# On Cubics and Quartics Through a Canonical Curve

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**Abstract.** We construct families of quartic and cubic hypersurfaces through a canonical curve, which are parametrized by an open subset in a Grassmannian and a Flag variety respectively. Using G. Kempf's cohomological obstruction theory, we show that these families cut out the canonical curve and that the quartics are birational (via a blowing-up of a linear subspace) to quadric bundles over the projective plane, whose Steinerian curve equals the canonical curve.

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## 1. - Introduction

Let C be a smooth nonhyperelliptic curve of genus  $g \ge 4$  defined over the complex numbers, which we consider as an embedded curve  $\iota_{\omega}: C \hookrightarrow \mathbb{P}^{g-1}$  by its canonical linear series  $|\omega|$ . Let  $I = \bigoplus_{n \ge 2} I(n)$  be the graded ideal of the canonical curve. It was classically known (Noether-Enriques-Petri theorem, see e.g. [ACGH] p. 124) that the ideal I is generated by its elements of degree 2, unless C is trigonal or a plane quintic.

It was also classically known how to construct some distinguished quadrics in I(2). We consider a double point of the theta divisor  $\Theta \subset \operatorname{Pic}^{g-1}(C)$ , which corresponds by Riemann's singularity theorem to a degree g-1 line bundle L satisfying  $\dim |L| = \dim |\omega L^{-1}| = 1$  and we observe that the morphism  $\iota_L \times \iota_{\omega L^{-1}} : C \longrightarrow C' \subset |L|^* \times |\omega L^{-1}|^* = \mathbb{P}^1 \times \mathbb{P}^1$  (here C' denotes the image curve) followed by the Segre embedding into  $\mathbb{P}^3$  factorizes through the canonical space  $|\omega|^*$ , i.e.,

$$\begin{array}{ccc} C & \hookrightarrow & |\omega|^* \\ \downarrow & & \downarrow^{\pi} \\ \mathbb{P}^1 \times \mathbb{P}^1 & \hookrightarrow & \mathbb{P}^3. \end{array}$$

where  $\pi$  is projection from a (g-5)-dimensional vertex  $\mathbb{P}V^{\perp}$  in  $|\omega|^*$ . We then

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define the quadric  $Q_L := \pi^{-1}(\mathbb{P}^1 \times \mathbb{P}^1)$ , which is a rank  $\leq 4$  quadric in I(2) and coincides with the projectivized tangent cone at the double point  $[L] \in \Theta$  under the identification of  $H^0(C,\omega)^*$  with the tangent space  $T_{[L]}\operatorname{Pic}^{g-1}(C)$ . The main result, due to M. Green [Gr], asserts that the set of quadrics  $\{Q_L\}$ , when L varies over the double points of  $\Theta$ , linearly spans I(2). From this result one infers a constructive Torelli theorem by intersecting all quadrics  $Q_L$ — at least for C general enough.

The geometry of the theta divisor  $\Theta$  at a double point [L] can also be exploited to produce higher degree elements in the ideal I as follows: we expand in a suitable set of coordinates a local equation  $\theta$  of  $\Theta$  near [L] as  $\theta = \theta_2 + \theta_3 + \ldots$ , where  $\theta_i$  are homogeneous forms of degree i. Having seen that  $Q_L = \operatorname{Zeros}(\theta_2)$ , we denote by  $S_L$  the cubic  $\operatorname{Zeros}(\theta_3) \subset |\omega|^*$ , the osculating cone of  $\Theta$  at [L]. The cubic  $S_L$  has many nice geometric properties: under the blowing-up of the vertex  $\mathbb{P}^{V^{\perp}} \subset S_L$ , the cubic  $S_L$  is transformed into a quadric bundle  $\tilde{S}_L$  over  $\mathbb{P}^1 \times \mathbb{P}^1$  and it was shown by G. Kempf and F.-O. Schreyer [KS] that the Hessian and Steinerian curves of  $\tilde{S}_L$  are  $C' \subset \mathbb{P}^1 \times \mathbb{P}^1$  and  $C \subset |\omega|^*$  respectively, which gives another proof of Torelli's theorem.

In this paper we construct and study distinguished cubics and quartics in the ideal I by adapting the methods of [KS] to rank-2 vector bundles over C. Our construction basically goes as follows (Section 2): we consider a general 3-plane  $W \subset H^0(C,\omega)$  and define the rank-2 vector bundle  $E_W$  as the dual of the kernel of the evaluation map in  $\omega$  of sections of W. The bundle  $E_W$  is stable and admits a theta divisor  $D(E_W)$  in the Jacobian JC. Since  $D(E_W)$  contains the origin  $\mathcal{O} \in JC$  with multiplicity 4, the projectivized tangent cone to  $D(E_W)$  at  $\mathcal{O}$  is a quartic hypersurface in  $\mathbb{P}T_{\mathcal{O}}JC = |\omega|^*$ , denoted by  $F_W$  and which contains the canonical curve. We therefore obtain a rational map from the Grassmannian  $Gr(3, H^0(\omega))$  to the ideal of quartics |I(4)|

(1.1) 
$$\mathbf{F}_4: \operatorname{Gr}(3, H^0(\omega)) \longrightarrow |I(4)|, \qquad W \mapsto F_W.$$

Our main tool to study the tangent cones  $F_W$  is G. Kempf's cohomological obstruction theory [K1], [K2], [KS] which in our set-up leads to a simple criterion (Proposition 4.1) for  $b \in \mathbb{P}T_{\mathcal{O}}JC = |\omega|^*$  to belong to  $F_W$ . We deduce in particular from this criterion that the cubic polar  $P_x(F_W)$  of  $F_W$  with respect to a point  $x \in W^{\perp}$  also contains the canonical curve. Here  $W^{\perp}$  denotes the annihilator of  $W \subset H^0(\omega)$ . We therefore obtain a rational map from the flag variety  $\mathrm{Fl}(3,g-1,H^0(\omega))$  parametrizing pairs (W,x) to the ideal of cubics |I(3)|

(1.2) 
$$\mathbf{F}_3 : \text{Fl}(3, g - 1, H^0(\omega)) \longrightarrow |I(3)|, \quad (W, x) \mapsto P_x(F_W).$$

Our two main results can be stated as follows.

(1) Like the cubic osculating cones  $S_L$ , the quartic tangent cones  $F_W$  transform under the blowing-up of the vertex  $\mathbb{P}W^{\perp} \subset F_W$  into a quadric bundle  $\tilde{F}_W \to \mathbb{P}W^* = \mathbb{P}^2$ . Their Hessian and Steinerian curves are the plane curve

- $\Gamma$ , image under the projection with center  $\mathbb{P}W^{\perp}$ ,  $\pi: C \to \Gamma \subset \mathbb{P}W^*$ , and the canonical curve  $C \subset |\omega|^*$  (Theorem 4.8). This surprising analogy with the osculating cones  $S_L$  remains however unexplained.
- (2) Let us denote by  $|F_4| \subset |I(4)|$  and  $|F_3| \subset |I(3)|$  the linear subsystems spanned by the quartics  $F_W$  and the cubics  $P_x(F_W)$  respectively. Then we show (Theorem 6.1) that both base loci of  $|F_4|$  and  $|F_3|$  coincide with  $C \subset |\omega|^*$ , i.e., the quartics  $F_W$  (resp. the cubics  $P_x(F_W)$ ) cut out the canonical curve.

The starting point of our investigations was the question asked by B. van Geemen and G. van der Geer ([vGvG] page 629) about "these mysterious quartics" which arise as tangent cones to  $2\theta$ -divisors in the Jacobian having multiplicity  $\geq 4$  at the origin. In that paper the authors implicitly conjectured that the base locus of  $|F_4|$  equals C, which was subsequently proved by G. Welters [We]. Our proof follows from the fact that  $|F_4|$  contains all squares of quadrics in |I(2)|.

This paper leaves many questions unanswered (Section 7), like e.g. finding explicit equations of the quartics  $F_W$ , their syzygies, the dimensions of  $|F_3|$  and  $|F_4|$ . The techniques used here also apply when replacing  $|\omega|^*$  by Prymcanonical space  $|\omega\alpha|^*$ , and generalizing rank-2 vector bundles to symplectic bundles.

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## 2. - Some constructions for rank-2 vector bundles with canonical determinant

In this section we briefly recall some known results from [BV], [vGI] and [PP] on rank-2 vector bundles over C.

# **2.1.** – **Bundles** *E* with dim $H^0(C, E) \ge 3$

Let  $W \subset H^0(C,\omega)$  be a 3-plane. We denote by  $[W] \in \operatorname{Gr}(3,H^0(\omega))$  the corresponding point in the Grassmannian and by  $\mathcal{B} \subset \operatorname{Gr}(3,H^0(\omega))$  the codimension 2 subvariety consisting of [W] such that the net  $\mathbb{P}W \subset |\omega|$  has a base point. For  $[W] \notin \mathcal{B}$  we consider (see [vGI] Section 4) the rank-2 vector bundle  $E_W$  defined by the exact sequence

$$(2.1) 0 \longrightarrow E_W^* \longrightarrow \mathcal{O}_C \otimes W \stackrel{ev}{\longrightarrow} \omega \longrightarrow 0.$$

Here  $E_W^*$  denotes the dual bundle of  $E_W$ . We have  $\det E_W = \omega$  and  $W^* \subset H^0(C, E_W)$ . We denote by  $\mathcal{D}$  the effective divisor in  $|\mathcal{O}_{Gr}(g-2)|$  defined by the condition

$$[W] \in \mathcal{D} \iff \dim H^0(C, E_W) \ge 4$$
.

We have the inclusion  $\mathcal{B} \subset \mathcal{D}$ . If  $[W] \notin \mathcal{D}$ , then  $E_W$  is stable ([vGI] Lemma 4.2).

Let  $W^{\perp} \subset H^0(\omega)^* = H^1(\mathcal{O})$  denote the annihilator of  $W \subset H^0(\omega)$ . We call the projective subspace  $\mathbb{P}W^{\perp} \subset |\omega|^*$  the *vertex* and denote by

$$\pi: |\omega|^* \longrightarrow \mathbb{P}W^*, \qquad \pi: C \to \Gamma \subset \mathbb{P}W^*,$$

the projection with center  $\mathbb{P}W^{\perp}$ . Abusing notation we also denote by  $\pi$  a linear lift  $\pi: H^0(\omega)^* \to W^*$ . If  $[W] \notin \mathcal{B}$ , then  $C \cap \mathbb{P}W^{\perp} = \emptyset$  and  $\pi$  restricts to a morphism  $C \to \mathbb{P}W^*$ . Its image is a plane curve  $\Gamma$  of degree 2g-2. We note that  $E_W = \pi^*(T(-1))$ , where T is the tangent bundle of  $\mathbb{P}W^* = \mathbb{P}^2$ .

Conversely any globally generated bundle E with  $\det E = \omega$  is of the form  $E_W$ .

# **2.2.** – **Bundles** *E* with dim $H^0(C, E) > 4$

Following [BV] (see also [PP] Section 5.2) we associate to a bundle E with dim  $H^0(C, E) = 4$  a rank  $\leq 6$  quadric  $Q_E \in |I(2)|$ , which is defined as the inverse image of the Klein quadric under the dual  $\mu^*$  of the exterior product map

$$\mu^* : |\omega|^* \longrightarrow \mathbb{P}(\Lambda^2 H^0(E)^*) \supset Gr(2, H^0(E)^*), \qquad Q_E := (\mu^*)^{-1}(Gr).$$

Composing with the previous construction, we obtain a rational map

$$\alpha: \mathcal{D} \dashrightarrow |I(2)|, \qquad \alpha([W]) = Q_{E_W}.$$

Moreover given a  $Q \in |I(2)|$  with  $\mathrm{rk} Q \leq 6$  and  $\mathrm{Sing}\, Q \cap C = \emptyset$ , it is easily shown that

$$\alpha^{-1}(Q) = \{ [W] \in \mathcal{D} | \mathbb{P}W^{\perp} \subset Q \}.$$

If  $\mathrm{rk}\ Q=6$ , then  $\alpha^{-1}(Q)$  has two connected components, which are isomorphic to  $\mathbb{P}^3$ .

Lemma 2.1. We have  $[W] \notin \mathcal{D}$  if and only if the linear map induced by restricting quadrics to the vertex  $\mathbb{P}W^{\perp}$ 

$$res: I(2) \longrightarrow H^0(\mathbb{P}W^{\perp}, \mathcal{O}(2))$$

is an isomorphism.

PROOF. It is enough to observe that the two spaces have the same dimension and that a nonzero element in ker res corresponds to a  $Q \in |I(2)|$  with  $\mathrm{rk} Q \leq 6$ .  $\square$ 

## **2.3.** – Definition of the quartic $F_W$

We will now define the main object of this paper. Given  $[W] \notin \mathcal{B}$ , we consider the  $2\theta$ -divisor  $D(E_W) \subset JC$  (see e.g. [BV], [vGI], [PP]), whose settheoretical support equals

$$D(E_W) = \{ \xi \in JC | \dim H^0(C, \xi \otimes E_W) > 0 \}.$$

Since  $\operatorname{mult}_{\mathcal{O}} D(E_W) \geq \dim H^0(C, E_W) \geq 3$  and since any  $2\theta$ -divisor is symmetric, the first nonzero term of the Taylor expansion of a local equation of  $D(E_W)$  at the origin  $\mathcal{O}$  is a homogeneous polynomial  $F_W$  of degree 4. The hypersurface in  $|\omega|^* = \mathbb{P}T_{\mathcal{O}}JC$  associated to  $F_W$  is also denoted by  $F_W$ . Here we restrict attention to the case  $\dim H^0(C, E_W) = 3$  or 4. We have

$$F_W := \operatorname{Cone}_{\mathcal{O}}(D(E_W)) \subset |\omega|^*$$
.

The study of the quartics  $F_W$  for  $[W] \in Gr(3, H^0(\omega)) \setminus \mathcal{D}$  is the main purpose of this paper. If  $[W] \in \mathcal{D}$ , the quartics  $F_W$  have already been described in [PP] Proposition 5.12.

Proposition 2.2. If dim  $H^0(C, E_W) = 4$ , then  $F_W$  is a double quadric

$$F_W = Q_{E_W}^2.$$

Since |I(2)| is linearly spanned by rank  $\leq 6$  quadrics (see [PP] Section 5), we obtain the following fact, which will be used in Section 6.

PROPOSITION 2.3. The linear subsystem  $|F_4|$  contains all squares of quadrics in |I(2)|.

Although we will not use that fact, we mention that the rational map (1.1) is given by a linear subsystem  $\Pi \subset |\mathcal{J}_{\mathcal{B}}(g-1)|$ , where  $\mathcal{J}_{\mathcal{B}}$  is the ideal sheaf of the subvariety  $\mathcal{B}$ . If g=4, the inclusion is an equality (see [OPP] Section 6). If g>4, a description of  $\Pi$  is not known.

#### 3. – Kempf's cohomological obstruction theory

In this section we outline Kempf's deformation theory [K1] and apply it to the study of the tangent cones  $F_W$  of the divisors  $D(E_W)$ .

#### 3.1. – Variation of cohomology

Let  $\mathcal{E}$  be a vector bundle over the product  $C \times S$ , where  $S = \operatorname{Spec}(A)$  is an affine neighbourhood of the origin of JC. We restrict attention to the case

$$\mathcal{E} = \pi_C^* E_W \otimes \mathcal{L},$$

for some 3-plane W, and recall that Kempf's deformation theory was applied [K1], [K2], [KS] to the case  $\mathcal{E} = \pi_C^* M \otimes \mathcal{L}$ , for a line bundle M over C. The line bundle  $\mathcal{L}$  denotes the restriction of a Poincaré line bundle over  $C \times JC$  to the neighbourhood  $C \times S$ . The fundamental idea to study the variation of cohomology, i.e., the two upper-semicontinuous functions on S

$$s \mapsto h^0(C \times \{s\}, \mathcal{E} \otimes_A \mathbb{C}_s), \qquad s \mapsto h^1(C \times \{s\}, \mathcal{E} \otimes_A \mathbb{C}_s),$$

where  $\mathbb{C}_s = A/\mathfrak{m}_s$  and  $\mathfrak{m}_s$  is the maximal ideal of  $s \in S$ , is based on the existence of an approximating homomorphism.

THEOREM 3.1 (Grothendieck, [K1] Section 7). Given a family  $\mathcal{E}$  of vector bundles over  $C \times S$ , there exist two flat A-modules F and G of finite type and an A-homomorphism  $\alpha : F \to G$  such that for all A-modules M, we have isomorphisms

$$H^0(C \times S, \mathcal{E} \otimes_A M) \cong \ker(\alpha \otimes_A id_M), \quad H^1(C \times S, \mathcal{E} \otimes_A M) \cong \operatorname{coker}(\alpha \otimes_A id_M).$$

By considering a smaller neighbourhood of the origin, we may assume the A-modules F and G to be locally free (Nakayama's lemma). Moreover ([K1] Lemma 10.2) by restricting further the neighbourhood, we may find an approximating homomorphism  $\alpha: F \to G$  such that  $\alpha \otimes \mathbb{C}_0: F \otimes_A A/\mathfrak{m}_0 \to G \otimes_A A/\mathfrak{m}_0$  is the zero homomorphism.

We apply this theorem to the family  $\mathcal{E} = \pi_C^* E_W \otimes \mathcal{L}$ , for  $[W] \notin \mathcal{D}$ . Since by Riemann-Roch  $\chi(\mathcal{E} \otimes \mathbb{C}_s) = \chi(E_W \otimes \mathcal{L}_s) = 0$ ,  $\forall s \in S$ , and since  $h^0(C, E_W) = 3$ , the local equation f of the divisor

$$D(E_W)_{|S} = \{s \in S : | : h^0(C \times \{s\}, E_W \otimes \mathcal{L}_s) > 0\}$$

is given at the origin  $\mathcal{O}$  by the determinant of a  $3 \times 3$  matrix of regular functions  $f_{ij}$  on S, with  $1 \le i, j \le 3$ , which vanish at  $\mathcal{O}$ , i.e., the A-modules F and G are free and of rank 3. Hence

$$f = \det(f_{ij})$$
.

The linear part of the regular functions  $f_{ij}$  is related to the cup-product as follows ([K1] Lemma 10.3 and Lemma 10.6): let  $\mathfrak{m} = \mathfrak{m}_0$  be the maximal ideal of the origin  $\mathcal{O} \in S$  and consider the exact sequence of A-modules

$$0 \longrightarrow \mathfrak{m}/\mathfrak{m}^2 \longrightarrow A/\mathfrak{m}^2 \longrightarrow A/\mathfrak{m} \longrightarrow 0 \, .$$

After tensoring with  $\mathcal{E}$  over  $C \times S$  and taking cohomology, we obtain a coboundary map

$$H^{0}(C, E_{W}) = H^{0}(C \times \{s\}, \mathcal{E} \otimes_{A} A/\mathfrak{m}) \xrightarrow{\delta} H^{1}(C \times \{s\}, \mathcal{E} \otimes_{A} \mathfrak{m}/\mathfrak{m}^{2})$$
  
=  $H^{1}(C, E_{W}) \otimes \mathfrak{m}/\mathfrak{m}^{2}$ ,

where  $\mathfrak{m}/\mathfrak{m}^2$  is the Zariski cotangent space at  $\mathcal{O}$  to JC. Note that we have a canonical isomorphism  $(\mathfrak{m}/\mathfrak{m}^2)^* \cong H^1(\mathcal{O})$  and that a tangent vector  $b \in H^1(\mathcal{O})$  gives, by composing with the linear form  $l_b : \mathfrak{m}/\mathfrak{m}^2 \to \mathbb{C}$ , a linear map  $\delta_b : H^0(E_W) \to H^1(E_W)$ . As in the line bundle case [K1], one proves

LEMMA 3.2. For any nonzero  $b \in H^1(\mathcal{O}) = T_{\mathcal{O}}JC$ , we have

- 1. The linear map  $\delta_b: H^0(E_W) \to H^1(E_W)$  coincides with the cup-product  $(\cup b)$  with the class b, and is skew-symmetric after identifying  $H^1(E_W)$  with  $H^0(E_W)^*$  (Serre duality).
- 2. The coboundary map  $\delta: H^0(E_W) \to H^1(E_W) \otimes \mathfrak{m}/\mathfrak{m}^2$  is described by a skew-symmetric  $3 \times 3$  matrix  $(x_{ij})$ , with  $x_{ij} \in H^1(\mathcal{O})^*$ . Moreover the linear form  $x_{ij}$  coincides with the differential  $(df_{ij})_0$  of  $f_{ij}$  at the origin  $\mathcal{O}$ .

The coboundary map  $\delta$  induces a linear map

$$\Delta: H^1(\mathcal{O}) \longrightarrow \Lambda^2 H^0(E_W)^*, \quad b \longmapsto \delta_b$$

which coincides with the dual of the multiplication map of global sections of  $E_W$ . Moreover

$$\ker \Delta = W^{\perp} = \{x_{12} = x_{13} = x_{23} = 0\}.$$

Using a flat structure [K2] we can write the power series expansion of the regular functions  $f_{ij}$  around  $\mathcal{O}$ 

$$f_{ij} = x_{ij} + q_{ij} + \dots,$$

where  $x_{ij}$  and  $q_{ij}$  are linear and quadratic polynomials respectively. We easily calculate the expansion of f: by skew-symmetry its cubic term is zero, and its quartic term equals

$$F_W: q_{11}x_{23}^2 + q_{22}x_{13}^2 + q_{33}x_{12}^2 + x_{12}x_{23}(q_{13} + q_{31}) - x_{12}x_{23}(q_{12} + q_{21}) - x_{12}x_{13}(q_{23} + q_{32}).$$

We straightforwardly deduce from this equation the following properties of  $F_W$ .

Proposition 3.3.

- 1. The quartic  $F_W$  is singular along the vertex  $\mathbb{P}W^{\perp}$ .
- 2. For any  $x \in W^{\perp}$ , the cubic polar  $P_x(F_W)$  is singular along the vertex  $\mathbb{P}W^{\perp}$ .

## 3.2. – Infinitesimal deformations of global sections of $E_W$

We first recall some elementary facts on principal parts. Let V be an arbitrary vector bundle over C and let Rat(V) be the space of rational sections of V and p be a point of C. The space of principal parts of V at p is the quotient

$$Prin_p(V) = Rat(V)/Rat_p(V)$$
,

where  $Rat_p(V)$  denotes the space of rational sections of V which are regular at p. Since a rational section of V has only finitely many poles, we have a natural mapping

(3.1)

$$\operatorname{pp}: \operatorname{Rat}(V) \longrightarrow \operatorname{Prin}(V) := \bigoplus_{p \in C} \operatorname{Prin}_p(V), \quad s \longmapsto (s \mod \operatorname{Rat}_p(V))_{p \in C}.$$

Exactly as in the line bundle case ([K1] Lemma 3.3), one proves

Lemma 3.4. There are isomorphisms

$$\ker \operatorname{pp} \cong H^0(C, V), \quad \operatorname{coker} \operatorname{pp} \cong H^1(C, V).$$

In the particular case  $V = \mathcal{O}$ , we see that a tangent vector  $b \in H^1(\mathcal{O}) = T_{\mathcal{O}}JC$  can be represented by a collection  $\beta = (\beta_p)_{p \in I}$  of rational functions  $\beta_p \in \text{Rat}(\mathcal{O})$ , where p varies over a finite set of points  $I \subset C$ . We then define  $pp(\beta) = (\omega_p)_{p \in I} \in \text{Prin}(\mathcal{O})$ , where  $\omega_p$  is the principal part of  $\beta_p$  at p. We denote by  $[\beta] = b$  its cohomology class in  $H^1(\mathcal{O})$ . Note that we can define powers of  $\beta$  by  $\beta^k := (\beta_p^k)_{p \in I}$ .

For  $i \ge 1$ , let  $D_i$  be the infinitesimal scheme  $\operatorname{Spec}(A_i)$ , where  $A_i$  is the Artinian ring  $\mathbb{C}[\epsilon]/\epsilon^{i+1}$ . As explained in [K2] Section 2, a tangent vector  $b \in H^1(\mathcal{O})$  determines a morphism

$$\exp_{i,h}: D_i \longrightarrow JC$$
,

with  $\exp_{i,b}(x_0) = \mathcal{O}$ , where  $x_0$  is the closed point of  $D_i$ . Let  $\mathbb{L}_{i+1}(b)$  denote the pull-back of the Poincaré sheaf  $\mathcal{L}$  under the morphism  $\exp_{i,b} \times id_C$ . Note that we have the following exact sequences

$$(3.2) D_1 \times C: \quad 0 \longrightarrow \epsilon \mathcal{O} \longrightarrow \mathbb{L}_2(b) \longrightarrow \mathcal{O} \longrightarrow 0,$$

$$(3.3) D_2 \times C: 0 \longrightarrow \epsilon^2 \mathcal{O} \longrightarrow \mathbb{L}_3(b) \longrightarrow \mathbb{L}_2(b) \longrightarrow 0.$$

The second arrows in each sequence correspond to the restriction to the subschemes  $\{x_0\} \times C \subset D_1 \times C$  and  $D_1 \times C \subset D_2 \times C$  respectively. As above we choose a representative  $\beta$  of b. Following [K2] Section 2, one shows that the space of global sections  $H^0(C \times D_i, \mathbb{L}_{i+1}(b) \otimes E)$ , with  $E = E_W$  and  $[W] \notin \mathcal{D}$ , is isomorphic to the  $A_i$ -module

(3.4) 
$$V_i(\beta) = \{ f = f_0 + \ldots + f_i \epsilon^i \in \text{Rat}(E) \otimes A_i \text{ such that } f \exp(\epsilon \beta) \text{ is regular } \forall p \in C \}.$$

An element  $f \in V_i(\beta)$  is called an *i*-th order deformation of the global section  $f_0 \in H^0(E)$ . In the case i = 2, the condition  $f \in V_i(\beta)$  is equivalent to the following three elements,

(3.5) 
$$f_0, \quad f_1 + f_0 \beta, \quad f_2 + f_1 \beta + f_0 \frac{\beta^2}{2},$$

being regular at all points  $p \in C$  — for i = 1, we consider the first two elements. Alternatively this means that their classes in Prin(E) are zero. We note that, given two representatives  $\beta = (\beta_p)_{p \in I}$  and  $\beta' = (\beta'_p)_{p \in I'}$  with  $[\beta] = [\beta']$ , the two subspaces  $V_i(\beta)$  and  $V_i(\beta')$  of  $Rat(E) \otimes A_i$  are different and that any rational function  $\varphi \in Rat(\mathcal{O})$  satisfying  $pp(\varphi) = pp(\beta' - \beta)$  induces an isomorphism  $V_i(\beta) \cong V_i(\beta')$ .

We consider a class  $b \in H^1(\mathcal{O}) \setminus W^{\perp}$  and a representative  $\beta$  such that  $[\beta] = b$ . By taking cohomology of (3.2) tensored with E, we observe that a first order deformation of  $f_0$ , i.e., a global section  $f = f_0 + f_1 \epsilon \in V_1(\beta) \cong H^0(C \times D_1, \mathbb{L}_2(b) \otimes E)$  always exists. Since  $\mathrm{rk}(\cup b) = 2$ , the global section  $f_0$  is uniquely determined up to a scalar

$$f_0 \cdot \mathbb{C} = \ker(\cup b : H^0(E) \longrightarrow H^1(E))$$
.

Moreover any two first order deformations of  $f_0$  differ by an element in  $\epsilon H^0(E)$ . We now state a criterion for a tangent vector  $b = [\beta]$  to lie on the quartic tangent cone  $F_W$  in terms of a second order deformation of  $f_0 \in H^0(E)$ .

Lemma 3.5. A cohomology class  $b = [\beta] \in H^1(\mathcal{O}) \setminus W^{\perp}$  is contained in the cone over the quartic  $F_W$  if and only if there exists a global section

$$f = f_0 + f_1 \epsilon + f_2 \epsilon^2 \in V_2(\beta) \cong H^0(C \times D_2, \mathbb{L}_3(b) \otimes E)$$
.

PROOF. The proof is similar to [KS] Lemma 4. We work over the Artinian ring  $A_4$ , i.e.,  $\epsilon^5=0$ . By Theorem 3.1 applied to the family  $\mathbb{L}_5(b)\otimes E$  over  $C\times D_4$ , there exists an approximating homomorphism of  $A_4$ -modules

$$(3.6) A_4^{\oplus 3} \xrightarrow{\varphi} A_4^{\oplus 3},$$

such that  $\ker \varphi_{|D_2} \cong H^0(C \times D_2, \mathbb{L}_3(b) \otimes E)$ ,  $\operatorname{coker} \varphi_{|D_2} \cong H^1(C \times D_2, \mathbb{L}_3(b) \otimes E)$ , and  $\varphi \otimes \mathbb{C}_0 = 0$ . We denote by  $\varphi_{|D_2}$  the homomorphism obtained from (3.6) by projecting to  $A_2$ . Note that any  $A_4$ -module is free. The matrix  $\varphi$  is equivalent to a matrix

$$M := \begin{pmatrix} \epsilon^u & 0 & 0 \\ 0 & \epsilon^v & 0 \\ 0 & 0 & \epsilon^w \end{pmatrix}.$$

Since  $\varphi \otimes \mathbb{C}_0 = 0$ , we have  $u, v, w \geq 1$ . Moreover we can order the exponents so that  $1 \leq u \leq v \leq w$ . It follows from the definition of  $D(E_W)$  as a determinant divisor that the pull-back of  $D(E_W)$  by  $\exp_4 : D_4 \longrightarrow JC$  is given by the equation (in  $A_4$ )

$$\det M = \epsilon^{u+v+w}$$
.

We immediately see that  $b \in F_W$  if and only if  $u + v + w \ge 5$ . Let us now restrict  $\varphi$  to  $D_1$ , i.e., we project (3.6) to  $A_1$ . Since we assume  $b \notin W^{\perp} = \ker \Delta$ , the restriction  $\varphi_{|D_1|}$  is nonzero and by skew-symmetry of rank 2, i.e., u = v = 1 and  $w \ge 2$ . Hence  $b \in F_W$  if and only if  $w \ge 3$ .

On the other hand the  $A_2$ -module  $\ker \varphi_{|D_2} \cong H^0(C \times D_2, \mathbb{L}_3(b) \otimes E)$  has length 2+w. Let  $\mu$  be the multiplication by  $\epsilon^2$  on this  $A_2$ -module. Then by (3.4) the  $A_2$ -module  $\ker \mu$  is isomorphic to the  $A_1$ -module  $H^0(C \times D_1, \mathbb{L}_2(b) \otimes E)$ , which is of length 4, provided  $b \notin W^{\perp}$ . Hence we obtain that  $w \geq 3$  if and only if there exists an  $f \in H^0(C \times D_2, \mathbb{L}_3(b) \otimes E)$  such that  $\mu(f) = \epsilon^2 f_0$ . This proves the lemma.

# 4. – Study of the quartic $F_W$

In this section we prove geometric properties of the quartic  $F_W$ .

#### **4.1.** – Criteria for $b \in F_W$

We now show that the criterion of Lemma 3.5 simplifies to a criterion involving only a first order deformation  $f = f_0 + f_1 \epsilon \in V_1(\beta)$  of  $f_0$ . As above we assume  $b \notin W^{\perp}$ .

First we observe that the rational differential form  $f_1 \wedge f_0$  is independent of the choice of the representative  $\beta$ , i.e.,  $f_1 \wedge f_0$  only depends on the cohomology class  $b = [\beta]$ : suppose we take  $\beta' = (\beta_p \cdot \varphi)_{p \in I}$ , where  $\varphi \in \text{Rat}(\omega)$ . Then  $f_0$  and  $f_1$  transform into  $f_0' = f_0$  and  $f_1' = f_1 + \varphi f_0$ , from which it is clear that  $f_1' \wedge f_0' = f_1 \wedge f_0$ .

Secondly one easily sees that  $f_0 = \pi(b)$  (Section 2.1) and that, under the canonical identification  $\Lambda^2 W^* = \Lambda^2 H^0(E) = W$ , the 2-plane  $H^0(E) \wedge f_0$  coincides with the intersection  $V_b := H_b \cap W$ , where  $H_b$  denotes the hyperplane determined by  $b \in H^1(\mathcal{O})$ .

It follows from these two remarks that, given b and W, the form  $f_1 \wedge f_0$  is well-defined up to a regular differential form in  $V_b \subset W$ .

Proposition 4.1. We have the following equivalence

$$b \in F_W \iff f_1 \wedge f_0 \in H_b$$
.

PROOF. Since  $f_1 \wedge f_0$  does not depend on  $\beta$ , we may choose a  $\beta$  with simple poles at the points  $p \in I$ . By Lemma 3.5 and relation (3.5) we see that  $b \in F_W$  if and only if the cohomology class  $[f_1\beta + f_0\frac{\beta^2}{2}]$  is zero in  $H^1(E)/\text{im}(\cup b)$  — we recall that  $f_1$  is defined up to  $H^0(E)$ .

First we will prove that  $[f_0\frac{\beta^2}{2}] \in \operatorname{im}(\cup b)$ . The commutativity of the upper right triangle of the diagram (see e.g. [K1])

$$H^{0}(E)$$

$$\downarrow \cdot \frac{\beta^{2}}{2} \searrow \cup \left[\frac{\beta^{2}}{2}\right]$$

$$H^{0}(E) \longrightarrow H^{0}(E(2I)) \longrightarrow E(2I)_{|2I} \longrightarrow H^{1}(E)$$

$$\cap \qquad \qquad \cap \qquad \nearrow$$

$$Rat(E) \stackrel{pp}{\longrightarrow} Prin(E)$$

implies that  $[f_0 \frac{\beta^2}{2}] = f_0 \cup [\frac{\beta^2}{2}]$ . Moreover the skew-symmetric cup-product map  $\cup b$ 

$$\cup b = \wedge \overline{b} : H^0(E) = W^* \longrightarrow H^1(E) = W = \Lambda^2 W^*$$

identifies with the exterior product  $\wedge \overline{b}$ , where  $\overline{b} = \pi(b) \in W^*$ . It is clear that  $\operatorname{im}(\cup b) = \operatorname{im}(\wedge \overline{b}) = \ker(\wedge \overline{b})$ , where  $\wedge \overline{b}$  also denotes the linear form

$$(4.1) \qquad \qquad \wedge \overline{b} : \Lambda^2 W^* \longrightarrow \Lambda^3 W^* \cong \mathbb{C}.$$

As already observed, we have  $f_0 = \overline{b}$ . Denoting by  $c \in W^*$  the class  $\pi(\lceil \frac{\beta^2}{2} \rceil)$ , we see that the relation  $(f_0 \wedge c) \wedge \overline{b} = \overline{b} \wedge c \wedge \overline{b} = 0$  implies that  $f_0 \cup \lceil \frac{\beta^2}{2} \rceil \in \ker(\wedge \overline{b}) = \operatorname{im}(\cup b)$ .

Therefore the previous condition simplifies to  $[f_1\beta] \in \operatorname{im}(\cup b)$ . We next observe that the linear form  $\wedge \overline{b}$  on  $H^1(E)$  (4.1) identifies with the exterior product map

$$H^1(E) \stackrel{\wedge f_0}{\longrightarrow} H^1(\omega) \cong \mathbb{C}$$
.

Since we have a commutative diagram

$$f_{1} \in H^{0}(E(I)) \xrightarrow{\cdot \beta} \operatorname{Prin}(E) \longrightarrow H^{1}(E)$$

$$\downarrow \wedge f_{0} \qquad \downarrow \wedge f_{0}$$

$$f_{1} \wedge f_{0} \in H^{0}(\omega) \xrightarrow{\cdot \beta} \operatorname{Prin}(\omega) \longrightarrow H^{1}(\omega),$$

and since  $f_1 \wedge f_0 \in H^0(\omega) \subset \text{Rat}(\omega)$ , we easily see that the condition  $[f_1\beta] \in \text{im}(\cup b)$  is equivalent to  $f_1 \wedge f_0 \in H_b = \text{ker}(\cup b : H^0(\omega) \longrightarrow H^1(\omega))$ .

In the following proposition we give more details on the element  $f_1 \wedge f_0 \in H^0(\omega)$ . We additionally assume that  $\pi(b) \notin \Gamma$ , which implies that the global section  $f_0 \in H^0(E)$  does not vanish at any point and hence determines an exact sequence

$$(4.2) 0 \longrightarrow \mathcal{O} \xrightarrow{f_0} E \xrightarrow{\wedge f_0} \omega \longrightarrow 0.$$

The coboundary map of the associated long exact sequence

$$(4.3) \qquad \cdots \longrightarrow H^0(\omega) \xrightarrow{\cup e} H^1(\mathcal{O}) \longrightarrow \cdots$$

is symmetric and coincides (e.g. [K1] Corollary 6.8) with cup-product  $\cup e$  with the extension class  $e \in \mathbb{P}H^1(\omega^{-1}) = |\omega^2|^*$ . Moreover  $\cup e$  is the image of e under the dual of the multiplication map

$$(4.4) H1(\omega-1) = H0(\omega2)^* \hookrightarrow \operatorname{Sym}^2 H0(\omega)^*, \quad e \longmapsto \cup e.$$

We note that  $\operatorname{corank}(\cup e) = 2$  and that  $\ker(\cup e) = V_b$ . Hence  $(f_1 \wedge f_0) \cup e$  is well-defined.

PROPOSITION 4.2. If  $\pi(b) \notin \Gamma$ , then  $f_1 \wedge f_0 \notin \ker(\cup e)$  and we have (up to a nonzero scalar)

$$(f_1 \wedge f_0) \cup e = b \in H^1(\mathcal{O}).$$

PROOF. We keep the notation of the previous proof. The condition  $f_1 \wedge f_0 \in V_b$  implies that  $f_1$  is a regular section and, by (3.5), that  $f_0$  vanishes at the support of b, i.e.,  $\pi(b) \in \Gamma$ . As for the equality of the proposition, we introduce the rank-2 vector bundle  $\hat{E}$  which is obtained from E by (positive) elementary transformations at the points  $p \in I$  and with respect to the line in  $E_p$  spanned by the nonzero vector  $f_0(p)$ . Then we have  $E \subset \hat{E} \subset E(I)$  and  $\hat{E}$  fits into the exact sequence

$$0 \longrightarrow E \longrightarrow \hat{E} \longrightarrow \mathcal{O}_I \longrightarrow 0$$
.

Moreover  $f_1 \in H^0(\hat{E})$ , which follows from condition (3.5). We also have the following exact sequences

$$0 \longrightarrow \mathcal{O}(I) \longrightarrow \hat{E} \xrightarrow{\wedge f_0} \omega \longrightarrow 0 \qquad (\hat{e})$$

$$\cup \qquad \cup \qquad \parallel$$

$$0 \longrightarrow \mathcal{O} \xrightarrow{f_0} E \xrightarrow{\wedge f_0} \omega \longrightarrow 0 \qquad (e).$$

and the extension class  $\hat{e} \in H^1(\omega^{-1}(D))$  is obtained from e by the canonical projection  $H^1(\omega^{-1}) \to H^1(\omega^{-1}(I))$ . Taking the associated long exact sequences, we obtain

$$f_1 \in H^0(\hat{E}) \xrightarrow{\wedge f_0} H^0(\omega) \xrightarrow{\cup \hat{e}} H^1(\mathcal{O}(I))$$

$$\cup \qquad \qquad | \qquad \uparrow \quad \pi_I$$

$$H^0(E) \xrightarrow{\wedge f_0} H^0(\omega) \xrightarrow{\cup e} H^1(\mathcal{O}),$$

where the two squares commute. This means that

$$\pi_I((f_1 \wedge f_0) \cup e) = (f_1 \wedge f_0) \cup \hat{e} = 0.$$

Since  $f_1 \wedge f_0$  does not depend on  $\beta$  (nor on I), the latter relation holds for any I with  $I = \operatorname{supp} \beta$ . Hence, denoting by  $\langle I \rangle$  the linear span in  $|\omega|^*$  of the support I of  $\beta$ , we obtain

$$(f_1 \wedge f_0) \cup e \in \bigcap_{I = \operatorname{supp} \beta} \ker \pi_I = \bigcap_{b \in \langle I \rangle} \langle I \rangle = b.$$

# **4.2.** – Geometric properties of $F_W$

PROPOSITION 4.3. For any  $[W] \notin \mathcal{D}$  we have the following

- 1. The quartic  $F_W$  contains the canonical curve C, i.e.,  $F_W \in |I(4)|$ .
- 2. The quartic  $F_W$  contains the secant line  $\overline{pq}$ , with  $p \neq q$ , if and only if  $\overline{pq} \cap \mathbb{P}W^{\perp} \neq \emptyset$  or dim  $W \cap H^0(\omega(-2p-2q)) > 0$ .
- 3. Let  $\Sigma$  be the set of points p at which the tangent line  $\mathbb{T}_p(C)$  intersects the vertex  $\mathbb{P}W^{\perp}$ . Then  $\Sigma$  is empty for general [W] and finite for any [W]. Moreover any point  $p \in C \setminus \Sigma$  is smooth on  $F_W$  and the embedded tangent space  $\mathbb{T}_p(F_W)$  is the linear span of  $\mathbb{T}_p(C)$  and  $\mathbb{P}W^{\perp}$ .

PROOF. All statements are easily deduced from Proposition 4.1. Given a point  $p \in C$  we denote by  $\mathfrak{p}_p \in \operatorname{Prin}_p(\mathcal{O})$  the principal part supported at p of a rational function with a simple pole at p. Then the class  $[\mathfrak{p}_p] \in H^1(\mathcal{O})$  is proportional to  $i_\omega(p) \in |\omega|^* = \mathbb{P}H^1(\mathcal{O})$  and the section  $f_0$  vanishes at p. Hence  $f_0\mathfrak{p}_p \in \operatorname{Prin}(E)$  is everywhere regular and we may choose  $f_1 = 0$ . This proves part 1. See also [PP].

As for part 2, we introduce  $\beta_{\lambda,\mu} = \lambda \mathfrak{p}_p + \mu \mathfrak{p}_q \in \operatorname{Prin}(\mathcal{O})$  for  $\lambda, \mu \in \mathbb{C}$  and denote by  $s_p$  and  $s_q$  the global sections  $\pi([\mathfrak{p}_p])$  and  $\pi([\mathfrak{p}_q])$ , which vanish at p and q respectively. Then one checks that  $f_0 = \lambda s_p + \mu s_q \in \ker(\cup [\beta_{\lambda,\mu}])$  and  $\operatorname{pp}(f_1) = \lambda \mu(s_q \mathfrak{p}_p + s_p \mathfrak{p}_q) \in \operatorname{Prin}(E)$ . With this notation the condition of Proposition 4.1 transforms into

$$(4.5) 0 = l_{\lambda,\mu}(f_0 \wedge f_1) = \lambda \mu(\lambda^2 \gamma_p + \mu^2 \gamma_q),$$

where  $l_{\lambda,\mu}$  is the linear form defined by  $[\beta_{\lambda,\mu}] \in H^1(\mathcal{O})$ . The scalars  $\gamma_p$  and  $\gamma_q$  are the values of the section  $s_p \wedge s_q \in W \cap H^0(\omega(-p-q))$  at p and q respectively. We now conclude noting that  $s_p \wedge s_q = 0$  if and only if  $\overline{pq} \cap \mathbb{P}W^{\perp} \neq \emptyset$ .

As for part 3, we first observe that the assumption  $\Sigma = C$  implies that the restriction  $\pi_{|C|}: C \to \mathbb{P}W^*$  contracts C to a point, which is impossible. Next we consider the tangent vector  $t_q$  at p given by the direction q. By putting  $\lambda = 1$  and  $\mu = \epsilon$ , with  $\epsilon^2 = 0$ , into equation (4.5) we obtain that  $t_q \in \mathbb{T}_p(F_W)$  if and only if  $\epsilon \gamma_p = 0$ , i.e.,  $\pi(q) \in \mathbb{T}_{\pi(p)}(\Gamma)$ . Hence  $\mathbb{T}_p(F_W) = \pi^{-1}(\mathbb{T}_{\pi(p)}(\Gamma))$ , which proves part 3.

# **4.3.** – The cubic polar $P_x(F_W)$

Firstly we deduce from Propositions 4.1 and 4.2 a criterion for  $b \in P_x(F_W)$ , with  $x \in W^{\perp}$ . Let  $H_x$  be the hyperplane determined by  $x \in H^1(\mathcal{O})$ . As above we assume  $b \notin W^{\perp}$  and  $\pi(b) \notin \Gamma$ , i.e., the pencil  $V = V_b$  is base-point-free.

Proposition 4.4. We have the following equivalence

$$b \in P_x(F_W) \iff f_1 \land f_0 \in H_x$$
.

PROOF. We recall from Section 4.1 that  $\cup e$  induces a symmetric isomorphism  $\cup e: (V^{\perp})^* \xrightarrow{\sim} V^{\perp}$  and we denote by  $Q^* \subset \mathbb{P}(V^{\perp})^*$  and  $Q \subset \mathbb{P}V^{\perp}$  the two associated smooth quadrics. Note that Q and  $Q^*$  are dual to each other. Combining Propositions 4.1, 4.2 and 3.3 (1) we see that the restriction of the quartic  $F_W$  to the linear subspace  $\mathbb{P}V^{\perp} \subset |\omega|^*$  splits into a sum of divisors

$$(F_W)_{|\mathbb{P}V^{\perp}} = 2\mathbb{P}W^{\perp} + Q.$$

We also observe that Q only depends on V (and on W) and not on b. Taking the polar with respect to  $x \in W^{\perp}$ , we obtain

$$(P_x(F_W))_{|\mathbb{P}V^{\perp}} = 2\mathbb{P}W^{\perp} + P_x(Q).$$

Finally we see that the condition  $b \in P_x(Q)$  is equivalent to  $f_0 \wedge f_1 = (\cup e)^{-1}(b) \in H_x$ .

We easily deduce from this criterion some properties of  $P_x(F_W)$ .

PROPOSITION 4.5. The cubic  $P_x(F_W)$  contains the canonical curve C, i.e.,  $P_x(F_W) \in |I(3)|$ .

PROOF. We first observe that the two closed conditions of Proposition 4.4 are equivalent outside  $\pi^{-1}(\Gamma)$ . Hence they coincide as well on  $\pi^{-1}(\Gamma)$  and we can drop the assumption  $\pi(b) \notin \Gamma$ . Now, as in the proof of Proposition 4.3 (1), we may choose  $f_1 = 0$ .

Proposition 4.6. We have the following properties

$$\bigcap_{x \in W^{\perp}} P_x(F_W) = S_W \cup \mathbb{P}W^{\perp} \cup \bigcup_{n \geq 2} \Lambda_n ,$$

$$F_W \cap S_W = C \cup \Lambda_1, \quad and \quad \Lambda := \bigcup_{n \geq 0} \Lambda_n \subset F_W ,$$

where  $S_W$  is an irreducible surface. For  $n \ge 0$ , we denote by  $\Lambda_n$  the union of (n+1)-secant  $\mathbb{P}^n$ 's to the canonical curve C, which intersect the vertex  $\mathbb{P}W^{\perp}$  along a  $\mathbb{P}^{n-1}$ . If W is general, then  $\Lambda_n = \emptyset$  for  $n \ge 2$  and  $\Lambda_1$  is the union of 2(g-1)(g-3) secant lines.

PROOF. We consider b in the intersection of all  $P_x(F_W)$  and we first suppose that  $\pi(b) \notin \Gamma$ . Then by Propositions 4.1 and 4.4 we have

$$f_0 \wedge f_1 \in \bigcap_{x \in W^{\perp}} H_x = W.$$

Hence we obtain that  $\mathbb{P}V^{\perp} \cap \bigcap_{x \in W^{\perp}} P_x(F_W)$  is reduced to the point  $(\cup e)(W) \in \mathbb{P}V^{\perp}$ . On the other hand a standard computation shows that  $S_W$  is the image of  $\mathbb{P}^2$  under the linear system of the adjoint curves of  $\Gamma$ . Hence  $S_W$  is irreducible.

If  $\pi(b) \in \Gamma$ , we denote by  $p_1, \ldots, p_{n+1} \in C$  the points such that  $\pi(p_i) = \pi(b)$ . Then  $f_0$  vanishes at  $p_1, \ldots, p_{n+1}$ . Since  $f_1 \wedge f_0$  does not depend on the support of b, we can choose supp b such that  $p_i \notin \text{supp } b$ . Then  $f_1$  is regular at  $p_i$  and we deduce that  $f_1 \wedge f_0 \in H^0(\omega(-\sum p_i)) \cap W = V_b$ . Now any rational  $f_1$  satisfying  $f_1 \wedge f_0 \in V_b = \text{im}(\wedge f_0)$  is regular everywhere, which can only happen when  $f_0$  vanishes at the support of b. By uniqueness we have supp  $b \subset \{p_1, \ldots, p_{n+1}\}$  and  $b \in \Lambda_n$ . Note that  $\Lambda_0 = C$ . This proves the first equality.

If  $b \in F_W \cap S_W$ , we have  $f_1 \wedge f_0 \in W \cap H_b = V_b$  and we conclude as above. Note that  $\Lambda_1$  is contained in  $S_W$  and is mapped by  $\pi$  to the set of ordinary double points of  $\Gamma$ .

For any  $[W] \in Gr(3, H^0(\omega)) \setminus \mathcal{D}$  we introduce the subspace of I(3)

$$L_W = \{R \in I(3) | R \text{ is singular along the vertex } \mathbb{P}W^{\perp}\}.$$

Then Propositions 4.5 and 3.3 (2) imply that  $P_x(F_W) \in L_W$ . More precisely, we have

Proposition 4.7. The restriction of the polar map of the quartic  $F_W$  to its vertex  $\mathbb{P}W^{\perp}$ 

$$\mathbf{P}: W^{\perp} \longrightarrow L_W, \quad x \longmapsto P_x(F_W),$$

is an isomorphism.

PROOF. First we show that dim  $L_W = g - 3$ . We choose a complementary subspace A to  $W^{\perp}$ , i.e.,  $H^0(\omega)^* = W^{\perp} \oplus A$ , and a set of coordinates  $x_1, \ldots, x_{g-3}$  on  $W^{\perp}$  and  $a_1, a_2, a_3$  on A. This enables us to expand a cubic  $F \in S^3H^0(\omega)$ 

$$F = F_3(x) + F_2(x)G_1(a) + F_1(x)G_2(a) + G_3(a),$$
  

$$F_i \in \mathbb{C}[x_1, \dots, x_{g-3}], G_i \in \mathbb{C}[a_1, a_2, a_3],$$

with deg  $F_i = \deg G_i = i$ . Let  $S_A$  denote the subspace of cubics singular along  $\mathbb{P}A$ , i.e.  $G_2 = G_3 = 0$ . We consider the linear map

$$\alpha: I(3) \longrightarrow \mathcal{S}_A, \quad F \longmapsto F_3(x) + F_2(x)G_1(a).$$

Since by Lemma 2.1 any monomial  $x_ix_j \in H^0(\mathbb{P}W^\perp, \mathcal{O}(2))$  lifts to a quadric  $Q_{ij} \in I(2)$ , we observe that the monomials  $x_ix_jx_k$  and  $x_ix_ja_l$ , which generate  $\mathcal{S}_A$ , also lift e.g. to  $Q_{ij}x_k$  and  $Q_{ij}a_l$  in I(3). Hence  $\alpha$  is surjective and  $\dim L_W = \dim \ker \alpha$  is easily calculated. One also checks that this computation does not depend on A.

In order to conclude, it will be enough to show that  $\mathbf{P}$  is injective. Suppose that the contrary holds, i.e., there exists a point  $x \in W^{\perp}$  with  $P_x(F_W) = 0$ . Given any base-point-free pencil  $V \subset W$  and any  $b \in V^{\perp}$ , we obtain by Proposition 4.4 that  $f_0 \wedge f_1 \in H_x$ . Since  $\bigcup e : (V^{\perp})^* \stackrel{\sim}{\longrightarrow} V^{\perp}$  is an isomorphism, we see that for  $b \notin (\bigcup e)^{-1}(H_x)$  the element  $f_0 \wedge f_1$  must be zero. This implies that  $b \in \Lambda$  and since b varies in an open subset of  $|\omega|^*$ , we obtain  $\Lambda = |\omega|^*$ , a contradiction.

## 4.4. – The quadric bundle associated to $F_W$

Let  $\tilde{\mathbb{P}}_W^{g-1} \to |\omega|^*$  denote the blowing-up of  $|\omega|^*$  along the vertex  $\mathbb{P}W^\perp \subset |\omega|^*$ . The rational projection  $\pi: |\omega|^* \dashrightarrow \mathbb{P}^2 = \mathbb{P}W^*$  resolves into a morphism  $\tilde{\pi}: \tilde{\mathbb{P}}_W^{g-1} \to \mathbb{P}^2$ . Since  $F_W$  is singular along  $\mathbb{P}W^\perp$  (Proposition 3.3 (2)), the proper transform  $\tilde{F}_W \subset \tilde{\mathbb{P}}_W^{g-1}$  admits a structure of a quadric bundle  $\tilde{\pi}: \tilde{F}_W \to \mathbb{P}^2$ 

The contents of Propositions 4.3 and 4.5 can be reformulated in a more geometrical way.

Theorem 4.8. For any  $[W] \in Gr(3, H^0(\omega)) \setminus \mathcal{D}$ , the quadric bundle  $\tilde{\pi} : \tilde{F}_W \to \mathbb{P}^2$  has the following properties

- 1. Its Hessian curve is  $\Gamma \subset \mathbb{P}^2$ .
- 2. Its Steinerian curve is the (proper transform of the) canonical curve  $C \subset |\omega|^*$ .
- 3. The rational Steinerian map St:  $\Gamma \longrightarrow C$ , which associates to a singular quadric its singular point, coincides with the adjoint map ad of the plane curve  $\Gamma$ . Moreover the closure of the image  $ad(\mathbb{P}^2)$  equals  $S_W$ .

Remark 4.9. We note that Theorem 4.8 is analogous to the main result of [KS] (replace  $\mathbb{P}^2$  with  $\mathbb{P}^1 \times \mathbb{P}^1$ ). In spite of this striking similarity and the relation between the two parameter spaces Sing and  $\operatorname{Gr}(3, H^0(\omega))$  (see [PP]), we were unable to find a common frame for both constructions.

# 5. – The cubic hypersurface $\Psi_V\subset \mathbb{P}^{g-3}$ associated to a base-point-free pencil $\mathbb{P} V\subset |\omega|$

In this section we show that the symmetric cup-product maps  $\cup e \in \operatorname{Sym}^2 H^0(\omega)^*$  (see (4.3)) arise as polar quadrics of a cubic hypersurface  $\Psi_V$ , which will be used in the proof of Theorem 6.1.

Let V denote a base-point-free pencil of  $H^0(\omega)$ . We consider the exact sequence given by evaluation of sections of V

$$(5.1) 0 \longrightarrow \omega^{-1} \longrightarrow \mathcal{O}_C \otimes V \stackrel{ev}{\longrightarrow} \omega \longrightarrow 0.$$

Its extension class  $v \in \operatorname{Ext}^1(\omega, \omega^{-1}) \cong H^1(\omega^{-2}) \cong H^0(\omega^3)^*$  corresponds to the hyperplane in  $H^0(\omega^3)$ , which is the image of the multiplication map

(5.2) 
$$\operatorname{im}(V \otimes H^{0}(\omega^{2}) \longrightarrow H^{0}(\omega^{3})).$$

We consider the cubic form  $\Psi_V$  defined by

$$\Psi_V : \operatorname{Sym}^3 H^0(\omega) \xrightarrow{\mu} H^0(\omega^3) \xrightarrow{\bar{v}} \mathbb{C}$$
,

where  $\mu$  is the multiplication map and  $\bar{v}$  the linear form defined by the extension class v. It follows from the description (5.2) that  $\Psi_V$  factorizes through the quotient

$$\Psi_V : \operatorname{Sym}^3 \mathcal{V} \longrightarrow \mathbb{C},$$

where  $\mathcal{V}:=H^0(\omega)/V$ . We also denote by  $\Psi_V\subset\mathbb{P}\mathcal{V}$  its associated cubic hypersurface.

A 3-plane  $W\supset V$  determines a nonzero vector w in the quotient  $\mathcal{V}=H^0(\omega)/V$  and a general w determines an extension (4.2) — recall that  $W^*\cong H^0(E)$ . Hence we obtain an injective linear map  $\mathcal{V}\hookrightarrow H^1(\omega^{-1}), w\mapsto e$ , which we compose with (4.4)

$$\Phi: \mathcal{V} \hookrightarrow H^1(\omega^{-1}) = H^0(\omega^2)^* \hookrightarrow \operatorname{Sym}^2 H^0(\omega)^*, \qquad w \mapsto e \mapsto \cup e.$$

Since  $V \subset \ker(\cup e)$ , we note that  $\operatorname{im} \Phi \subset \operatorname{Sym}^2 \mathcal{V}^*$ .

We now can state the main result of this section.

Proposition 5.1. The linear map  $\Phi: \mathcal{V} \to \operatorname{Sym}^2\mathcal{V}^*$  coincides with the polar map of the cubic form  $\Psi_V$ , i.e.,

$$\forall w \in \mathcal{V}, \qquad \Phi(w) = P_w(\Psi_V).$$

PROOF. This is straightforwardly read from the diagram obtained by relating the exact sequences (5.1) and (2.1) via the inclusion  $V \subset W$ . We leave the details to the reader.

We also observe that, by definition of the Hessian hypersurface (see e.g. [DK] Section 3), we have an equality among degree g-2 hypersurfaces of  $\mathbb{P}\mathcal{V} = \mathbb{P}^{g-3}$ 

(5.3) 
$$\operatorname{Hess}(\Psi_V) = \mathcal{D} \cap \mathbb{P}V,$$

where we use the inclusion  $\mathbb{P}\mathcal{V} \subset \operatorname{Gr}(3, H^0(\omega))$ .

Remark 5.2. We recall (see [DK] (5.2.1)) that the Hessian and Steinerian of a cubic hypersurface coincide and that the Steinerian map is a rational involution i. In the case of the cubic  $\Psi_V$ , the involution

$$i: \operatorname{Hess}(\Psi_V) \dashrightarrow \operatorname{Hess}(\Psi_V)$$

corresponds to the involution of [BV] Propositions 1.18 and 1.19, i.e.,  $\forall w \in \mathcal{D} \cap \mathbb{P}\mathcal{V}$ , the bundles  $E_w$  and  $E_{i(w)}$  are related by the exact sequence

$$0 \longrightarrow E_{i(w)}^* \longrightarrow \mathcal{O}_C \otimes H^0(E_w) \xrightarrow{ev} E_w \longrightarrow 0.$$

Since we will not use that result, we leave its proof to the reader.

Remark 5.3. The construction which associates to a base-point-free pencil  $V \subset H^0(\omega)$  the extension class  $v \in |\omega^3|^*$  induces a rational map

$$Gr(2, H^0(\omega)) \longrightarrow |\omega^3|^*, \qquad V \longmapsto v.$$

It is worthwhile to investigate the possible relations between that map and the Wahl map

$$\operatorname{Gr}(2, H^0(\omega)) \longrightarrow |\omega^3|, \qquad V = \langle s, t \rangle \longmapsto t^{\otimes 2} d(s/t).$$

# **6.** - Base loci of $|F_3|$ and $|F_4|$

Let us denote by  $|F_3| \subset |I(3)|$  and  $|F_4| \subset |I(4)|$  the linear subsystems spanned by the image of the rational maps  $\mathbf{F}_3$  and  $\mathbf{F}_4$  respectively. Then we have the following

THEOREM 6.1. The base loci of  $|F_3|$  and  $|F_4|$  coincide with the canonical curve  $C \subset |\omega|^*$ .

PROOF. Let  $b \in \operatorname{Bs} |F_3|$  and let us suppose that  $b \notin C$ . We consider a base-point-free pencil  $V \subset H_b$ . With the notation of section 5, we introduce the rational map

$$r_b: \mathbb{P}\mathcal{V} \dashrightarrow \mathbb{P}\mathcal{V}, \qquad w \mapsto r_b(w) = w', \qquad \text{with } \tilde{\Psi}_V(w, w', \cdot) = b,$$

where  $\tilde{\Psi}_V$  is the symmetric trilinear form of  $\Psi_V$ . We note (Proposition 4.2) that, for  $w \notin \mathbb{P}(H_b/V)$ , the element  $r_b(w)$  is collinear with the nonzero element  $f_0 \wedge f_1 \mod V$  and that  $r_b$  is defined away from the hypersurface  $\operatorname{Hess}(\Psi_V)$ , which we assume to be nonzero. Since  $b \in \operatorname{Bs}|F_3|$  we obtain by Proposition 4.4 that

$$r_b(w) = \left(\bigcap_{x \in W^{\perp}} H_x\right) \mod V = W \mod V = w.$$

Hence  $r_b$  is the identity map (away from  $\operatorname{Hess}(\Psi_V)$ ). This implies that  $\tilde{\Psi}_V(w,w,\cdot)=b$  for any  $w\in\mathbb{P}\mathcal{V}$ , hence  $\Psi_V=x_0^3$ , where  $x_0$  is the equation of the hyperplane  $\mathbb{P}(H_b/V)\subset\mathbb{P}\mathcal{V}$ . This in turn implies that  $\operatorname{Hess}(\Psi_V)=0$ , i.e.,  $\mathbb{P}\mathcal{V}\subset\mathcal{D}$ . Since for a general  $[W]\in\operatorname{Gr}(3,H^0(\omega))$  the pencil  $V=W\cap H_b$  is base-point-free, we obtain that a general [W] lies on the divisor  $\mathcal{D}$ , which is a contradiction.

As for  $|F_4|$ , we recall that the fact Bs  $|F_4| = C$  follows from [We]. Alternatively, it can also be deduced by noticing (see Proposition 2.3) that Bs  $|F_4| \subset \text{Bs} |I(2)|$ . Hence, if C is not trigonal nor a plane quintic, we are done. In the other cases, the result can be deduced from Proposition 4.3 — we leave the details to the reader.

#### 7. – Open questions

#### 7.1. – Dimensions

The projective dimensions of the linear systems  $|F_3|$  and  $|F_4|$  are not known for general g. The known values of dim  $|F_4|$  for a general curve C are given as follows (see [PP]).

g	4	5	6	7
$\dim  F_4 $	4	15	40	88

The examples of [PP] section 6 show that  $\dim |F_4|$  depends on the gonality of C. Moreover it can be shown that  $|F_4| \neq |I(4)|$ .

# 7.2. – Prym-canonical spaces and symplectic bundles

The construction of the quartic hypersurfaces  $F_W$  admits various analogues and generalizations, which we briefly outline.

(1) Let  $P_{\alpha} := \operatorname{Prym}(C_{\alpha}/C)$  denote the Prym variety of the étale double cover  $C_{\alpha} \to C$  associated to the nonzero 2-torsion point  $\alpha \in JC$ . Given a general 3-plane  $Z \subset H^0(C, \omega\alpha)$ , we associate the rank-2 vector bundle  $E_Z$  defined by

$$0 \longrightarrow E_Z^* \longrightarrow \mathcal{O}_C \otimes Z \xrightarrow{ev} \omega\alpha \longrightarrow 0.$$

By [IP] Proposition 4.1 we can associate to  $E_Z$  the divisor  $\Delta(E_Z) \in |2\Xi|$ , where  $\Xi$  is a symmetric principal polarization on  $P_\alpha$ . Its projectivized tangent cone at the origin  $0 \in P_\alpha$  is a quartic hypersurface  $F_Z$  in the Prym-canonical space  $\mathbb{P}T_0P_\alpha \cong |\omega\alpha|^*$ . Kempf's obstruction theory equally applies to the quartics  $F_Z$ . We note that  $F_Z$  contains the Prym-canonical curve  $i_{\omega\alpha}(C) \subset |\omega\alpha|^*$ .

(2) Let W be a vector space of dimension 2n + 1, for  $n \ge 1$ . We consider a *general* linear map

$$\Phi: \Lambda^2 W^* \longrightarrow H^0(C, \omega).$$

By taking the *n*-th symmetric power  $\operatorname{Sym}^n \Phi$  and using the canonical maps  $\operatorname{Sym}^n(\Lambda^2 W^*) \to \Lambda^{2n} W^* \cong W$  and  $\operatorname{Sym}^n H^0(\omega) \to H^0(\omega^{\otimes n})$ , we obtain a linear map

$$\alpha: W \longrightarrow H^0(\omega^{\otimes n}),$$

which we assume to be injective. We then define the rank 2n vector bundle  $E_{\Phi}$  by

$$0 \longrightarrow E_{\Phi}^* \longrightarrow \mathcal{O}_C \otimes W \stackrel{ev}{\longrightarrow} \omega^{\otimes n} \longrightarrow 0.$$

The bundle  $E_{\Phi}$  carries an  $\omega$ -valued symplectic form and the projectivized tangent cone at  $\mathcal{O} \in JC$  to the divisor  $D(E_{\Phi})$  is a hypersurface  $F_{\Phi}$  in  $|\omega|^*$  of degree 2n+2. Moreover  $F_{\Phi} \in |I(2n+2)|$ .

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