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## THE NON RATIONALITY OF THE GENERIC ENRIQUES' THREEFOLD

Luciana Picco Botta and Alessandro Verra

The Enriques threefold, i.e. the hypersurface of  $\mathbb{P}^4$  having as hyperplane sections the classical Enriques surfaces (i.e. the surfaces of degree 6 in  $\mathbb{P}^3$ , passing through the edges of a tetrahedron), was studied classically by several Authors.

Fano suggested that it was not unirational ([10] p. 94), but Roth proved that it was unirational and, in order to prove the non-rationality, he gave an argument involving the Severi torsion. This point was in disagreement with Serre [12], where it is shown that a non singular unirational variety cannot have torsion. Tyrrel [13] pointed out that Roth's argument was not correct because of the existence of some not ordinary singular points.

In this note we find, for a generic Enriques threefold  $V$ , a non singular model  $\tilde{V}'$  containing an open set  $W$ , which is a conic bundle (in the sense of [1]) over a suitable surface, with a complete non singular curve  $\Delta$  of genus 5 as curve of the degenerate conics. By analyzing  $\tilde{V}' - W$  explicitly we prove, as in the case of standard conics bundles, that the Chow group  $A^2(\tilde{V}')$  is isomorphic to the Prym variety  $\text{Prym}(\tilde{\Delta}/\Delta)$ .

At the end, since  $\Delta$  has genus 5 and so is not included in th. 4.9 of [1], we need some careful analysis about its halfcanonical series, to conclude that  $\text{Prym}(\tilde{\Delta}/\Delta)$  is not a Jacobian of a curve and therefore  $V$  is not rational.

In the complex projective space  $\mathbb{P}^4$  of homogeneous coordinates  $(x_0 : x_1 : x_2 : x_3 : x_4)$  we consider the irreducible generic hypersurface  $V$  of equation:

(\*) Lavoro eseguito nell'ambito del G.N.S.A.G.A. del C.N.R.

$$x_1x_2x_3x_4\{x_0^2 + x_0 \sum_{i=1}^4 a_i x_i + \sum_{i,j=1}^4 b_{ij} x_i x_j\} + c_1x_2^2x_3^2x_4^2 + c_2x_3^2x_4^3x_1^2 + c_3x_4^2x_1^2x_2^2 + c_4x_1^2x_2^2x_3^2 = 0.$$

In particular,  $c_i \neq 0, i = 1, 2, 3, 4.$

It is known ([11] p. 44, [13] p. 897) that its generic hyperplane section is an Enriques surface and that  $V$  gets the following singularities:

- (i) six double planes  $\pi_{ij}$  of equations  $x_i = x_j = 0, 1 \leq i < j \leq 4,$
- (ii) four triple lines of equations  $x_i = x_j = x_k = 0, 1 \leq i < j < k \leq 4,$
- (iii) one quadruple point at  $0(1, 0, 0, 0, 0)$  and other two non ordinary quadruple points on each triple line.

It is also known that  $V$  is unirational ([10] p. 97).

In order to prove that  $V$  is non rational, we consider the following rational map:

$$\varphi : \mathbb{P}^4(x_0 : x_1 : x_2 : x_3 : x_4) \dashrightarrow \mathbb{P}^3(x : y : z : t)$$

given by

$$x : y : z : t = x_1x_3 : x_1x_4 : x_2x_3 : x_2x_4.$$

$\varphi$  is not defined over the planes  $\pi_{12}$  and  $\pi_{34},$  moreover the image of  $\varphi$  is the quadric surface  $Q \subseteq \mathbb{P}^3$  of equation  $xt = yz.$

LEMMA 1: For all  $q \in Q,$  let  $E_q = \varphi^{-1}(q)$  be the inverse image of  $q.$  The Zariski closure of  $E_q$  is a plane in  $\mathbb{P}^4$  passing through the point  $0(1, 0, 0, 0, 0)$  and intersecting each plane  $\pi_{12}$  and  $\pi_{34}$  along a line.

In other words,  $Q$  parametrizes the planes in  $\mathbb{P}^4$  cutting these two fixed planes along a line.

PROOF: Let  $q = (\bar{x}, \bar{y}, \bar{z}, \bar{t}) \in Q$  and  $p \in E_q.$  Since  $p$  doesn't belong to the planes  $\pi_{12}$  and  $\pi_{34},$  there exist only two hyperplanes passing through  $p$  and containing one of them. Their equations are precisely:

$$\begin{cases} \alpha x_1 - \beta x_2 = 0 \\ \gamma x_3 - \delta x_4 = 0 \end{cases} \tag{*}$$

where

$$\alpha : \beta = \bar{t} : \bar{y} = \bar{z} : \bar{x}$$

and  $\gamma : \delta = \bar{y} : \bar{x} = \bar{t} : \bar{z}.$

So the equations (\*) define exactly the Zariski closure of  $E_q.$

It follows immediately:

LEMMA 2: *The following (not linearly independent) equations in  $\mathbb{P}^4 \times \mathbb{P}^3$*

$$\begin{cases} xt = yz \\ zx_1 - xx_2 = 0 \\ tx_1 - yx_2 = 0 \\ yx_3 - xx_4 = 0 \\ tx_3 - zx_4 = 0 \end{cases}$$

define the Zariski closure  $\Gamma_\varphi$  of the graphe of  $\varphi$ .

Note.  $\Gamma_\varphi$  can be obtained by blowing  $\mathbb{P}^4$  up along the ideal of the planes  $\pi_{12}$  and  $\pi_{34}$ .

LEMMA 3: *The equations of lemma 2, together with the following ones:*

$$\begin{aligned} & x^2(c_4x_2^2 + c_2x_4^2) + t^2(c_1x_3^2 + c_3x_1^2) \\ & + xt(x_0^2 + x_0 \sum_{i=1}^4 a_i x_i + \sum_{i,j=1}^4 b_{ij} x_i x_j) = 0 \\ & y^2(c_3x_2^2 + c_2x_3^2) + z^2(c_4x_1^2 + c_1x_4^2) \\ & + yz(x_0^2 + x_0 \sum_{i=1}^4 a_i x_i + \sum_{i,j=1}^4 b_{ij} x_i x_j) = 0 \end{aligned}$$

define the strict transforma  $V'$  of  $V$  in  $\Gamma_\varphi$ .

PROOF: Immediate.

REMARK: Let  $\pi: V' \rightarrow Q$  be the restriction to  $V'$  of the canonical projection. For the fibre  $\pi^{-1}(q)$  of a point  $q \in Q$  we have three possibilities:

(1) if all coordinates of  $q$  are different from zero,  $\pi^{-1}(q)$  is a (possibly degenerate) conic. In fact it is the residual conic cut out on  $V$  by the plane  $E_q$ , apart from the two (double) lines lying on the plane  $\pi_{12}$  and  $\pi_{34}$ .

In the above notations, if  $E_q$  has equations

$$\begin{aligned} \alpha x_1 - \beta x_2 &= 0 \\ \gamma x_3 - \delta x_4 &= 0 \end{aligned}$$

( $\alpha, \beta, \gamma, \delta$ : fixed), on  $E_q$  we may assume homogeneous coordinates  $v:u:r$  such that, for a point  $p \in E_q$

$$\begin{aligned}x_0 &= v \\x_1 &= \beta u \\x_2 &= \alpha u \\x_3 &= \delta r \\x_4 &= \gamma r.\end{aligned}$$

In this coordinate system the conic  $\pi^{-1}(q)$  has equation:

$$\begin{aligned}\alpha\beta\gamma\delta\{v^2 + v[(a_1\beta + a_2\alpha)u + (a_3\delta + a_4\gamma)r] \\+ (b_{11}\beta^2 + b_{12}\alpha\beta + b_{22}\alpha^2)u^2 \\+ (b_{33}\delta^2 + b_{34}\gamma\delta + b_{44}\gamma^2)r^2 \\+ (b_{13}\beta\delta + b_{14}\beta\gamma + b_{23}\alpha\delta + b_{24}\alpha\gamma)ur \\+ (c_1\alpha^2\gamma^2\delta^2 + c_2\beta^2\gamma^2\delta^2)r^2 \\+ (c_3\alpha^2\beta^2\gamma^2 + c_4\alpha^2\beta^2\delta^2)u^2\} = 0.\end{aligned}$$

(2) if exactly two coordinates are zero, then  $\pi^{-1}(q)$  is a double line.

(3) if three coordinates are zero,  $\pi^{-1}(q) = E_q$ .

(2) and (3) follow immediately from the equations.

We want to prove that  $V$  is birationally equivalent to a conic bundle.

Let  $X = (1, 0, 0, 0)$ ,  $Y = (0, 1, 0, 0)$ ,  $Z = (0, 0, 1, 0)$ ,  $T = (0, 0, 0, 1)$  be the four points of the case (3), and blow  $Q$  up in these points, or, equivalently, take the strict transform  $G$  of  $Q$  in the blowing up of  $\mathbb{P}^3$  along the two lines of equations  $x = t = 0$  and  $y = z = 0$ . So we realize  $G$  in  $\mathbb{P}^1(\lambda:\mu) \times \mathbb{P}^1(v:\rho) \times \mathbb{P}^3(x:y:z:t)$  by the equations

$$\begin{aligned}\lambda x - \mu t &= 0 \\v y - \rho z &= 0 \\x t - y z &= 0.\end{aligned}$$

If  $\varepsilon: G \rightarrow Q$  denotes the structure map, by base-change we obtain a birational morphism  $\tilde{\varepsilon}: \tilde{\Gamma}_\varphi = \Gamma_\varphi \times G \rightarrow \Gamma_\varphi$  and a structure map  $\tilde{\pi}: \tilde{\Gamma}_\varphi \rightarrow G$ .

The strict transform  $\tilde{V}$  of  $V$  in  $\tilde{\Gamma}_\varphi$  has equations in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^3 \times \mathbb{P}^4$

$$\begin{aligned}\lambda x - \mu t &= 0 \\v y - \rho z &= 0 \\x t - y z &= 0\end{aligned} \tag{**}$$

$$\begin{aligned}
zx_1 - xx_2 &= 0 \\
tx_1 - yx_2 &= 0 \\
yx_3 - xx_4 &= 0 \\
tx_3 - zx_4 &= 0 \\
\mu^2(c_4x_2^2 + c_2x_4^2) + \lambda^2(c_1x_3^2 + c_3x_1^2) + \lambda\mu F &= 0 \\
\sigma^2(c_3x_2^2 + c_2x_3^2) + \nu^2(c_4x_1^2 + c_1x_4^2) + \nu\sigma F &= 0
\end{aligned}
\tag{**}$$

where

$$F = x_0^2 + x_0 \sum_{i=1}^4 a_i x_i + \sum_{i,j=1}^4 b_{ij} x_i x_j.$$

It follows that  $\tilde{\pi}: \tilde{V} \rightarrow G$  is a “conic bundle” birationally equivalent to  $V$ .

In fact, if  $g \in G$   $\varepsilon(g) = X$  (or  $Y, Z, T$ ) it follows from those equations that  $\tilde{\pi}^{-1}(g)$  is still a conic, precisely, if  $\varepsilon(g) = X$ , it is:

$$\begin{aligned}
y = z = t = \lambda = x_2 = x_4 \\
= \rho^2 c_2 x_3^2 + \nu^2 c_4 x_1^2 + \nu\rho[x_0^2 + x_0(a_1 x_1 + a_3 x_3) \\
+ (b_{11} x_1^2 + b_{13} x_1 x_3 + b_{33} x_3^2)] = 0
\end{aligned}$$

Nevertheless, we'll see that  $\tilde{V}$  still gets some singularities.

First of all, we want to study the locus of the degenerate conics. We have the following

**PROPOSITION 1:** *The locus of the degenerate conics for  $\tilde{\pi}: \tilde{V} \rightarrow G$  is given by:*

- a non singular curve  $\Delta$  parametrizing the conics of rank 2,
- four lines (disjoint from  $\Delta$  and not intersecting each other), parametrizing the double lines.

**PROOF:** At first we study  $\pi: V' \rightarrow Q$ .

The condition for a conic  $\pi^{-1}(q)$  in order to be degenerate is the following:

$$\begin{aligned}
&\alpha^2 \beta^2 \gamma^2 \delta^2 \{ 4[\gamma\delta(b_{11}\beta^2 + b_{12}\alpha\beta + b_{22}\alpha^2) + \alpha\beta(c_3\gamma^2 + c_4\delta^2)] \\
&\cdot [\alpha\beta(b_{33}\delta^2 + b_{34}\gamma\delta + b_{44}\gamma^2) + \gamma\delta(c_1\alpha^2 + c_2\beta^2)] + \alpha\beta\gamma\delta(a_1\beta + a_2\alpha) \\
&\cdot (a_3\delta + a_4\gamma)(b_{13}\beta\delta + b_{14}\beta\gamma + b_{23}\alpha\delta + b_{24}\alpha\gamma) - \alpha\beta(a_3\delta + a_4\gamma)^2 \\
&\cdot [\gamma\delta(b_{11}\beta^2 + b_{12}\alpha\beta + b_{22}\alpha^2) + \alpha\beta(c_3\gamma^2 + c_4\delta^2)] - \gamma\delta(a_1\beta + a_2\alpha)^2 \\
&\cdot [\alpha\beta(b_{33}\delta^2 + b_{34}\gamma\delta + b_{44}\gamma^2) + \gamma\delta(c_1\alpha^2 + c_2\beta^2)] \\
&- \alpha\beta\gamma\delta(b_{13}\beta\delta + b_{14}\beta\gamma + b_{23}\alpha\delta + b_{24}\alpha\gamma)^2 \} = 0.
\end{aligned}$$

Therefore the curve of the degenerate conics on  $Q$  is given by:

(i) four lines, parametrizing the double lines

$$z = t = 0 \quad (\text{for } \alpha = 0)$$

$$x = y = 0 \quad (\text{for } \beta = 0)$$

$$y = t = 0 \quad (\text{for } \gamma = 0)$$

$$x = z = 0 \quad (\text{for } \delta = 0)$$

(ii) the curve  $C \subseteq Q$  of type  $(4, 4)$  of equations

$$\begin{aligned} xt - yz = 0 \\ 4[(b_{11}xy + b_{12}xt + b_{22}zt + c_3yt + c_4xz) \\ \times (b_{33}xz + b_{34}xt + b_{44}yt + c_1zt + c_2xy)] \\ + xt(a_1a_3x + a_1a_4y + a_2a_3z + a_2a_4t) \\ \times (b_{13}x + b_{14}y + b_{23}z + b_{24}t) \\ - (a_3^2xz + 2a_3a_4xt + a_4^2yt) \\ \times (b_{11}xy + b_{12}xt + b_{22}zt + c_3yt + c_4xz) \\ - (a_1^2xy + 2a_1a_2xt + a_2^2zt) \\ \times (b_{33}xz + b_{34}xt + b_{44}yt + c_1zt + c_2xy) \\ - xt(b_{13}x + b_{14}y + b_{23}z + b_{24}t)^2 = 0 \end{aligned}$$

$C$  is the complete intersection of  $Q$  and a quartic surface  $R$ .

A simple direct computation shows that  $X, Y, Z, T$  are ordinary double points of  $C$ , and  $C$  is not tangent to the four fundamental lines lying on  $Q$ .

Moreover, we may see that  $\pi^{-1}(q)$  has rank 2,  $\forall q \in C - \{X, Y, Z, T\}$  (it follows from considerations on the minors of order 2 in the discriminant of  $\pi^{-1}(q)$ ). Hence  $C$  must be non singular in  $q$  (cf. [1] prop. 1.2).

Therefore, for a generic  $V$ , the strict transform  $A$  of  $C$  in the blowing up  $\varepsilon: G \rightarrow Q$  is non singular and doesn't intersect the strict transform of the four fundamental lines of  $Q$ .

Now, in order to examine the singularities of  $\tilde{V}$ , we denote by  $\tilde{H}$  the section of  $\tilde{\Gamma}_\varphi$  with  $x_0 = 0$ .

$\tilde{H}$  is birationally equivalent to the hyperplane  $H$  of  $\mathbb{P}^4$  of equation  $x_0 = 0$ . By projecting from the point  $0(1, 0, 0, 0, 0)$ , we obtain a rational map  $\eta: V \rightarrow H$ , which is  $2 - 1$  outside the double planes of  $V$ . By base-change we get a fibre-diagram

$$\begin{array}{ccc} \tilde{V} & \xrightarrow{\tilde{\eta}} & \tilde{H} \\ \downarrow & & \downarrow \\ V & \xrightarrow{\eta} & H \end{array}$$

where the vertical arrows are birational morphisms.

LEMMA 4: *The ramification locus  $R_{\tilde{\eta}}$  of  $\tilde{\eta}$  has equations on  $\tilde{V}$*

$$\begin{aligned} \lambda\mu(2x_0 + \sum_{i=1}^4 a_i x_i) &= 0 \\ \nu\rho(2x_0 + \sum_{i=1}^4 a_i x_i) &= 0 \end{aligned}$$

PROOF: The restriction of  $\tilde{\eta}$  to  $k_g = \tilde{\pi}^{-1}(g) \subseteq E_g$  coincides with the projection of  $k_g$  on the "line at infinity" of  $E_g$ . So we get the ramification points of  $\tilde{\eta}|_{k_g}$  by intersecting  $k_g$  with the polar line of 0 to  $k_g$ , or, equivalently, with the polar hyperplane of 0 to the quadric hypersurfaces obtained by fixing the coordinates of  $g$  in the equations (\*\*\*) of  $\tilde{V}$ .

In this way we find exactly the required equations.

COROLLARY:  $R_{\tilde{\eta}}$  is the union of the following sections of  $\tilde{V}$ :

$$\begin{aligned} \tilde{V} \cap \{\lambda = \nu = 0\} &= A_{\lambda\nu} \\ \tilde{V} \cap \{\lambda = \rho = 0\} &= A_{\lambda\rho} \\ \tilde{V} \cap \{\mu = \nu = 0\} &= A_{\mu\nu} \\ \tilde{V} \cap \{\mu = \rho = 0\} &= A_{\mu\rho} \\ \tilde{V} \cap \{2x_0 + \sum_{i=1}^4 a_i x_i\} &= B \end{aligned}$$

PROPOSITION 2:  $A_{ij}$  ( $i, j = \lambda, \mu, \nu, \rho$ ) is a smooth quadric surface.  $B$  is a smooth Enriques surface.

PROOF: 1) Let's consider, for example,  $A_{\lambda\nu}$ . Its equations in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^3 \times \mathbb{P}^4$  are given by:

$$\lambda = \nu = t = z = x_2 = x_3 = x_4 = 0,$$

so they determine a smooth surface isomorphic to  $\mathbb{P}^1 \times \mathbb{P}^1$ :

$$A_{\lambda\nu} = \{t = z = 0\} \times \{x_2 = x_3 = x_4 = 0\}.$$

2)  $B$  is an Enriques surface.

In fact the ramification divisor of the map  $\eta: V \longrightarrow H$  has equation, in the coordinates  $(x_1 : x_2 : x_3 : x_4)$ , the discriminant of the polynomial of degree two in  $x_0$  defining  $V$  in  $\mathbb{P}^4$ , i.e.

$$\begin{aligned} & x_1 x_2 x_3 x_4 [x_1 x_2 x_3 x_4 (\sum_{i=1}^4 a_i x_i)^2 - 4 \sum_{i,j=1}^4 b_{ij} x_i x_j] - 4c_1 x_2^2 x_3^2 x_4^2 \\ & - 4c_2 x_3^2 x_4^2 x_1^2 - 4c_3 x_4^2 x_1^2 x_2^2 - 4c_4 x_1^2 x_2^2 x_3^2] = 0. \end{aligned}$$

The expression contained in the square brackets is the canonical equation of an Enriques surface in  $\mathbb{P}^3$ , which is birationally equivalent to  $B$  by means of  $\eta$ .

It is possible to verify on the equations that the blowing up defining  $\tilde{V}$  induces a desingularization of this Enriques surface, but we can also observe directly that  $\tilde{\pi}|_B: B \rightarrow G$  is a double covering with ramification divisor

$$R_{\tilde{\pi}} = (A_{\lambda\nu} + A_{\lambda\rho} + A_{\mu\nu} + A_{\mu\rho}) \cdot B + \Delta'$$

where  $\tilde{\pi}(\Delta') = \Delta$  is the irreducible smooth curve of  $G$  studied in prop. 1. So  $A_{\lambda\nu} \cdot B = L'_{\lambda\nu}$  is a line of equations

$$\lambda = \nu = t = z = x_2 = x_3 = x_4 = 2x_0 + \sum_{i=1}^4 a_i x_i = 0$$

and

$$\tilde{\pi}(L'_{\lambda\nu}) = L_{\lambda\nu} = \{t = z = 0\}$$

is a fundamental line on  $G$  parametrizing the conics of rank 1. It follows that  $R_{\tilde{\pi}}$  is a (reducible) smooth curve, and therefore  $B$  is non singular.

**PROPOSITION 3:**  $\tilde{V}$  is non singular, except for four couples of lines contained in  $A_{\lambda\nu}$ ,  $A_{\lambda\rho}$ ,  $A_{\mu\nu}$ ,  $A_{\mu\rho}$ , having equations

$$\begin{aligned} \lambda = \nu = t = z = x_2 = x_3 = x_4 &= (x_0^2 + a_1 x_0 x_1 + b_{11} x_1^2) = 0 \\ \lambda = \rho = y = t = x_1 = x_2 = x_4 &= (x_0^2 + a_3 x_0 x_3 + b_{33} x_3^2) = 0 \\ \mu = \nu = x = z = x_1 = x_2 = x_3 &= (x_0^2 + a_4 x_0 x_4 + b_{44} x_4^2) = 0 \\ \mu = \rho = x = y = x_1 = x_3 = x_4 &= (x_0^2 + a_2 x_0 x_2 + b_{22} x_2^2) = 0. \end{aligned}$$

Moreover all these points are ordinary double points.

PROOF: Let  $D = A_{\lambda\nu} + A_{\lambda\rho} + A_{\mu\nu} + A_{\mu\rho}$ . Then  $\tilde{\eta}: \tilde{V} - D \longrightarrow \tilde{H} - D$  is a double covering with smooth ramification locus, therefore it is non singular and all singular points of  $\tilde{V}$  are necessarily belonging to  $D$ .

It suffices to consider one of the connected components of  $D$ , for example  $A_{\lambda\nu}$ , and to argue locally. So, taking the open set  $U \subseteq \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^3 \times \mathbb{P}^4$  where  $\mu = \rho = x = x_0 = 1$  and assuming affine coordinates  $(\lambda, \nu, y, z, t, x_1, x_2, x_3, x_4)$ , we easily see that the tangent space at any point  $p = (0, 0, \bar{y}, 0, 0, \bar{x}_1, 0, 0, 0) \in A_{\lambda\nu} \cap U$  to  $\tilde{V} \cap U$  is given by the following (not linearly independent) equations:

$$\begin{aligned} t - \bar{y}z &= 0 \\ \lambda - t &= 0 \\ \nu\bar{y} - z &= 0 \\ z\bar{x}_1 - x_2 &= 0 \\ t\bar{x}_1 - \bar{y}x_2 &= 0 \\ \bar{y}x_3 - x_4 &= 0 \\ \lambda(1 + a_1\bar{x}_1 + b_{11}\bar{x}_1^2) &= 0 \\ \nu(1 + a_1\bar{x}_1 + b_{11}\bar{x}_1^2) &= 0 \end{aligned}$$

Since  $\dim T_{\tilde{V},p} \geq 3$ , at most six of them are linearly independent. Two different cases are possible:

$$1) 1 + a_1\bar{x}_1 + b_{11}\bar{x}_1^2 \neq 0$$

Then  $\lambda = \nu = t = z = x_2 = \bar{y}x_3 - x_4 = 0$  are six independent equations, so  $\dim T_{\tilde{V},p} = 3$  and  $\tilde{V}$  is non singular at  $p$ .

$$2) 1 + a_1\bar{x}_1 + b_{11}\bar{x}_1^2 = 0.$$

In this case the last two equations are identically zero, and the first six are related by the (unique) relation

$$\bar{x}_1(t - \bar{y}z) = (t\bar{x}_1 - \bar{y}x_2) - \bar{y}(\bar{x}_1z - x_2)$$

(Note that  $\bar{x}_1 \neq 0$ ). So  $\dim T_{\tilde{V},p} = 4$ .

To determine the tangent cone at  $p$  to  $\tilde{V}$ , we assume as local parameters at  $p$  to  $\tilde{\Gamma}_\varphi$  (which is a non singular four-dimensional variety), for example,  $\nu, x_3, y' = y - \bar{y}, x'_1 = x_1 - \bar{x}_1$  and we obtain a term of lower degree of the kind

$$Av^2 + B\nu x'_1 + C\nu x_3 + c_2 x_3^2 = 0 \tag{***}$$

where

$$\begin{aligned} A &= c_4 \bar{x}_1^2 + c_3 \bar{x}_1^2 \bar{y}^2 + a_2 \bar{x}_1 \bar{y} + b_{12} \bar{x}_1^2 \bar{y} \\ B &= a_1 + 2b_{11} \bar{x}_1 \\ C &= a_3 + a_4 \bar{y} + b_{13} \bar{x}_1 + b_{14} \bar{y} \bar{x}_1 \end{aligned}$$

It is a quadric cone over the conic (\*\*\*) with discriminant

$$H = c_2(a_1 + 2b_{11} \bar{x}_1)^2$$

(remember that  $c_2 \neq 0$ ). For a generic  $V, H \neq 0$ , so  $p$  is an ordinary double point. It is immediate to see that from  $H = 0$  it follows that the solutions of the equation 2) (and so either the two corresponding lines on  $\tilde{V}$  and the two quadruple points on the line  $x_2 = x_3 = x_4 = 0$  on  $V$ ) coincide.

**COROLLARY:** *A non singular model for  $V$  is given by the strict transform  $\tilde{V}'$  of  $\tilde{V}$  in the blowing up of  $\tilde{\Gamma}_\varphi$  along these eight singular lines.*

**PROOF:** It can be done directly in the above local coordinates. In particular, for each line blown up we get an exceptional quadric.

**REMARK:** In conclusion, we have got a non singular model  $\tilde{V}'$ , of  $V$  and a map  $f = \tilde{\pi}' : \tilde{V}' \rightarrow G$  whose fibres are:

- i) a non singular conic if  $g \notin \Delta \cup \{L_{ij}\}$
- ii) two different lines if  $g \in \Delta$
- iii) a double line and two conics if  $g \in L_{ij}$ .

Therefore the inverse image  $\tilde{Y}$  of the four fundamental lines  $L_{ij}$  is a union of quadrics (the  $A_{ij}$ 's and the exceptional ones).

$W = \tilde{V}' - \tilde{Y}$  is isomorphic to  $\tilde{V} - \cup A_{ij}$ , so that it is a non singular conic bundle over  $G - \cup L_{ij}$ .

$\Delta$  is the complete non singular curve of degenerate conics of the bundle.

We can construct in a standard way (see [1] 1.5) a double covering  $q: \tilde{\Delta} \rightarrow \Delta$  such that every point  $t \in \tilde{\Delta}$  parametrizes one of the two lines contained in the conic  $k_{q(t)}$ . Let us call this line  $L(t)$  and look to it as an element of  $C^2(W)$ . By similar arguments as in [1] 3.1, and considering also [1] 3.1.9 one can prove the following

**PROPOSITION 4:** *The map  $t \mapsto L(t)$  extends to a surjective homomorphism*

$$\varphi : J(\tilde{\Delta}) \rightarrow A^2(W)$$

whose kernel is  $q^*J(\Delta)$ . Taking the quotient, we obtain an isomorphism

$$\psi : P = \text{Prym}(\tilde{\Delta}/\Delta) \rightarrow A^2(W).$$

COROLLARY:  $P \xrightarrow{\sim} A^2(\tilde{V}')$ .

PROOF: Let  $\bar{Y}$  be the desingularization of  $\tilde{Y}$ . We have the exact sequence

$$\begin{array}{ccccccc} A^1(\bar{Y}) & \rightarrow & A^2(\tilde{V}') & \rightarrow & A^2(W) & \rightarrow & 0 \\ & & \swarrow & & \uparrow & & \\ & & & & P & & \end{array}$$

and  $A^1(\bar{Y}) = 0$  since  $\tilde{Y}$  is the union of quadric surfaces. We observe that this is a group isomorphism.

PROPOSITION 5:  $P$  is the algebraic representative of  $A^2(\tilde{V}')$  (cfr. [1] def. 3.2.3.), and the principal polarization  $\mathcal{G}$  of  $P$  is the incidence polarization relative to  $X$  ([1] def. 3.4.2.).

PROOF: It can be shown by the same arguments as [1] Prop. 3.3 and [1] Prop. 3.5.

LEMMA 5: Let  $C$  be a canonical curve in  $\mathbb{P}^4$  which is a complete intersection:

- (1)  $C$  has a half-canonical  $g_4^1$  if and only if  $C$  is contained in a quadric  $U$  of rank three;
- (2) the unique ruling of two-planes of  $U$  cuts out the half-canonical  $g_4^1$  on  $C$ .

PROOF: (1) Let us suppose that  $C$  has a half-canonical  $g_4^1$ ; if  $D$  is an effective divisor belonging to the  $g_4^1$  then, by Riemann–Roch Theorem and the hypothesis  $2D \sim K$ , it follows

$$h \circ (D) = 2 = h \circ (K - D).$$

Since  $K$  is cut out by the hyperplane sections,  $h \circ (K - D) = 2$  means that  $\text{Supp } D \subset \pi$  where  $\pi$  is a two-plane; now we can take another

effective divisor  $D'$  which is linearly equivalent to  $D$  and, without loss of generality, we can assume  $\text{Supp } D \cap \text{Supp } D' = \emptyset$  (if not  $C$  will have a  $g_3^1$  and will not be a complete intersection). Let  $\pi'$  be the two-plane containing  $\text{Supp } D'$ : since  $D + D' \sim K$  it follows that  $\pi \cup \pi'$  is contained in an hyperplane  $H$  of  $\mathbb{P}^4$  and that  $\pi, \pi'$  intersect along a line  $u$ . Moreover there is only one net of quadric surfaces in  $H$  passing through  $\text{Supp } D \cup \text{Supp } D'$ , so that they are sections by  $H$  of the quadric hypersurfaces through  $C$ . Since  $\pi \cup \pi'$  belongs to the net, there is a quadric hypersurface  $U$  containing  $C \cup \pi \cup \pi'$ .

$U$  is singular because it contains some 2-plane, then its rank may be equal to 3 or 4. If  $U$  had rank 4 then  $U$  will be a cone over a quadric surface  $S$  in  $\mathbb{P}^3$ . In this case  $\pi$  will be a plane through the vertex of the cone and a line  $l$  of  $S$ ; then  $D$  will be cut out on  $C$  by the two-planes through the vertex of  $U$  and a line in the same ruling of  $l$ ; which is absurd since  $\pi'$  belongs clearly to the other ruling of two-planes of  $U$ . Then  $U$  must have rank 3 and its singular locus has to be the line  $u = \pi \cap \pi'$ .

Viceversa and (2) follow easily by the above arguments.

LEMMA 6: *Let  $V$  be a generic Enriques' threefold, then a canonical model of  $\Delta$  is the complete intersection in  $\mathbb{P}^4$  of three quadric hypersurfaces  $Q_1, Q_2, Q_3$  where  $Q_1, Q_2$  have rank 3 and  $Q_3$  is generic.*

PROOF: We considered a singular model  $C$  of  $\Delta$  given by the following equations in  $\mathbb{P}^3$  ( $x : y : z : t$ ), (see Prop. 1):

$$\begin{aligned}
 & xt - zy = 0 \\
 F_4(x, y, z, t) = & 4 \cdot [(b_{11}xy + b_{12}xt + b_{22}zt + c_3yt + c_4xz) \\
 & \times (b_{33}xz + b_{34}xt + b_{44}yt + c_1zt + c_2xy)] \\
 & + xt \cdot [(a_1a_4y + a_2a_3z)(b_{13}x + b_{14}y + b_{23}z + b_{24}t) \\
 & - (b_{14}y + b_{23}z)^2] + zy[(a_1a_3x + a_2a_4t) \\
 & \times (b_{13}x + b_{14}y + b_{23}z + b_{24}t) - (b_{13}x + b_{24}t)^2] \\
 & - 2(b_{13}x + b_{24}t)(b_{14}y + b_{23}z)xt - (a_3^2xz + 2a_3a_4xt + a_4^2yt) \\
 & \times (b_{11}xy + b_{12}xt + b_{22}zt + c_3yt + c_4xz) \\
 & - (a_1^2xy + 2a_1a_2xt + a_2^2zt) \\
 & \times (b_{33}xz + b_{34}xt + b_{44}yt + c_1zt + c_2xy) = 0.
 \end{aligned}$$

$C$  is of type (4, 4) in the quadric surface  $Q = \{xt - yz = 0\}$  and has four ordinary double points:  $X(1:0:0:0)$ ,  $Y(0:1:0:0)$ ,  $Z(0:0:1:0)$ ,  $T(0:0:0:1)$ . The linear system of quadric surfaces in  $\mathbb{P}^3$  containing the

four double points and distinct from  $Q$  cuts out on  $C$  the canonical system. Moreover it defines a rational morphism

$$\Phi: \mathbb{P}^3 \longrightarrow \mathbb{P}^4$$

which desingularizes  $C$  and embeds it canonically in  $\mathbb{P}^4$ . Indeed the equations of  $\Phi$  can be defined by setting

$$u_0 = xy, u_1 = xz, u_2 = xt, u_3 = yt, u_4 = zt$$

where  $(u_0:u_1:u_2:u_3:u_4)$  are projective coordinates in  $\mathbb{P}^4$ . Then the strict transform of  $Q$  is the intersection of the two quadric hypersurfaces of rank three:

$$Q_1: u_2^2 - u_0u_4 = 0, \quad Q_2: u_2^2 - u_1u_3 = 0.$$

Moreover the quartic form  $F_4(x, y, z, t)$  can also be written as a quadratic form  $F(xy, xz, xt, yt, zt)$  in  $xy, xz, xt, yt, zt$ . It follows immediately that the strict transform  $\Delta'$  of  $C$  in  $\mathbb{P}^4$  has equations:

$$u_2^2 - u_1u_3 = u_2^2 - u_0u_4 = 0$$

$$F(u_0, u_1, u_2, u_3, u_4) = 0$$

where  $F(u_0, u_1, u_2, u_3, u_4)$  is a quadratic form.

Then the affine space of the coefficients of the equation of  $V$  maps on the affine space of the coefficients of a quadratic form  $F \in C[u_0, u_1, u_2, u_3, u_4]$ . One can compute directly that this map is of maximal rank and surjective.

From this fact we can argue that  $\Delta'$  is the complete intersection of  $\mathbb{P}^4$  of  $Q_1, Q_2$  and a third generic quadratic hypersurface  $Q_3$ . In particular  $\Delta'$  is smooth and canonically embedded in  $\mathbb{P}^4$ .

**COROLLARY 3:** *Let  $V$  be a generic Enriques' threefold:*

- (i)  $\Delta$  is not hyperelliptic, trigonal, nor elliptic-hyperelliptic;
- (ii)  $\Delta$  has two half-canonical  $g_4^1$ 's  $L_1, L_2$ ;
- (iii)  $\Delta$  does not contain a half-canonical divisor  $N$  such that  $N \not\sim L_i$ , ( $i = 1, 2$ ),  $h \circ (N) \neq 0$ ,  $h \circ (N)$  even.

**PROOF:** (i) follows from the proof of the above lemma and from the fact that  $Q_3$  is generic. (ii) follows from Lemma 5. Now we show (iii): by (i) and Lemma 6  $\Delta$  is the base locus of a net  $\Sigma$  of quadric hypersurfaces

containing the 2 quadrics  $Q_1, Q_2$  of rank 3. Moreover, being  $Q_3$  generic,  $\Sigma$  is generic in the family of nets as above, so that  $\Sigma$  does not contain a third quadric of rank 3 different from  $Q_1, Q_2$ . Then, by Lemma 5,  $\Delta$  cannot carry a half-canonical divisor  $N$  with  $h^0(N) = 2$  and  $N \not\sim L_i$ . In the end, if  $h^0(N) = 4$ ,  $\Delta$  will be clearly elliptic or rational which is absurd.

REMARK: By the corollary above  $\Delta$  is generic among the curves of genus 5 having 2 and only 2 half-canonical  $g_4^1$ 's. Thinking of  $\Delta$  as a singular curve of type (4, 4) in the quadric  $Q$  (see Prop. 1) these  $g_4^1$ 's arise by intersection with the two rulings of lines in  $Q$ .

Let us consider now the étale double covering of  $\Delta$ :

$$q: \tilde{\Delta} \rightarrow \Delta$$

(see the remark before Prop. 4); we will compute the semiperiod giving such a covering.

We have seen (see the remark before Prop. 1) that there is a birational morphism of  $V$  with a (singular) conic bundle  $\tilde{V}$  on the surface  $G$ .  $G$  is the blowing up

$$\varepsilon: G \rightarrow Q$$

of the quadric surface  $Q = \{xt - yz = 0\}$  in the four fundamental points of  $\mathbb{P}^3(x: y: z: t)$ . Let  $\tilde{\pi}: \tilde{V} \rightarrow G$  be the map fibering  $\tilde{V}$  in conics;  $\forall g \in G$  the conic  $\tilde{\pi}^{-1}(g)$  is obtained, via the birational morphism from  $V$  to  $\tilde{V}$ , from a conic  $K_g$  in  $V$  contained in a 2-plane  $E_g$  meeting both the 2-plane  $\pi_{12} = \{x_1 = x_2 = 0\}$ ,  $\pi_{34} = \{x_3 = x_4 = 0\}$  along a line (Lemma 1).

LEMMA 7: *The locus in  $G$ :*

$$\{g \in G/K_g \cap (E_g \cap \pi_{12}) \text{ is exactly one point}\}$$

is given by:

- (i) a non singular elliptic curve  $\nabla \subset G$  which is the strict transform, via  $\varepsilon: G \rightarrow Q$ , of a quartic elliptic curve in  $Q$  passing through the four fundamental points of  $\varepsilon^{-1}$ ;
- (ii) two rational curves  $l_2, l'_2$  which are the strict transforms of the lines  $\{y = t = 0\}, \{x = z = 0\}$  belonging to the same ruling in  $Q$ .

PROOF: The equation of a conic  $K_g \subset E_g = \{\alpha x_1 - \beta x_2 = \gamma x_3 - \delta x_4$

$= 0\} \subset \mathbb{P}^4$  is given in the remark following Lemma 3. The coefficients of such a equation depend on  $(\alpha : \beta) \times (\gamma : \delta)$ , the projective coordinates on  $E_g$  are  $(u : v : r)$  and the line  $E_g \cap \pi_{12}$  is given by setting  $u = 0$ . It turns out easily that, if  $K_g$  satisfies the required condition, then  $(\alpha : \beta) \times (\gamma : \delta)$  annihilates the following equation:

$$(\alpha\beta\gamma^2\delta^2) \cdot [\alpha\beta(a_3\delta + a_4\gamma)^2 - 4\alpha\beta(b_{33}\delta^2 + b_{34}\gamma\delta + b_{44}\gamma^2) - 4(c_1\alpha^2\gamma\delta + c_2\beta\gamma\delta)] = 0.$$

With the same notations of Lemma 1 we have  $\alpha : \beta = t : y = z : x$ ;  $\gamma : \delta = y : x = t : z$  so that the set of zeroes of the second factor of the above equation becomes the locus in  $\mathbb{P}^3(x : y : z : t)$ :

$$\begin{aligned} xt - yz &= 0 \\ (a_3^2 - 4b_{33})xz + (a_4^2 - 4b_{44})ty \\ + 2(a_3a_4 - 2b_{34})xt - 4c_1tz - 4c_2xy &= 0. \end{aligned}$$

If  $V$  is generic this is clearly a smooth quartic elliptic curve in  $Q$ , passing through the four fundamental points of  $\mathbb{P}^3$ , that is through the fundamental points of  $\varepsilon^{-1}$ ; this shows (i). To show (ii) we observe that the fibers of  $\tilde{\pi}$  on  $l_2(l'_2)$  are double lines (see Prop. 1) and that these double lines arise, by the birational morphism quoted above, from the line  $\{x_3 = x_4 = x_1 = 0\}(\{x_3 = x_4 = x_2 = 0\})$  counted twice. This one meets  $\pi_{12}$  twice in the point  $(1:0:0:0)$  and this shows (ii); moreover it is clear from the geometric situation that the locus we are considering cannot have other components.

LEMMA 8: *We have on  $G$ :*

- (i)  $(\nabla, l_2) = (\nabla, l'_2) = 0$
- (ii)  $\Delta$  and  $\nabla$  does not meet along the four exceptional divisors of  $G$
- (iii)  $(\Delta, \nabla) = 8$  and, for every  $p \in \Delta \cap \nabla$ ,  $i(p; \Delta \cap \nabla) = 2$ .

PROOF:  $\varepsilon(\Delta)$ ,  $\varepsilon(\nabla)$ ,  $\varepsilon(l_2)$ ,  $\varepsilon(l'_2)$  pass all through the four fundamental points of  $\varepsilon^{-1}$ ; since  $V$  is generic it is clear from the equation of  $\varepsilon(\nabla)$  written in Lemma 7 that  $\varepsilon(l_2)$ ,  $\varepsilon(l'_2)$  are not tangent to  $\varepsilon(\nabla)$ ; in the same way one can also see that, for every fundamental point  $0$ , the tangent line in  $0$  to  $\varepsilon(\nabla)$  cannot be a component of the tangent cone to  $\varepsilon(\Delta)$  in  $0$ . This shows (i) and (ii). Now we have on  $Q: (\varepsilon(\Delta), \varepsilon(\nabla)) = 16$ ; moreover  $\varepsilon(\nabla)$  meets the four singular points of  $\varepsilon(\Delta)$  and these are also the fundamental ones for  $\varepsilon^{-1}$ . Then, by (ii),  $(\Delta, \nabla) = 8$ .

Another direct computation shows that  $i(p; \Delta \cap \nabla) = 2$  for every  $p \in \Delta \cap \nabla$ .

Let us consider now the double covering:

$$f: \tilde{G} \rightarrow G$$

branched over  $\nabla \cup l_2 \cup l'_2: \tilde{G}$  is smooth since  $\nabla \cup l_2 \cup l'_2$  is smooth. Moreover the open set  $\tilde{G} - (l_2 \cup l'_2)$  parametrizes the couples  $(g, x)$  where  $g \in G$  and  $x \in K_g \cap \pi_{12}$ . It follows that  $f^{-1}(\Delta)$  parametrizes the lines being components of the degenerate conics  $K_g$  of rank 2. Then  $f^{-1}(\Delta)$  is a (singular) model of  $\tilde{\Delta}$ . Indeed  $f^{-1}(\Delta)$  is singular exactly in the four points of the set  $f^{-1}(\Delta \cap \nabla)$ : this can be obtained, with a local computation, by observing that, for every such a point  $x$ ,  $i(f(x); \Delta \cap \nabla) = 2$  and  $\nabla$  belongs to the branch locus of  $f$ .

Clearly we have the commutative diagram:

$$\begin{array}{ccc} \tilde{\Delta} & \xrightarrow{q} & \Delta \\ v \downarrow & \nearrow f|_{f^{-1}(\Delta)} & \\ f^{-1}(\Delta) & & \end{array}$$

where  $v$  is the normalization morphism.

Let us call  $L_1$  a divisor on  $\Delta$  belonging to the half-canonical  $g_4^1$  cut out on  $\varepsilon(\Delta)$  by the lines of  $Q$  not in the ruling of  $\varepsilon(l_2)$ ; let us call  $L_2$  a divisor in the other half-canonical  $g_4^1$  of  $\Delta$ , (see corollary 3), we have the following

**PROPOSITION 6:** *If  $\{p_1, p_2, p_3, p_4\} = \Delta \cap \nabla$  and  $D = p_1 + p_2 + p_3 + p_4$  on  $\Delta$  then*

$$\eta = D - L_1$$

*is the semiperiod giving the étale double covering  $q: \tilde{\Delta} \rightarrow \Delta$ .*

**PROOF:** On  $G$  we have  $\nabla \sim 2l_1 + l_2 + l'_2$  where  $l_1$  is the (global) transform of a line of  $Q$  not in the ruling of  $\varepsilon(l_2)$ .

Then  $O_\Delta(\nabla - 2l_1 - l_2 - l'_2) \cong O_\Delta(2D - 2L_1) \cong O_\Delta$  so that  $\eta = D - L_1$  is a semiperiod.

Observe now that  $\tilde{G}$  is a (smooth) rational surface: let  $m$  be the transform of ageneric line  $\varepsilon(m) \sim \varepsilon(l_2)$ ; since  $(m, \nabla + l_2 + l'_2) = 2$  then  $f: f^{-1}(m) \rightarrow m$  is a double covering of  $\mathbb{P}^1$  branched on two points. It follows that  $\tilde{G}$  carries a pencil of rational curves so that, by Noether's theorem, it is a rational surface.

Since  $\nabla + l_2 + l'_2$  is the branch locus of  $f$  it turns out that

$$2f^{-1}(\nabla) - l_2 - l'_2 \sim f^*(2l_1) \sim 2f^*(l_1)$$

and, since  $\text{Pic } \tilde{G}$  has no torsion (being  $\tilde{G}$  rational),

$$f^{-1}(\nabla - l_2 - l'_2) \sim f^*(l_1).$$

By setting  $\tilde{A}_s = f^{-1}(\Delta)$  we have:

$$O_{\tilde{A}_s}(f^{-1}(\nabla - l_2 - l'_2) - f^*(l_1)) \cong O_{\tilde{A}_s}$$

that is:

$$O_{\tilde{\Delta}} \cong O_{\tilde{\Delta}}(v^*f^*(D - L_1)) \cong O_{\tilde{\Delta}}(q^*\eta).$$

Then  $q^*\eta$  is trivial on  $\tilde{\Delta}$ : this happens if and only if  $q: \tilde{\Delta} \rightarrow \Delta$  is given by  $\eta$ .

**REMARK:**  $\eta \not\sim L_1 - L_2$ : since  $2D$  is cut out on  $\varepsilon(\Delta)$  by an elliptic curve of type  $(2, 2)$  on  $Q$ , it follows that  $\text{Supp } D$  cannot be contained in a line of  $Q$ , so that  $D \not\sim L_i$ . This shows also that  $\eta$  cannot be trivial.

**COROLLARY 4:**  $\eta \sim D' - L_2$  where  $2D'$  is cut out on  $\Delta$  by a smooth elliptic curve  $\nabla'$  parametrizing the conics  $K_g$  of rank  $\geq 2$  such that  $K_g \cap \pi_{34}$  is exactly one point.

**PROOF:** Exactly as to show  $\eta \sim D - L_1$ : it suffices to substitute  $\pi_{12}$  with  $\pi_{34}$  and  $l_2, l'_2$  with the corresponding rational curves  $l_1, l'_1$  strict transforms of the lines  $\{z = t = 0\}, \{x = y = 0\}$ .

**COROLLARY 5:** If  $V$  is generic, on  $\Delta$  there is no effective even theta characteristic  $N$  such that  $h^0(N + \eta)$  is even.

Moreover  $h^0(L_i + \eta) = 1$ .

**PROOF:** If  $V$  is generic on  $\Delta$  there are only two effective even theta characteristics: namely  $L_1, L_2$ , (see Corollary 3). Since  $\eta \sim D - L_1 \sim D' - L_2$  it follows that  $L_i + \eta$  is effective so that  $h^0(L_i + \eta) \neq 0$ . Now we cannot have  $h^0(L_i + \eta) > 2$  unless  $\Delta$  is elliptic or rational which is absurd, nor  $h^0(L_i + \eta) = 2$  since  $L_1 + \eta \not\sim L_2$ . Then  $h^0(L_i + \eta) = 1$ .

**PROPOSITION 7:** *A generic Enriques' threefold  $V$  is not rational.*

**PROOF:** Let us consider the étale double covering  $q: \tilde{\Delta} \rightarrow \Delta$ : the Prym variety associated to  $q$  is an abelian variety  $P$  with principal polarization  $\mathfrak{P}$ . Moreover  $P$  is the algebraic representant of  $A^2(\tilde{V})$  and  $\mathfrak{P}$  is the incidence polarization (see Prop. 5). Then, by [1] Prop. 4.6, it suffices to show that  $(P, \mathfrak{P})$  as a principally polarized abelian variety, is not isomorphic to a product of jacobians of curves.

To get this result we observe that, by Corollary 3,  $\Delta$  cannot be hyperelliptic, trigonal nor elliptic-hyperelliptic. Moreover  $\Delta$  has 2 and only 2 even effective theta characteristics:  $L_1, L_2$ . By Proposition 6 and Corollary 4  $q$  is given by  $\eta \sim D - L_1 \sim D' - L_2$ ; and by Corollary 5  $\Delta$  cannot carry an even effective theta characteristic  $N$  such that  $h^\circ(N + \eta)$  is even. Then it follows from [6] Theorem 7 (d) pag. 344 that  $(P, \mathfrak{P})$  cannot be a jacobian nor a product of jacobians of curves.

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