

## Complements of the Socle in Almost Simple Groups.

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Assume that a finite group  $H$  has a unique minimal normal subgroup, say  $N$ , and that  $N$  has a complement in  $H$ . We want to bound the number of conjugacy classes of complements of  $N$  in  $H$ ; in particular we are looking for a bound which depends on the order of  $N$ . When  $N = \text{soc } H$  is abelian, the conjugacy classes of complements of  $N$  in  $H$  are in bijective correspondence with the elements of the first cohomology group  $H^1(H/N, N)$ . Using the classification of finite simple groups, Aschbacher and Guralnick [1] proved that  $|H^1(H/N, N)| < |N|$ ; therefore, when  $\text{soc } H = N$  is abelian, there are at most  $|N|$  conjugacy classes of complements of  $N$  in  $H$ . To study the case when  $N = \text{soc } H$  is nonabelian we can employ a result proved by Gross and Kovács ([6], Theorem 1): there exists a finite group  $K$  containing a (non necessarily unique) minimal normal subgroup  $S$  which is simple and nonabelian (indeed  $S$  is isomorphic to a composition factor of  $N$ ) and there is a correspondence between conjugacy classes of complements of  $N$  in  $H$  and conjugacy classes of complements of  $S$  in  $K$ . Using this result it is not difficult to prove that there exists an absolute constant  $c \leq 4$  such that the number of conjuga-

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cy classes of complements of  $N$  in  $H$  is at most  $|N|^c$  (see, for example, [9] Lemma 2.8). We conjecture that one can take  $c = 1$ , as occurs when  $N$  is abelian.

In this paper we deal with this conjecture in the case of finite almost simple groups. Let  $G$  be a finite simple group. As  $G \cong \text{Inn}(G)$ , we may identify  $G$  with  $\text{Inn}(G)$ . We will prove the following

**THEOREM.** *Let  $G$  be a finite non-abelian simple group and assume that  $H \leq \text{Aut}(G)$  contains  $G$ . Then the number of conjugacy classes of complements of  $G$  in  $H$  is less than  $|G|$ .*

When  $G = \text{Alt}(n)$  with  $n \neq 6$  or  $G$  is a sporadic simple group, it is well known that  $|H : G| \leq 2$ ; if  $H \neq G$ , then the complements of  $G$  in  $H$  are in bijective correspondence with the involutions of  $H$  which are not contained in  $G$ ; hence the number of complements for  $G$  in  $H$  is strictly smaller than  $|G|$ . The case  $G = \text{Alt}(6) \cong \text{PSL}(2, 9)$  is dealt with as a group of Lie type.

We may now assume that  $G$  is a finite simple group of Lie type over a field  $K = GF(p^m)$  of order  $p^m$ , for some prime  $p$ . We will follow the definitions and notations of the book [4], unless otherwise stated. So  $G$  will be a group of the form  $G = \Sigma_l(q)$  where  $l$  is the Lie rank of  $G$  and  $q = p^m$ , for some prime  $p$ .

Also,  $\phi$  denotes the Frobenius map and  $\Gamma$  denotes the group of graph automorphisms of  $G$ .

If  $G$  has no complement in  $\text{Aut}(G)$  there is nothing to prove, so we may assume that there exists  $C \leq H$  such that  $H = GC$  and  $G \cap C = 1$ .

Then we have that  $C$  is isomorphic to a subgroup of  $\text{Out}(G)$ , whose structure is well known. In particular,  $C$  is at most 3-generated. Also, if  $x, y, z$  are generators of  $C$  and  $C'$  is any other complement for  $G$  in  $H$ , then  $C'$  is generated by three elements of the form  $xu_1, yu_2, zu_3$  satisfying the same relations as  $x, y, z$  and with  $u_i \in G$ , for  $i = 1, 2, 3$ .

In the whole paper,  $C$  will be a fixed complement for  $G$  in  $H$ .

## 1. Preliminary results.

We collect in this section some results which will be very useful in the sequel. The first is actually a corollary of Lang's theorem, in the general form proved by Steinberg.

PROPOSITION 1.1. *Let  $G$  be an untwisted finite simple group of Lie type over the field  $K$  with  $p^m$  elements. Let  $\phi^r a \in \text{Aut}(G)$ , with  $a \in \text{InnDiag}(G)\Gamma$ , and assume that  $|\phi^r a| = m/r$ . If  $x \in \text{InnDiag}(G)$  is such that  $|\phi^r ax| = m/r$  then  $\phi^r a$  and  $\phi^r ax$  are  $\text{InnDiag}(G)$ -conjugate.*

PROOF. Let  $G = \Sigma_l(p^m)$  and let  $\bar{G}$  be the connected algebraic group over the algebraic closure  $\bar{K}$  of  $K$  such that  $\bar{G}$  is adjoint and  $G = O^{p'}(C_{\bar{G}}(\phi^m))$  (see [4, Theorem 2.2.6 (e)]). By Lemma 2.5.8. (a) of [4] we have that  $\text{InnDiag}(G) = C_{\bar{G}}(\phi^m)$ .

Let  $\tau_x$  be the inner automorphism of  $\bar{G}$  induced by  $x$ . There exists  $\bar{a} \in \text{Aut}(\bar{G})$  such that  $\bar{a}$  is the product of a graph automorphism and an inner automorphism, and  $\bar{a}$  induces  $a$  on  $G$ . We note that  $(\phi^r \bar{a})^{m/r} = (\phi^r \bar{a} \tau_x)^{m/r} = \phi^m$ . So  $\phi^r \bar{a}$  is a surjective homomorphism  $\psi$  of  $\bar{G}$  whose set of fixed points in  $\bar{G}$  is finite. By the Lang-Steinberg theorem (see [Theorem 2.1.1] [4]) there exists  $\bar{w} \in \bar{G}$  such that  $x^{-1} = \bar{w}^{-1} \bar{w} \phi^r \bar{a}$ .

Let  $s = \frac{m}{r}$ . We have that:  $\phi^m = (\psi \tau_x)^s = \psi^s \tau_x^{\psi^{s-1}} \tau_x^{\psi^{s-2}} \dots \tau_x^{\psi} \tau_x = \phi^m \tau_x^{\psi^{s-1}} \tau_x^{\psi^{s-2}} \dots \tau_x^{\psi} \tau_x$ , so  $\tau_x^{\psi^{s-1}} \tau_x^{\psi^{s-2}} \dots \tau_x^{\psi} \tau_x = 1$ . As  $x = (\bar{w}^{-1})^\psi \bar{w}$  we obtain that  $(\tau_{\bar{w}^{-1}}^{-1})^{\psi^s} \tau_{\bar{w}} = 1$ , so  $\tau_{\bar{w}}^{\phi^m} = \tau_{\bar{w}}$ , that is  $\bar{w} \in \text{InnDiag}(G)$ .

It follows that  $(\phi^r a)^{\bar{w}} = \bar{w}^{-1} \phi^r a \bar{w} = \phi^r a (\bar{w}^{-1})^{\phi^r a} \bar{w} = \phi^r a (\bar{w}^{-1} \bar{w} \phi^r a)^{-1} = \phi^r ax$ , as we wanted to prove.

We will also need a lemma proved in [8].

LEMMA 1.2. *Let  $G$  be a finite simple group of Lie type, and let  $a \in \text{Aut}(G)$  then there exists  $g \in G$  such that  $|a| \neq |ag|$ .*

Our first results are easy consequences of the proposition and lemma above.

PROPOSITION 1.3. *Let  $G$  be a finite simple group of Lie type,  $G \leq H \leq \text{Aut}(G)$  and assume that a complement  $C$  for  $G$  in  $H$  is cyclic. Then the number of complements for  $G$  in  $H$  is less than  $|G|$ .*

PROOF. If  $C = \langle a \rangle$ , then any other complement  $C'$  is generated by an element of the form  $ag$ , with  $g \in G$  and  $|ag| = |a|$ , and lemma 1.2 applies.

COROLLARY 1.4. *Let  $G$  be a finite simple group of one of the following types:  ${}^3D_4(q)$ ,  $G_2(q)$ ,  $F_4(q)$ ,  $E_8(q)$ ,  ${}^2F_4(q)$  or  ${}^2G_2(q)$  and let  $G \leq H \leq \text{Aut}(G)$ . Then the number of complements for  $G$  in  $H$  is less than  $|G|$ .*

PROOF. By Theorem 2.5.12 of [4] the groups listed above have cyclic outer automorphism group, so proposition 1.3 applies.

PROPOSITION 1.5. *Let  $G$  be an untwisted finite simple group of Lie type over the field  $K$ . Assume that  $C = \langle \phi^r a, b \rangle$ , with  $a \in \text{InnDiag}(G)$ ,  $b \in \text{InnDiag}(G)\Gamma \setminus \text{Inn}(G)$  and  $|\phi^r a| = |\phi^r|$ . Then the number of  $G$ -conjugacy classes of complements for  $G$  in  $H$  is less than  $|G|$ .*

PROOF. If  $C'$  is another complement for  $G$  in  $H$ , then the first generator of  $C'$  is of the form  $\phi^r ag$ , with  $g \in G$  and  $|\phi^r ag| = |\phi^r a| = |\phi^r|$ , so by proposition 1.1 we have at most  $d = |\text{InnDiag}(G) : G|$  choices for it, up to  $G$ -conjugation. Moreover, again by proposition 1.1, we may assume that  $\phi^r ag = (\phi^r)^x$  for some  $x \in \text{InnDiag}(G)$ . So  $C' = \langle \phi^r, (bv)^{x^{-1}} \rangle^x$ , for some  $v \in G$ . We now need to count the choices for the second generator, which is of the form  $(yu)^x$ , where  $y = b^{x^{-1}}$  and  $v = u^{x^{-1}}$ . By lemma 1.2 we have less than  $|G|$  choices for  $u$ , as  $|yu| = |y|$ . Moreover, as we are counting  $G$ -conjugacy classes of complements, we may count the elements of the form  $yu$  up to conjugation by elements of the centralizer of  $\phi^r$  in  $G$ . If  $G = \Sigma_l(q)$  then  $\Sigma_l(p) \leq C_G(\phi^r)$ . We have that  $[yu, \Sigma_l(p)] \neq 1$  (see [Lemma 2.5.7] [4]), so that  $C_{\Sigma_l(p)}(yu)$  is a proper subgroup of  $\Sigma_l(p)$ . As the index of a maximal subgroup of  $\Sigma_l(p)$  is at least  $d$  (see Table 5.2 A of [p. 175] [7]) each orbit of the set  $\{yu | u \in G\}$  under the action of  $\Sigma_l(p)$  by conjugation has at least  $d$  elements. This concludes the proof.

PROPOSITION 1.6. *Let  $G$  be an untwisted finite simple group of Lie type over the field  $K$ . Assume that  $C = \langle \phi^r a, b \rangle$ , with  $a, b \in \text{InnDiag}(G)\Gamma$ ,  $\text{InnDiag}(G) \leq H$  and  $|\phi^r a| = |\phi^r|$ . Then the number of  $G$ -conjugacy classes of complements for  $G$  in  $H$  is less than  $|G|$ .*

PROOF. If  $C'$  is another complement, by proposition 1.1 we may assume that the first generator of  $C'$  is  $(\phi^r a)^x$ , for some  $x \in \text{InnDiag}(G)$ . Let  $C' = \langle (\phi^r a)^x, bu \rangle$ , where  $u \in G$ . As  $\text{InnDiag}(G) \leq H = GC'$  we have that  $x = zy$  for some  $z \in G$  and some  $y \in C'$ , so that  $C' = \langle (\phi^r a)^z, (bu)^{y^{-1}} \rangle$  is  $G$ -conjugate to a complement of the form  $C'' = \langle \phi^r a, v \rangle$ . It follows that the first generator of  $C'$  is uniquely determined, up to  $G$ -conjugation. By lemma 1.2 the number of choices for the second generator of  $C'$  are less than  $|G|$ , and the conclusion follows.

We recall that if  $a \in H$ , then  $a$  is of one of the following types: inner, inner-diagonal, graph, field or graph-field (see [4], definition 2.5.13).

PROPOSITION 1.7. *Let  $G$  be a finite simple group of Lie type over the field  $K$ . Assume that  $C = \langle a, b \rangle$ , where the type of  $a$  is known and  $b$  normalizes  $\langle a \rangle$ . Then the number of conjugacy classes of complements for  $G$  in  $H$  is bounded by  $rs$ , where  $r$  is the number of  $G$ -conjugacy classes of elements of  $H$  of the same type and order as  $a$  and  $s$  is the order of a maximal subgroup of  $G$ .*

PROOF. If  $C'$  is another complement for  $G$  in  $H$ , we have that  $C' = \langle au, bv \rangle$ , for some  $u, v \in G$ , where  $|au| = |a|$ ,  $|bv| = |b|$  and if  $a^b = a^t$  for some integer  $t$ , then  $(au)^{bv} = (au)^t$ . There are at most  $r$  choices for  $au$ , up to  $G$ -conjugacy. Moreover, any two elements  $bv'$  and  $bv''$  such that  $(au)^{bv'} = (au)^{bv''} = (au)^t$  satisfy  $(bv')^{-1}bv'' \in C_G(au)$ , so there are at most  $|C_G(au)|$  choices for the second generator, and the conclusion follows.

## 2. The special linear groups.

Let  $K$  be the finite field with  $q$  elements, with  $q = p^m$  for some prime number  $p$ . As usual  $GL(n, q)$  (resp.  $SL(n, q)$ ) will denote the general (resp. special) linear group of degree  $n$  over the field  $K$ . In the following we will identify the multiplicative group  $K^\times$  of  $K$  with the subgroup of  $GL(n, q)$  consisting of scalar matrices. Then  $PGL(n, q) = GL(n, q)/K^\times$ ,  $PSL(n, q) = SL(n, q)K^\times/K^\times$  and if  $g \in GL(n, q)$  its image in  $PGL(n, q)$  will be denoted with  $\bar{g}$ . Also, as usual,  $\det(g)$  will indicate the determinant of a matrix  $g$  and  $diag(a_1, \dots, a_n)$  will denote a diagonal matrix, whose entries on the diagonal are those listed between the brackets.

In the whole section, we will consider  $G = A_{n-1}(q) = PSL(n, q)$ , for  $n$  and  $q$  fixed. Let  $\phi$  be the Frobenius automorphism of  $GL(n, q)$ , given by:  $(a_{ij})^\phi = (a_{ij}^q)$ , for  $i, j = 1, \dots, n$ .

Let  $\tau : GL(n, q) \rightarrow GL(n, q)$  be the automorphism defined by  $g^\tau = (g^\top)^{-1}$ , where  $g^\top$  denotes the transposed matrix of  $g$ .

Both  $\phi$  and  $\tau$  induce automorphisms of  $PGL(n, q)$ , which we will still indicate by  $\phi$  and  $\tau$ .  $\phi$  generates the group of field automorphisms,  $\tau$  is a graph automorphism if  $n \geq 3$ , and it is an inner automorphism if  $n = 2$ . Also,  $PGL(n, q)/G$  is cyclic of order  $d = (n, q - 1)$ .

We have that  $C$  is isomorphic to a subgroup of  $\text{Out}(G) = \langle \phi G, \tau G, aG \rangle$ , where  $a \in PGL(n, q)$ ,  $(aG)^{\phi G} = a^p G$ ,  $(aG)^{\tau G} = a^{-1} G$ ,  $[\phi G, \tau G] = 1$  and  $|aG| = d$ ,  $|\phi G| = m$ ,  $|\tau G| = 2$ .

*Case A: C is 3-generated*

In this case  $C$  has the group  $Z_2 \times Z_2 \times Z_2$  as an epimorphic image and  $d$  is even, so that  $p$  is odd and  $n \geq 4$  is even.

We may assume that  $C = \langle \phi^r \bar{N}_1, \tau \bar{M}_1, \bar{U}_1 \rangle$ , where  $M_1, N_1, U_1 \in \text{GL}(n, q)$  and  $r|m$ . Also we have that  $\bar{U}_1$  has order  $d'$ , with  $2|d'|d$  and we also have that  $(\phi^r \bar{N}_1)^{m/r} \in \langle \bar{U}_1 \rangle$ .

**LEMMA 2.1.** *In the above setting, we may also assume that  $[\phi^r \bar{N}_1, \tau \bar{M}_1] = 1$  and  $\tau \bar{M}_1$  has order 2.*

**PROOF.** As  $C$  is isomorphic to a subgroup of  $\text{Out}(G)$ , it will be isomorphic to a subgroup  $T$  of the group  $X = \langle a, b, c | a^d = b^2 = c^m = 1, a^b = a^{-1}, a^c = a^p, b^c = b \rangle$  where  $p$  is a prime and  $p^m \equiv 1 \pmod{d}$ . Since  $T$  is not 2-generated,  $T \cap \langle a, b \rangle$  and  $T\langle a \rangle/\langle a \rangle$  are not cyclic; in particular  $m$  is even. Set  $\langle a^l \rangle = T \cap \langle a \rangle$ . If  $b \in T$ , easy calculations prove that  $T = \langle a^l, b, c^k \rangle$  where both  $a^l$  and  $c^k$  have even order. Assume that  $b \notin T$  and  $ba \in T$ . Note that  $C_X(ba) = \langle a^{d/2}, ba, u \rangle$  where  $u = ca^{-\frac{p-1}{2}}$ . Similar computations prove that  $T = \langle a^l, ba, u^k \rangle$ , where  $l$  is even, and the orders of  $a^l$  and of  $u^k \langle a \rangle$  are even. As any subgroup of  $X$  which is not 2-generated is  $\langle a \rangle$ -conjugate to a subgroup containing either  $b$  or  $ba$ , the result follows.

**OBSERVATION.** With the notation of lemma 2.1 we note that it is possible that  $T$  does not split over  $T \cap \langle a, b \rangle$ . Namely,  $T = \langle a^l, ba, u^k \rangle$  is not 2-generated and does not split over  $T \cap \langle a, b \rangle$  iff  $p \neq 2, l, d, m/k$  are even,  $\frac{p^m - 1}{d}$  is odd, the order of  $a^l$  is divisible by 4, and finally  $r_2 < \max((p^k - 1)_2, (p^k + 1)_2)$  where we denote by  $x_2$  the 2-part of the integer  $x$ . Also, if  $T$  does not split over  $T \cap \langle a, b \rangle$  we have that  $u^m$  has order 2.

**CASE I:**  $(\phi^r \bar{N}_1)^{m/r} = 1$

We may assume that another complement  $C'$  for  $G$  in  $H$  is generated by  $\phi^r \bar{N}_1 \bar{X}, \tau \bar{M}, \bar{U}$ , with  $\bar{X} \in G, \bar{M}, \bar{U} \in \text{PGL}(n, q)$ , satisfying the same relations as  $\phi^r \bar{N}_1, \tau \bar{M}_1, \bar{U}_1$ . In particular  $(\phi^r \bar{N}_1 \bar{X})^{m/r} = 1$ , so by proposition 1.1 there are at most  $d$  possibilities for the choice of  $\phi^r \bar{N}_1 \bar{X}$ , up to conjugation by elements of  $G$ . Moreover, again by proposition 1.1, we have that  $\phi^r \bar{N}_1 \bar{X} = (\phi^r)^{\bar{S}}$ , with  $\bar{S} \in \text{PGL}(n, q)$ . Changing no-

tations for the last two generators, we may now assume that  $C' = \langle (\phi^r)^{\bar{S}}, (\tau\bar{M})^{\bar{S}}, (\bar{U})^{\bar{S}} \rangle$ .

We now have to count how many possibilities there are for the other two generators. From the fact that  $\tau\bar{M}$  has order 2 it follows that  $\bar{M}^r\bar{M} = 1$ , so  $M^\top = \alpha M$ , with  $\alpha \in K$  and as  $(M^\top)^\top = M$  we have that  $\alpha^2 = 1$ , so that  $M$  is symmetric or skew-symmetric.

From the fact that  $[\phi^r, \tau\bar{M}] = 1$  it follows that  $M^{\phi^r} = \beta M$ , with  $\beta \in K^\times$ . This implies that  $m_{ij}^{p^r-1} = \beta$  for each  $i, j = 1, \dots, n$  such that  $m_{ij} \neq 0$ . Choose  $h, k$  such that  $m_{hk} \neq 0$ . Thus, for each  $i, j = 1, \dots, n$  we have that  $m_{ij} m_{hk}^{-1} \in \text{GF}(p^r)$ , that is  $m_{ij} = m_{hk} m'_{ij}$  for some  $m'_{ij} \in \text{GF}(p^r)$ . It follows that  $M = m_{hk} M'$ , with  $M' \in \text{GL}(n, p^r)$ . Choosing  $M'$  instead of  $M$  as a pre-image of  $\bar{M}$  we may assume that  $M \in \text{GL}(n, p^r)$ .

As we are counting conjugacy classes of complements, we note that to count the possibilities for the second generator of  $C'$  we are still free to conjugate it by an element  $\bar{H}$  of  $G$  centralizing  $\phi^r$ , that is  $H \in \text{SL}(n, p^r)$ . Note that in that case we have that  $(\tau\bar{M})^H = \tau\bar{H}^\top \bar{M} \bar{H}$ , and by [3] there exists  $H \in \text{GL}(n, p^r)$  such that  $H^\top M H$  has one of the following forms: identity,  $\text{diag}(a, 1, \dots, 1)$ , where  $a$  is a non-square in  $\text{GF}(p^r)$ , or a block-diagonal matrix whose blocks on the diagonal are all equal to

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

As we are allowed to conjugate by matrices in  $\text{PSL}(n, q)$  and not in  $\text{PGL}(n, q)$ , we have at most  $3d$  possibilities for  $\bar{M}$ .

We now count the number of choices for  $\bar{U}$ . We have that  $(\bar{U})^r \bar{M} = (\bar{U})^{-1}$ , so  $U^\top M = \gamma U$ , with  $\gamma^2 = 1$ , and we have at most  $q^{n(n+1)/2}$  possibilities for  $U$  for each choice of  $\gamma$ . So we have at most  $2q^{n(n+1)/2}/(q-1)$  possibilities for  $\bar{U}$ , and thus at most  $\frac{6d^3 q^{n(n+1)/2}}{(q-1)} < |G|$  possibilities for  $C'$ , as  $6q^{n+1} < (q^3 - 1)(q^n - 1)$  for  $n \geq 4$  and  $q \geq 9$ .

CASE II:  $(\phi^r \bar{N}_1)^{m/r} \neq 1$

In this case  $\frac{m}{r}$  is even. Actually, if  $\frac{m}{r}$  is odd, putting  $x = \tau\bar{M}_1$ ,  $y = \phi^r \bar{N}_1$ , if  $m = 2^t s$ , with  $\frac{m}{r} |s$ , then  $C = \langle x, y^{2^t}, y^s, \bar{U} \rangle = \langle xy^{2^t}, \bar{U} \rangle$ , as  $y^s \in \langle y^{m/r} \rangle \in \langle \bar{U} \rangle$ . So  $C$  is 2-generated, contradicting the assumptions.

Again, we may assume that another complement  $C'$  is generated by  $\phi^r \bar{N}, \tau\bar{M}, \bar{U}$ , satisfying the same relations as  $\phi^r \bar{N}_1, \tau\bar{M}_1, \bar{U}_1$ . In particular  $(\tau\bar{M})^2 = 1$ . As in Case I, it follows that  $M$  is symmetric or skew-symmetric, and conjugating by a suitable element of  $\text{PSL}(n, q)$  we have at

most  $3d$  possibilities for  $\overline{M}$ . Namely, we may assume that  $\tau\overline{M}$  is of one of the following types:

- i)  $\tau^{\overline{S}}$ ,
- ii)  $(\tau\overline{A})^{\overline{S}}$ , with  $A = \text{diag}(a, 1, \dots, 1)$ , where  $a$  is a non-square in  $K$ ,
- iii)  $(\tau\overline{B})^{\overline{S}}$ , where  $B$  is a block-diagonal matrix whose blocks on the diagonal are all equal to  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .

Changing notations for the generators, we may assume that  $C' = \langle (\phi^r \overline{N})^{\overline{S}}, (\tau \overline{M})^{\overline{S}}, (\overline{U})^{\overline{S}} \rangle$ , with  $\overline{M} \in \{\overline{I}, \overline{A}, \overline{B}\}$ . Also, there is no loss in generality in assuming  $\overline{S} = 1$ , as this does not affect calculations.

We now consider the generator  $\phi^r \overline{N}$ . Let  $\mu = \det(N)$  and  $(\phi^r N)^{m/r} = L$ .

In cases i) and iii) we have that  $[\tau \overline{M}, \phi^r \overline{N}] = [\tau \overline{M}, \overline{N}] = 1$ , so that  $\overline{N}^{\tau \overline{M}} = \overline{N}$ . It follows that  $(N^{-1})^{\tau M} = \gamma N$ , with  $\gamma \in K^\times$ , and  $\mu^2 \in K^n$  (here  $K^n$  is the set of elements of  $K$  which are  $n$ -th powers).

As  $\frac{m}{r}$  is even and  $p$  is odd it follows that  $2 \mid \frac{(p^r)^{m/r} - 1}{p^r - 1}$ , so that  $\det(L) = \mu \frac{(p^r)^{m/r} - 1}{p^r - 1} \in K^n$ , which implies that  $(\phi^r \overline{N})^{m/r} \in C \cap G = 1$  and  $(\phi^r \overline{N}_1)^{m/r} = (\phi^r \overline{N})^{m/r} = 1$ , a contradiction.

We now deal with case ii). From  $[(\tau \overline{A}), (\phi^r \overline{N})] = 1$  it follows that  $\overline{N}^{\tau \overline{A}} = \overline{A} \phi^r \overline{N}$ , so  $N^{-\tau} = \gamma A \phi^r N A^{-1}$ , with  $\gamma \in K^\times$  and  $\mu^2 = a^{1-p^r} \gamma^{-n}$ .

As before,  $\det(L) = \mu \frac{(p^r)^{m/r} - 1}{p^r - 1} \equiv a^{(1-p^r) \frac{p^m - 1}{2(p^r - 1)}} \equiv -1$  modulo  $K^n$ , so that  $\overline{L}^2 = 1$  (note that  $\frac{q-1}{d}$  is odd, as it is stated in the observation after lemma 2.1).

We distinguish two subcases:

- a)  $r \leq \frac{m}{4}$ . We first bound the choices for the generator of the form  $\phi^r \overline{N}$ . By [p. 52] [5]  $\phi^r \overline{B}$  and  $\phi^r \overline{C}$  are conjugate in  $\text{GL}(n, q)$  if and only if  $(\phi^r \overline{B})^{m/r}$  and  $(\phi^r \overline{C})^{m/r}$  have the same property, so we need to count  $\text{PGL}(n, q)$ -conjugacy classes of involutions  $(\phi^r \overline{N})^{m/r} \in \text{PGL}(n, q) \setminus \text{PSL}(n, q)$ . By Table 4.5.1 of [4] there are at most  $n/2$  choices for  $(\phi^r \overline{N})^{m/r}$ , which means at most  $\frac{n}{2}$   $\text{PGL}(n, q)$ -conjugacy classes of elements of the form  $\phi^r \overline{N}$ , that is at most  $d \frac{n}{2}$  choices for  $\phi^r \overline{N}$ , up to  $\text{PSL}(n, q)$ -conjugation.

Now once we have chosen an element  $\tau \overline{V}$  as a second generator, from the fact that  $(\phi^r \overline{N})^{\tau \overline{V}} = \phi^r \overline{N}$  it follows that all the other possible choices

for the second generator are of the form  $\tau \bar{V} \bar{U}$ , where  $\bar{U} \in C_G(\phi^r \bar{N})$ .

Let  $\bar{K}$  the algebraic closure of  $K$ . By the Lang-Steinberg theorem [p. 32] [2] we have that  $\phi^r \bar{N}$  is conjugate to  $\phi^r$  in  $\text{PGL}(n, \bar{K})$ , so  $|C_{\text{PSL}(n, \bar{K})}(\phi^r \bar{N})| = |\text{PGL}(n, p^r)|$ . So we have at most  $|\text{PGL}(n, p^r)|$  choices for  $\tau \bar{V}$ .

By our hypothesis, there exists  $\bar{R}$  such that  $(\tau \bar{V})^{\bar{R}^{-1}}$  is of the form  $\tau \bar{A}$ , with  $A = \text{diag}(a, 1, \dots, 1)$ , where  $a$  is a non-square in  $K$ .

We may assume that the third generator is of the form  $(\bar{U})^{\bar{R}}$ .

We have that  $\bar{U}^{\bar{R}(\tau \bar{A})^{\bar{R}}} = (\bar{U}^{\tau \bar{A}})^{\bar{R}}$ , and as  $(\bar{U}^{\bar{R}})^{(\tau \bar{V})^{\bar{R}}} = (\bar{U}^{-1})^{\bar{R}}$ , it follows that  $\bar{U}^{\tau \bar{A}} = \bar{U}$ , that is  $U^{\tau A} = \gamma U$ , with  $\gamma \in \{\pm 1\}$ .

This means that, fixed  $\gamma$ ,  $U$  is determined by its entries along and above the diagonal, so we have at most  $2q^{\frac{n(n+1)}{2}}$  choices for  $U$ , and at most  $\frac{2}{q-1} q^{\frac{n(n+1)}{2}}$  choices for  $\bar{U}$ .

Putting all together, the number of conjugacy classes of complements for  $G$  in  $H$  is at most  $\leq d \frac{n}{2} |\text{PGL}(n, p^{m/4})| \frac{2}{q-1} q^{\frac{n(n+1)}{2}} < |\text{PSL}(n, q)|$ . (Here we have used that  $8|n$ , because  $m$  is even, so that  $8|q-1$  and  $\frac{q-1}{d}$  is odd).

b)  $r = \frac{m}{2}$ . We first bound the choices for the generator of the form  $\phi^r \bar{N}$ .

As  $(\phi^{m/2} \bar{N})^2 = \bar{L}$  has order 2, the canonical form of  $L$  is either a diagonal matrix whose entries on the diagonal are in the set  $\{\pm \gamma\}$ , for some  $\gamma \in K^\times$  (first type), or it is a block-diagonal matrix, whose blocks on the diagonal are all equal to  $\begin{pmatrix} & \gamma \\ 1 & \end{pmatrix}$ , with  $\gamma \in K^\times$  (second type). By [p. 50] [5], by conjugating by a suitable element of  $GL(n, q)$  we may assume that  $N$  is block-diagonal matrix, whose blocks  $N_i$  on the diagonal are of the form

$$N_i = \begin{pmatrix} 0 & \cdots & \cdots & 0 & a_{i,1} \\ 1 & \ddots & & \vdots & a_{i,2} \\ 0 & 1 & \ddots & \vdots & \vdots \\ \vdots & \ddots & \ddots & 0 & \vdots \\ 0 & \cdots & 0 & 1 & a_{i,m_i} \end{pmatrix}.$$

So we may assume that also  $L$  is a block-diagonal matrix, whose blocks  $L_i$  on the diagonal have dimension  $m_i$ .

We now want to prove that the canonical form of  $L$  is diagonal.

If  $m_j \geq 5$  for some  $j$  it is easy to see that  $\bar{L}_j$  cannot have order 2. Also, if the canonical form of  $L$  is of the second type, then  $2 \mid m_j$  for each  $j$ . Now assume that  $m_j = 2$  for some  $j$ . As  $L_j^2$  is a scalar matrix,  $L_j$  is of the form  $L_j = \begin{pmatrix} x & y \\ z & -x \end{pmatrix}$ . Moreover  $L_j$  is diagonalizable if and only if  $x^2 + yz$  is a square. Let  $N_j = \begin{pmatrix} 0 & b \\ 1 & a \end{pmatrix}$ . Then  $L_j = \begin{pmatrix} b^{p^{m/2}} & ab^{p^{m/2}} \\ a^{p^{m/2}} & b + a^{p^{m/2}+1} \end{pmatrix}$ ,  $-\det(L_j) = -b^{p^{m/2}+1}$  is a square (note that  $-1$  is a square) and it follows that  $L_j$  is diagonalizable.

To conclude, assume that  $m_j = 4$  for each  $j$ . We have that  $L_j$  is of the form

$$\begin{pmatrix} & & a^{p^{m/2}} \\ & & b^{p^{m/2}} \\ & 1 & c^{p^{m/2}} \\ & & 1 & d^{p^{m/2}} \end{pmatrix} \begin{pmatrix} & a \\ & b \\ 1 & c \\ & 1 & d \end{pmatrix} = \begin{pmatrix} & a^{p^{m/2}} & \star \\ & b^{p^{m/2}} & \star \\ 1 & c^{p^{m/2}} & \star \\ & 1 & d^{p^{m/2}} & \star \end{pmatrix}.$$

So the first column of  $L_j^2$  is  $\begin{pmatrix} a^{p^{m/2}} \\ b^{p^{m/2}} \\ c^{p^{m/2}} \\ d^{p^{m/2}} \end{pmatrix}$ , which implies that  $b = c = d = 0$ . So  $L_j = \begin{pmatrix} & a^{p^{m/2}} \\ & a \\ 1 & \\ & 1 \end{pmatrix}$  and  $L_j^2 = \text{diag}(a^{p^{m/2}}, a, a^{p^{m/2}}, a)$ .

As  $L^2$  is a scalar matrix it follows that  $a^{p^{m/2}} = a$  and  $a$  is the same for all blocks  $L_j$ . We have  $a = \lambda^{u(p^{m/2}+1)}$ , for some integer number  $u$ , and  $\det L = (a^2)^{n/4} = \lambda^{u(n/2)(p^{m/2}+1)}$ , which leads to a contradiction because  $d \mid \frac{n}{2}(p^{m/2}+1)$ .

It follows that  $L$  is diagonal.

So we have at most  $\frac{n}{2}$  choices for  $\bar{L}$  and thus at most  $\frac{n}{2}$  choices for  $\phi^{m/2}\bar{N}$ , up to  $\text{PGL}(n, q)$ -conjugation. As we are counting  $\text{PSL}(n, q)$ -conjugacy classes we have to multiply this number by  $d$ .

We may also assume that  $L = (L_1, L_2)$  is a block diagonal matrix with 2 blocks on the diagonal of the form  $L_1 = \gamma I_{r_1}$  and  $L_2 = -\gamma I_{r_2}$ , for some  $\gamma$

in  $K^\times$ , where  $r_1 + r_2 = n$ . We note that  $r_1$  and  $r_2$  are both odd, otherwise  $\det(L) = \gamma^n$  contradicting the fact that  $\bar{L} \notin \text{PSL}(n, q)$ . Moreover, as  $8 \mid n$ , we have that  $r_1 \neq \frac{n}{2} \neq r_2$ .

We have that  $\bar{M}, \bar{N}$  and  $\bar{U}$  centralize  $\bar{L}$ , so we may assume that they are all block-diagonal matrices, with  $M = (M_1, M_2)$ ,  $N = (N_1, N_2)$  and  $U = (U_1, U_2)$ . (Note that if  $\bar{L}^S = \bar{L}$  then  $L^S = \alpha L$  for some  $\alpha \in K^\times$ , but looking at the eigenvalues of  $L$  and keeping in mind that  $r_i \neq \frac{n}{2}$ , it follows that  $\alpha = 1$ , that is  $S$  centralizes  $L$ ).

By proposition 1.1, we have that  $\phi^{m/2} \bar{N}_i$  is conjugate to  $\phi$  in  $\text{PGL}(r_i, q)$ , and so  $\phi^{m/2} \bar{N}$  is conjugate to  $\phi \bar{D}$  in  $\text{PGL}(n, q)$ , with  $D = (I_1, \beta I_2)$  for some  $\beta \in K^\times$ .

We now work separately on the two blocks, using exactly the same strategy as in case I.

We may assume that  $M_1 = \xi M'_1$ , with  $\xi \in K^\times$  and  $M'_1 \in \text{GL}(r_1, p^{m/2})$ . Moreover  $M_1$  is symmetric (note that  $r_1$  is odd). By conjugating with elements of  $\text{GL}(r_1, p^{m/2})$  we find that there are at most 2 choices for  $M'_1$ , and at most  $2(q-1)$  choices up to  $SL(r_1, p^{m/2})$ -conjugation. So there are at most  $2(q-1)^2$  choices for  $M'_1 \xi$ . Arguing in the same way for  $M_2$  and taking images in  $\text{PGL}(n, q)$  we obtain that there are at most  $4(q-1)^3$  choices for  $\bar{M}$ .

The number of choices for  $U_i$  is now at most  $q^{r_i(r_i+1)/2}$  (note that the element  $\gamma$  appearing in case I is now forced to be 1, as  $r_i$  is odd). So there are at most  $q^{r_1(r_1+1)/2} q^{r_2(r_2+1)/2} / (q-1)$  possibilities for  $\bar{U}$ .

So we have at most  $\frac{n}{2} dA(q^{r_1(r_1+1)/2} q^{r_2(r_2+1)/2})(q-1)^2 < |\text{PSL}(n, q)|$  choices for  $C$ .

*Case B: C is 2-generated*

We may assume that  $C = \langle \phi^r \bar{N}_1, \tau^\varepsilon \phi^s \bar{M}_1 \rangle$ , where  $M_1, N_1 \in \text{GL}(n, q)$  and  $\varepsilon \in \{0, 1\}$ . We may also assume that any other complement  $C'$  is generated by  $\phi^r \bar{N}, \tau^\varepsilon \phi^s \bar{M}$ , satisfying the same relations as  $\phi^r \bar{N}_1, \tau^\varepsilon \phi^s \bar{M}_1$ .

CASE I:  $C \not\leq \text{InnDiag}(G) \Gamma, (\phi^r \bar{N}_1)^{m/r} = 1$

In this case we apply proposition 1.5.

CASE II:  $C \not\leq \text{InnDiag}(G) \Gamma, (\phi^r \bar{N}_1)^{m/r} = \bar{L}_1 \neq 1, n \geq 3$



CASE III:  $C \leq \text{InnDiag}(G) \Gamma$ ,  $n \geq 3$ .

If  $C$  is cyclic we conclude by proposition 1.3. Otherwise we first choose a generator for  $C' \cap \text{InnDiag}(G)$ , so that the number of possibilities is bounded by (2.6), then we argue as in case II.

CASE IV:  $n = 2$

If  $C$  is cyclic we conclude by proposition 1.3, otherwise we first choose a generator for  $C' \cap \text{InnDiag}(G)$ , for which there is at most one possibility, by Table 4.5.1 of [4], and by lemma 1.2 there are less than  $|G|$  choices for the second generator.

### 3. The unitary linear groups.

In this section, we will consider the group  $G = {}^2A_{n-1}(q) = \text{PSU}(n, q)$ , for  $n$  and  $q$  fixed.

Let  $K = \text{GF}(q^2)$  be the finite field with  $q^2$  elements, with  $q = p^m$  for some prime number  $p$ . We fix a generator  $\lambda$  of the multiplicative group of the field  $K^\times$ . Then  $\text{GU}(n, q)$  (resp.  $\text{SU}(n, q)$ ) will denote the general (resp. special) unitary group of degree  $n$ , that is  $\text{GU}(n, q) = \{g \in \text{GL}(n, q^2) \mid g(g^\top)^\sigma = 1\}$  where  $\sigma = \phi^m \in \text{Aut}(\text{GL}(n, q^2))$ , and  $\text{SU}(n, q) = \{g \in \text{GU}(n, q) \mid \det(g) = 1\}$ . All other notations, unless otherwise specified, are as in the previous section.

We may assume that  $C$  is non-cyclic, otherwise we conclude by proposition 1.

Let  $C = \langle \phi^r \bar{N}_1, \bar{U}_1 \rangle$ , with  $\bar{U}_1, \bar{N}_1 \in \text{PGU}(n, q)$ . We argue as in case B II of the special linear group.

We have that  $U$  is  $\text{GL}(n, q^2)$ -conjugate to a block-diagonal matrix  $X$  whose blocks  $X_i$  have all the same dimension  $k$  and are of the form (1), where  $c_i = c\varepsilon_i$ ,  $\varepsilon_i^q = 1$  and we may also assume that  $c_1 = c$ .

By [10, p. 34] the matrix  $X$  as above is conjugate to an element of  $\text{GU}(n, q)$  if and only if it is similar to the matrix  $((X^\top)^\sigma)^{-1}$ .

So  $c\varepsilon_i = (c\varepsilon_j)^{-q}$ , for some  $j$ , which implies that  $c^{q+1} = (\varepsilon_i \varepsilon_j^q)^{-1}$  and  $c^{(q+1)^2} = 1$ . Let  $c = \lambda^u$ . We have that  $q^2 - 1 \mid u(q+1)^2$ , so  $q-1 \mid u(q+1)$ .

As  $(q+1, q-1) \leq 2$ , it follows that  $\frac{q-1}{2} \mid u$  and there are at most  $2(q+1)$

choices for  $c$ . Moreover, again by [10, p. 34] two matrices are conjugate in  $\text{GU}(n, q)$  if and only if they are conjugate in  $\text{GL}(n, q^2)$ , so it

is enough to count the number of choices for the matrix  $\bar{X}$  as above, and then to multiply by  $d = |\text{PGU}(n, q) : \text{PSU}(n, q)|$ .

As in case B II of the special linear group, the choices for  $\bar{X}$  are at most

$$(3) \quad d^{n-1} + \sum_{1 < k | d} 2(q+1)d^{\frac{n}{k}-1}.$$

Note that  $d^{n-1} + \sum_{1 < k | d} 2(q+1)d^{\frac{n}{k}-1} \leq 2(q+1) \frac{d^{n-1}-1}{d-1}$ .

To bound the number of choices for the second generator, we look for an upper bound for the order of the centralizer  $\bar{Z}$  of  $\bar{U}$  in  $\text{PGU}(n, q)$ . We may assume that  $\bar{U}$  has prime order  $u$ .

We first assume that  $(n, q) \notin \{(3, 2), (3, 5), (4, 3), (8, 3)\}$ .

Using theorems 4.8.1, 4.8.2 and 4.8.4 of [4] for  $u$  odd and Table 4.5.1 of [4] for  $u = 2$  and some easy calculations it is possible to see that an upper bound for the order of  $\bar{Z}$  is  $|\text{GU}(n-1, q)|$ .

So we have to prove that  $2d(q+1) \frac{d^{n-1}-1}{d-1} |\text{GU}(n-1, q)| < |\text{PSU}(n, q)|$ .

As  $\frac{d}{d-1} \leq 2$ , this is true because  $4(q+1)^2 d^n < (q^n - 1)q^{n-1}$ .

If  $(n, q) = (8, 3)$  we use the more accurate bound (3) and the fact that  $|\bar{Z}| \leq |\text{GU}(n-1, q)|$ .

We now study the remaining cases.

I: Case  $(n, q) = (3, 2), d = 3$  is divided into 2 subcases according as  $\bar{U}$  is diagonalizable or not. For each case, we have to consider the possible canonical forms for  $\bar{U}$  and the order of their centralizers, and the result follows just by counting the possible choices.

II: Case  $(n, q) = (3, 5), d = 3$ .

There are at most 15 possibilities for the choice of  $X$  and  $15 \cdot 3 |\text{GU}(2, 5)| < |\text{PSU}(3, 5)|$ .

III: Case  $(n, q) = (4, 3), d = 4$  is divided into 2 subcases according as  $|\bar{U}|$  is equal to 2 or 4. For each case, we have to consider the possible canonical forms for  $\bar{U}$  and the order of their centralizers, and the result follows just by counting the possible choices.

#### 4. $B_l(q), C_l(q)$ and $E_7(q)$ .

Let  $G \in \{B_l(q), C_l(q), E_7(q)\}$ . We have that  $C$  is isomorphic to a subgroup  $\bar{C}$  of  $Z_2 \times Z_m$ , with  $Z_m = \langle \phi G \rangle$  and  $\text{Out Diag}(G) \leq Z_2$ .

Then either  $C$  is cyclic, and we may apply proposition 1.3, or it is 2-generated, and it is possible to choose one generator of the form  $\phi^r z$ , with  $z \in G$  and  $(\phi^r z)^{\overline{r}} = 1$ , so proposition 1.5 applies.

### 5. $D_l(q)$ , $l \neq 4$ .

*Case  $p = 2$*

In this case we have that  $C$  is isomorphic to a subgroup  $\overline{C}$  of  $Z_2 \times Z_m$ , with  $Z_m = \langle \phi G \rangle$  and  $\text{Out Diag}(G) \Gamma = Z_2$ , and we argue as for the case  $G = B_l(q)$  or  $C_l(q)$ .

*Case  $p \neq 2$*

We have that  $C$  and its image  $\overline{C}$  in  $\text{Out}(G)$  are isomorphic to a subgroup of  $D_8 \rtimes Z_m$ , with the following notation:  $Z_m = \langle \phi G \rangle$  and  $\text{Out Diag}(G) \Gamma \leq D_8$ . More precisely, if  $l$  is odd and  $4 \mid q - 1$  or if  $l$  is even then  $\text{Out Diag}(G) \Gamma = D_8 = \langle wG, \tau G \rangle$ , where  $\tau$  is the graph automorphism of order 2,  $\overline{w} = wG$  has order 4,  $\overline{w}^\tau = \overline{w}^{-1}$ ,  $[\tau, \phi] = 1$ , and  $\overline{w}^\phi = \overline{w}$  unless  $l$  is odd and  $4 \nmid p - 1$ , in which case  $\overline{w}^\phi = \overline{w}^{-1}$ .

If  $l$  is odd and  $4 \nmid q - 1$  then  $\text{Out Diag}(G) \Gamma = \langle xG, \tau G \rangle$  is elementary abelian,  $\tau$  is the graph automorphism of order 2,  $x \in \text{InnDiag}(G)$ . Also  $\phi$  centralizes  $\text{Out Diag}(G)$ .

Let  $T = C \cap \text{InnDiag}(G) \Gamma$ , and let  $\overline{T}$  be its image in  $\text{Out } G$ . By proposition 1 we may assume that  $C$  is not cyclic, and it is easy to check that  $C$  splits over  $T$ .

Let  $\overline{C} \not\leq \text{Out Diag}(G) \Gamma$ .

I) Assume that it is possible to choose a generator of  $C$  modulo  $T$  of the form  $\phi^r a$ , with  $a \in \text{InnDiag}(G)$  and  $(\phi^r a)^{\overline{r}} = 1$ .

If  $T$  is cyclic proposition 1.5 applies, so we may assume that  $T$  is not cyclic.

If  $C'$  is another complement, by proposition 1.1 we may assume that, up to  $\text{InnDiag}(G)$ -conjugacy, a generator of  $C'$  modulo  $C' \cap \text{InnDiag}(G) \Gamma$  is  $(\phi^r)^x$ , for some  $x \in \text{InnDiag}(G)$ , and we have at most  $|\text{InnDiag}(G) : G| \leq 4$  choices for it, up to  $G$ -conjugacy.

$T$  is generated by two involutions  $u^x$  and  $v^x$ , that are of graph type or of inner-diagonal type, depending on which case we are considering. Moreover we may assume that  $u$  is of the form  $\tau^\varepsilon y$ , with  $y \in G$  and  $\varepsilon \in \{0, 1\}$ , and such that  $[\tau^\varepsilon y, \phi^r] = 1$ , so  $y \in D_l(p^r)$ . We note that we may conjugate  $\tau^\varepsilon y$  by elements of  $D_l(p^r)$ , which centralize  $\phi^r$ .

From table 4.5.1 of [4] we deduce that both the number of  $D_l(p^r)$ -conjugacy classes of involutions of graph type and the number of  $D_l(p^r)$ -conjugacy classes of involutions of inner-diagonal type are bounded by  $2(l + 3)$ . So there are at most  $2(l + 3)$  choices for  $u$ . Then we have to count the involutions  $v$  of a fixed type. There are at most  $2(l + 3)$  conjugacy classes, and each class contains at most  $|\text{InnDiag}(G) \Gamma : C_{\text{InnDiag}(G) \Gamma}(g)| \leq 8 |G : C_G(g)|$  elements, where  $g$  is any involution in the class considered. We choose  $g$  such that the index of  $H = C_G(g)$  in  $G$  is maximum. So there are at most  $2(l + 3) |G : H|$  possibilities for the choice of  $v$ . So we just have to check that  $4 \cdot 32(l + 3)^2 |G : H| < |G|$ , which is true because  $128(l + 3)^2 < |H|$  (the structure of  $H$  is also described in table 4.5.1 of [4]).

II) Assume that we are not in the previous case, so that  $\bar{C}$  does not contain  $\text{OutDiag}(G) \Gamma$ ; in particular  $|T| < 8$ . Let  $\phi^r z$  be a generator of  $C$  modulo  $T$  of order  $\frac{m}{r}$ , with  $z \in \text{InnDiag}(G) \Gamma \setminus \text{InnDiag}(G)$ . We have that  $\frac{m}{r}$  is even, otherwise we replace  $\phi^r z$  with  $(\phi^r z)^4$ , which is a generator of  $C$  modulo  $T$  of order  $\frac{m}{r}$  and of the form  $\phi^r x$  with  $x \in G$ .

If  $T = \langle u \rangle$  has order 2 then we apply proposition 1. By table 4.5.1 of [4] we have at most  $2(l + 3)$  conjugacy classes of involutions of the same type as  $u$ ; moreover, by Table 5.2 A of [p. 175] [7] the index of a maximal subgroup of  $G$  is less than  $2(l + 3)$ , so in this case the conclusion follows.

If  $T$  is cyclic of order 4, from the fact that we are not in case I it follows that  $\bar{T} = \text{OutDiag}(G)$  and we can conclude by proposition 1.6.

So we may assume that  $T$  is elementary abelian of order 4.

If  $l$  is even then  $\bar{T} = \text{OutDiag}(G)$  and as we are not in case I it follows that  $\phi^r z$  does not centralize  $T$ , so we conclude by proposition 1.6.

Let  $l$  be odd. Note that we also have that  $8 | q - 1$ , because  $m$  is even. As we are not in case I, one of the following occurs:

- $\bar{z} = \tau$  and  $\bar{T} = \langle \bar{w}^2, \bar{w}\tau \rangle$ , or
- $\bar{z} = \bar{w}\tau$  and  $\bar{T} = \langle \bar{w}^2, \tau \rangle$ .

To deal with these cases we always adopt the same strategy. We first count the number of choices for a generator of  $T \cap \text{InnDiag}(G)$ , then we count the number of choices for a generator of  $T$  modulo  $T \cap \text{InnDiag}(G)$ , and finally we count the number of choices for a generator of  $C$  modulo  $T$ .

We describe the calculations in detail only for the first case.

Let  $C'$  be another complement of  $G$  in  $H$ ; then we may assume that it is of the form  $C' = \langle \phi^r \tau u, w^2 v, w \tau x \rangle$ , with  $u, v, x \in G$ .

By Table 4.5.1 of [4] we have at most  $l - 1$  choices for  $w^2 v$ , up to  $G$ -conjugacy. Moreover let  $C^* = C_{\text{InnDiag}(G)}(w^2 v)$  and  $L^* = O^p(C^*)$ . From table 4.5.1 of [4] it follows that

i) either  $L^* = {}^2D_{l-1}(q)$  and  $Z = C_{C^*}(L^*) = C_{\text{InnDiag}(G)\Gamma_k}(L^*)$  has order  $q + 1$  or

ii)  $L^* = D_i(q) \times D_{l-i}(q)$  or  $L^* = {}^2D_i(q) \times 2D_{l-1}(q)$ , where  $2 \leq i < \frac{l}{2}$  and  $Z = C_{C^*}(L^*) = C_{\text{InnDiag}(G)\Gamma_k}(L^*)$  has order 2.

We first deal with case ii). Note that  $w \tau x$  centralizes  $w^2 v$ , so it normalizes  $L^*$ . Let  $(y_1, y_2) \in \text{Aut}({}^e D_i(q)) \times \text{Aut}({}^e D_{l-i}(q))$  be the image of  $w \tau x$  in  $\text{Aut}(L^*)$ . The number of choices for  $w \tau x$ , up to  $G$ -conjugacy, is bounded by  $|Z| r_1 r_2$ , where  $r_1 - 1$  is the number of  ${}^e D_i(q)$ -conjugacy classes of involutions in  $\text{InnDiag}({}^e D_i(q)) \Gamma$  (we have to add one because  $y_1$  might be the identity) and  $r_2 - 1$  is the number of  ${}^e D_{l-i}(q)$ -conjugacy classes of involutions in  $\text{InnDiag}({}^e D_{l-i}(q)) \Gamma$ . Again by table 4.5.1 of [4] we have that  $r_1, r_2 \leq 6l + 25$ .

Note: For  $i = 2, 3$  it is easy to check that  $r_1, r_2 \leq 6l + 25$  is still true (see [p. 11] [4] and [p. 43] [7] for the description of  $D_i$  in these cases).

So there are at most  $2(6l + 25)^2$  choices for  $w \tau x$ .

We now have to choose  $\phi^r \tau u$ . Note that once we have fixed  $\phi^r \tau u$  with the required properties, any other element of the form  $\phi^r \tau u'$  is such that  $(\phi^r \tau u)^{-1} \phi^r \tau u' \in C_G(w^2 v)$ , so we have at most  $|C_G(w^2 v)|$  choices for the third generator.

A similar argument applies to case i).

To conclude, we have that the number of complements for  $G$  in  $H$  is at most  $(l - 1)2(6l + 25)^2 |U|$ , where  $U$  is a maximal subgroup of  $G$ , and this number is less than  $|G|$ , as by Table 5.2 A of [p.175] [7] the index of a maximal subgroup of  $G$  is at least  $\frac{(q^l - 1)(q^{l-1} + 1)}{q - 1}$  and  $2(l - 1)(6l + 25)^2 < \frac{(q^l - 1)(q^{l-1} + 1)}{q - 1}$  (here  $l \geq 5$  and  $q \geq 9$ ).

Let  $\bar{C} \leq \text{OutDiag}(G) \Gamma$ .

Then  $C$  is generated by two involutions  $u$  and  $v$ , that are of graph type or of inner-diagonal type, depending on which case we are considering, and we argue as in Case I above.

6.  $D_4(q)$ .

In this case we have that  $\text{OutDiag}(G) = 1$  if  $p = 2$ , otherwise  $\text{OutDiag}(G) = \langle \bar{z} \rangle \times \langle \bar{w} \rangle$  is elementary abelian of order 4 and it is centralized by  $\phi$ . Also,  $\Gamma = \langle \tau, \gamma \rangle$  is isomorphic to  $S_3$  with  $|\tau| = 2$ ,  $|\gamma| = 3$ ,  $\bar{w}^\tau = \bar{w}\bar{z}$ ,  $\bar{z}^\tau = \bar{z}$ , while  $(\text{InnDiag}(G) \Gamma)/G$  is isomorphic to  $S_4$  and is centralized by  $\phi$ .

Let  $T = C \cap \text{InnDiag}(G) \Gamma$ , and let  $\bar{T}$  be its image in  $\text{Out } G$ . By proposition 1.3 we may assume that  $C$  is not cyclic, and it is easy to check that  $C$  splits over  $T$ .

Case:  $C \not\leq \text{InnDiag}(G) \Gamma$

I) Assume that it is possible to choose a generator  $\phi^r u$  of  $C$  modulo  $T$  of order  $\frac{m}{r}$  and with  $u \in \text{InnDiag}(G)$ .

If  $T$  is cyclic we conclude by proposition 1.5, so we may assume that  $T$  is not cyclic.

Assume that  $p$  is odd. By proposition 1.1 we have at most 4 possibilities for the choice of  $\phi^r u$ , up to  $G$ -conjugacy, and we may assume that it is of the form  $(\phi^r)^x$  for some  $x \in \text{InnDiag}(G)$ .

We may also assume that one generator of  $T$  is an involution  $y^x$  such that  $y$  centralizes  $\phi^r$ . As we may conjugate  $y^x$  by elements of the form  $w^x \in G$ , where  $w$  centralizes  $\phi^r$ , the choices for  $y$  are bounded by the number of  $G$ -conjugacy classes of non-inner involutions of fixed type in  $\text{InnDiag}(D_4(p^r)) \Gamma$ , which by table 4.5.1 of [4] is at most 24. The second generator of  $T$  is an element of  $\text{InnDiag}(D_4(p^r)) \Gamma$  and we have that  $96 \mid |\text{InnDiag}(D_4(p^r)) \Gamma| < |G|$ , as we wanted.

If  $p = 2$  then by proposition 1.1 we have at most one possibility for the choice of  $\phi^r u$ , up to conjugacy; we therefore take  $x = 1$ . Moreover,  $T$  is generated by a graph automorphism  $y$  of order 3, and a graph type involution  $v$ , which both centralize  $\phi^r$ . Arguing as above and using table 4.7.3A of [4] we find that there are at most  $16 \mid |\text{InnDiag}(D_4(2^r)) \Gamma| < |G|$  choices, as we wanted.

II) Assume that we are not in the previous case and let  $\phi^r a$  be a generator of  $C$  modulo  $T$  of order  $\frac{m}{r}$  with  $a \in \text{InnDiag}(G) \Gamma$ ,  $a \notin \text{InnDiag}(G)$ .

If  $T$  is cyclic, as we are not in case I it is easy to see that  $T$  has order 2 or 3.

If  $T = \langle y \rangle$  has order 3 then  $y$  is of graph type. We now apply proposi-

tion 1.7. By table 4.7.3A of [4] if  $p \neq 3$  and by proposition 4.9.2 (b5) and (g) of [4] if  $p = 3$  we have at most 16  $G$ -conjugacy classes of type graph elements of order 3. Moreover, by Table 5.2.A of [p. 175] [7] the index of a maximal subgroup of  $G$  is at least  $\frac{(q^r-1)(q^r-1+1)}{q-1} > 16$ , so we have what we wanted.

If  $T$  has order 2 we argue as follows. By proposition 1.1 we have at most 4 possibilities for the choice of the first generator, up to  $G$ -conjugacy. Once we have fixed the first generator, say  $\phi^r au$ , the second generator  $b$  has the property that  $[\phi^r au, b] = 1$ . Thus the possible choices for the second generator are given by elements of the type  $bv$ , with  $v \in G$ , such that  $[\phi^r au, bv] = 1$ , so that  $v \in C_G(\phi^r au)$ . It follows that we have at most  $4 |C_G(\phi^r au)| < |G|$  choices, as we wanted (note that  $C_G(\phi^r au)$  is a proper subgroup of  $G$ , so that its index is greater than 4).

Now we may assume that  $T$  is not cyclic. As we are not in case I it follows that  $\text{OutDiag}(G) \leq \bar{T}$  and that  $T = \langle y, y^{\phi^r a} \rangle$  for some  $y$  in  $T$ , where  $y$  has order 2 or 3, so that  $C = \langle \phi^r a, y \rangle$ . Now proposition 1.6 allows us to conclude.

*Case:  $C \leq \text{InnDiag}(G) \Gamma$*

We first assume that  $p = 2$ . Then  $C = \langle x, y \rangle \cong \Gamma$ , where  $x$  and  $y$  are both of graph type,  $|x| = 3$ ,  $|y| = 2$  and  $x^y = x^{-1}$ . By table 4.7.3A of [4] there are at most 4  $G$ -conjugacy classes of type graph elements of order 3. By proposition 1.7 there are at most 4  $|Z|$  conjugacy classes of complements for  $G$  in  $H$ , where  $Z$  is a maximal subgroup of  $G$ . To conclude, we note that by Table 5.2A of [p. 175] [7] we have that  $4 < |G : Z|$ .

We now assume that  $p$  is odd.

I) If  $C \cong \text{OutDiag}(G) \Gamma$  then  $C$  is isomorphic to either  $S_4$  or  $S_3$  and it is generated by 2 elements  $x$  and  $y$  of graph type, with  $|x| = 3$  and  $|y| = 2$ .

By table 4.7.3A of [4] if  $p \neq 3$  and by proposition 4.9.2 (b5) and (g) of [4] if  $p = 3$  there are at most 16  $G$ -conjugacy classes of type graph elements of order 3. Also, there are at most 6  $\text{InnDiag}(G)$ -conjugacy classes of involutions of graph type, and if  $g$  is a graph type involution such that  $H = C_{\text{InnDiag}(G)}(g)$  has minimum order, there are at most  $6 |\text{InnDiag}(G) : H| \leq 24 |G : G \cap H|$  choices for  $y$ . As  $|H \cap G| > 16 \cdot 24$ , it follows that  $16 \cdot 24 |G : G \cap H| < |G|$ . (The structure of  $G \cap H$  is given in table 4.5.1 of [4].)

II) In the remaining cases, we have that  $C = \langle x, y \rangle$  where  $|x| = 2$ ,  $x \in \text{InnDiag}(G) \setminus G$  and  $|y| \in \{2, 3\}$  and the type of  $y$  is known (either  $y \in \text{InnDiag}(G) \setminus G$  or  $y$  is of graph type). Arguing as in case I, by tables 4.5.1 and 4.7.3A and proposition 4.9.2 of [4], there are at most 6 choices for  $x$ , up to  $G$ -conjugacy, and at most  $24 |G : G \cap H|$  choices for  $y$ , where  $H = C_{\text{InnDiag}(G)}(g)$  for some  $g$  such that  $g$  has the same order and type of  $y$ . As  $|H \cap G| > 6 \cdot 24$ , it follows that  $6 \cdot 24 |G : G \cap H| < |G|$ . (The structure of  $G \cap H$  is given in table 4.5.1 of [4].)

7.  ${}^2D_l(q)$ .

If  $p = 2$  we have that  $C$  is cyclic, so we may assume that  $p$  is odd.

Cases:  $l$  even or  $l$  odd and  $4 \nmid q + 1$

We have that  $C$  is isomorphic to a subgroup  $\bar{C}$  of  $Z_2 \times Z_{2m}$ , with  $Z_2 = \langle aG \rangle$  and  $Z_{2m} = \langle \phi \rangle$ , where  $a \in \text{InnDiag}(G)$ .

We have that  $C = \langle y, \phi^r u \rangle$  where  $y \in \text{InnDiag}(G) \setminus \text{Inn}(G)$  has order 2 and is centralized by  $\phi^r u$ , so we may apply proposition 1.7. By Table 4.5.1 of [4] there are at most  $l - 1$  conjugacy classes of non-inner inner-diagonal involutions, and by Table 5.2A of [p. 175] [7], the index of a maximal subgroup of  $G$  is bigger than  $l - 1$ . This allows us to conclude.

$l$  odd,  $4 \mid q + 1$

In this case  $4 \mid p + 1$  and  $m$  is odd. We have that  $C$  is isomorphic to a subgroup of  $Z_4 \rtimes Z_{2m}$ , with  $Z_4 = \langle aG \rangle$  and  $Z_{2m} = \langle \phi \rangle$ , where  $a \in \text{InnDiag}(G)$ . Moreover  $(aG)^\phi = (aG)^{-1}$ .

If  $C \cap \text{InnDiag}(G)$  has order 2 we argue exactly as in the previous case.

So we may assume that  $C \cap \text{InnDiag}(G)$  has order 4, and that any other complement  $C'$  is of the form  $C' = \langle x, \phi^r y \rangle$ , where  $x \in \text{InnDiag}(G)$  has order 4,  $x^2 \in \text{InnDiag}(G) \setminus \text{Inn}(G)$  and  $x \phi^r y = x^{(-1)^r}$ .

We argue in a similar way as for a subcase of  $D_l(q)$ .

By Table 4.5.1 of [4] we have at most  $\frac{l+1}{2}$  choices for  $x^2$ , up to  $G$ -conjugacy. Moreover let  $C^* = C_{\text{InnDiag}(G)}(x^2)$  and  $L^* = O^p(C^*)$ . From table 4.5.1 of [4] it follows that  $L^*$  is one of the following:

i)  $L^* = {}^2D_{l-1}(q)$  and  $Z = C_{C^*}(L^*) = C_{\text{InnDiag}(G)}(L^*)$  has order  $q - 1$ ;

ii)  $L^* = {}^2D_i(q) \times D_{l-i}(q)$ , where  $i$  is even,  $i \in \{2, \dots, l-3\}$ , and  $Z = C_{C^*}(L^*) = C_{\text{InnDiag}(G)}(L^*)$  has order 2;

iii)  $L^* = \text{SU}(l, q)$ ,  $C^* = \text{GU}(l, q)$  and  $Z = C_{C^*}(L^*) = C_{\text{InnDiag}(G)}(L^*)$  has order  $q + 1$ .

We note that the case  $L^* = {}^2D_i(q) \times D_{l-i}(q)$ , where  $i$  is odd occurs only if  $x^2$  is inner, which is not our case. To see this, note that  $G \cong P\Omega^-(2l, q)$ , and we may assume that the matrix associated to the symmetric bilinear form is the identity. We then have that in this case  $x^2$  is the image in  $P\Omega^-(2l, q)$  of the matrix  $\text{diag}(-1, \dots, -1, 1, \dots, 1)$ , where the number of entries equal to  $-1$  is  $2i$ , and then by proposition 2.5.13 of [7]  $x^2$  is inner.

We first deal with case ii). Note that  $x$  centralizes  $x^2$ , so it normalizes  $L^*$ . Let  $(y_1, y_2) \in \text{Aut}({}^2D_i(q)) \times \text{Aut}(D_{l-i}(q))$  be the image of  $x$  in  $\text{Aut}(L^*)$ . We note that  $(y_1, y_2)$  has order 2, so the number of choices for  $x$ , up to  $G$ -conjugacy, is bounded by  $|Z| r_1 r_2$ , where  $r_1 - 1$  is the number of  ${}^2D_i(q)$ -conjugacy classes of involutions in  $\text{InnDiag}({}^2D_i(q)) \Gamma$  (we have to add one because  $y_1$  might be the identity) and  $r_2 - 1$  is the number of  $D_{l-i}(q)$ -conjugacy classes of involutions in  $\text{InnDiag}(D_{l-i}(q)) \Gamma$ . Again by table 4.5.1 of [4] we have that  $r_1 \leq 3i + 1$ ,  $r_2 \leq 3(l - i) + 9$ .

Note: it is easy to check that for  $i = l - 3$  it is still true that  $r_2 \leq 3(l - i) + 9$ , and the same holds for  $i = 2$  and  $r_1 \leq 3i + 1$  (see [p. 11] [4] and [p. 43] [7] for the description of  $D_i$  in these cases).

As the maximum of the function  $f(z) = (3z + 1)(3l - 3z + 9)$  is  $\frac{9}{4}l^2 + 15l + 25$ , once we have fixed  $x^2$  in case ii) there are at most  $\frac{9}{2}l^2 + 30l + 50$  choices for  $x$ .

A similar argument applies to case i), and we get at most  $4(3l + 6) < \frac{9}{2}l^2 + 30l + 50$  choices for  $x$ .

We are left with case iii). In this case  $x$  is a unitary matrix of order 4. Arguing as in section 3, as  $l$  is odd we have that  $x$  is conjugate in  $\text{GU}(l, q)$  to a diagonal matrix whose entries on the diagonal are of the form  $\varepsilon^i$ , where  $\varepsilon$  is a primitive 4-th root of 1. Moreover, if  $GF(q^2)^\times = \langle \lambda \rangle$ , we have that  $\text{diag}(\lambda^{q-1}, 1, \dots, 1)$  is a unitary matrix centralizing  $x$ , so that the number of  $\text{SU}(l, q)$  conjugacy classes for  $x$  is at most  $4^l - 2^l$ .

Now we apply proposition 1.7. By table 5.2 A of [p. 175] [7] the index of a maximal subgroup of  $G$  is at least  $\frac{(q^l+1)(q^{l-1}-1)}{q-1}$ , which is greater than  $\frac{l+1}{2} \max \left\{ \frac{9}{2} l^2 + 30l + 50, 2^l(2^l-1) \right\}$ .

### 8. $E_6(q)$ .

We have that  $C$  is isomorphic to a subgroup  $\bar{C}$  of  $\text{Out}(G) \leq S_3 \rtimes Z_m$ , with  $Z_m = \langle \phi G \rangle$ ,  $S_3 = \langle aG, \tau G \rangle$ ,  $|aG| = 3$ ,  $|\tau| = 2$ ,  $(aG)^{\tau G} = (aG)^{-1}$ ,  $\text{OutDiag}(G) \leq \langle aG \rangle$  and  $\Gamma(G) = \langle \tau \rangle$ . Also,  $\phi$  centralizes  $\tau$  and either inverts or centralizes  $aG$ .

By proposition 1.3 we may assume that  $C$  is not cyclic.

Let  $\bar{C} \not\leq \text{OutDiag}(G)\Gamma$ ,  $T = C \cap \text{InnDiag}(G)\Gamma$ .

I) Assume that it is possible to choose a generator  $\phi^r x$  of  $C$  modulo  $T$  of order  $\frac{m}{r}$  and with  $x \in \text{InnDiag}(G)$ .

By proposition 1.1 we have at most 3 possibilities for the choice of  $\phi^r x$ , up to conjugacy. Moreover, by proposition 1.5 we may assume that  $\bar{T} = \text{OutDiag}(G)\Gamma$ .

We have that  $T$  is generated by a graph-type involution  $u$  centralizing a suitable conjugate of  $\phi^r$  and an element  $v \in \text{InnDiag}(G) \setminus \text{Inn}(G)$  of order 3. We now argue as in the analogue of this case for  $D_l(q)$ .

By Table 4.5.1 and proposition 4.9.2 (b)(4) and (f) of [4] there are at most 2 choices for  $u$ , up to  $G$ -conjugacy. By table 4.7.3A of [4] there are at most 8  $G$ -conjugacy classes of elements of order 3 in  $\text{InnDiag}(G) \setminus \text{Inn}(G)$ , and each of them has at most  $|\text{InnDiag}(G) : C_{\text{InnDiag}(G)}(g)|$  elements, where  $g$  is an element of one of those classes such that  $C_G(g)$  has minimum order. To conclude, it is enough to note that  $|C_G(g)| > 48$ .

II) It is easy to see that if we are not in the previous case then it is possible to choose a generator  $\phi^r z$  of  $C$  modulo  $T$  of order  $\frac{m}{r}$  and with  $z \in \text{InnDiag}(G)\Gamma$ . Moreover,  $T$  is cyclic of order 3, so proposition 1.7 applies. By Table 4.7.3A of [4] the number of  $G$ -conjugacy classes of elements of order 3 in  $\text{InnDiag}(G) \setminus \text{Inn}(G)$  is at most 8, which is less than the index of a maximal subgroup of  $G$ .

Let  $C \leq \text{InnDiag}(G)\Gamma$ .

We have that  $C$  is generated by a graph-type involution  $u$  and an element  $v \in \text{InnDiag}(G) \setminus \text{Inn}(G)$  of order 3 and we argue as in case I.

### 9. ${}^2E_6(q)$ .

We have that  $C$  is isomorphic to a subgroup  $\bar{C}$  of  $\text{Out}(G) \leq Z_3 \rtimes Z_m$ , with  $Z_m = \langle \phi G \rangle$  and  $Z_3 = \langle aG, \tau G \rangle$  and  $a \in \text{InnDiag}(G)$ .

By proposition 1.3 we may assume that  $C$  is not cyclic, so that  $C = \langle y, \phi^r z \rangle$ , where  $z \in \text{InnDiag}(G)$ ; also  $y \in \text{InnDiag}(G) \setminus \text{Inn}(G)$  has order 3 and it is normalized by  $\phi^r z$ .

By table 4.7.3A of [4] there are at most 8  $G$ -conjugacy classes of type graph elements of order 3. By proposition 1.7 there are at most  $8|Z|$  conjugacy classes of complements for  $G$  in  $H$ , where  $Z$  is a maximal subgroup of  $G$ . To conclude, we note that by Table 5.2A of [p. 175] [7] we have that  $8 < |G : Z|$ .

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