor}}(Y) = \frac{1}{(d+1)!} \sum_{j=0}^d (-1)^{d-j} \sum_{0 \leq i_0 < \dots < i_j \leq d} h_{\overline{L}_{i_0} \otimes \dots \otimes \overline{L}_{i_j}}^{\text{tor}}(Y). \tag{5.1.1}$$

Proof. — It is enough to treat the case when Y is a d -dimensional irreducible subvariety. Let $\varphi: Y' \rightarrow Y$ be a birational map with Y' projective. By abuse of language we will denote $\varphi^* \overline{L}_i$ by \overline{L}_i . By the Moving Lemma, we can choose sections s_i of L_i , $i = 0, \dots, d$, such that s_0, \dots, s_d meet Y' properly.

The symmetry of the toric local height follows readily from the analogous property for the local height, see Theorem 1.4.17(1). For the multilinearity, let \overline{L}'_d be a further metrized line bundle. Again by the moving lemma, there is a section s'_d of L'_d such that $s_0, \dots, s_{d-1}, s'_d$ meets Y' properly too. By Theorem 1.4.17(1),

$$h_{\overline{L}_0, \dots, \overline{L}_{d-1}, \overline{L}_d \otimes \overline{L}'_d}(Y'; s_0, \dots, s_{d-1}, s_d \otimes s'_d) = h_{\overline{L}_0, \dots, \overline{L}_d}(Y'; s_0, \dots, s_d) + h_{\overline{L}_0, \dots, \overline{L}_{d-1}, \overline{L}'_d}(Y'; s_0, \dots, s_{d-1}, s'_d)$$

and a similar formula holds for the canonical metric. By the definition of the toric local height,

$$h_{\overline{L}_0, \dots, \overline{L}_{d-1}, \overline{L}_d \otimes \overline{L}'_d}^{\text{tor}}(Y) = h_{\overline{L}_0, \dots, \overline{L}_d}^{\text{tor}}(Y) + h_{\overline{L}_0, \dots, \overline{L}_{d-1}, \overline{L}'_d}^{\text{tor}}(Y).$$

The inclusion-exclusion formula follows readily from the symmetry and the multilinearity of the local toric height. □

Definition 5.1.4. — Let (\overline{L}, s) be a metrized toric line bundle with a toric section. Then the *roof function* associated to (\overline{L}, s) is the concave function $\vartheta_{\overline{L}, s}: \Delta_\Psi \rightarrow \mathbb{R}$ defined as

$$\vartheta_{\overline{L}, s} = \psi_{\overline{L}, s}^\vee = \lambda_K \phi_{\overline{L}, s}^\vee.$$

The concave function $\phi_{\bar{L},s}^\vee$ will be called the *rational roof function*. When the toric section s is clear from the context, we will denote $\psi_{\bar{L},s}$ and $\vartheta_{\bar{L},s}$ by $\psi_{\|\cdot\|}$ and $\vartheta_{\|\cdot\|}$ respectively.

In the non-Archimedean case, recall that the function $\phi_{\|\cdot\|}$ is not invariant under field extensions (see Proposition 4.3.8) but it has the advantage that, if the metric $\|\cdot\|$ is algebraic, then it is rational with respect to the lattice N . By contrast, the function $\psi_{\|\cdot\|}$ is invariant under field extensions. It is not rational, but it takes values in $\lambda_K\mathbb{Q}$ on $\lambda_K N_{\mathbb{Q}}$. This is the function that appears in [BPS09]. In particular, the roof function is also invariant under field extension.

Lemma 5.1.5. — *Let K'/K be a finite extension of valued fields of the type considered at the beginning of this section. Let \bar{L}, s be as before and $\bar{L}_{K'}, s_{K'}$ the toric metrized line bundle with toric section obtained by base change. Then*

$$\vartheta_{\bar{L}_{K'}, s_{K'}} = \vartheta_{\bar{L}, s}.$$

In case $\phi_{\|\cdot\|}$ is a piecewise affine concave function, $\vartheta_{\|\cdot\|}$ and $\phi_{\|\cdot\|}^\vee$ parameterize the upper envelope of some extended polytope, as explained in Lemma 2.5.22, hence the terminology “roof function”. In case K is non-Archimedean and $\|\cdot\|$ is algebraic, the function $\phi_{\|\cdot\|}^\vee$ is a rational piecewise affine concave function.

Theorem 5.1.6. — *Let Σ be a complete fan on $N_{\mathbb{R}}$. Let $\bar{L} = (L, \|\cdot\|)$ be a toric line bundle on X_Σ equipped with a semipositive toric metric. Choose any toric section s of L , let Ψ be the associated support function on Σ and put $\Delta_\Psi = \text{stab}(\Psi)$ for the associated polytope. Then, the toric local height of X_Σ with respect to \bar{L} is given by*

$$h_{\bar{L}}^{\text{tor}}(X_\Sigma) = (n + 1)! \int_{\Delta_\Psi} \vartheta_{\bar{L},s} \, d\text{vol}_M = (n + 1)! \lambda_K \int_{\Delta_\Psi} \phi_{\bar{L},s}^\vee \, d\text{vol}_M, \quad (5.1.2)$$

where $d\text{vol}_M$ is the unique Haar measure of $M_{\mathbb{R}}$ such that the covolume of M is one and $\phi_{\bar{L},s}^\vee$ is the Legendre-Fenchel dual to the function $\phi_{\bar{L},s}$ (Definition 4.3.5).

Proof. — We note that, by Theorem 4.8.1(2), the function $\psi_{\bar{L},s}$ is concave because the metric $\|\cdot\|$ on L^{an} is semipositive. For short, we set $\Delta = \Delta_\Psi$, $\psi = \psi_{\|\cdot\|}$ and $\vartheta = \psi^\vee$.

We first reduce to the case of an ample line bundle. Let Σ_Δ be the fan associated to Δ as in Remark 3.4.7. There is a toric morphism $\varphi: X_\Sigma \rightarrow X_{\Sigma_\Delta}$. By Theorem 4.8.1, the function ψ^\vee defines a semipositive metric $\|\cdot\|_0$ on the line bundle $\mathcal{O}(D_{\Psi_\Delta})^{\text{an}}$ over X_{Σ_Δ} . We denote $\bar{L}_0 = (\mathcal{O}(D_{\Psi_\Delta}), \|\cdot\|_0)$. Then there is an isometry $\varphi^*(\bar{L}_0) = \bar{L}$. By Corollary 4.3.20 there is an isometry $\varphi^*(\bar{L}_0^{\text{can}}) = \bar{L}^{\text{can}}$.

If the dimension of Δ is less than n , then the right-hand side of equation (5.1.2) is zero. Moreover, $n = \dim(X_\Sigma) > \dim(X_{\Sigma_\Delta})$ and the metrized line bundles \bar{L} and \bar{L}^{can} come from a variety of smaller dimension. Therefore, by Theorem 1.4.17(2),

the left-hand side of the equation (5.1.2) is also zero, because $\varphi_* X_\Sigma = 0$. If Δ has dimension n then φ is a birational morphism, so, by Theorem 1.4.17(2),

$$h_{\bar{L}}^{\text{tor}}(X_\Sigma) = h_{\bar{L}_0}^{\text{tor}}(X_{\Sigma_\Delta}).$$

Therefore it is enough to prove the theorem for X_{Σ_Δ} . By construction, the fan Σ_Δ is regular; hence the variety X_{Σ_Δ} is projective and L_0 is ample. Thus we are reduced to prove the theorem in the case when Σ is regular and L is ample.

Now the proof is done by induction on n , the dimension of X_Σ . If $n = 0$ then $X_\Sigma = \mathbb{P}^0$, $\Psi = 0$, $\Delta = \{0\}$ and $L = \mathcal{O}(D_0) = \mathcal{O}_{\mathbb{P}^0}$. By the equation (4.3.3), $\log \|s\| = \psi(0)$ and $\log \|s\|_{\text{can}} = \Psi(0) = 0$. The Legendre-Fenchel dual of ψ satisfies $\vartheta(0) = -\psi(0)$. By the equation (1.4.2), $h_{\bar{L}}(X_\Sigma; s) = -\psi(0)$ and $h_{\bar{L}^{\text{can}}}(X_\Sigma; s) = 0$. Therefore

$$h_{\bar{L}}^{\text{tor}}(X_\Sigma) = -\psi(0) = \vartheta(0) = 1! \int_{\Delta} \vartheta \, d \text{vol}_M.$$

Let $n \geq 1$ and let s_0, \dots, s_{n-1} be rational sections of $\mathcal{O}(D_\Psi)$ such that s_0, \dots, s_{n-1}, s intersect X_Σ properly. By the construction of local heights (Definition 1.4.11),

$$h_{\bar{L}}(X_\Sigma; s_0, \dots, s_{n-1}, s) = h_{\bar{L}}(\text{div}(s); s_0, \dots, s_{n-1}) \tag{5.1.3}$$

$$- \int_{X_\Sigma^{\text{an}}} \log \|s\| \, c_1(\bar{L})^{\wedge n} \wedge \delta_{X_\Sigma}$$

and a similar formula holds for the canonical metric.

For each facet F of Δ , let $v_F \in N$ be as in Notation 2.7.9. Since L is ample, Proposition 3.4.10 implies

$$h_{\bar{L}}(\text{div}(s); s_0, \dots, s_{n-1}) = \sum_F -\langle F, v_F \rangle h_{\bar{L}}(V(\tau_F); s_0, \dots, s_{n-1}), \tag{5.1.4}$$

where the sum is over the facets F of Δ . Observe that the local height of $V(\tau_F)$ with respect to the metrized line bundle \bar{L} coincides with the local height associated to the restriction of \bar{L} to this subvariety. Moreover by Corollary 4.3.18, the restriction of the canonical metric of L^{an} to this subvariety agrees with the canonical metric of $L^{\text{an}}|_{V(\tau_F)}$. Hence, by subtracting from the equation (5.1.4) the analogous formula for the canonical metric, we obtain

$$\sum_F -\langle F, v_F \rangle h_{\bar{L}|_{V(\tau_F)}}^{\text{tor}}(V(\tau_F)) = h_{\bar{L}}(\text{div}(s); s_0, \dots, s_{n-1}) \tag{5.1.5}$$

$$- h_{\bar{L}^{\text{can}}}(\text{div}(s); s_0, \dots, s_{n-1}).$$

Theorem 1.4.10(1) implies that the measure of $X_\Sigma^{\text{an}} \setminus X_{\Sigma,0}^{\text{an}}$ with respect to $c_1(\bar{L})^{\wedge n} \wedge \delta_{X_\Sigma}$ is zero. Hence,

$$\int_{X_\Sigma^{\text{an}}} \log \|s\| \, c_1(\bar{L})^{\wedge n} \wedge \delta_{X_\Sigma} = \int_{X_{\Sigma,0}^{\text{an}}} \log \|s\| \, c_1(\bar{L})^{\wedge n} \wedge \delta_{X_\Sigma}.$$

By the equation (4.3.3), $\log \|s\| = \text{val}^*(\psi)$, where val is the valuation map introduced in the diagram (4.1.7). Moreover

$$\int_{X_{\Sigma,0}^{\text{an}}} \text{val}^*(\psi) c_1(\bar{L})^n \wedge \delta_{X_\Sigma} = \int_{N_{\mathbb{R}}} \psi \text{val}_*(c_1(\bar{L})^n \wedge \delta_{X_\Sigma})$$

and by Theorem 4.8.11, $\text{val}_*(c_1(\bar{L})^n \wedge \delta_{X_\Sigma}) = n! \mathcal{M}_M(\psi)$. Hence,

$$\int_{X_\Sigma^{\text{an}}} \log \|s\| c_1(\bar{L})^n \wedge \delta_{X_\Sigma} = n! \int_{N_{\mathbb{R}}} \psi d\mathcal{M}_M(\psi). \tag{5.1.6}$$

By Example 2.7.5, $\mathcal{M}_M(\Psi) = \text{vol}_M(\Delta)\delta_0$. Therefore, in the case of the canonical metric, the equation (5.1.6) reads as

$$\int_{X_\Sigma^{\text{an}}} \log \|s\|_{\text{can}} c_1(\bar{L}^{\text{can}})^n \wedge \delta_{X_\Sigma} = n! \text{vol}_M(\Delta)\Psi(0) = 0. \tag{5.1.7}$$

Thus, subtracting from (5.1.3) the analogous formula for the canonical metric and using the equations (5.1.5), (5.1.6) and (5.1.7), we obtain

$$h_L^{\text{tor}}(X_\Sigma) = \sum_F -\langle F, v_F \rangle h_{\bar{L}|_{V(\tau_F)}}^{\text{tor}}(V(\tau_F)) - n! \int_{N_{\mathbb{R}}} \psi d\mathcal{M}_M(\psi).$$

By the induction hypothesis and the equation (4.8.2)

$$h_{\bar{L}|_{V(\tau_F)}}^{\text{tor}}(V(\tau_F)) = n! \int_F \vartheta d \text{vol}_{M(F)}.$$

Hence, by Corollary 2.7.10,

$$\begin{aligned} h_L^{\text{tor}}(X_\Sigma) &= -n! \sum_F \langle F, v_F \rangle \int_F \vartheta d \text{vol}_{M(F)} - n! \int_{N_{\mathbb{R}}} \psi d\mathcal{M}_M(\psi) \\ &= (n+1)! \int_\Delta \vartheta d \text{vol}_M, \end{aligned}$$

proving the theorem. □

Remark 5.1.7. — The left-hand side of the equation (5.1.2) only depends on the structure of toric line bundle of L and not on a particular choice of toric section, while the right-hand side seems to depend on the section s . We can see directly that the right hand side actually does not depend on the section. If we pick a different toric section, say s' , then the corresponding support function Ψ' differs from Ψ by a linear functional. The polytope $\Delta_{\Psi'}$ is the translated of Δ_Ψ by the corresponding element of M . The function $\psi_{\bar{L},s'}$ differs from $\psi_{\bar{L},s}$ by the same linear functional and $\vartheta_{\bar{L},s'}$ is the translated of $\vartheta_{\bar{L},s}$ by the same element of M . Thus the integral on the right has the same value whether we use the section s or the section s' .

Theorem 5.1.6 can be reformulated in terms of an integral over $N_{\mathbb{R}}$.

Corollary 5.1.8. — *Let notation be as in Theorem 5.1.6 and write $\psi = \psi_{\bar{L},s}$ for short. Then*

$$h_{\bar{L}}^{\text{tor}}(X_{\Sigma}) = (n + 1)! \int_{N_{\mathbb{R}}} (\vartheta \circ \partial\psi) \, d\mathcal{M}_M(\psi),$$

where $\vartheta \circ \partial\psi$ is the integrable function defined by (2.7.7). When $\psi \in \mathcal{C}^2(N_{\mathbb{R}})$,

$$h_{\bar{L}}^{\text{tor}}(X_{\Sigma}) = (-1)^n(n + 1)! \int_{N_{\mathbb{R}}} (\langle \nabla\psi(u), u \rangle - \psi(u) \det(\text{Hess}(\psi))) \, d \text{vol}_N.$$

When ψ is piecewise affine,

$$h_{\bar{L}}^{\text{tor}}(X_{\Sigma}) = (n + 1)! \sum_{v \in \Pi(\phi)^0} \int_{v^*} (\langle x, v \rangle - \psi(v)) \, d \text{vol}_M(x),$$

where $v^* \in \Pi(\vartheta)$ is the polytope corresponding to the vertex v with respect to the dual pair of convex decompositions induced by ψ (definitions 2.2.11 and 2.2.13).

Proof. — The first statement follows readily from Theorem 5.1.6 and the equations (2.7.7) and (2.2.2). The second statement follows from Proposition 2.7.3 and Example 2.7.11(1), while the third one follows from Proposition 2.7.4 and Example 2.7.11(2). □

Theorem 5.1.6 can be extended to compute the local toric height associated to distinct line bundles in term of the mixed integral of the associated roof functions.

Corollary 5.1.9. — *Let Σ be a complete fan on $N_{\mathbb{R}}$ and $\bar{L}_i = (L_i, \|\cdot\|_i)$, $i = 0, \dots, n$, be toric line bundles on X_{Σ} equipped with semipositive toric metrics. Choose toric sections s_i of L_i and let Ψ_i be the corresponding support functions. Then the toric height of X_{Σ} with respect to $\bar{L}_0, \dots, \bar{L}_n$ is given by*

$$h_{\bar{L}_0, \dots, \bar{L}_n}^{\text{tor}}(X_{\Sigma}) = \text{MI}_M(\vartheta_{\|\cdot\|_0}, \dots, \vartheta_{\|\cdot\|_n}) = \lambda_K \text{MI}_M(\phi_{\|\cdot\|_0}^{\vee}, \dots, \phi_{\|\cdot\|_n}^{\vee}).$$

Proof. — Let $0 \leq i_0 < \dots < i_j \leq n$. By the propositions 4.3.14 (1) and 2.3.1 (3)

$$(\psi_{\bar{L}_{i_0} \otimes \dots \otimes \bar{L}_{i_j}, s_{i_0} \otimes \dots \otimes s_{i_j}})^{\vee} = \psi_{\bar{L}_{i_0}, s_{i_0}}^{\vee} \boxplus \dots \boxplus \psi_{\bar{L}_{i_j}, s_{i_j}}^{\vee}.$$

The result then follows from (5.1.1), the definition of the mixed integral (Definition 2.7.16) and Theorem 5.1.6. □

Remark 5.1.10. — In the DSP case, the toric height can be expressed as an alternating sum of mixed integrals as follows. Let $\bar{L}_i = (L_i, \|\cdot\|_i)$, $i = 0, \dots, n$, be toric line bundles on X_{Σ} equipped with DSP toric metrics and set $\bar{L}_i = \bar{L}_{i,+} \otimes \bar{L}_{i,-}^{\otimes -1}$ for some semipositive metrized toric line bundles $\bar{L}_{i,+}$, $\bar{L}_{i,-}$. Choose a toric section for each line bundle and write $\vartheta_{i,+}$ and $\vartheta_{i,-}$ for the corresponding roof functions. Then

$$h_{\bar{L}_0, \dots, \bar{L}_n}^{\text{tor}}(X_{\Sigma}) = \sum_{\epsilon_0, \dots, \epsilon_n \in \{\pm 1\}} \epsilon_0 \dots \epsilon_n \text{MI}_M(\vartheta_{0, \epsilon_0}, \dots, \vartheta_{n, \epsilon_n}).$$

We have defined and computed the local height of a toric variety. We now will compute the toric height of toric subvarieties. We start with the case of orbits.

Proposition 5.1.11. — *Let Σ be a complete fan on $N_{\mathbb{R}}$ and $\sigma \in \Sigma$ a cone of codimension d . Let $V(\sigma)$ be the closure of the orbit associated to σ and $\iota_{\sigma}: X_{\Sigma(\sigma)} \rightarrow X_{\Sigma}$ the closed immersion of Proposition 3.2.1. Let L be a toric line bundle on X_{Σ} , s a toric section, Ψ the corresponding support function and $\|\cdot\|$ a semipositive toric metric on L^{an} . As usual write $\bar{L} = (L, \|\cdot\|)$. Then*

$$h_{\bar{L}}^{\text{tor}}(V(\sigma)) = h_{\iota_{\sigma}^* \bar{L}}^{\text{tor}}(X_{\Sigma(\sigma)}) = (d+1)! \int_{F_{\sigma}} \vartheta_{\bar{L},s} \, d \text{vol}_{M(F_{\sigma})},$$

where F_{σ} is the face of Δ_{Ψ} corresponding to σ , $M(F_{\sigma})$ is the lattice induced by M on the linear space associated to F_{σ} and $\iota_{\sigma}^* L$ has the toric line bundle structure of Proposition 3.3.16.

Proof. — By Corollary 4.3.18 the restriction of the canonical metric of L^{an} is the canonical metric of $\iota_{\sigma}^* L^{\text{an}}$. Therefore, the equality $h_{\bar{L}}^{\text{tor}}(V(\sigma)) = h_{\iota_{\sigma}^* \bar{L}}^{\text{tor}}(X_{\Sigma(\sigma)})$ follows from Theorem 1.4.17(2).

To prove the second equality, choose $m_{\sigma} \in F_{\sigma} \cap M$. We use the notation of Proposition 4.8.9. By Theorem 5.1.6,

$$h_{\iota_{\sigma}^* \bar{L}}^{\text{tor}}(X_{\Sigma(\sigma)}) = (d+1)! \int_{\Delta_{(\Psi-m_{\sigma})(\sigma)}} \vartheta_{\|\cdot\|_{\sigma}} \, d \text{vol}_{M(\sigma)}.$$

By Proposition 3.4.11, $\Delta_{(\Psi-m_{\sigma})(\sigma)} = (\pi_{\sigma}^{\vee} + m_{\sigma})^{-1} F_{\sigma}$. By Proposition 4.8.9

$$\vartheta_{\|\cdot\|_{\sigma}} = \psi_{\|\cdot\|_{\sigma}}^{\vee} = (\pi_{\sigma}^{\vee} + m_{\sigma})^* \psi_{\|\cdot\|}^{\vee} = (\pi_{\sigma}^{\vee} + m_{\sigma})^* \vartheta_{\|\cdot\|}.$$

Since $M(F_{\sigma}) = M(\sigma)$, we obtain

$$\int_{\Delta_{(\Psi-m_{\sigma})(\sigma)}} \vartheta_{\|\cdot\|_{\sigma}} \, d \text{vol}_{M(\sigma)} = \int_{F_{\sigma}} \vartheta_{\|\cdot\|} \, d \text{vol}_{M(F_{\sigma})},$$

proving the result. □

We now study the behaviour of the toric local height with respect to toric morphisms.

Notation 5.1.12. — Let N_1 be a lattice of rank d and M_1 the dual lattice. Let $H: N_1 \rightarrow N$ be a linear map and Σ_1 a complete fan on $N_{1,\mathbb{R}}$ such that, for each cone $\sigma \in \Sigma_1$, $H(\sigma)$ is contained in a cone of Σ . Let $\varphi: X_{\Sigma_1} \rightarrow X_{\Sigma}$ be the associated morphism of proper toric varieties over K . Denote $Q = H(N_1)^{\text{sat}}$ the saturated sublattice of N and let Y_Q be the image of X_{Σ_1} under φ . Then Y_Q is equal to the toric subvariety $Y_{\Sigma,Q} = Y_{\Sigma,Q,x_0}$ of Definition 3.2.6, where we recall that x_0 denote the distinguished point of the principal orbit of X_{Σ} .

Proposition 5.1.13. — *With Notation 5.1.12, let \bar{L} be a toric line bundle on X_{Σ} equipped with a semipositive toric metric. We put on $\varphi^* L$ the structure of toric line bundle of Remark 3.3.18. Choose a toric section s of L and let Ψ be the associated support function.*

1. If H is not injective, then $h_{\varphi^*\bar{L}}^{\text{tor}}(X_{\Sigma_1}) = 0$.
2. If H is injective, then $h_{\varphi^*\bar{L}}^{\text{tor}}(X_{\Sigma_1}) = [Q : H(N_1)] h_{\bar{L}}^{\text{tor}}(Y_Q)$. Moreover

$$h_{\varphi^*\bar{L}}^{\text{tor}}(X_{\Sigma_1}) = (d + 1)! \int_{H^\vee(\Delta_\Psi)} (H^\vee)_*(\vartheta_{\|\cdot\|}) \, d \text{vol}_{M_1}.$$

Proof. — By Corollary 4.3.20, the inverse image of the canonical metric by a toric morphism is the canonical metric. Thus (1) and the first statement of (2) follow from Theorem 1.4.17 (2) and the equation (3.2.5).

By the propositions 2.3.8 and 4.3.19 and Theorem 5.1.6 we deduce

$$\begin{aligned} h_{\varphi^*\bar{L}}^{\text{tor}}(X_{\Sigma_1}) &= (d + 1)! \lambda_K \int_{\Delta_{\Psi \circ H}} (H^* \phi_{\|\cdot\|})^\vee \, d \text{vol}_{M_1} \\ &= (d + 1)! \int_{H^\vee(\Delta_\Psi)} (H^\vee)_*(\vartheta_{\|\cdot\|}) \, d \text{vol}_{M_1}, \end{aligned}$$

proving the result. □

We now study the case of an equivariant morphism. Let N, N_1, d, H, Σ and Σ_1 as in Notation 5.1.12. For simplicity, we assume that $H: N_1 \rightarrow N$ is injective and that $Q = H(N_1)$ is a saturated sublattice, because the effect of a non-injective map or a non-saturated sublattice can be deduced from Proposition 5.1.13. Let $p \in X_{\Sigma,0}(K)$ be a point of the principal open subset and $u = \text{val}(p) \in N_{\mathbb{R}}$. Denote $\varphi = \varphi_{p,H}$ the equivariant morphism determined by H and p as in (3.2.3), also denote $Y = Y_{\Sigma,Q,p}$ the image of X_{Σ_1} by φ as in (3.2.6). Finally write $A = H + u$ for the associated affine map.

Let \bar{L} be a toric line bundle equipped with a semipositive toric metric. As explained in Remark 3.3.18, there is no natural structure of toric line bundle on the inverse image $\varphi^*\bar{L}$. To obtain one, we choose a toric section s of L and we denote by \bar{L}_1 the line bundle $\varphi^*\bar{L}$ with the metric induced by $\|\cdot\|$ and the toric structure induced by the chosen section s . We denote by Ψ the support function associated to (L, s) .

Proposition 5.1.14. — *With the previous hypothesis and notations, the equality*

$$\begin{aligned} h_{\bar{L}_1}^{\text{tor}}(X_{\Sigma_1}) &= (d + 1)! \int_{H^\vee(\Delta_\Psi)} (A^* \psi_{\bar{L},s}^\vee)^\vee \, d \text{vol}_{M_1} \\ &= (d + 1)! \int_{H^\vee(\Delta_\Psi)} (H^\vee)_*(\psi_{\bar{L},s}^\vee - u) \, d \text{vol}_{M_1} \end{aligned} \quad (5.1.8)$$

holds. Moreover

$$\begin{aligned} h_{\bar{L}_1}^{\text{tor}}(X_{\Sigma_1}) - h_{\bar{L}}^{\text{tor}}(Y) &= (d + 1)! \int_{H^\vee(\Delta_\Psi)} (A^* \Psi)^\vee \, d \text{vol}_{M_1} \\ &= (d + 1)! \int_{H^\vee(\Delta_\Psi)} (H^\vee)_*(\iota_{\Delta_\Psi} - u) \, d \text{vol}_{M_1}, \end{aligned} \quad (5.1.9)$$

where ι_{Δ_Ψ} is the indicator function of Δ_Ψ (see Example 2.2.1).

Proof. — By Proposition 4.3.19, $\psi_{\bar{L}_1, \varphi^*s} = A^*\psi_{\bar{L}, s}$. By Proposition 2.3.8(3) we obtain that $\text{stab}(A^*\psi_{\bar{L}, s}) = H^\vee(\Delta_\Psi)$ and that

$$(A^*\psi_{\bar{L}, s})^\vee = H_*^\vee(\psi_{\bar{L}, s} - u).$$

Then (5.1.8) follows from Theorem 5.1.6.

To prove (5.1.9), possibly replacing Σ_1 by a refinement, we assume that X_{Σ_1} is projective. Since H is injective and Q is saturated, by (3.2.5), the map $X_{\Sigma_1} \rightarrow Y$ has degree one. Then, by Definition 5.1.1,

$$h_{\bar{L}}^{\text{tor}}(Y) = h_{\bar{L}_1}(X_{\Sigma_1}; s_0, \dots, s_{d-1}) - h_{\varphi^*(\bar{L}^{\text{can}})}(X_{\Sigma_1}; s_0, \dots, s_{d-1}), \quad (5.1.10)$$

where s_i , $i = 0, \dots, d - 1$, is a collection of rational sections of φ^*L meeting X_{Σ_1} properly, and $\varphi^*(\bar{L}^{\text{can}})$ has the toric structure induced by s and the metric induced by the canonical metric of L . We recall that this metric may differ from the canonical metric of φ^*L . Anyway, subtracting $h_{\varphi^*(\bar{L}^{\text{can}})}(X_{\Sigma_1}; s_0, \dots, s_{d-1})$ from both terms of the difference in the right hand side of (5.1.10) and rearranging the equation, we get

$$h_{\bar{L}_1}^{\text{tor}}(X_{\Sigma_1}) - h_{\bar{L}}^{\text{tor}}(Y) = h_{\varphi^*(\bar{L}^{\text{can}})}^{\text{tor}}(X_{\Sigma_1}).$$

Now (5.1.9) follows from (5.1.8), Example 2.2.1 and the definition of the canonical metric. \square

Corollary 5.1.15. — *With the previous hypothesis*

$$h_{\varphi^*(\bar{L}^{\text{can}})}^{\text{tor}}(X_{\Sigma_1}) = (d + 1)! \int_{H^\vee(\Delta_\Psi)} (A^*\Psi)^\vee \text{d vol}_{M_1}.$$

Example 5.1.16. — We continue with Example 4.3.21. Let \mathbb{Z}^r be the standard lattice of rank r , Δ^r the standard simplex of dimension r and Σ_{Δ^r} the fan of \mathbb{R}^r associated to Δ^r . The corresponding toric variety is \mathbb{P}^r . Let $H: N \rightarrow \mathbb{Z}^r$ be an injective linear morphism such that $H(N)$ is a saturated sublattice. Denote $m_i = e_i^\vee \circ H \in M$, $i = 1, \dots, r$. Let Σ be the regular fan on N defined by H and Σ_{Δ^r} . Let Ψ_{Δ^r} be the support function of Δ^r and let $\Psi = \Psi_{\Delta^r} \circ H$. Explicitly,

$$\Psi(v) = \min(0, m_1(v), \dots, m_r(v)).$$

Let $p \in \mathbb{P}_0^r(K)$ and $u = \text{val}(p) \in \mathbb{R}^r$. Write $u = (u_1, \dots, u_r)$. If $p = (1 : \alpha_1 : \dots : \alpha_r)$, then $u_i = -\log |\alpha_i|$. There is an equivariant morphism $\varphi := \varphi_{p, H}: X_\Sigma \rightarrow \mathbb{P}^r$. Consider the toric line bundle with toric section determined by Ψ_{Δ^r} with the canonical metric and denote by (\bar{L}, s) the induced toric line bundle with toric section on X_Σ equipped with the induced metric. Then

$$\psi_{\bar{L}, s}(v) = \min(0, m_1(v) + u_1, \dots, m_r(v) + u_r).$$

Thus $\Delta = \text{stab}(\psi_{\bar{L},s}) = \text{conv}(0, m_1, \dots, m_r) = H^\vee(\Delta^r)$. By Proposition 2.5.5 the Legendre-Fenchel dual $\vartheta_{\bar{L},s} : \Delta \rightarrow \mathbb{R}$ is given by

$$\vartheta_{\bar{L},s}(x) = \sup \left\{ \sum_{j=1}^r -\lambda_j u_j \mid \lambda_j \geq 0, \sum_{j=1}^r \lambda_j \leq 1, \sum_{j=1}^r \lambda_j m_j = x \right\} \text{ for } x \in \Delta.$$

Thus the roof function $\vartheta_{\bar{L},s} = \psi_{\bar{L},s}^\vee$ is the upper envelope of the extended polytope

$$\begin{aligned} \text{conv}((0, 0), (m_1, -u_1), \dots, (m_r, -u_r)) \\ = \text{conv}((0, 0), (m_1, \log |\alpha_1|), \dots, (m_r, \log |\alpha_r|)). \end{aligned}$$

5.2. Global heights of toric varieties

In this section we prove the integral formula for the global height of a toric variety. Let $(\mathbb{K}, \mathfrak{M})$ be an adelic field as in Definition 1.5.1. Let Σ be a complete fan on $N_{\mathbb{R}}$ and $\Psi_i, i = 0, \dots, d$, be virtual support functions on Σ . For each i , let $L_i = L_{\Psi_i}$ and s_{Ψ_i} be the associated toric line bundle and toric section, and $\|\cdot\|_i = (\|\cdot\|_{i,v})_{v \in \mathfrak{M}}$ a DSP adelic toric metric on L_i . Write $\bar{L}_i = (L_i, \|\cdot\|_i)$ and \bar{L}_i^{can} for the same line bundles equipped with the canonical metric at all the places. By Example 4.8.7, it is also a DSP adelic toric metric.

From the local toric height we can define a toric (global) height for adelic toric metrics as follows.

Definition 5.2.1. — Let Y be a d -dimensional cycle of X_Σ . The *toric height* of Y with respect to $\bar{L}_0, \dots, \bar{L}_d$ is

$$h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y) = \sum_{v \in \mathfrak{M}} n_v h_{v, \bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y) \in \mathbb{R},$$

where h_v^{tor} denotes the local toric height of Y_v .

Remark 5.2.2. — Definition 5.2.1 makes sense because the condition of the metrics being adelic imply that only a finite number of terms in the sum are nonzero. Moreover, the value of the toric height depends on the toric structure of the involved line bundle, but its class in $\mathbb{R}/\text{def}(\mathbb{K}^\times)$ does not.

Remark 5.2.3. — In general, the toric height is not a global height in the sense of Definition 1.5.9. When the d -dimensional cycle Y is integrable with respect to $\bar{L}_0^{\text{can}}, \dots, \bar{L}_d^{\text{can}}$ (Definition 1.5.7), then it is also integrable with respect to $\bar{L}_0, \dots, \bar{L}_d$ and

$$h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y) = h_{\bar{L}_0, \dots, \bar{L}_d}(Y) - h_{\bar{L}_0^{\text{can}}, \dots, \bar{L}_d^{\text{can}}}(Y).$$

Observe also that, by Proposition 1.5.14 and Theorem 4.9.3, when \mathbb{K} is a global field, all cycles are integrable with respect to line bundles with DSP adelic toric metrics.

The next result shows that the closure of an orbit or a toric subvariety is always integrable, even if the adelic field is not a global field, and that its global height agrees with its toric height.

Proposition 5.2.4. — *With notations as above, let Y be either the closure of an orbit or a toric subvariety. Then Y is integrable with respect to $\bar{L}_0, \dots, \bar{L}_d$. Moreover, its global height is given by*

$$h_{\bar{L}_0, \dots, \bar{L}_d}(Y) = \left[h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y) \right] \in \mathbb{R}/\text{def}(\mathbb{K}^\times).$$

Proof. — In view of the propositions 5.1.11 and 5.1.13 and the fact that the restriction of the canonical metric to closures of orbits and to toric subvarieties is the canonical metric (corollaries 4.3.18 and 4.3.20), we are reduced to treat the case $Y = X_\Sigma$. By the toric Chow’s lemma [Oda88, Proposition 2.17], Proposition 1.5.8(2) and Theorem 1.5.11(2), we can reduce to the case when X_Σ is projective.

Thus we assume that X_Σ has dimension d . We next prove that X_Σ is integrable with respect to $\bar{L}_0^{\text{can}}, \dots, \bar{L}_d^{\text{can}}$ and that the corresponding global height is zero. By a polarization argument as in (5.1.1), we can reduce to the case $\Psi_0 = \dots = \Psi_d = \Psi$. The proof is done by induction on d . For short, write $L = \mathcal{O}(D_\Psi)$ and $s = s_\Psi$.

Let $d = 0$. Then X_Σ reduces to the point x_0 . By the equation (1.4.2), for each $v \in \mathfrak{M}$,

$$h_{v, \bar{L}^{\text{can}}}(X_\Sigma; s) = -\log \|s(x_0)\|_{v, \Psi} = \Psi(0) = 0.$$

Furthermore, $h_{\bar{L}^{\text{can}}}(X_\Sigma; s) = \sum_v n_v h_{v, \bar{L}^{\text{can}}}(X_\Sigma; s) = 0$.

Now let $d \geq 1$. Choose sections (non-necessarily toric) s_0, \dots, s_{d-1} such that s_0, \dots, s_{d-1}, s meet X_Σ properly. By the construction of local heights, for each $v \in \mathfrak{M}$,

$$\begin{aligned} h_{v, \bar{L}^{\text{can}}}(X_\Sigma; s_0, \dots, s_{d-1}, s) &= h_{v, \bar{L}^{\text{can}}}(\text{div}(s); s_0, \dots, s_{d-1}) \\ &\quad - \int_{X_{\Sigma, v}^{\text{an}}} \log \|s\|_{v, \Psi} c_1(\bar{L}^{v, \text{can}})^{\wedge d} \wedge \delta_{X_\Sigma}. \end{aligned} \tag{5.2.1}$$

As shown in (5.1.7), the last term in the equality above vanishes. Hence

$$h_{v, \bar{L}^{\text{can}}}(X_\Sigma; s_0, \dots, s_{d-1}, s) = h_{v, \bar{L}^{\text{can}}}(\text{div}(s); s_0, \dots, s_{d-1}).$$

The divisor $\text{div}(s)$ is a linear combination of subvarieties of the form $V(\tau)$, $\tau \in \Sigma^1$, and the restriction of the canonical metric to these varieties coincides with their canonical metrics. With the inductive hypothesis, this shows that X_Σ is integrable with respect to \bar{L}^{can} . Adding up the resulting equalities over all places,

$$h_{\bar{L}^{\text{can}}}(X_\Sigma; s_0, \dots, s_{d-1}, s) = h_{\bar{L}^{\text{can}}}(\text{div}(s); s_0, \dots, s_{d-1}).$$

Using again the inductive hypothesis, $h_{\bar{L}^{\text{can}}}(X_\Sigma; s_0, \dots, s_{d-1}, s) \in \text{def}(\mathbb{K}^\times)$.

We now prove the statements of the theorem. Again by a polarization argument, we can also reduce to the case when $\bar{L}_0 = \dots = \bar{L}_d = \bar{L}$. By the definition of semipositive

adelic toric metrics, X_Σ is also integrable with respect to \bar{L} . Furthermore,

$$h_{\bar{L}}^{\text{tor}}(X_\Sigma) = h_{\bar{L}}(X_\Sigma; s_0, \dots, s_d) - h_{\bar{L}}^{\text{can}}(X_\Sigma; s_0, \dots, s_d)$$

for any choice of sections s_i intersecting X_Σ properly. Hence, the classes of $h_{\bar{L}}^{\text{tor}}(X_\Sigma)$ and of $h_{\bar{L}}(X_\Sigma; s_0, \dots, s_d)$ agree up to $\text{def}(\mathbb{K}^\times)$. But the latter is the global height of X_Σ with respect to \bar{L} , hence the second statement. \square

Summing up the preceding results we obtain a formula for the height of a toric variety.

Theorem 5.2.5. — *Let Σ be a complete fan on $N_{\mathbb{R}}$. Let $\bar{L}_i, i = 0, \dots, n$, be toric line bundles on X_Σ equipped with semipositive adelic toric metrics. For each i , let s_i be a toric section of L_i . Then the height of X_Σ with respect to $\bar{L}_0, \dots, \bar{L}_n$ is*

$$h_{\bar{L}_0, \dots, \bar{L}_n}(X_\Sigma) = \left[\sum_{v \in \mathfrak{M}} n_v \text{MI}_M(\vartheta_{v, \bar{L}_0, s_0}, \dots, \vartheta_{v, \bar{L}_n, s_n}) \right] \in \mathbb{R}/\text{def}(\mathbb{K}^\times),$$

where $\vartheta_{v, \bar{L}, s}$ denotes the local roof function. In particular, if $\bar{L}_0 = \dots = \bar{L}_n = \bar{L}$, let s be a toric section and put $\Delta = \text{stab}(\Psi_{L, s})$. Then

$$h_{\bar{L}}(X_\Sigma) = \left[(n+1)! \sum_{v \in \mathfrak{M}} n_v \int_{\Delta} \vartheta_{v, \bar{L}, s} \, d \text{vol}_M \right].$$

Proof. — This follows readily from Corollary 5.1.9 and Proposition 5.2.4. \square

Corollary 5.2.6. — *Let $H: N \rightarrow \mathbb{Z}^r$ be an injective map such that $H(N)$ is a saturated sublattice of \mathbb{Z}^r , $p \in \mathbb{P}_0^r(\mathbb{K})$ a point in the principal open subset and $Y \subset \mathbb{P}^r$ the closure of the image of the map $\varphi_{p, H}: \mathbb{T} \rightarrow \mathbb{P}^r$. Let $m_0 \in M$ and $m_i = e_i^\vee \circ H + m_0 \in M, i = 1, \dots, r$, and write $p = (p_0 : \dots : p_r)$ with $p_i \in \mathbb{K}^\times$. Let $\Delta = \text{conv}(m_0, \dots, m_r) \subset M_{\mathbb{R}}$ and $\vartheta_v: \Delta \rightarrow \mathbb{R}$ the function parameterizing the upper envelope of the extended polytope*

$$\text{conv}((m_0, \log |p_0|_v), \dots, (m_r, \log |p_r|_v)) \subset M_{\mathbb{R}} \times \mathbb{R}.$$

Let $\overline{\mathcal{O}(1)}^{\text{can}}$ be the universal line bundle on \mathbb{P}^r with the canonical metric as in Example 4.3.9(1). Then Y is integrable with respect to $\overline{\mathcal{O}(1)}^{\text{can}}$ and

$$h_{\overline{\mathcal{O}(1)}^{\text{can}}}(Y) = \left[(n+1)! \sum_{v \in \mathfrak{M}} n_v \int_{\Delta} \vartheta_v \, d \text{vol}_M \right] \in \mathbb{R}/\text{def}(\mathbb{K}^\times).$$

Proof. — By the definition of adelic field, $\text{val}_{\mathbb{K}_v}(p) = 0$ for almost all $v \in \mathfrak{M}$. Therefore, the integrability of Y follows as in the proof of Proposition 5.2.4.

Let Σ be the complete regular fan of $N_{\mathbb{R}}$ induced by H and Σ_{Δ^r} , and let X_Σ be the associated toric variety. Write $\varphi = \varphi_{p, H}$ for short. The fact that $H(N)$ is saturated implies that φ has degree 1 and so $Y = \varphi_* X_\Sigma$. By the functoriality of the global height (Theorem 1.5.11(2)),

$$h_{\overline{\mathcal{O}(1)}^{\text{can}}}(Y) = h_{\varphi^*(\overline{\mathcal{O}(1)}^{\text{can}})}(X_\Sigma).$$

Let $v \in \mathfrak{M}$. Using the results in Example 5.1.16, it follows from Theorem 5.2.5 that

$$h_{\varphi^*(\overline{\mathcal{O}}(1)_{\text{can}})}(X_{\Sigma}) = \left[(n+1)! \sum_v \int_{\overline{\Delta}} n_v \overline{\vartheta}_v \, d \text{vol}_M \right].$$

where $\overline{\Delta} = \text{conv}(0, m_1 - m_0, \dots, m_r - m_0) \subset M_{\mathbb{R}}$ and $\overline{\vartheta}_v$ is the function parameterizing the upper envelope of the extended polytope

$$\text{conv}((0, 0), (m_1 - m_0, \log |p_1/p_0|_v), \dots, (m_r - m_0, \log |p_r/p_0|_v)) \subset M_{\mathbb{R}} \times \mathbb{R}.$$

We have that $\overline{\Delta} = \Delta - m_0$ and $\overline{\vartheta}_v = \tau_{-m_0} \vartheta_v - \log |p_0|_v$. Hence,

$$\int_{\overline{\Delta}} \overline{\vartheta}_v \, d \text{vol}_M = \int_{\Delta} \vartheta_v \, d \text{vol}_M - \log |p_0|_v \text{vol}_M(\Delta).$$

Using that $\sum_v n_v \log |p_0|_v \in \text{def}(\mathbb{K}^{\times})$ and that, by Proposition 3.4.3, $n! \text{vol}_M(\Delta) = \text{deg}_{\mathcal{O}(1)}(Y) \in \mathbb{Z}$, we deduce the result. \square

Remark 5.2.7. — The above corollary can be easily extended to the mixed case by using an argument similar to that in the proof of Corollary 5.1.9. Applying the obtained result to the case when \mathbb{K} is a number field (respectively, the field of rational functions of a complete curve) we recover [PS08a, Théorème 0.3] (respectively, [PS08b, Proposition 4.1]).

CHAPTER 6

METRICS FROM POLYTOPES

6.1. Integration on polytopes

In this chapter, we present a closed formula for the integral over a polytope of a function of one variable composed with a linear form, extending in this direction Brion's formula for the case of a simplex [Bri88], see Proposition 6.1.4 and Corollary 6.1.10 below. In the next section, these formulae will allow us to compute the height of toric varieties with respect to some interesting metrics arising from polytopes.

We consider the vector space \mathbb{R}^n with its usual scalar product, that we denote $\langle \cdot, \cdot \rangle$ and its Lebesgue measure, that we denote vol_n . We also consider a polytope $\Delta \subset \mathbb{R}^n$ of dimension n .

Definition 6.1.1. — Let $u \in \mathbb{R}^n$ be a vector. For each $c \in \mathbb{R}$, an *aggregate of Δ in the direction u* is the union of all faces of Δ contained in the affine subspace

$$\{x \in \mathbb{R}^n \mid \langle x, u \rangle = c\}.$$

We denote by $\dim(V)$ the maximal dimension of a face of Δ contained in V . In particular, $\dim(\emptyset) = -1$.

We write $\Delta(u)$ for the set of non-empty aggregates of Δ in the direction u . In particular, $\Delta(0) = \{\Delta\}$. Note that, if $V \in \Delta(u)$ and x is a point in the affine space spanned by V , then the value $\langle x, u \rangle$ is independent of x . We denote this common value by $\langle V, u \rangle$.

For any two aggregates $V_1, V_2 \in \Delta(u)$, we have $V_1 = V_2$ if and only if $\langle V_1, u \rangle = \langle V_2, u \rangle$.

Example 6.1.2

1. Every facet of a polytope is an aggregate in the direction orthogonal to the facet.

2. If u is general enough, the set $\Delta(u)$ agrees with the set of vertices of Δ .
3. Let $\Delta = \{(x, y) \in \mathbb{R}^2 \mid 0 \leq x, y \leq 1\}$ be the unit square and $u = (1, 1)$. Then the set of aggregates $\Delta(u)$ contains three elements: $\{(0, 0)\}$, $\{(1, 0), (0, 1)\}$ and $\{(1, 1)\}$.

In each facet F of Δ we choose a point m_F . Let L_F be the linear hyperplane defined by F and π_F the orthogonal projection of \mathbb{R}^n onto L_F . Then, $F - m_F$ is a polytope in L_F of full dimension $n - 1$. To ease the notation, we identify $F - m_F$ with F . Observe that, with this identification, for $V \in \Delta(u)$, the intersection $V \cap F$ is an aggregate of F in the direction $\pi_F(u)$. We also denote by u_F the inner normal vector to F of norm 1.

Definition 6.1.3. — Let $u \in \mathbb{R}^n$ be a vector. For each aggregate V in the direction of u , we define the coefficients $C_k(\Delta, u, V)$, $k \in \mathbb{N}$, recursively. If $u = 0$, then V is either \emptyset or Δ . For both cases, we set

$$C_k(\Delta, 0, V) = \begin{cases} \text{vol}_n(V) & \text{if } k = n, \\ 0 & \text{otherwise.} \end{cases}$$

If $u \neq 0$, we set

$$C_k(\Delta, u, V) = - \sum_F \frac{\langle u_F, u \rangle}{\|u\|^2} C_k(F, \pi_F(u), V \cap F),$$

where the sum is over the facets F of Δ . This recursive formula implies that $C_k(\Delta, u, V) = 0$ for all $k > \dim(V)$.

Finally, we define the polynomial associated to an aggregate by

$$C(\Delta, u, V)(z) = \sum_{k=0}^{\dim(V)} \frac{k!}{\dim(V)!} C_k(\Delta, u, V) z^{\dim(V)-k} \in \mathbb{R}[z].$$

In particular, we have always $C(\Delta, u, \emptyset) = 0$.

As usual, we write $\mathcal{C}^n(\mathbb{R})$ for the space of functions of one real variable which are n -times continuously differentiable. For $f \in \mathcal{C}^n(\mathbb{R})$ and $0 \leq k \leq n$, we write $f^{(k)}$ for the k -th derivative of f .

We want to give a formula that, for $f \in \mathcal{C}^n(\mathbb{R})$, computes $\int_{\Delta} f^{(n)}(\langle x, u \rangle) d \text{vol}_n(x)$ in terms of the values of the function $x \mapsto f(\langle x, u \rangle)$ at the vertices of Δ . However, when u is orthogonal to some faces of Δ of positive dimension, such a formula necessarily depends on the values of the derivatives of f .

Proposition 6.1.4. — *Let $\Delta \subset \mathbb{R}^n$ be a polytope of dimension n and $u \in \mathbb{R}^n$. Then, for any $f \in \mathcal{C}^n(\mathbb{R})$,*

$$\begin{aligned} \int_{\Delta} f^{(n)}(\langle x, u \rangle) \, d \operatorname{vol}_n(x) &= \sum_{V \in \Delta(u)} \sum_{k \geq 0} C_k(\Delta, u, V) f^{(k)}(\langle V, u \rangle) \\ &= \sum_{V \in \Delta(u)} \frac{d^{\dim(V)}}{dz^{\dim(V)}} (C(\Delta, u, V)(z) \cdot f(z + \langle V, u \rangle)) \Big|_{z=0}. \end{aligned} \tag{6.1.1}$$

The coefficients $C_k(\Delta, u, V)$ are uniquely determined by this identity.

Proof. — In view of Definition 6.1.3, both formulae in the above statement are equivalent and so it is enough to prove the first one. In case $u = 0$, we have $\Delta(u) = \{\Delta\}$ and formula (6.1.1) holds because

$$\int_{\Delta} f^{(n)}(\langle x, 0 \rangle) \, d \operatorname{vol}_n(x) = \operatorname{vol}(\Delta) f^{(n)}(0) = \sum_{k \geq 0} C_k(\Delta, 0, \Delta) f^{(k)}(0),$$

We prove (6.1.1) by induction on the dimension n . In case $n = 0$, we have $u = 0$ and so the verification reduces to the above one. Hence, we assume $n \geq 1$ and $u \neq 0$. For short, we write $dx = dx_1 \wedge \cdots \wedge dx_n$. Choose any vector $v \in \mathbb{R}^n$ of norm 1 such that $\langle v, u \rangle \neq 0$. Performing an orientation-preserving orthonormal change of variables, we may assume $v = (1, 0, \dots, 0)$. We have

$$f^{(n)}(\langle x, u \rangle) \, dx = \frac{1}{\langle v, u \rangle} \, d(f^{(n-1)}(\langle x, u \rangle) \, dx_2 \wedge \cdots \wedge dx_n).$$

With Stokes' theorem, we obtain

$$\begin{aligned} \int_{\Delta} f^{(n)}(\langle x, u \rangle) \, d \operatorname{vol}_n(x) &= \int_{\Delta} f^{(n)}(\langle x, u \rangle) \, dx \\ &= \frac{1}{\langle v, u \rangle} \sum_F \int_F f^{(n-1)}(\langle x, u \rangle) \, dx_2 \wedge \cdots \wedge dx_n. \end{aligned} \tag{6.1.2}$$

where the sum is over the facets F of Δ , and we equip each facet with the induced orientation.

For each facet F of Δ , we let $\iota_{u_F}(dx)$ be the differential form of order $n - 1$ obtained by contracting dx with the vector u_F . The form $dx_2 \wedge \cdots \wedge dx_n$ is invariant under translations and its restriction to the linear hyperplane L_F coincides with $\langle v, u_F \rangle \iota_{u_F}(dx)$. Therefore,

$$\int_F f^{(n-1)}(\langle x, u \rangle) \, dx_2 \wedge \cdots \wedge dx_n = \langle v, u_F \rangle \int_{F - m_F} f^{(n-1)}(\langle x + m_F, u \rangle) \iota_{u_F}(dx).$$

Let vol_{n-1} denote the Lebesgue measure on L_F . We can verify that vol_{n-1} coincides with the measure associated to the differential form $-\iota_{u_F}(dx) \Big|_{L_F}$ and the orientation of L_F induced by u_F . Let $g: \mathbb{R} \rightarrow \mathbb{R}$ be the function defined as $g(z) = f(z + \langle m_F, u \rangle)$.

Then $f^{(n-1)}(\langle x + m_F, u \rangle) = g^{(n-1)}(\langle x, \pi_F(u) \rangle)$ for all $x \in L_F$. Hence,

$$\int_{F-m_F} f^{(n-1)}(\langle x + m_F, u \rangle) \iota_{u_F}(dx) = - \int_{F-m_F} g^{(n-1)}(\langle x, \pi_F(u) \rangle) d \text{vol}_{n-1}(x).$$

Applying the inductive hypothesis to F and the function g we obtain

$$\begin{aligned} \int_F g^{(n-1)}(\langle x, \pi_F(u) \rangle) d \text{vol}_{n-1}(x) &= \sum_{V' \in F(\pi_F(u))} \sum_{k \geq 0} C_k(F, \pi_F(u), V') g^{(k)}(\langle V', \pi_F(u) \rangle) \\ &= \sum_{V' \in F(\pi_F(u))} \sum_{k \geq 0} C_k(F, \pi_F(u), V') f^{(k)}(\langle V', u \rangle). \end{aligned}$$

Each aggregate $V' \in F(\pi_F(u))$ is contained in a unique $V \in \Delta(u)$ and it coincides with $V \cap F$. Therefore, we can transform the right-hand side of the last equality in

$$\sum_{V \in \Delta(u)} \sum_{k \geq 0} C_k(F, \pi_F(u), V \cap F) f^{(k)}(\langle V, u \rangle),$$

where, for simplicity, we have set $C_k(F, \pi_F(u), V \cap F) = 0$ whenever $V \cap F = \emptyset$. Plugging the resulting expression into (6.1.2) and exchanging the summations on V and F , we obtain that $\int_{\Delta} f^{(n)}(\langle x, u \rangle) d \text{vol}_n(x)$ is equal to

$$\sum_{V \in \Delta(u)} \sum_{k \geq 0} \left(- \sum_F \frac{\langle v, u_F \rangle}{\langle v, u \rangle} C_k(F, \pi_F(u), V \cap F) f^{(k)}(\langle V, u \rangle) \right). \tag{6.1.3}$$

Specializing this identity to $v = u$, we readily derive formula (6.1.1) from Definition 6.1.3 of the coefficients $C_k(\Delta, u, V)$.

For the last statement, observe that the values $f^{(k)}(\langle V, u \rangle)$ can be arbitrarily chosen. Hence, the coefficients $C_k(\Delta, u, V)$ are uniquely determined from the linear system obtained from the identity (6.1.1) for enough functions f . \square

Corollary 6.1.5. — *Let $\Delta \subset \mathbb{R}^n$ be a polytope of dimension n and $u \in \mathbb{R}^n$. Then,*

$$\sum_{V \in \Delta(u)} \sum_{k=0}^{\min\{i, \dim(V)\}} C_k(\Delta, u, V) \frac{\langle V, u \rangle^{i-k}}{(i-k)!} = \begin{cases} 0 & \text{for } i = 0, \dots, n-1, \\ \text{vol}_n(\Delta) & \text{for } i = n. \end{cases}$$

Proof. — This follows from formula (6.1.1) applied to the function $f(z) = z^i/i!$. \square

Proposition 6.1.6. — *Let $\Delta \subset \mathbb{R}^n$ be a polytope of dimension n and $u \in \mathbb{R}^n$. Let $V \in \Delta(u)$ and $k \geq 0$.*

1. *The coefficient $C_k(\Delta, u, V)$ is homogeneous of weight $k - n$ in the sense that, for $\lambda \in \mathbb{R}^\times$,*

$$C_k(\Delta, \lambda u, V) = \lambda^{k-n} C_k(\Delta, u, V).$$

2. The coefficients $C_k(\Delta, u, V)$ satisfy the vector relation

$$C_k(\Delta, u, V) \cdot u = - \sum_F C_k(F, \pi_F(u), V \cap F) \cdot u_F, \tag{6.1.4}$$

where the sum is over the facets F of Δ .

3. Let $\Delta_1, \Delta_2 \subset \mathbb{R}^n$ be two polytopes of dimension n intersecting along a common facet and such that $\Delta = \Delta_1 \cup \Delta_2$. Then $V \cap \Delta_i = \emptyset$ or $V \cap \Delta_i \in \Delta_i(u)$ and

$$C_k(\Delta, u, V) = C_k(\Delta_1, u, V \cap \Delta_1) + C_k(\Delta_2, u, V \cap \Delta_2).$$

Proof. — Statement (1) follows easily from the definition of $C_k(\Delta, u, V)$. For statement (2), we use that, from (6.1.3), the integral formula in Proposition 6.1.4 also holds for the choice of coefficients

$$- \sum_F \frac{\langle v, u_F \rangle}{\langle v, u \rangle} C_k(F, \pi_F(u), V \cap F)$$

for any vector v of norm 1 such that $\langle v, u \rangle \neq 0$. But the coefficients satisfying that formula are unique. Hence, this choice necessarily coincides with $C_k(\Delta, u, V)$ for all such v . Hence,

$$\langle v, u \rangle C_k(\Delta, u, V) = - \sum_F \langle v, u_F \rangle C_k(F, \pi_F(u), V \cap F)$$

and formula (6.1.4) follows. Statement (3) follows from formula (6.1.1) applied to Δ, Δ_1 and Δ_2 together with the additivity of the integral and the fact that the coefficients $C_k(\Delta, u, V)$ are uniquely determined. \square

In case Δ is a simplex, the linear system given by Corollary 6.1.5 has as many unknowns as equations. In this case, the coefficients corresponding to an aggregate in a given direction are determined by this linear system. The following result gives a closed formula for those coefficients.

Proposition 6.1.7. — *Let $\Delta \subset \mathbb{R}^n$ be simplex and $u \in \mathbb{R}^n$. Write $d_W = \dim(W)$ for $W \in \Delta(u)$. Then, for $V \in \Delta(u)$ and $0 \leq k \leq \dim(V)$,*

$$C_k(\Delta, u, V) = (-1)^{d_V - k} \frac{n!}{k!} \text{vol}_n(\Delta) \sum_{\substack{\eta \in \mathbb{N}^{\Delta(u) \setminus \{V\}} \\ |\eta| = d_V - k}} \prod_{W \in \Delta(u) \setminus \{V\}} \frac{\binom{d_W + \eta_W}{d_W}}{\langle V - W, u \rangle^{d_W + \eta_W + 1}} .$$

Proof. — Consider the Hermite interpolation polynomial $p_{V,k} \in \mathbb{R}[t]$ of degree n characterized by the conditions that, for $W \in \Delta(u)$ and $l = 0, \dots, d_W$,

$$p_{V,k}^{(l)}(\langle u, W \rangle) = \begin{cases} l! & \text{if } W = V \text{ and } l = k, \\ 0 & \text{otherwise.} \end{cases}$$

By Proposition 6.1.4 and the choice of $p_{V,k}$,

$$\int_{\Delta} p_{V,k}^{(n)}(\langle u, x \rangle) \, d \operatorname{vol}_n(x) = k! C_k(\Delta, u, V).$$

Furthermore, $\int_{\Delta} p_{V,k}^{(n)}(\langle u, x \rangle) \, d \operatorname{vol}_n(x) = n! \operatorname{vol}_n(\Delta) \operatorname{coeff}_{t^n}(p_{V,k})$, where $\operatorname{coeff}_{t^n}(p_{V,k})$ denotes the leading coefficient of $p_{V,k}$.

An explicit formula for $p_{V,k}$ can be found, for instance, in [DKS13, Proposition 2.3]. From that formula, we deduce that

$$\operatorname{coeff}_{t^n}(p_{V,k}) = (-1)^{d_V - k} \sum_{\substack{\eta \in \mathbb{N}^{\Delta(u) \setminus \{V\}} \\ |\eta| = d_V - k}} \prod_{W \in \Delta(u) \setminus \{V\}} \frac{\binom{d_W + \eta_W}{d_W}}{\langle V - W, u \rangle^{d_W + \eta_W + 1}},$$

which concludes the proof. □

Remark 6.1.8. — We can rewrite the formula in Proposition 6.1.7 in terms of vertices instead of aggregates as follows:

$$C_k(\Delta, u, V) = (-1)^{d_V - k} \frac{n!}{k!} \operatorname{vol}_n(\Delta) \sum_{|\beta| = d_V - k} \prod_{\nu \notin V} \langle V - \nu, u \rangle^{-\beta_{\nu} - 1}, \tag{6.1.5}$$

where the product is over the vertices ν of Δ not lying in V and the sum is over the tuples β of non negative integers of length $d_V - k$, indexed by those same vertices of Δ that are not in V , that is, $\beta \in \mathbb{N}^{n - d_V}$ and $|\beta| = d_V - k$.

Example 6.1.9. — Let $\Delta \subset \mathbb{R}^n$ be a simplex and $u \in \mathbb{R}^n$. If a vertex ν_0 of Δ is an aggregate in the direction of u , then formula (6.1.5) reduces to

$$C_0(\Delta, u, \nu_0) = n! \operatorname{vol}_n(\Delta) \prod_{\nu \neq \nu_0} \langle \nu_0 - \nu, u \rangle^{-1}, \tag{6.1.6}$$

where the product runs over all vertices of Δ different from ν_0 . Suppose that the simplex is presented as the intersection of $n + 1$ halfspaces as

$$\Delta = \bigcap_{i=0}^n \{x \in \mathbb{R}^n \mid \langle x, u_i \rangle - \lambda_i \geq 0\}$$

with $u_i \in \mathbb{R}^n \setminus \{0\}$ and $\lambda_i \in \mathbb{R}$. Up to a reordering, we can assume that u_0 is an inner normal vector to the unique face of Δ not containing ν_0 . We denote by ε the sign of $(-1)^n \det(u_1, \dots, u_n)$. Then the above coefficient can be alternatively written as

$$C_0(\Delta, u, \nu_0) = \frac{\varepsilon \det(u_1, \dots, u_n)^{n-1}}{\prod_{i=1}^n \det(u_1, \dots, u_{i-1}, u, u_{i+1}, \dots, u_n)}.$$

From the equation (6.1.6), we obtain the following extension of Brion’s “short formula” for the case of a simplex [Bri88, Théorème 3.2], see also [BBD+11].

Corollary 6.1.10. — *Let $\Delta \subset \mathbb{R}^n$ be a simplex of dimension n that is the convex hull of points $\nu_i, i = 0, \dots, n$, and let $u \in \mathbb{R}^n$ such that $\langle \nu_i, u \rangle \neq \langle \nu_j, u \rangle$ for $i \neq j$. Then, for any $f \in \mathcal{C}^n(\mathbb{R})$,*

$$\int_{\Delta} f^{(n)}(\langle x, u \rangle) \, d \text{vol}_n(x) = n! \text{vol}_n(\Delta) \sum_{i=0}^n \frac{f(\langle \nu_i, u \rangle)}{\prod_{j \neq i} \langle \nu_i - \nu_j, u \rangle}.$$

Proof. — This follows from Proposition 6.1.4 and the equation (6.1.6). □

In the next section, we will have to compute integrals over a polytope of functions of the form $\ell(x) \log(\ell(x))$ where ℓ is an affine function. The following result gives the value of such integral for the case of a simplex.

Proposition 6.1.11. — *Let $\Delta \subset \mathbb{R}^n$ be a simplex of dimension n and let $\ell: \mathbb{R}^n \rightarrow \mathbb{R}$ be an affine function which is non-negative on Δ . Write $\ell(x) = \langle x, u \rangle - \lambda$ for some vector u and constant λ . Then $\frac{1}{\text{vol}_n(\Delta)} \int_{\Delta} \ell(x) \log(\ell(x)) \, d \text{vol}_n(x)$ equals*

$$\sum_{V \in \Delta(u)} \sum_{\beta'} \binom{n}{n - |\beta'|} \frac{\ell(V) \left(\log(\ell(V)) - \sum_{j=2}^{|\beta'|+1} \frac{1}{j} \right)}{(|\beta'| + 1) \prod_{\nu \notin V} \left(- \left(\frac{\ell(\nu)}{\ell(V)} - 1 \right)^{\beta'_\nu} \right)}, \tag{6.1.7}$$

where the second sum runs over $\beta' \in (\mathbb{N}^\times)^{n - \dim(V)}$ with $|\beta'| \leq n$ and the product is over the $n - \dim(V)$ vertices ν of Δ not in V .

If $\ell(x)$ is the defining equation of a hyperplane containing a facet F of Δ , then

$$\frac{1}{\text{vol}_n(\Delta)} \int_{\Delta} \ell(x) \log(\ell(x)) \, dx = \frac{\ell(\nu_F)}{n + 1} \left(\log(\ell(\nu_F)) - \sum_{j=2}^{n+1} \frac{1}{j} \right), \tag{6.1.8}$$

where ν_F denotes the unique vertex of Δ not contained in F .

Proof. — This follows from the formulae (6.1.1) and (6.1.5) with the function $f^{(n)}(z) = (z - \lambda) \log(z - \lambda)$, a $(n - k)$ -th primitive of which is

$$f^{(k)}(z) = \frac{(z - \lambda)^{n-k+1}}{(n - k + 1)!} \left(\log(z - \lambda) - \sum_{j=2}^{n-k+1} \frac{1}{j} \right). \tag{6.1.9} \quad \square$$

We end this section with a lemma specific to integration on the standard simplex.

Lemma 6.1.12. — *Let Δ^r be the standard simplex of \mathbb{R}^r and $\beta = (\beta_0, \dots, \beta_{r-1}) \in \mathbb{N}^r$. Let $f \in \mathcal{C}^{|\beta|+r}([0, 1])$ where $|\beta| = \beta_0 + \dots + \beta_{r-1}$. For $(w_1, \dots, w_r) \in \Delta^r$ write $w_0 = 1 - w_1 - \dots - w_r$. Then*

$$\int_{\Delta^r} \left(\prod_{i=0}^{r-1} \frac{w_i^{\beta_i}}{\beta_i!} \right) f^{(|\beta|+r)}(w_r) \, dw_1 \wedge \dots \wedge dw_r = f(1) - \sum_{j=0}^{|\beta|+r-1} \frac{f^{(j)}(0)}{j!}.$$

Proof. — We proceed by induction on r . Let $r = 1$. Applying $\beta_0 + 1$ successive integrations by parts, the integral computes as

$$\sum_{j=0}^{\beta_0} \left[\frac{(1-w_1)^j}{j!} f^{(j)}(w_1) \right]_0^1 = f(1) - \sum_{j=0}^{\beta_0} \frac{f^{(j)}(0)}{j!},$$

as stated. Let $r \geq 2$. Applying the case $r - 1$ to the function $f(z) = \frac{z^{|\beta|+r-1}}{(|\beta|+r-1)!}$,

$$\frac{1}{\beta_0! \dots \beta_{r-1}!} \int_{\Delta^{r-1}} w_0^{\beta_0} w_1^{\beta_1} \dots w_{r-1}^{\beta_{r-1}} dw_1 \wedge \dots \wedge dw_{r-1} = \frac{1}{(|\beta| + r - 1)!}$$

and, after rescaling,

$$\frac{1}{\beta_0! \dots \beta_{r-1}!} \int_{(1-w_r)\Delta^{r-1}} w_0^{\beta_0} w_1^{\beta_1} \dots w_{r-1}^{\beta_{r-1}} dw_1 \wedge \dots \wedge dw_{r-1} = \frac{(1-w_r)^{|\beta|+r-1}}{(|\beta| + r - 1)!}.$$

Therefore, the left-hand side of the equality to be proved reduces to

$$\frac{1}{(|\beta| + r - 1)!} \int_0^1 (1-w_r)^{|\beta|+r-1} f^{(|\beta|+r)}(w_r) dw_r.$$

Applying the case $r = 1$ and index $|\beta| + r - 1 \in \mathbb{N}$, we find that this integral equals $f(1) - \sum_{j=0}^{|\beta|+r-1} f^{(j)}(0)/j!$, which concludes the proof. \square

Corollary 6.1.13. — *Let $\alpha \in \mathbb{N}^{r+1}$. For $(w_1, \dots, w_r) \in \Delta^r$, write $w_0 = 1 - w_1 - \dots - w_r$. Then*

$$\int_{\Delta^r} w_0^{\alpha_0} w_1^{\alpha_1} \dots w_r^{\alpha_r} dw_1 \wedge \dots \wedge dw_r = \frac{\alpha_0! \dots \alpha_r!}{(|\alpha| + r)!}$$

and, for $i = 0, \dots, r$,

$$\int_{\Delta^r} w_0^{\alpha_0} w_1^{\alpha_1} \dots w_r^{\alpha_r} \log(w_i) dw_1 \wedge \dots \wedge dw_r = -\frac{\alpha_0! \dots \alpha_r!}{(|\alpha| + r)!} \sum_{j=\alpha_i+1}^{|\alpha|+r} \frac{1}{j}.$$

Proof. — The formula for the first integral follows from Lemma 6.1.12 applied with $\beta = (\alpha_0, \dots, \alpha_{r-1})$ and $f(z) = \frac{z^{|\alpha|+r}}{(|\alpha|+r)!}$. The second one follows similarly, applying Lemma 6.1.12 to the function $f(z) = \frac{z^{|\alpha|+r}}{(|\alpha|+r)!} (\log(z) - \sum_{j=\alpha_i+1}^{|\alpha|+r} \frac{1}{j})$, after some possible permutation (for $i = 1, \dots, r - 1$) or linear change of variables (for $i = 0$). \square

6.2. Metrics and heights from polytopes

In this section we will consider some metrics arising from polytopes. We will use the notation of §4 and §5. In particular, we consider a split torus over the field of rational numbers $\mathbb{T} \simeq \mathbb{G}_{m,\mathbb{Q}}^n$ and we denote by $N, M, N_{\mathbb{R}}, M_{\mathbb{R}}$ the lattices and dual spaces corresponding to \mathbb{T} .

Let $\Delta \subset M_{\mathbb{R}}$ be a lattice polytope of dimension n . Let $\ell_i, i = 1, \dots, r$, be affine functions on $M_{\mathbb{R}}$ defined as $\ell_i(x) = \langle x, u_i \rangle - \lambda_i$ for some $u_i \in N_{\mathbb{R}}$ and $\lambda_i \in \mathbb{R}$ such

that $\ell_i \geq 0$ on Δ and let also $c_i > 0$. Write $\ell = (\ell_1, \dots, \ell_r)$ and $c = (c_1, \dots, c_r)$. We consider the function $\vartheta_{\Delta, \ell, c}: \Delta \rightarrow \mathbb{R}$ defined, for $x \in \Delta$, by

$$\vartheta_{\Delta, \ell, c}(x) = - \sum_{i=1}^r c_i \ell_i(x) \log(\ell_i(x)). \tag{6.2.1}$$

When Δ, ℓ, c are clear from the context, we write for short $\vartheta = \vartheta_{\Delta, \ell, c}$.

Lemma 6.2.1. — *Let notation be as above.*

1. *The function $\vartheta_{\Delta, \ell, c}$ is concave.*
2. *If the family $\{u_i\}_i$ generates $N_{\mathbb{R}}$, then $\vartheta_{\Delta, \ell, c}$ is strictly concave.*
3. *If $\Delta = \bigcap_i \{x \in M_{\mathbb{R}} \mid \ell_i(x) \geq 0\}$, then the restriction of $\vartheta_{\Delta, \ell, c}$ to Δ° , the interior of the polytope, is of Legendre type (Definition 2.4.1).*

Proof. — Let $1 \leq i \leq r$ and consider the affine map $\ell_i: \Delta \rightarrow \mathbb{R}_{\geq 0}$. We have that $-z \log(z)$ is a strictly concave function on $\mathbb{R}_{\geq 0}$ and $-\ell_i \log(\ell_i) = \ell_i^*(-z \log(z))$. Hence, each function $-c_i \ell_i(x) \log(\ell_i(x))$ is concave and so is ϑ , as stated in (1).

For statement (2), let x_1, x_2 be two different points of Δ . The assumption that $\{u_i\}_i$ generates $N_{\mathbb{R}}$ implies that $\ell_{i_0}(x_1) \neq \ell_{i_0}(x_2)$ for some i_0 . Hence, the affine map ℓ_{i_0} gives an injection of the segment $\overline{x_1 x_2}$ into $\mathbb{R}_{\geq 0}$. We deduce that $-c_{i_0} \ell_{i_0} \log(\ell_{i_0})$ is strictly concave on $\overline{x_1 x_2}$ and so is ϑ . Varying x_1, x_2 , we deduce that ϑ is strictly concave on Δ .

For statement (3), it is clear that $\vartheta|_{\Delta^\circ}$ is differentiable. Moreover, the assumption that Δ is the intersection of the halfspaces defined by the ℓ_i 's implies that the u_i 's generate $N_{\mathbb{R}}$ and so ϑ is strictly concave. The gradient of ϑ is given, for $x \in \Delta^\circ$, by

$$\nabla \vartheta(x) = - \sum_{i=1}^r c_i u_i (\log(\ell_i(x)) + 1). \tag{6.2.2}$$

Let $\|\cdot\|$ be a fixed norm on $M_{\mathbb{R}}$ and $(x_j)_{j \geq 0}$ a sequence in Δ° converging to a point in the border. Then there exists some i_1 such $\ell_{i_1}(x_j) \xrightarrow{j} 0$. Thus, $\|\nabla \vartheta(x_j)\| \xrightarrow{j} \infty$ and the statement follows. \square

Definition 6.2.2. — Let Σ_Δ and Ψ_Δ be the fan and the support function on $N_{\mathbb{R}}$ induced by Δ . Let $(X_{\Sigma_\Delta}, D_{\Psi_\Delta})$ be the associated polarized toric variety over \mathbb{Q} and write $L = \mathcal{O}(D_{\Psi_\Delta})$. By Lemma 6.2.1(1), $\vartheta_{\Delta, \ell, c}$ is a concave function on Δ . By Theorem 4.8.1, it corresponds to some semipositive toric metric on $L(\mathbb{C})$. We denote this metric by $\|\cdot\|_{\Delta, \ell, c}$. We write \overline{L} for the line bundle L equipped with the metric $\|\cdot\|_{\Delta, \ell, c}$ at the Archimedean place of \mathbb{Q} and with the canonical metric at the non-Archimedean places. This is an example of an adelic toric metric.

Example 6.2.3. — Following the notation in Example 2.4.3, consider the standard simplex Δ^n and the concave function $\vartheta = \frac{1}{2} \varepsilon_n$ on Δ^n . From examples 2.4.3 and 4.3.9(1), we deduce that the corresponding metric is the Fubini-Study metric on $\mathcal{O}(1)^{\text{an}}$ over \mathbb{C} .

In case Δ is the intersection of the halfspaces defined by the ℓ_i 's, Lemma 6.2.1(3) shows that $\vartheta|_{\Delta^\circ}$ of Legendre type (Definition 2.4.1). By Theorem 2.4.2 and equation (6.2.2), the gradient of ϑ gives a homeomorphism between Δ° and $N_{\mathbb{R}}$ and, for $x \in \Delta^\circ$,

$$\vartheta^\vee(\nabla\vartheta(x)) = -\sum_{i=1}^r c_i (\lambda_i \log(\ell_i(x)) + \langle x, u_i \rangle).$$

This gives an explicit expression of the function $\psi_{\|\cdot\|_{\Delta, \ell, c}} = \vartheta^\vee$, and *a fortiori* of the metric $\|\cdot\|_{\Delta, \ell, c}$, in the coordinates of the polytope. Up to our knowledge, there is no simple expression for ψ in linear coordinates of $N_{\mathbb{R}}$, except for special cases like Fubini-Study.

Remark 6.2.4. — This kind of metrics are interesting when studying the Kähler geometry of toric varieties. Given a Delzant polytope $\Delta \subset M_{\mathbb{R}}$ (Remark 4.8.3), Guillemin has constructed a “canonical” Kähler structure on the associated symplectic toric variety [Gui95]. The corresponding symplectic potential is the function $-\vartheta_{\Delta, \ell, c}$, for the case when r is the number of facets of Δ , $c_i = 1/2$ for all i , and u_i is a primitive vector in N and λ_i is an integer such that $\Delta = \{x \in M_{\mathbb{R}} | \langle x, u_i \rangle \geq \lambda_i, i = 1, \dots, r\}$, see [Gui95, Appendix 2, (3.9)].

In this case, the metric $\|\cdot\|_{\Delta, \ell, c}$ on the line bundle $\mathcal{O}(D_\Psi)^{\text{an}}$ is smooth and positive and, as explained in Remark 4.8.3, its Chern form gives this canonical Kähler form.

We obtain the following formula for the height of X_{Σ_Δ} with respect to the line bundle with adelic toric metric \bar{L} , in terms of the coefficients $C_k(\Delta, u_i, V)$.

Proposition 6.2.5. — *Let notation be as in Definition 6.2.2. Then $h_{\bar{L}}(X_{\Sigma_\Delta})$ equals*

$$(n+1)! \sum_{i=1}^r c_i \sum_{V \in \Delta(u_i)} \sum_{k=0}^{\dim(V)} C_k(\Delta, u_i, V) \frac{\ell_i(V)^{n-k+1}}{(n-k+1)!} \left(\sum_{j=2}^{n-k+1} \frac{1}{j} - \log(\ell_i(V)) \right).$$

Suppose furthermore that $\Delta \subset \mathbb{R}^n$ is a simplex, $r = n+1$ and that $\ell_i, i = 1, \dots, n+1$, are affine functions such that $\Delta = \bigcap_i \{x \in M_{\mathbb{R}} | \ell_i(x) \geq 0\}$. Then

$$h_{\bar{L}}(X_{\Sigma_\Delta}) = n! \text{vol}_M(\Delta) \sum_{i=1}^{n+1} c_i \ell_i(\nu_i) \left(\sum_{j=2}^{n+1} \frac{1}{j} - \log(\ell_i(\nu_i)) \right), \tag{6.2.3}$$

where ν_i is the unique vertex of Δ not contained in the facet defined by ℓ_i .

Proof. — The first statement follows readily from Theorem 5.2.5 and Proposition 6.1.4 applied to the functions $f_i(z) = (\log(z - \lambda_i) - \sum_{j=2}^{n+1} \frac{1}{j})(z - \lambda_i)^{n+1} / (n+1)!$. The second statement follows similarly from Proposition 6.1.11. \square

Example 6.2.6. — Let $\mathcal{O}(1)$ be the universal line bundle of \mathbb{P}^n . As we have seen in Example 6.2.3, the Fubini-Study metric of $\mathcal{O}(1)^{\text{an}}$ corresponds to the case of the standard simplex, $\ell_i(x) = x_i, i = 1, \dots, n$, and $\ell_{n+1}(x) = 1 - \sum_{i=1}^n x_i$ and the choice

$c_i = 1/2$ for all i . Hence we recover from (6.2.3) the well known expression for the height of \mathbb{P}^n with respect to the Fubini-Study metric in [BGS94, Lemma 3.3.1]:

$$h_{\mathcal{O}(1)}(\mathbb{P}^n) = \frac{n+1}{2} \sum_{j=2}^{n+1} \frac{1}{j} = \sum_{h=1}^n \sum_{j=1}^h \frac{1}{2j}.$$

Example 6.2.7. — In dimension 1, a polytope is an interval of the form $\Delta = [m_0, m_1]$ for some $m_i \in \mathbb{Z}$. The corresponding roof function in (6.2.1) writes down, for $x \in [m_0, m_1]$, as

$$\vartheta(x) = - \sum_{i=1}^r c_i \ell_i(x) \log(\ell_i(x))$$

for affine function $\ell_i(x) = u_i x - \lambda_i$ which take non negative values on Δ and $c_i > 0$.

The polarized toric variety corresponding to Δ is \mathbb{P}^1 together with the ample divisor $m_1[(0 : 1)] - m_0[(1 : 0)]$. Write $L = \mathcal{O}_{\mathbb{P}^1}(x_1 - x_0)$ for the associate line bundle and \bar{L} for the line bundle with adelic toric metric corresponding to the function ϑ . The Legendre-Fenchel dual to $-c_i \ell_i(x) \log(\ell_i(x))$ is the function $f_i: \mathbb{R} \rightarrow \mathbb{R}$ defined, for $v \in \mathbb{R}$, by

$$f_i(v) = \frac{\lambda_i}{u_i} v - c_i e^{-1 - \frac{v}{c_i u_i}}.$$

Therefore, the function $\psi = \vartheta^\vee$ is the sup-convolution of these function, namely $\psi = f_1 \boxplus \dots \boxplus f_m$. For the height, a simple computation shows that

$$h_{\bar{L}}(\mathbb{P}^1) = 2 \int_{m_0}^{m_1} \vartheta \, dx = \sum_{i=1}^r \frac{c_i}{2u_i} \left[\ell_i(x)^2 (1 - 2 \log(\ell_i(x))) \right]_{m_0}^{m_1}.$$

6.3. Heights and entropy

In some cases, the height of a toric variety with respect to the metrics constructed in the previous section has an interpretation in terms of the average entropy of a family of random processes.

Let Γ be an arbitrary polytope containing Δ . For a point $x \in \text{ri}(\Delta)$, we consider the partition Π_x of Γ which consists of the cones $\eta_{x,F}$ of vertex x and base the relative interior of each proper face F of Γ . We consider Γ as a probability space endowed with the uniform probability distribution. Let β_x be the random variable that maps a point $y \in \Gamma$ to the base F of the unique cone $\eta_{x,F}$ that contains y . Clearly, the probability that a given face F is returned is the ratio of the volume of the cone based on F to the volume of Γ . We have $\text{vol}_n(\eta_{x,F}) = n^{-1} \text{dist}(x, F) \text{vol}_{n-1}(F)$ where, as before, vol_n and vol_{n-1} denote the Lebesgue measure on \mathbb{R}^n and on L_F , respectively. Hence,

$$P(\beta_x = F) = \begin{cases} \frac{\text{dist}(x, F) \text{vol}_{n-1}(F)}{n \text{vol}_n(\Gamma)} & \text{if } \dim(F) = n - 1, \\ 0 & \text{if } \dim(F) \leq n - 2. \end{cases} \tag{6.3.1}$$

The entropy of the random variable β_x is

$$\mathcal{E}(x) = - \sum_F P(\beta_x = F) \log(P(\beta_x = F)),$$

where the sum is over the facets F of Γ .

For each facet F of Γ we let $u'_F \in \mathbb{R}^n$ be the inner normal vector to F of Euclidean norm $(n - 1)! \text{vol}_{n-1}(F)$. Therefore $u'_F = (n - 1)! \text{vol}_{n-1}(F) u_F$, with u_F as in §6.1. Set

$$\lambda(F) = \Psi_\Gamma(u'_F) = (n - 1)! \text{vol}_{n-1}(F) \Psi_\Gamma(u_F).$$

Consider the affine form defined as $\ell_F(x) = \langle x, u'_F \rangle - \lambda(F)$, so that

$$\Gamma = \{x \in M_{\mathbb{R}} | \ell_F(x) \geq 0, \forall F\}.$$

Set $\lambda(\Gamma) = \sum_F \lambda(F)$, where the sum is over the facets F of Γ . Since, by [Sch93, Lemma 5.1.1], the vectors u'_F satisfy the Minkowski condition $\sum_F u'_F = 0$, we deduce that

$$\sum_F \ell_F = - \sum_F \lambda(F) = -\lambda(\Gamma).$$

Let $c > 0$ be a real number. The concave function $\vartheta(x) = - \sum_F c \ell_F(x) \log(\ell_F(x))$ belongs to the class of functions considered in Definition 6.2.2. Thus, we obtain a line bundle with an adelic toric metric \bar{L} on X_Δ . For short, we write $X = X_\Delta$.

The following result shows that the average entropy of the random variable β_x with respect to the uniform distribution on Δ can be expressed in terms of the height of the toric variety X with respect to \bar{L} .

Proposition 6.3.1. — *With the above notation,*

$$\frac{1}{\text{vol}_n(\Delta)} \int_\Delta \mathcal{E} \, d \text{vol}_n = \frac{1}{n! \text{vol}_n(\Gamma)} \left(\frac{h_{\bar{L}}(X)}{c(n + 1) \text{deg}_L(X)} - \lambda(\Gamma) \log(n! \text{vol}_n(\Gamma)) \right).$$

In particular, if $\Gamma = \Delta$,

$$\frac{1}{\text{vol}_n(\Delta)} \int_\Delta \mathcal{E} \, d \text{vol}_n = \frac{h_{\bar{L}}(X)}{c(n + 1) \text{deg}_L(X)^2} - \lambda(\Gamma) \frac{\log(\text{deg}_L(X))}{\text{deg}_L(X)}.$$

Proof. — For $x \in \text{ri}(\Delta)$ and F a facet of Γ , we deduce from the equation (6.3.1) that $P(\beta_x = F) = \ell_F(x)/(n! \text{vol}_n(\Gamma))$. Hence,

$$\begin{aligned} \mathcal{E}(x) &= - \sum_F \frac{\ell_F(x)}{n! \text{vol}_n(\Gamma)} \log \left(\frac{\ell_F(x)}{n! \text{vol}_n(\Gamma)} \right) \\ &= \frac{1}{n! \text{vol}_n(\Gamma)} \left(- \sum_F \ell_F(x) \log(\ell_F(x)) - \lambda(\Gamma) \log(n! \text{vol}_n(\Gamma)) \right) \\ &= \frac{1}{n! \text{vol}_n(\Gamma)} \left(\frac{\vartheta(x)}{c} - \lambda(\Gamma) \log(n! \text{vol}_n(\Gamma)) \right). \end{aligned}$$

The result then follows from Theorem 5.2.5 and (3.4.2). □

Example 6.3.2. — The Fubini-Study metric of $\mathcal{O}(1)^{\text{an}}$ corresponds to the case when Γ and Δ are the standard simplex Δ^n and $c = 1/2$. In that case, the average entropy of the random variable β_x is

$$\frac{1}{n!} \int_{\Delta^n} \mathcal{E} \, d \text{vol}_n = \frac{2 h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n)}{(n+1)} = \sum_{j=2}^{n+1} \frac{1}{j}.$$

CHAPTER 7

VARIATIONS ON FUBINI-STUDY METRICS

7.1. Height of toric projective curves

In this chapter, we study the Arakelov invariants of curves which are the image of an equivariant map into a projective space. In the Archimedean case we equip the projective space with the Fubini-Study metric, while in the non-Archimedean case we equip it with the canonical metric. For each of these curves, the metric, measure and toric local height can be computed in terms of the roots of a univariate polynomial associated to the relevant equivariant map.

Let K be either \mathbb{R}, \mathbb{C} or a complete field with respect to an absolute value associated to a nontrivial discrete valuation. On \mathbb{P}^r , we consider the universal line bundle $\mathcal{O}(1)$ equipped with the Fubini-Study metric in the Archimedean case, and with the canonical metric in the non-Archimedean case. We write $\overline{\mathcal{O}(1)}$ for the resulting metrized line bundle. We also consider the toric section s_∞ of $\mathcal{O}(1)$ whose Weil divisor is the hyperplane at infinity. The next result gives the function $\psi_{\|\cdot\|}$ associated to the induced metric on a subvariety of \mathbb{P}^r which is the image of an equivariant map.

Proposition 7.1.1. — *Let $H: N \rightarrow \mathbb{Z}^r$ be an injective map such that $H(N)$ is a saturated sublattice of \mathbb{Z}^r , and $p \in \mathbb{P}_0^r(K)$. Consider the map $\varphi_{H,p}: \mathbb{T} \rightarrow \mathbb{P}^r$, set $\overline{L} = \varphi_{H,p}^* \overline{\mathcal{O}(1)}$ and $s = \varphi_{H,p}^* s_\infty$, and let $\psi_{\overline{L},s}: N_{\mathbb{R}} \rightarrow \mathbb{R}$ be the associated concave function. Let e_i^\vee be the i th vector in the dual standard basis of \mathbb{Z}^r and set $m_i = e_i^\vee \circ H \in M$, $i = 1, \dots, r$, and $p = (1 : p_1 : \dots : p_r)$ with $p_i \in K^\times$. Then, for $u \in N_{\mathbb{R}}$,*

$$\psi_{\overline{L},s}(u) = \begin{cases} -\frac{1}{2} \log(1 + \sum_{i=1}^r |p_i|^2 e^{-2\langle m_i, u \rangle}) & \text{in the Archimedean case,} \\ \min_{1 \leq i \leq r} \{0, \langle m_i, u \rangle + \text{val}(p_i)\} & \text{in the non-Archimedean case.} \end{cases}$$

Proof. — In the Archimedean case, the expression for the concave function ψ follows from that for \mathbb{P}_K^r (Example 4.3.9(2)) and Proposition 4.3.19. The non-Archimedean case follows from Example 4.3.21. \square

Let $Y \subset \mathbb{P}^r$ be the closure of the image of the map $\varphi_{H,p}$. In the Archimedean case, the roof function seems difficult to calculate. Hence it is difficult to use it directly to compute the toric local height (see Example 2.4.5). A more promising approach is to apply the formula of Corollary 5.1.8. Writing $\psi = \psi_{\overline{L},s}$ this formula reads

$$h_{\overline{L}}^{\text{tor}}(Y) = (n + 1)! \int_{N_{\mathbb{R}}} \psi^{\vee} \circ \partial\psi \, d\mathcal{M}_M(\psi). \tag{7.1.1}$$

To make this formula more explicit in the Archimedean case, we choose a basis of N , hence coordinate systems in $N_{\mathbb{R}}$ and $M_{\mathbb{R}}$ and we write

$$g = (g_1, \dots, g_n) := \nabla\psi: N_{\mathbb{R}} \longrightarrow \Delta,$$

where $\Delta = \text{stab}(\psi)$ is the associated polytope. Then, from Proposition 2.7.3 and Example 2.7.11(1), we derive

$$\begin{aligned} h_{\overline{L}}^{\text{tor}}(Y) &= (n + 1)! \int_{N_{\mathbb{R}}} (\langle \nabla\psi(u), u \rangle - \psi(u)) (-1)^n \det(\text{Hess}(\psi)) \, d\text{vol}_N \\ &= (n + 1)! \int_{N_{\mathbb{R}}} (\langle g(u), u \rangle - \psi(u)) (-1)^n \, dg_1 \wedge \dots \wedge dg_n. \end{aligned}$$

When K is not Archimedean, we have $\mathcal{M}_M(\psi) = \sum_{v \in \Pi(\psi)^0} \text{vol}_M(v^*)\delta_v$ and, for $v \in \Pi(\psi)^0$,

$$\psi^{\vee} \circ \partial\psi(v) = \frac{1}{\text{vol}_M(v^*)} \int_{v^*} \langle x, v \rangle \, d\text{vol}_M - \psi(v),$$

see Proposition 2.7.4 and Example 2.7.11(2). Thus, if now we denote by $g: N_{\mathbb{R}} \rightarrow M_{\mathbb{R}}$ the function that sends a point u to the barycentre of $\partial\psi(u)$, then

$$h_{\overline{L}}^{\text{tor}}(Y) = (n + 1)! \sum_{v \in \Pi(\psi)^0} (\langle g(v), v \rangle - \psi(v)).$$

In the case of curves, the integral in (7.1.1), can be transformed into another integral that will prove useful for explicit computations. We introduce a notation for derivatives of concave functions of one variable. Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be a concave function. For $u \in \mathbb{R}$, write

$$f'(u) = \frac{1}{2}(D_+f(u) + D_-f(u)), \tag{7.1.2}$$

where D_+f and D_-f denote the right and left derivatives of f respectively, that exist always [Roc70, Theorem 23.4]. Then f' is monotone and is continuous almost everywhere (with respect to the Lebesgue measure). The associated distribution agrees with the derivative of f in the sense of distributions. This implies that, if $(f_n)_n$ is a sequence of concave functions converging uniformly to f on compacts, then $(f'_n)_n$ converges to f' almost everywhere.

Lemma 7.1.2. — *Let $\psi: \mathbb{R} \rightarrow \mathbb{R}$ be a concave function whose stability set is an interval $[a, b]$ and $\psi^{\vee} \circ \partial\psi$ the $\mathcal{M}_{\mathbb{Z}}(\psi)$ -measurable function defined in (2.7.7). Then*

$$2 \int_{\mathbb{R}} \psi^{\vee} \circ \partial\psi \, d\mathcal{M}_{\mathbb{Z}}(\psi) = (b - a)(\psi^{\vee}(a) + \psi^{\vee}(b)) + \int_{\mathbb{R}} (\psi'(u) - a)(b - \psi'(u)) \, du.$$

Proof. — We argue as in the proof of Theorem 2.7.6. By the properties of the Monge-Ampère measure (Proposition 2.7.2) and of the Legendre-Fenchel dual (Proposition 2.2.3), the left-hand side is continuous with respect to uniform convergence of functions. Again by Proposition 2.2.3 and the discussion before the lemma, the right-hand side is also continuous with respect to uniform convergence of functions. By the compacity of the stability set of ψ , Lemma 2.7.7 implies that there is a sequence of strictly concave smooth functions $(\psi_n)_{n \geq 1}$ converging uniformly to ψ . Hence, it is enough to treat the case when ψ is smooth and strictly concave.

Using Example 2.7.11(1), we obtain

$$\int_{\mathbb{R}} \psi^\vee \circ \partial\psi \, d\mathcal{M}_{\mathbb{Z}}(\psi) = \int_{\mathbb{R}} (\psi(u) - u\psi'(u))\psi''(u) \, du.$$

Consider the function

$$\begin{aligned} \gamma(u) &= \left(\psi'(u) - \frac{a+b}{2}\right)\psi(u) - u\frac{(\psi'(u))^2}{2} + u\frac{ab}{2} \\ &= -\left(\psi'(u) - \frac{a+b}{2}\right)\psi^\vee(\psi'(u)) - \frac{u}{2}(\psi'(u) - a)(b - \psi'(u)). \end{aligned}$$

Then

$$\lim_{u \rightarrow \infty} \gamma(u) = \frac{b-a}{2}\psi^\vee(a), \quad \lim_{u \rightarrow -\infty} \gamma(u) = \frac{a-b}{2}\psi^\vee(b),$$

and

$$d\gamma = (\psi - u\psi')\psi'' \, du - \frac{1}{2}(\psi' - a)(b - \psi') \, du,$$

from which the result follows. □

With the notation in Proposition 7.1.1, assume that $N = \mathbb{Z}$. The elements $m_j \in N^\vee$ can be identified with integer numbers and the hypothesis that the image of H is a saturated sublattice is equivalent to $\gcd(m_1, \dots, m_r) = 1$. Moreover, by reordering the variables of \mathbb{P}^r and multiplying the expression of $\varphi_{H,p}$ by a monomial (which does not change the equivariant map), we may assume that $0 \leq m_1 \leq \dots \leq m_r$. We make the further hypothesis that $0 < m_1 < \dots < m_r$. With these conditions, we next obtain explicit expressions for the concave function ψ and the associated measure and toric local height in terms of the roots of a univariate polynomial. We consider the absolute value $|\cdot|$ of the algebraic closure \overline{K} extending the absolute value of K .

Theorem 7.1.3. — *Let $0 < m_1 < \dots < m_r$ be integers with $\gcd(m_1, \dots, m_r) = 1$, and $p_1, \dots, p_r \in K^\times$. Let $\varphi: \mathbb{T} \rightarrow \mathbb{P}^r$ be the map given by $\varphi(t) = (1 : p_1 t^{m_1} : \dots : p_r t^{m_r})$ and let Y be the closure of the image of φ . Consider the polynomial $q \in K[z]$ defined as*

$$q = \begin{cases} 1 + \sum_{j=1}^r |p_j|^2 z^{m_j} & \text{in the Archimedean case,} \\ 1 + \sum_{j=1}^r p_j z^{m_j} & \text{in the non-Archimedean case.} \end{cases}$$

Let $\{\xi_i\}_i \subset \overline{K}^\times$ be the set of roots of q and, for each i , let $\ell_i \in \mathbb{N}$ be the multiplicity of ξ_i . Let \overline{L} and s be as in Proposition 7.1.1. Then, in the Archimedean case,

1. $\psi_{\overline{L},s}(u) = -\log |p_r| - \frac{1}{2} \sum_i \ell_i \log |e^{-2u} - \xi_i|$ for $u \in \mathbb{R}$,
2. $\mathcal{M}_{\mathbb{Z}}(\psi_{\overline{L},s}) = -2 \sum_i \ell_i \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})^2} du$,
3. $h_{\overline{L}}^{\text{tor}}(Y) = m_r \log |p_r| + \frac{1}{2} \sum_i \ell_i^2 + \frac{1}{2} \sum_{i < j} \ell_i \ell_j \frac{\xi_i + \xi_j}{\xi_i - \xi_j} (\log(-\xi_i) - \log(-\xi_j))$, where \log is the principal determination of the logarithm.

While in the non-Archimedean case,

4. $\psi_{\overline{L},s}(u) = \text{val}(p_r) + \sum_i \ell_i \min\{u, \text{val}(\xi_i)\}$ for $u \in \mathbb{R}$,
5. $\mathcal{M}_{\mathbb{Z}}(\psi_{\overline{L},s}) = \sum_i \ell_i \delta_{\text{val}(\xi_i)}$,
6. $h_{\overline{L}}^{\text{tor}}(Y) = m_r \log |p_r| + \sum_{i < j} \ell_i \ell_j |\log |\xi_i| - \log |\xi_j||$.

Remark 7.1.4. — The real roots of the polynomial q are all negative, which allows the use of the principal determination of the logarithm in (3). Introducing the argument $\theta_i \in]-\pi, \pi[$ of $-\xi_i$, the last sum in (3) can be rewritten

$$\frac{1}{2} \sum_{i < j} \ell_i \ell_j \frac{(|\xi_i|^2 - |\xi_j|^2) \log |\xi_i/\xi_j| + 2|\xi_i||\xi_j|(\theta_i - \theta_j) \sin(\theta_i - \theta_j)}{|\xi_i|^2 + |\xi_j|^2 - 2|\xi_i||\xi_j| \cos(\theta_i - \theta_j)}$$

showing that it is real.

Proof of Theorem 7.1.3. — Write $\psi = \psi_{\overline{L},s}$ for short. First we consider the Archimedean case. We have that $q = |p_r|^2 \prod_i (z - \xi_i)^{\ell_i}$. By Proposition 7.1.1,

$$\psi(u) = -\frac{1}{2} \log(q(e^{-2u})) = -\log |p_r| - \frac{1}{2} \sum_i \ell_i \log |e^{-2u} - \xi_i|,$$

which proves (1). Hence,

$$\psi'(u) = \sum_i \ell_i \frac{1}{1 - \xi_i e^{2u}} \quad \text{and} \quad \psi''(u) = \sum_i 2\ell_i \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})^2}.$$

The Monge-Ampère measure of ψ is given by $-\psi'' du$, and so the above proves (2). To prove (3) we apply the equation (7.1.1) and Lemma 7.1.2. We have that $\text{stab}(\psi) = [0, m_r]$, $\psi^\vee(0) = 0$, and $\psi^\vee(m_r) = \log |p_r|$. Thus,

$$h_{\overline{L}}^{\text{tor}}(Y) = m_r \log |p_r| + \int_{-\infty}^{\infty} (m_r - \psi') \psi' du. \tag{7.1.3}$$

We have $m_r - \psi'(u) = \sum_i \ell_i \left(1 - \frac{1}{1 - \xi_i e^{2u}}\right) = - \sum_i \ell_i \frac{\xi_i e^{2u}}{1 - \xi_i e^{2u}}$. Hence,

$$\begin{aligned} (m_r - \psi'(u))\psi'(u) &= - \left(\sum_i \ell_i \frac{\xi_i e^{2u}}{1 - \xi_i e^{2u}} \right) \left(\sum_j \ell_j \frac{1}{1 - \xi_j e^{2u}} \right) \\ &= - \sum_i \ell_i^2 \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})^2} - \sum_{i \neq j} \ell_i \ell_j \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})(1 - \xi_j e^{2u})}. \end{aligned}$$

Moreover $\int_{-\infty}^{\infty} \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})^2} du = \left[\frac{1}{2(1 - \xi_i e^{2u})} \right]_{-\infty}^{\infty} = -\frac{1}{2}$ and

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{\xi_i e^{2u}}{(1 - \xi_i e^{2u})(1 - \xi_j e^{2u})} du &= \left[\frac{\xi_i}{2(\xi_i - \xi_j)} (\log(1 - \xi_j e^{2u}) - \log(1 - \xi_i e^{2u})) \right]_{-\infty}^{\infty} \\ &= \frac{\xi_i}{2(\xi_i - \xi_j)} (\log(-\xi_j) - \log(-\xi_i)), \end{aligned}$$

for the principal determination of log. These calculations together with the equation (7.1.3) imply that

$$\begin{aligned} h_L^{\text{tor}}(Y) &= m_r \log |p_r| + \frac{1}{2} \sum_i \ell_i^2 + \frac{1}{2} \sum_{i \neq j} \ell_i \ell_j \frac{\xi_i}{\xi_i - \xi_j} (\log(-\xi_i) - \log(-\xi_j)) \\ &= m_r \log |p_r| + \frac{1}{2} \sum_i \ell_i^2 + \frac{1}{2} \sum_{i < j} \ell_i \ell_j \frac{\xi_i + \xi_j}{\xi_i - \xi_j} (\log(-\xi_i) - \log(-\xi_j)), \end{aligned}$$

which proves (3).

Next we consider the non-Archimedean case. Let $\zeta \in \overline{K}^\times$ and write $v_i = \text{val}(\xi_i)$ for short. Proposition 7.1.1 and the condition $m_i \neq m_j$ for $i \neq j$, imply, after possibly multiplying ζ by a sufficiently general root of unity, that

$$\psi(\text{val}(\zeta)) = \min_i \{0, m_i \text{val}(\zeta) + \text{val}(p_i)\} = \text{val}(q(\zeta)).$$

By the factorization of q ,

$$\text{val}(q(\zeta)) = \text{val}(p_r) + \sum_i \ell_i \text{val}(\zeta - \xi_i) = \text{val}(p_r) + \sum_i \ell_i \min\{\text{val}(\zeta), v_i\}.$$

The image of $\text{val}: \overline{K}^\times \rightarrow \mathbb{R}$ is a dense subset. For $u \in \mathbb{R}$, we deduce that

$$\psi(u) = \text{val}(p_r) + \sum_i \ell_i \min\{u, v_i\},$$

which proves (4). The sup-differential of this function is, for $u \in \mathbb{R}$,

$$\partial\psi(u) = \begin{cases} \left[\sum_{j: v_j > v_i} \ell_j, \sum_{j: v_j \geq v_i} \ell_j \right] & \text{if } u = v_i \text{ for some } i, \\ \sum_{j: v_j > u} \ell_j & \text{otherwise.} \end{cases}$$

Hence, the associated Monge-Ampère measure is $\sum_i \ell_i \delta_{v_i}$, which proves (5). The derivative of ψ in the sense of (7.1.2) is, for $u \in \mathbb{R}$,

$$\psi'(u) = \begin{cases} \sum_{j:v_j > v_i} \ell_j + \frac{1}{2} \sum_{j:v_j = v_i} \ell_j & \text{if } u = v_i \text{ for some } i, \\ \sum_{j:v_j > u} \ell_j & \text{otherwise.} \end{cases}$$

Moreover, $\text{stab}(\psi) = [0, m_r]$, $\psi^\vee(0) = 0$ and $\psi^\vee(m_r) = -\text{val}(p_r)$. By (7.1.1) and Lemma 7.1.2

$$h_L^{\text{tor}}(Y) = -m_r \text{val}(p_r) + \int_{-\infty}^{\infty} (m_r - \psi') \psi' du. \tag{7.1.4}$$

If we write

$$f_i(u) = \begin{cases} 0 & \text{if } u \leq v_i \\ \ell_i & \text{if } u > v_i, \end{cases}$$

then, we have that $\psi'(u) = \sum_i \ell_i - f_i(u)$ and $m_r - \psi'(u) = \sum_i f_i$ almost everywhere. Therefore

$$\int_{-\infty}^{\infty} (m_r - \psi') \psi' du = \sum_{i,j} \int_{-\infty}^{\infty} f_i(\ell_j - f_j) du = \sum_{i,j} \ell_i \ell_j \max\{0, v_j - v_i\}. \tag{7.1.5}$$

Thus, joining together (7.1.4), (7.1.5) and the relation $\log |\zeta| = -\text{val}(\zeta)$ we deduce

$$h_L^{\text{tor}}(Y) = m_r \log |p_r| + \sum_{i,j} \ell_i \ell_j \max\{0, \log(|\xi_i|/|\xi_j|)\},$$

finishing the proof of the theorem since, for $i < j$,

$$\max\{0, \log(|\xi_i|/|\xi_j|)\} + \max\{0, \log(|\xi_j|/|\xi_i|)\} = |\log |\xi_i| - \log |\xi_j||. \quad \square$$

We now treat the global case.

Corollary 7.1.5. — *Let \mathbb{K} be a global field. Let $0 < m_1 < \dots < m_r$ be integer numbers with $\text{gcd}(m_1, \dots, m_r) = 1$, and $p_1, \dots, p_r \in \mathbb{K}^\times$. Let $\varphi: \mathbb{T} \rightarrow \mathbb{P}^r$ be the map given by $\varphi(t) = (1 : p_1 t^{m_1} : \dots : p_r t^{m_r})$, Y the closure of the image of φ , and $\bar{L} = \varphi^* \bar{\mathcal{O}}(1)$, where $\bar{\mathcal{O}}(1)$ is equipped with the Fubini-Study metric for the Archimedean places and with the canonical metric for the non-Archimedean places. For $v \in \mathfrak{M}_{\mathbb{K}}$, set*

$$q_v = \begin{cases} 1 + \sum_{j=1}^r |p_j|_v^2 z^{m_j} & \text{if } v \text{ is Archimedean,} \\ 1 + \sum_{j=1}^r p_j z^{m_j} & \text{if } v \text{ is not Archimedean.} \end{cases}$$

Let $\{\xi_{v,i}\} \subset \bar{\mathbb{K}}_v^\times$ be the set of roots of q_v and, for each i , let $\ell_{v,i} \in \mathbb{N}$ denote the multiplicity of $\xi_{v,i}$. Then

$$h_L(Y) = \sum_{v|\infty} n_v \left(\frac{1}{2} \sum_i \ell_{v,i}^2 + \frac{1}{2} \sum_{i < j} \ell_{v,i} \ell_{v,j} \frac{\xi_{v,i} + \xi_{v,j}}{\xi_{v,i} - \xi_{v,j}} (\log(-\xi_{v,i}) - \log(-\xi_{v,j})) \right) + \sum_{v \nmid \infty} n_v \left(\sum_{i < j} \ell_{v,i} \ell_{v,j} |\log |\xi_{v,i}| - \log |\xi_{v,j}|| \right).$$

Proof. — This follows readily from Proposition 5.2.4, Theorem 7.1.3, and the product formula. \square

Corollary 7.1.6. — *Let $C_r \subset \mathbb{P}_{\mathbb{Q}}^r$ be the Veronese curve of degree r and $\overline{\mathcal{O}(1)}$ the universal line bundle on $\mathbb{P}_{\mathbb{Q}}^r$ equipped with the Fubini-Study metric at the Archimedean place and with the canonical metric at the non-Archimedean ones. Then*

$$h_{\overline{\mathcal{O}(1)}}(C_r) = \frac{r}{2} + \pi \sum_{j=1}^{\lfloor r/2 \rfloor} \left(1 - \frac{2j}{r+1}\right) \cot\left(\frac{\pi j}{r+1}\right) \in \frac{r}{2} + \pi \overline{\mathbb{Q}}.$$

Proof. — The curve C_r coincides with the closure of the image of the map $\varphi: \mathbb{T} \rightarrow \mathbb{P}^r$ given by $\varphi(t) = (1 : t : t^2 : \dots : t^r)$. With the notation in Corollary 7.1.5, this map corresponds to $m_i = i$ and $p_i = 1$, for $i = 1, \dots, r$. Then $q_v = \sum_{j=0}^r z^j$ for all $v \in \mathfrak{M}_{\mathbb{Q}}$. Consider the primitive $(r+1)$ -th root of unity $\omega = e^{\frac{2\pi i}{r+1}}$. The polynomial q_v is separable and its set of roots is $\{\omega^l\}_{l=1, \dots, r}$. Since $|\omega^l|_v = 1$ for all v , Corollary 7.1.5 implies that

$$\begin{aligned} h_L(C_r) &= \frac{r}{2} + \frac{1}{2} \sum_{l < j} \frac{\omega^l + \omega^j}{\omega^l - \omega^j} (\log(-\omega^l) - \log(-\omega^j)) \\ &= \frac{r}{2} + \frac{1}{2} \sum_{\substack{l < j \\ l \neq j}} \frac{\omega^l + \omega^j}{\omega^l - \omega^j} \log(-\omega^l). \end{aligned} \quad (7.1.6)$$

We have that

$$\sum_{j=1}^r \frac{\omega^j + 1}{\omega^j - 1} = \sum_{j=1}^r \frac{\omega^j}{\omega^j - 1} + \sum_{j=1}^r \frac{1}{\omega^j - 1} = \sum_{j=1}^r \frac{1}{1 - \omega^{-j}} + \sum_{j=1}^r \frac{1}{\omega^j - 1} = 0.$$

This implies, for $l = 1, \dots, r$,

$$\sum_{1 \leq j \leq r, j \neq l} \frac{\omega^l + \omega^j}{\omega^l - \omega^j} = -\frac{\omega^l + 1}{\omega^l - 1} = i \cot\left(\frac{\pi l}{r+1}\right).$$

Hence,

$$\begin{aligned} \frac{1}{2} \sum_{\substack{l < j \\ l \neq j}} \frac{\omega^l + \omega^j}{\omega^l - \omega^j} \log(-\omega^l) &= \frac{i}{2} \sum_{l=1}^r \cot\left(\frac{\pi l}{r+1}\right) \log(-\omega^l) \\ &= \pi \sum_{l=1}^{\lfloor r/2 \rfloor} \cot\left(\frac{\pi l}{r+1}\right) \left(1 - \frac{2l}{r+1}\right), \end{aligned}$$

since $\cot\left(\frac{\pi(r+1-l)}{r+1}\right) \log(-\omega^{r+1-l}) = \cot\left(\frac{\pi l}{r+1}\right) \log(-\omega^l)$ for $l = 1, \dots, \lfloor r/2 \rfloor$ and $\log(-\omega^{\frac{r+1}{2}}) = 0$ whenever r is odd. The statement follows from these calculations together with (7.1.6). \square

Here follow some special values:

r		1	2	3	5	7
$h_{\mathcal{O}(1)}(C_r)$		$\frac{1}{2}$	$1 + \frac{1}{3\sqrt{3}}\pi$	$\frac{3}{2} + \frac{1}{2}\pi$	$\frac{5}{2} + \frac{7}{3\sqrt{3}}\pi$	$\frac{7}{2} + (1 + \sqrt{2})\pi$

Corollary 7.1.7. — *With the notation of Corollary 7.1.6, $h_{\mathcal{O}(1)}(C_r) = r \log r + O(r)$ for $r \rightarrow \infty$.*

Proof. — We have that $\pi \cot(\pi x) = \frac{1}{x} + O(1)$ for $x \rightarrow 0$. Hence,

$$h_{\mathcal{O}(1)}(C_r) = \sum_{j=1}^{\lfloor r/2 \rfloor} \left(1 - \frac{2j}{r+1} \right) \frac{r+1}{j} + O(r) = r \left(\sum_{j=1}^{\lfloor r/2 \rfloor} \frac{1}{j} \right) + O(r) = r \log r + O(r). \quad \square$$

By the theorem of algebraic successive minima [Zha95a, Theorem 5.2],

$$\mu^{\text{ess}}(C_r) \leq \frac{h_{\mathcal{O}(1)}(C_r)}{\text{deg}_{\mathcal{O}(1)}(C_r)} \leq 2\mu^{\text{ess}}(C_r)$$

The essential minimum of C_r is $\mu^{\text{ess}}(C_r) = \frac{1}{2} \log(r+1)$ [Som05, Théorème 0.1]. Hence, the quotient $\frac{h_{\mathcal{O}(1)}(C_r)}{\text{deg}_{\mathcal{O}(1)}(C_r)}$ is asymptotically closer to the upper bound than to the lower bound.

7.2. Height of toric bundles

Let $n \geq 0$ and write $\mathbb{P}^n = \mathbb{P}_{\mathbb{Q}}^n$ for short. Given integers $a_r \geq \dots \geq a_0 \geq 1$, consider the bundle $\mathbb{P}(E) \rightarrow \mathbb{P}^n$ of hyperplanes of the vector bundle

$$E = \mathcal{O}(a_0) \oplus \mathcal{O}(a_1) \oplus \dots \oplus \mathcal{O}(a_r) \longrightarrow \mathbb{P}^n,$$

where $\mathcal{O}(a_j)$ denotes the a_j -th power of the universal line bundle of \mathbb{P}^n . Equivalently, $\mathbb{P}(E)$ can be defined as the bundle of lines of the dual vector bundle E^\vee . The fibre of the map $\pi: \mathbb{P}(E) \rightarrow \mathbb{P}^n$ over each point $p \in \mathbb{P}^n(\overline{\mathbb{Q}})$ is a projective space of dimension r . This bundle is a smooth toric variety over \mathbb{Q} of dimension $n+r$, see [Oda88, p. 58–59], [Ful93, page 42]. The particular case $n = r = 1$ corresponds to Hirzebruch surfaces: for $b \geq 0$, we have $\mathbb{F}_b = \mathbb{P}(\mathcal{O}(0) \oplus \mathcal{O}(b)) \simeq \mathbb{P}(\mathcal{O}(a_0) \oplus \mathcal{O}(a_0 + b))$ for any $a_0 \geq 1$.

The *tautological line bundle* of $\mathbb{P}(E)$, denoted $\mathcal{O}_{\mathbb{P}(E)}(-1)$, is defined as a subbundle of $\pi^* E^\vee$. Its fibre over a point of $\mathbb{P}(E)$ is the inverse image under π of the line in E^\vee which is dual to the hyperplane of E defining the given point. The *universal line bundle* $\mathcal{O}_{\mathbb{P}(E)}(1)$ of $\mathbb{P}(E)$ is defined as the dual of the tautological one. Since $\mathcal{O}(a_j)$, $j = 0, \dots, r$, is ample, the universal line bundle is also ample [Har66, propositions 2.2 and 3.2]. This is the line bundle corresponding to the Cartier divisor $a_0 D_0 + D_1$, where D_0 denotes the inverse image in $\mathbb{P}(E)$ of the hyperplane at infinity of \mathbb{P}^n and $D_1 = \mathbb{P}(0 \oplus \mathcal{O}(a_1) \oplus \dots \oplus \mathcal{O}(a_r))$. Observe that, although $\mathbb{P}(E)$ is isomorphic to the

bundle associated to the family of integers $a_i + c$ for any $c \in \mathbb{N}$, this is not the case for the associated universal line bundle, that depends on the choice of c .

Following Example 3.1.3, we regard \mathbb{P}^n as a toric variety over \mathbb{Q} equipped with the action of the split torus \mathbb{G}_m^n . Let s be the toric section of $\mathcal{O}(1)$ which corresponds to the hyperplane at infinity H_0 and let $s_j = s^{\otimes -a_j}$, which is a section of $\mathcal{O}(-a_j)$. Let $U = \mathbb{P}^n \setminus H_0$. The restriction of $\mathbb{P}(E)$ to U is isomorphic to $U \times \mathbb{P}^r$ through the map φ defined, for $p \in U$ and $q \in \mathbb{P}^r$, as

$$(p, q) \longmapsto (p, q_0 s_0(p) \oplus \cdots \oplus q_r s_r(p)).$$

The torus $\mathbb{T} := \mathbb{G}_m^{n+r}$ can then be included as an open subvariety of $\mathbb{P}(E)$ through the map φ composed with the standard inclusion of \mathbb{G}_m^{n+r} into $U \times \mathbb{P}^r$. The action of \mathbb{T} on itself by translation extends to an action of the torus on the whole of $\mathbb{P}(E)$. Hence $\mathbb{P}(E)$ is a toric variety over \mathbb{Q} . With this action the divisor $a_0 D_0 + D_1$ is a \mathbb{T} -Cartier divisor.

By abuse of notation, we also denote E^\vee the total space associated to the vector bundle E^\vee . The map $\mathbb{G}_m^{n+r} \rightarrow E^\vee$ defined as

$$(z, w) \longmapsto ((1 : z), (s_0(1 : z) \oplus w_1 s_1(1 : z) \oplus \cdots \oplus w_r s_r(1 : z))) \tag{7.2.1}$$

induces a nowhere vanishing section of the tautological line bundle of $\mathbb{P}(E)$ over the open subset \mathbb{T} . Its inverse defines a rational section of $\mathcal{O}_{\mathbb{P}(E)}(1)$, denoted $s_{\mathbb{P}(E)}$, that is regular and nowhere vanishing on \mathbb{T} . In particular, this section induces a structure of toric line bundle on $\mathcal{O}_{\mathbb{P}(E)}(1)$. The divisor of $s_{\mathbb{P}(E)}$ is precisely the \mathbb{T} -Cartier divisor $a_0 D_0 + D_1$ considered above.

We now introduce an adelic toric metric on $\mathcal{O}_{\mathbb{P}(E)}(1)$. For $v = \infty$, we consider the complex vector bundle $E(\mathbb{C})$ that can be naturally metrized by the direct sum of the Fubini-Study metric on each factor $\mathcal{O}(a_j)(\mathbb{C})$. By duality, this gives a metric on $E^\vee(\mathbb{C})$, which induces by restriction a metric on the tautological line bundle. Applying duality one more time, we obtain a smooth metric, denoted $\|\cdot\|_\infty$, on $\mathcal{O}_{\mathbb{P}(E)(\mathbb{C})}(1)$. Since the Fubini-Study metric on each $\mathcal{O}(a_j)(\mathbb{C})$ is toric, then $\|\cdot\|_\infty$ is toric too. For $v \in \mathfrak{M}_\mathbb{Q} \setminus \{\infty\}$, we equip $\mathcal{O}_{\mathbb{P}(E)}(1)$ with the canonical metric (Proposition-Definition 4.3.15). We write $\overline{\mathcal{O}_{\mathbb{P}(E)}(1)} = (\mathcal{O}_{\mathbb{P}(E)}(1), (\|\cdot\|_v)_{v \in \mathfrak{M}_\mathbb{Q}})$ for the obtained adelic metrized toric line bundle.

We have made a choice of splitting of \mathbb{T} and therefore a choice of an identification $N = \mathbb{Z}^{n+r}$. Thus we obtain a system of coordinates in the real vector space associated to the toric variety $\mathbb{P}(E)$, namely $N_\mathbb{R} = \mathbb{R}^{n+r} = \mathbb{R}^n \times \mathbb{R}^r$. Since the metric considered at each non-Archimedean place is the canonical one, the only nontrivial contribution to the global height will come from the Archimedean place. The restriction to the principal open subset $\mathbb{P}(E)_0(\mathbb{C}) \simeq (\mathbb{C}^\times)^{n+r} = (\mathbb{C}^\times)^n \times (\mathbb{C}^\times)^r$ of the valuation map is expressed, in these coordinates, as the map $\text{val}: (\mathbb{C}^\times)^{n+r} \rightarrow N_\mathbb{R}$ defined by

$$\text{val}(z, w) = (-\log |z_1|, \dots, -\log |z_n|, -\log |w_1|, \dots, -\log |w_r|).$$

Write $\psi_\infty : N_{\mathbb{R}} \rightarrow \mathbb{R}$ for the function corresponding to the metric $\|\cdot\|_\infty$ and the toric section $s_{\mathbb{P}(E)}$ defined above.

Lemma 7.2.1. — *The function ψ_∞ is defined, for $u \in \mathbb{R}^n$ and $v \in \mathbb{R}^r$, as*

$$\psi_\infty(u, v) = -\frac{1}{2} \log \left(\sum_{j=0}^r e^{-2v_j} \left(\sum_{i=0}^n e^{-2u_i} \right)^{a_j} \right),$$

with the convention $u_0 = v_0 = 0$. It is a strictly concave function.

Proof. — The metric on $E^\vee(\mathbb{C})$ is given, for $p \in \mathbb{P}^n(\mathbb{C})$ and $q_0, \dots, q_r \in \mathbb{C}$, by

$$\|q_0 s_0(p) \oplus \dots \oplus q_r s_r(p)\|_\infty^2 = |q_0|^2 \|s_0(p)\|^2 + \dots + |q_r|^2 \|s_r(p)\|^2,$$

where $\|s_j(p)\|$ is the norm of $s_j(p)$ with respect to the Fubini-Study metric on $\mathcal{O}(-a_j)^{\text{an}}$. By Example 1.1.2,

$$\|s_j(p)\|^2 = \left(\frac{|p_0|^2}{|p_0|^2 + \dots + |p_n|^2} \right)^{-a_j}.$$

Using Definition 4.3.5 and Proposition 4.3.14(2), we compute the function ψ_∞ via the Green function $-\log \|s_{\mathbb{P}(E)}^{\otimes -1}\|$ relative to the toric section $s_{\mathbb{P}(E)}^{\otimes -1}$, defined in (7.2.1), of the tautological bundle, dual $\mathcal{O}_{\mathbb{P}(E)}(1)$. The explicit description (7.2.1) of the section $s_{\mathbb{P}(E)}^{\otimes -1}$ implies that, for $(z, w) = (z_1, \dots, z_n, w_1, \dots, w_r) \in \mathbb{G}_m^{n+r}$, writing $z_0 = w_0 = 1$, we have

$$\|s_{\mathbb{P}(E)}^{\otimes -1}(z, w)\|^2 = \sum_{j=0}^r |w_j|^2 \left(\sum_{i=0}^n |z_i|^2 \right)^{a_j}. \tag{7.2.2}$$

If $\text{val}(z, w) = (u_1, \dots, u_n, v_1, \dots, v_r)$ and writing $u_0 = v_0 = 0$, equation (7.2.2) can be written as

$$\|s_{\mathbb{P}(E)}^{\otimes -1}(z, w)\|^2 = \sum_{j=0}^r e^{-2v_j} \left(\sum_{i=0}^n e^{-2u_i} \right)^{a_j}. \tag{7.2.3}$$

Now $\psi_\infty(u, v)$ equals $-\log \|s_{\mathbb{P}(E)}^{\otimes -1}(z, w)\|$, that is $-1/2$ times the logarithm of the right hand side in (7.2.3). This proves the equality of the lemma.

For the last statement, observe that the functions $e^{-2v_j} \left(\sum_{i=0}^n e^{-2u_i} \right)^{a_j}$ are log-strictly convex, because $-1/2$ times their logarithm is the function associated to the Fubini-Study metric on $\mathcal{O}(a_j)^{\text{an}}$, which is a strictly concave function. Their sum is also log-strictly convex [BV04, §3.5.2]. Hence, ψ_∞ is strictly concave. \square

Corollary 7.2.2. — *The metric $\|\cdot\|_\infty$ is a semipositive smooth toric metric.*

Proof. — The facts that $\|\cdot\|_\infty$ is smooth and toric follow from its construction. The fact that it is semipositive follows from Lemma 7.2.1 and Theorem 4.8.1(1). \square

In the following result, we summarize the combinatorial data describing the toric structure of $\mathbb{P}(E)$ and $\overline{\mathcal{O}_{\mathbb{P}(E)}(1)}$.

Proposition 7.2.3

1. Let $e_i, 1 \leq i \leq n$, and $f_j, 1 \leq j \leq r$, be the i -th and $(n + j)$ -th vectors of the standard basis of $N = \mathbb{Z}^{n+r}$. Set $f_0 = -f_1 - \dots - f_r$ and $e_0 = a_0 f_0 + \dots + a_r f_r - e_1 - \dots - e_n$. The fan Σ corresponding to $\mathbb{P}(E)$ is the fan in $N_{\mathbb{R}}$ whose maximal cones are the convex hull of the rays generated by the vectors

$$e_0, \dots, e_{k-1}, e_{k+1}, \dots, e_n, f_0, \dots, f_{\ell-1}, f_{\ell+1}, \dots, f_r$$

for $0 \leq k \leq n, 0 \leq \ell \leq r$. This is a complete regular fan.

2. The support function $\Psi: N_{\mathbb{R}} \rightarrow \mathbb{R}$ corresponding to the universal line bundle $\mathcal{O}_{\mathbb{P}(E)}(1)$ and the toric section $s_{\mathbb{P}(E)}$ is defined, for $u \in \mathbb{R}^n$ and $v \in \mathbb{R}^r$, as

$$\Psi(u, v) = \min_{\substack{0 \leq k \leq n \\ 0 \leq \ell \leq r}} (a_{\ell} u_k + v_{\ell}),$$

where, for short, we have set $u_0 = v_0 = 0$.

3. The polytope Δ in $M_{\mathbb{R}} = \mathbb{R}^n \times \mathbb{R}^r$ associated to (Σ, Ψ) is

$$\left\{ (x, y) \mid y_1, \dots, y_r \geq 0, \sum_{\ell=1}^r y_{\ell} \leq 1, x_1, \dots, x_n \geq 0, \sum_{k=1}^n x_k \leq L(y) \right\}$$

with $L(y) = a_0 + \sum_{\ell=1}^r (a_{\ell} - a_0) y_{\ell}$. Using the convention $y_0 = 1 - \sum_{\ell=1}^r y_{\ell}$ and $x_0 = L(y) - \sum_{k=1}^n x_k$, then $L(y) = \sum_{\ell=0}^r a_{\ell} y_{\ell}$ and the polytope Δ can be written as

$$\left\{ (x, y) \mid y_0, \dots, y_r \geq 0, x_0, \dots, x_n \geq 0 \right\}.$$

4. The Legendre-Fenchel dual of ψ_{∞} is the concave function $\vartheta_{\infty}: \Delta \rightarrow \mathbb{R}$ defined, for $(x, y) \in \Delta$, as

$$\vartheta_{\infty}(x, y) = \frac{1}{2} \left(\varepsilon_r(y_1, \dots, y_r) + L(y) \cdot \varepsilon_n \left(\frac{x_1}{L(y)}, \dots, \frac{x_n}{L(y)} \right) \right),$$

where, for $k \geq 0$, ε_k is the function defined in (2.4.1). For $v \neq \infty$, the concave function $\vartheta_v = \psi_v^{\vee}$ is the indicator function of Δ .

Proof. — By Corollary 4.3.13, we have $\Psi = \text{rec}(\psi_{\infty})$. By the equation (2.3.3), we have $\text{rec}(\psi_{\infty})(u, v) = \lim_{\lambda \rightarrow \infty} \lambda^{-1} \psi_{\infty}(\lambda(u, v))$. Statement (2) follows readily from this and from the expression for ψ_{∞} in Lemma 7.2.1.

The function Ψ is strictly concave on Σ , because $\mathcal{O}_{\mathbb{P}(E)}(1)$ is an ample line bundle. Hence $\Sigma = \Pi(\Psi)$ and this is the fan described in statement (1).

Let $(e_1^{\vee}, \dots, e_n^{\vee}, f_1^{\vee}, \dots, f_r^{\vee})$ be the dual basis of M induced by the basis of N . By Proposition 2.5.5 and statement (2), we have

$$\Delta = \text{conv} \left(0, (a_0 e_k^{\vee})_{1 \leq k \leq n}, (f_{\ell}^{\vee})_{1 \leq \ell \leq r}, (a_{\ell} e_k^{\vee} + f_{\ell}^{\vee})_{\substack{1 \leq k \leq n \\ 1 \leq \ell \leq r}} \right).$$

Statement (3) follows readily from this.

For the first part of statement (4), it suffices to compute the Legendre-Fenchel dual of ψ_{∞} at a point (x, y) in the interior of the polytope. Lemma 7.2.1 shows that ψ_{∞}

is strictly concave. Hence, by Theorem 2.4.2(3), $\nabla\psi_\infty$ is a homeomorphism between $N_{\mathbb{R}}$ and Δ° . Thus, there exist a unique $(u, v) \in N_{\mathbb{R}}$ such that, for $i = 1, \dots, n$ and $j = 1, \dots, r$,

$$x_i = \frac{\partial\psi_\infty}{\partial u_i}(u, v), \quad y_j = \frac{\partial\psi_\infty}{\partial v_j}(u, v).$$

We use the conventions $x_0 = L(y) - \sum_{i=1}^n x_i$, $y_0 = 1 - \sum_{j=1}^r y_j$, and $u_0 = v_0 = 0$ as before, and also $\eta = \sum_{i=0}^n e^{-2u_i}$ and $\psi = \psi_\infty$, so that $-2\psi = \log\left(\sum_{j=0}^r e^{-2v_j} \eta^{a_j}\right)$. Computing the gradient of ψ , we obtain, for $i = 1, \dots, n$ and $j = 1, \dots, r$,

$$x_i e^{-2\psi} = \left(\sum_{j=0}^r a_j \eta^{a_j-1} e^{-2v_j}\right) e^{-2u_i}, \quad y_j e^{-2\psi} = \eta^{a_j} e^{-2v_j}.$$

Combining these expressions, we obtain, for $i = 0, \dots, n$ and $j = 0, \dots, r$,

$$\frac{x_i}{L(y)} = \frac{e^{-2u_i}}{\eta}, \quad y_j = \eta^{a_j} e^{-2v_j+2\psi}.$$

From the case $i = 0$ we deduce $\eta = L(y)/x_0$ and from the case $j = 0$ it results $2\psi = \log(y_0) + a_0 \log(x_0/L(y))$. From this, one can verify

$$u_i = \frac{1}{2} \log\left(\frac{x_0}{x_i}\right), \quad v_j = \frac{1}{2} \log\left(\frac{y_0}{y_j}\right) + \frac{a_0 - a_j}{2} \log\left(\frac{x_0}{L(y)}\right).$$

From Theorem 2.4.2(4), we have $\psi^\vee(x, y) = \langle x, u \rangle + \langle y, v \rangle - \psi(u, v)$. Inserting the expressions above for ψ , u_i and v_j in terms of x, y , we obtain the stated formula.

For $v \neq \infty$, we have $\psi_v = \Psi$. The last statement follows from Example 2.2.1. \square

Proposition 3.4.3 and Theorem 5.2.5 imply

$$\begin{aligned} \deg_{\mathcal{O}_{\mathbb{P}(E)}(1)}(\mathbb{P}(E)) &= (n+r)! \operatorname{vol}(\Delta), \\ h_{\overline{\mathcal{O}_{\mathbb{P}(E)}(1)}}(\mathbb{P}(E)) &= (n+r+1)! \int_{\Delta} \psi^\vee_\infty \, dx \, dy, \end{aligned} \tag{7.2.4}$$

where, for short, dx and dy stand for $dx_1 \dots dx_n$ and $dy_1 \dots dy_r$, respectively.

We now compute these volume and integral giving the degree and the height of $\mathbb{P}(E)$. We show, in particular, that the height is a rational number. Recall that Δ^r and Δ^n are the standard simplexes of \mathbb{R}^r and \mathbb{R}^n , respectively.

Lemma 7.2.4. — *With the above notation, we have*

$$\begin{aligned} \deg_{\mathcal{O}_{\mathbb{P}(E)}(1)}(\mathbb{P}(E)) &= \frac{(n+r)!}{n!} \int_{\Delta^r} L(y)^n \, dy, \\ h_{\overline{\mathcal{O}_{\mathbb{P}(E)}(1)}}(\mathbb{P}(E)) &= \frac{(n+r+1)!}{(n+1)!} h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n) \int_{\Delta^r} L(y)^{n+1} \, dy \\ &\quad + \frac{(n+r+1)!}{2n!} \int_{\Delta^r} L(y)^n \varepsilon_r(y) \, dy, \end{aligned}$$

where $h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n) = \sum_{h=1}^n \sum_{j=1}^h \frac{1}{2^j}$ is the height of the projective space relative to the Fubini-Study metric.

Proof. — The equation (7.2.4) shows that the degree of $\mathbb{P}(E)$ is equal to $(n + r)! \text{vol}(\Delta)$. The same equation together with Proposition 7.2.3(4) gives that the height of $\mathbb{P}(E)$ is equal to

$$\frac{(n + r + 1)!}{2} \left(\int_{\Delta} \varepsilon_r(y) \, dx \, dy + \int_{\Delta} L(y) \cdot \varepsilon_n(L(y)^{-1}x) \, dx \, dy \right). \tag{7.2.5}$$

Let I_1 and I_2 be the two above integrals. Observe $\Delta = \bigcup_{y \in \Delta^r} (\{y\} \times (L(y) \cdot \Delta^n))$. Then

$$\begin{aligned} \text{vol}(\Delta) &= \int_{\Delta^r} \left(\int_{L(y) \cdot \Delta^n} dx \right) dy = \frac{1}{n!} \int_{\Delta^r} L(y)^n \, dy, \\ I_1 &= \int_{\Delta^r} \left(\int_{L(y) \cdot \Delta^n} dx \right) \varepsilon_r(y) \, dy = \frac{1}{n!} \int_{\Delta^r} L(y)^n \varepsilon_r(y) \, dy, \end{aligned}$$

since $\int_{L(y) \cdot \Delta^n} dx = L(y)^n/n!$. For the second integral, we have that

$$\begin{aligned} I_2 &= \int_{\Delta^r} L(y) \left(\int_{L(y) \cdot \Delta^n} \varepsilon_n(L(y)^{-1}x) \, dx \right) dy \\ &= \left(\int_{\Delta^r} L(y)^{n+1} \, dy \right) \left(\int_{\Delta^n} \varepsilon_n(x) \, dx \right) = \frac{2 \, h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n)}{(n + 1)!} \int_{\Delta^r} L(y)^{n+1} \, dy, \end{aligned}$$

since $\int_{L(y) \cdot \Delta^n} \varepsilon_n(L(y)^{-1}x) \, dx = L(y)^n \int_{\Delta^n} \varepsilon_n(x) \, dx$ and, by Example 6.2.6,

$$\int_{\Delta^n} \varepsilon_n(x) \, dx = \frac{1}{(n + 1)!} \sum_{h=1}^n \sum_{j=1}^h \frac{1}{j} = \frac{2 \, h_{\overline{\mathcal{O}(1)}}(\mathbb{P}^n)}{(n + 1)!}.$$

The expression for $\text{vol}(\Delta)$ gives the formula for the degree. Lemma 7.2.4 then follows by carrying up the expressions of I_1 and I_2 into (7.2.5). \square

Theorem 7.2.5. — *With the above notation, we have*

$$\begin{aligned} \text{deg}_{\mathcal{O}_{\mathbb{P}(E)}(1)}(\mathbb{P}(E)) &= \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n}} a_0^{i_0} \dots a_r^{i_r} \\ h_{\overline{\mathcal{O}_{\mathbb{P}(E)}(1)}}(\mathbb{P}(E)) &= \left(\sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n+1}} a_0^{i_0} \dots a_r^{i_r} \right) h_{\overline{\mathcal{O}_{\mathbb{P}^n}(1)}}(\mathbb{P}^n) \\ &\quad + \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n}} a_0^{i_0} \dots a_r^{i_r} A_{n,r}(i_0, \dots, i_r), \end{aligned}$$

where $A_{n,r}(i_0, \dots, i_r) = \sum_{m=0}^r (i_m + 1) \sum_{j=i_m+2}^{n+r+1} \frac{1}{2j}$. In particular, the height of $\mathbb{P}(E)$ is a positive rational number.

Proof. — To prove this result it suffices to compute the two integrals appearing in Lemma 7.2.4. However

$$L(y) = a_0 + \sum_{\ell=1}^r (a_\ell - a_0)y_\ell = a_0y_0 + \cdots + a_ry_r,$$

with $y_0 = 1 - y_1 - \cdots - y_r$, and therefore

$$L(y)^n = \sum_{\substack{\alpha \in \mathbb{N}^{r+1} \\ |\alpha|=n}} \binom{n}{\alpha_0, \dots, \alpha_r} \prod_{\ell=0}^r (a_\ell y_\ell)^{\alpha_\ell}$$

and similarly for $L(y)^{n+1}$. Now, Corollary 6.1.13 gives

$$\int_{\Delta^r} y_0^{\alpha_0} y_1^{\alpha_1} \cdots y_r^{\alpha_r} dy = \frac{\alpha_0! \cdots \alpha_r!}{(|\alpha| + r)!},$$

$$\int_{\Delta^r} y_0^{\alpha_0} y_1^{\alpha_1} \cdots y_r^{\alpha_r} \log(y_j) dy = -\frac{\alpha_0! \cdots \alpha_r!}{(|\alpha| + r)!} \sum_{\ell=\alpha_j+1}^{|\alpha|+r} \frac{1}{\ell},$$

which, combined with the above expression for $L(y)^n$ and $L(y)^{n+1}$, gives

$$\int_{\Delta^r} L(y)^n dy = \sum_{\substack{\alpha \in \mathbb{N}^{r+1} \\ |\alpha|=n}} \frac{n!}{(n+r)!} \prod_{\ell=0}^r a_\ell^{\alpha_\ell} = \frac{n!}{(n+r)!} \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n}} \prod_{\ell=0}^r a_\ell^{i_\ell}$$

$$\int_{\Delta^r} L(y)^{n+1} dy = \sum_{\substack{\alpha \in \mathbb{N}^{r+1} \\ |\alpha|=n+1}} \frac{(n+1)!}{(n+1+r)!} \prod_{\ell=0}^r a_\ell^{\alpha_\ell} = \frac{(n+1)!}{(n+1+r)!} \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n+1}} \prod_{\ell=0}^r a_\ell^{i_\ell}$$

and

$$\int_{\Delta^r} L(y)^n \varepsilon_r(y) dy = \sum_{m=0}^r \sum_{\substack{\alpha \in \mathbb{N}^{r+1} \\ |\alpha|=n}} \frac{n!(\alpha_m + 1)}{(n+1+r)!} \left(\prod_{\ell=0}^r a_\ell^{\alpha_\ell} \right) \sum_{\ell=\alpha_m+2}^{n+1+r} \frac{1}{\ell}$$

$$= \frac{n!}{(n+1+r)!} \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n}} \left(\prod_{\ell=0}^r a_\ell^{i_\ell} \right) \sum_{m=0}^r (i_m + 1) \sum_{\ell=i_m+2}^{n+1+r} \frac{1}{\ell}$$

$$= \frac{2n!}{(n+1+r)!} \sum_{\substack{i_0, \dots, i_r \in \mathbb{N} \\ i_0 + \dots + i_r = n}} \left(\prod_{\ell=0}^r a_\ell^{i_\ell} \right) A_{n,r}(i_0, \dots, i_r).$$

The statement follows from these expressions together with Lemma 7.2.4. □

Remark 7.2.6. — We check $A_{1,1}(0, 1) = A_{1,1}(1, 0) = 3/4$. Let $b \geq 0$ and let $\overline{\mathcal{O}_{\mathbb{F}_b}(1)}$ the adelic line bundle on \mathbb{F}_b associated to $a_0 = 1$ and $a_1 = b + 1$. Putting $n = r = 1$, $a_0 = 1$ and $a_1 = b + 1$ in Theorem 7.2.5, we recover the expression for the height of Hirzebruch surfaces established in [Mou06]: $h_{\overline{\mathcal{O}_{\mathbb{F}_b}(1)}}(\mathbb{F}_b) = \frac{1}{2}b^2 + \frac{9}{4}b + 3$.

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LIST OF SYMBOLS

$\text{aff}(C)$	affine hull of a convex set, page 39
A	affine map of vector spaces, page 51
$A_d(X)$	Chow group of d -dimensional cycles, page 88
$\mathcal{A}_{X^{\text{an}}}^*$	sheaf of differential forms of a complex space, page 16
$c(C)$	cone of a convex set, page 40
$c(\Pi)$	cone of a polyhedral complex, page 41
$\text{conv}(b_1, \dots, b_l)$	convex hull of a set of points, page 41
$\text{cone}(b_1, \dots, b_l)$	cone generated by a set of vectors, page 41
$\text{cl}(f)$	closure of a concave function, page 44
$c_1(\overline{L})$	Chern form of a smooth metrized line bundle, page 16
$c_1(\overline{L}_0) \wedge \dots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_Y$	signed measure (smooth case), page 17
$c_1(\overline{L}_0) \wedge \dots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_Y$	signed measure (algebraic case), page 26
$c_1(\overline{L}_0) \wedge \dots \wedge c_1(\overline{L}_{d-1}) \wedge \delta_Y$	signed measure (DSP case), page 29
C_x	piece of a convex decomposition, page 46
$C(\Delta, u, V)$	polynomial associated to an aggregate, page 180
$C_k(\Delta, u, V)$	coefficient of $C(\Delta, u, V)$, page 180
$C_{X^{\text{an}}}^\infty$	sheaf of smooth functions of a complex space, page 16
$\text{def}(\alpha)$	defect of the product formula, page 33
$\text{dom}(f)$	effective domain of a concave function, page 44
$\text{dom}(\partial f)$	effective domain of the sup-differential, page 45
\mathbb{D}	unit disk of \mathbb{C} , page 17
D	Cartier divisor, page 84
D_Ψ	\mathbb{T} -Cartier divisor on a toric variety, page 85
D_ϕ	\mathbb{T} -Cartier divisor on a toric scheme, page 102
$\text{Div}_{\mathbb{T}}(X_\Sigma)$	group of \mathbb{T} -Cartier divisors, page 87
$\mathcal{D}(\Lambda), \mathcal{D}(\Lambda)_{\mathbb{Z}}$	spaces of differences of piecewise affine concave functions, page 66
$\overline{\mathcal{D}}(\Lambda), \overline{\mathcal{D}}(\Lambda)_{\mathbb{Z}}$	spaces of differences of uniform limits of piecewise affine concave functions, page 66
e	parameterization of a variety with corners, page 117
e_K	normalized parameterization of a variety with corners, page 117
$E_i, F_{i,j}$	components of the special fibre of a semi-stable model of \mathbb{P}^1 , page 146
F_σ	face dual to a cone, page 61

$g_{\bar{L},s}$	Green function on X_0^{an} , page 129
$\text{hypo}(f)$	hypograph of a concave function, page 46
$h_{\bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d)$	local height, page 30
$h_{v, \bar{L}_0, \dots, \bar{L}_d}(Y; s_0, \dots, s_d)$	local height in the adelic case, page 35
$h_{\bar{L}_0, \dots, \bar{L}_d}(Y)$	global height, page 36
$h_{\bar{L}_0, \dots, \bar{L}_d}^{\text{tor}}(Y)$	toric local height, page 165
H	linear map of lattices, page 81
H	linear map of vector spaces, page 51
H_i	standard hyperplane of \mathbb{P}^n , page 87
Hom_{gp}	group homomorphisms, page 79
Hom_{sg}	semigroup homomorphisms, page 79
$\mathcal{H}(p)$	field associated to a point of a Berkovich space, page 18
k	residue field of a valuation ring, page 18
\mathbb{K}	adelic field, page 32
\mathbb{K}_v	completion of an adelic field, page 32
K°	valuation ring of a non-Archimedean field, page 18
$K^{\circ\circ}$	maximal ideal of a valuation ring, page 18
$K[M_\sigma]$	semigroup K -algebra of a cone, page 78
$K^\circ[\widetilde{M}_\sigma]$	semigroup K° -algebra of a cone, page 95
$K^\circ[\mathcal{X}_\sigma]$	ring of functions of an affine toric scheme, page 95
$K^\circ[\widetilde{M}_\Lambda]$	semigroup K° -algebra of a polyhedron, page 96
$K^\circ[\mathcal{X}_\Lambda]$	ring of functions of an affine toric scheme, page 96
\mathcal{K}_X	sheaf of rational functions of a scheme, page 78
L	line bundle, page 16
L_Ψ	toric line bundle, page 87
L^{an}	analytification of a line bundle over \mathbb{C} , page 16
L^{an}	Berkovich analytification of a line bundle, page 21
\bar{L}	metrized line bundle (Archimedean case), page 16
\bar{L}	metrized line bundle (non-Archimedean case), page 21
\bar{L}^{can}	toric line bundle with its canonical metric, page 132
L_Λ	linear space associated to a polyhedron, page 72
\mathcal{L}	model of a line bundle, page 22
\mathcal{L}_ϕ	model of a toric line bundle, page 103
$\mathcal{L}f$	Legendre-Fenchel correspondence of a concave function, page 48
m_σ	defining vector of a virtual support function, page 84
$\text{mult}(\Lambda)$	multiplicity of a polyhedron, page 99
\mathfrak{M}	absolute values and weights of an adelic field, page 32
M	lattice dual to N , page 43
$M_{\mathbb{R}}$	vector space dual to $N_{\mathbb{R}}$, page 39
\widetilde{M}	$M \oplus \mathbb{Z}$, page 95
M_σ	semigroup of M associated to a cone, page 78
$M(\sigma)$	dual sublattice associated to a cone, page 79
\widetilde{M}_σ	semigroup of \widetilde{M} associated to a cone, page 95
\widetilde{M}_Λ	semigroup associated to a polyhedron, page 96
$M(\Lambda)$	sublattice associated to a polyhedron in $M_{\mathbb{R}}$, page 72
$M(\Lambda)$	sublattice associated to a polyhedron in $N_{\mathbb{R}}$, page 99

$\widetilde{M}(\Lambda)$	sublattice of \widetilde{M} associated to a polyhedron, page 98
$\mathcal{M}_\mu(f)$	Monge-Ampère measure associated to a concave function and a measure, page 69
$\mathcal{M}_M(f)$	Monge-Ampère measure associated to a concave function and a lattice, page 72
$\mathcal{M}_M(f_1, \dots, f_n)$	mixed Monge-Ampère measure, page 73
$\overline{\mathcal{M}}_M(\psi)$	Monge-Ampère measure on N_Σ , page 139
$\text{MI}_M(g_0, \dots, g_n)$	mixed integral of a family of concave functions, page 74
$\text{MV}_M(Q_1, \dots, Q_n)$	mixed volume of a family of convex sets, page 74
n_v	weight of an absolute value, page 32
N	lattice, page 43
$N_{\mathbb{R}}$	real vector space, page 39
\widetilde{N}	$N \oplus \mathbb{Z}$, page 95
$N(\sigma)$	quotient lattice associated to a cone, page 79
$N(\Lambda)$	quotient lattice of N associated to a polyhedron, page 99
$\widetilde{N}(\Lambda)$	quotient lattice of \widetilde{N} associated to a polyhedron, page 98
N_σ	compactification of $N_{\mathbb{R}}$ with respect to a cone, page 117
N_Σ	compactification of $N_{\mathbb{R}}$ with respect to a fan, page 118
o	special point of S , page 21
$O(\sigma)$	orbit in a toric variety, page 79
$O(\Lambda)$	vertical orbit in a toric scheme, page 98
\mathcal{O}_X	sheaf of algebraic functions of a scheme, page 78
$\mathcal{O}_{X^{\text{an}}}$	sheaf of analytic functions of a complex space, page 16
$\mathcal{O}_{X^{\text{an}}}$	sheaf of analytic functions of a Berkovich space, page 19
$\mathcal{O}(D)$	line bundle associated to a Cartier divisor, page 86
P	lattice dual to Q , page 83
P_f	pairing associated to a concave function, page 46
$\text{Pic}(X)$	Picard group, page 87
\mathcal{P}	spaces of piecewise affine functions, page 63
$\mathcal{P}(\Lambda, \Lambda')$	spaces of piecewise affine functions with given effective domain and stability set, page 63
$\mathcal{P}(\Lambda), \mathcal{P}(\Lambda)_{\mathbb{Z}}$	spaces of piecewise affine functions with given effective domain, page 63
$\overline{\mathcal{P}}$	closure of \mathcal{P} , page 63
$\overline{\mathcal{P}}(\Lambda, \Lambda')$	closure of $\mathcal{P}(\Lambda, \Lambda')$, page 63
$\overline{\mathcal{P}}(\Lambda), \overline{\mathcal{P}}(\Lambda)_{\mathbb{Z}}$	closures of $\mathcal{P}(\Lambda)$ and $\mathcal{P}(\Lambda)_{\mathbb{Z}}$, page 63
Q	saturated sublattice of N , page 82
$\text{rec}(\Pi)$	recession of a polyhedral complex, page 41
$\text{rec}(C)$	recession cone of a convex set, page 39
$\text{rec}(f)$	recession function of a concave function, page 53
$\text{rec}(f)$	recession of a difference of concave functions, page 67
red	reduction map, page 21
$\text{ri}(C)$	relative interior of a convex set, page 39
\mathbb{R}	real line with $-\infty$ added, page 44
s_Ψ	toric section, page 87
s_ϕ	toric section on a toric scheme, page 103
$\text{stab}(f)$	stability set of a concave function, page 44
S	scheme associated to a DRV, page 21

\mathbb{S}	compact torus (Archimedean case), page 120
\mathbb{S}	compact torus (non-Archimedean case), page 20
\mathbb{T}	split algebraic torus, page 77
\mathbb{T}_M	algebraic torus associated to a lattice, page 20
v_τ	smallest nonzero lattice point in a ray, page 87
v_F	integral inner orthogonal vector of a facet, page 72
val	valuation map, page 117
val_K	normalized valuation map, page 117
val_K	valuation map, page 94
vol_L	normalized Haar measure, page 72
$V(\sigma)$	closure of an orbit of a toric variety, page 80
$\mathcal{V}(\sigma)$	horizontal closure of an orbit of a toric scheme, page 98
$V(\Lambda)$	vertical closure of an orbit of a toric scheme, page 98
x_σ	distinguished point of an affine toric variety, page 79
X^{an}	analytification of a variety over \mathbb{C} , page 15
X^{an}	Berkovich space of a scheme, page 18
X_{alg}	algebraic points of a variety, page 20
$X^{\text{an}}(K)$	rational points of a Berkovich space, page 19
$X_{\text{alg}}^{\text{an}}$	algebraic points of a Berkovich space, page 19
X_σ	affine toric variety, page 78
X_Σ	toric variety associated to a fan, page 78
$X_{\Sigma,0}$	principal open subset, page 78
$X_\Sigma(\mathbb{R}_{\geq 0})$	variety with corners associated to a toric variety, page 116
\mathcal{X}	model of a variety, page 21
\mathcal{X}_o	special fiber of a scheme over S , page 21
\mathcal{X}_η	generic fiber of a scheme over S , page 21
\mathcal{X}_σ	affine toric scheme associated to a cone, page 95
\mathcal{X}_Λ	affine toric scheme associated to a polyhedron, page 96
\mathcal{X}_Σ	toric scheme associated to a fan, page 96
\mathcal{X}_Π	toric scheme associated to a polyhedral complex, page 97
$(\mathcal{X}, \mathcal{L}, e)$	model of a variety and a line bundle, page 22
$\mathcal{X}_{\mathfrak{S}}$	toric model associated to a semi-stable model, page 146
$Y_{\Sigma,Q}$	toric subvariety, page 83
$Y_{\Sigma,Q,p}$	translated toric subvariety, page 83
z_Ψ	toric structure, page 87
$Z_{n-1}^{\mathbb{T}}(X_\Sigma)$	group of \mathbb{T} -Weil divisors, page 87
Δ_Ψ	polytope associated to a virtual support function, page 90
Δ^n	standard simplex, page 55
ζ	Gauss point, page 123
η	generic point of S , page 21
Θ_i	set of components of the special fibre of a semi-stable model of \mathbb{P}^1 , page 146
$\vartheta_{L,s}$	roof function, page 167
θ_Σ	injection of the variety with corners in the corresponding analytic toric variety, page 124
ι	inclusion of a saturated sublattice, page 82
ι_C	indicator function of a convex set, page 44

ι_σ	closed immersion of the closure of an orbit into a toric variety, page 80
λ_K	scalar associated to a non-Archimedean local field, page 117
μ	Haar measure on $M_{\mathbb{R}}$, page 69
μ	action of a torus on a toric variety, page 77
μ	moment map, page 118
μ	action of an analytic group on an analytic space, page 120
ξ_V	point associated to an irreducible component of the special fiber, page 22
ϖ	generator of the maximal ideal of a DVR, page 20
π	map from X^{an} to X , page 18
π_σ	projection associated to a cone, page 79
Π	polyhedral complex, page 41
Π^i	set of i -dimensional polyhedra of a complex, page 41
$\Pi(f)$	convex decomposition associated to a concave function, page 46
$\Pi(\sigma)$	star of a cone in a polyhedral complex, page 98
ϱ	morphism of tori, page 80
ϱ_H	morphism of tori induced by H , page 81
ρ_Σ	projection of an analytic toric variety onto a variety with corners, page 116
ς	anti-linear involution defined by a variety over \mathbb{R} , page 18
σ	cone, page 40
σ_F	cone dual to a face, page 61
Σ	fan, page 41
Σ^i	set of i -dimensional cones of a fan, page 41
Σ	rational fan, page 78
Σ_Δ	fan associated to a polytope, page 61
$\Sigma(\sigma)$	star of a cone in a fan, page 80
$\tilde{\Sigma}$	fan in $N_{\mathbb{R}} \times \mathbb{R}_{\geq 0}$, page 95
$\tau_{u_0} f$	translate of a concave function, page 50
ϕ	H-lattice function, page 102
ϕ_g	function on $N_{\mathbb{R}}$ associated to a rational function, page 143
$\phi_{\overline{L},s}, \phi_{\ \cdot\ }$	function on $N_{\mathbb{R}}$ associated to a metrized toric line bundle and section, page 129
φ_H	toric morphism of toric varieties, page 81
$\varphi_{p,H}$	equivariant morphism of toric varieties, page 81
$\Phi_{p,A}$	equivariant morphism of toric schemes, page 101
χ^m	character of \mathbb{T} , page 78
$\psi_{\overline{L},s}, \psi_{\ \cdot\ }$	function on $N_{\mathbb{R}}$ associated to a metrized toric line bundle and section, page 129
Ψ	virtual support function, page 84
Ψ_C	support function of a convex set, page 44
$\Psi(\sigma)$	virtual support function induced on a quotient, page 88
$*$	star product with a peaked point, page 121
$A^* f$	inverse image of a concave function by an affine map, page 51
$A_* g$	direct image of a concave function by an affine map, page 48
C^*	corresponding convex set in a dual decomposition, page 48
$[D]$	Weil divisor associated to a Cartier divisor, page 87

$E \cdot F$	intersection product of two 1-cycles on a surface, page 146
$(\iota \cdot \text{div}(s))$	intersection number of a curve with a divisor, page 24
$\Pi_1 \cdot \Pi_2$	complex of intersections, page 42
$\angle(K, \Lambda)$	angle of a polyhedron at a face, page 60
σ^\perp	orthogonal space of a cone, page 79
σ^\vee	dual of a convex cone, page 60
f^\vee	Legendre-Fenchel dual of a concave function, page 44
H^\vee	dual of a linear map, page 51
λf	left scalar multiplication, page 50
$f\lambda$	right scalar multiplication, page 50
∇f	gradient of a differentiable function, page 45
∂f	sup-differential of a concave function, page 45
$g \circ \partial f$	an $\mathcal{M}(f)$ -measurable function, page 73
$f_1 \boxplus f_2$	sup-convolution of concave functions, page 50
$ \Pi $	support of a convex decomposition, page 40
$ \cdot _v$	absolute value of an adelic field, page 32
$\ \cdot\ $	metric on a line bundle (Archimedean case), page 16
$\ \cdot\ $	metric on a line bundle (non-Archimedean case), page 21
$\ \cdot\ _{\text{can}}$	canonical metric of $\mathcal{O}(1)^{\text{an}}$ (non-Archimedean case), page 25
$\ \cdot\ _{\text{can}}$	canonical metric of $\mathcal{O}(1)^{\text{an}}$ (Archimedean case), page 28
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$\ \cdot\ _{\text{FS}}$	Fubini-Study metric of $\mathcal{O}(1)^{\text{an}}$, page 17
$\ \cdot\ _{\mathcal{X}, \mathcal{L}, e}$	metric induced by a model, page 23
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