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# Geodesics for Convex Complex Ellipsoids

MAREK JARNICKI - PETER PFLUG - REIN ZEINSTR

## Introduction

Given  $p = (p_1, \dots, p_n)$  with  $p_1, \dots, p_n \geq \frac{1}{2}$ , define

$$\mathcal{E}(p) := \left\{ (z_1, \dots, z_n) \in \mathbb{C}^n : \sum_{j=1}^n |z_j|^{2p_j} < 1 \right\}.$$

The aim of this note is to describe all *complex geodesics* (cf. [Ves], [Din])  $\varphi : E \rightarrow \mathcal{E}(p)$ , where  $E := \{\lambda \in \mathbb{C} : |\lambda| < 1\}$ . So far, only special cases of  $p$  and  $\varphi$  have been studied, e.g. [Pol], [Gen], [BFKKMP] — cf. Remark 3.

Recall that a holomorphic mapping  $\varphi : E \rightarrow \mathcal{E}(p)$  is a complex geodesic if

$$k_{\mathcal{E}(p)}(\varphi(\lambda'), \varphi(\lambda'')) = k_E(\lambda', \lambda''), \quad \lambda', \lambda'' \in E,$$

where  $k$  stands for the Kobayashi distance. Let us mention that  $\mathcal{E}(p)$  is convex and therefore, by the Lempert theorem (cf. [Lem]), the Kobayashi distance  $k_{\mathcal{E}(p)}$  coincides with the Carathéodory distance and the Kobayashi-Royden metric  $\kappa_{\mathcal{E}(p)}$  coincides with the Carathéodory-Reiffen metric.

Observe that if  $\varphi = (\varphi_1, \dots, \varphi_n) : E \rightarrow \mathcal{E}(p)$  is a complex geodesic with  $\varphi_n \equiv 0$  then the mapping  $(\varphi_1, \dots, \varphi_{n-1}) : E \rightarrow \mathcal{E}((p_1, \dots, p_{n-1}))$  is a “lower dimensional” complex geodesic. This means that, without loss of generality, we can assume that

$$(1) \quad \varphi_j \not\equiv 0, \quad j = 1, \dots, n.$$

Moreover, using a suitable permutation of coordinates, we can always assume that for some  $0 \leq s \leq n$ :

$$(2) \quad \varphi_1, \dots, \varphi_s \text{ have zeros in } E \text{ and } \varphi_{s+1}, \dots, \varphi_n \text{ have no zeros in } E.$$

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The main result of the paper is the following:

**THEOREM 1.** *A mapping  $\varphi = (\varphi_1, \dots, \varphi_n) : E \rightarrow \mathbb{C}^n$  enjoying (1) and (2) is a complex geodesic in  $\mathcal{E}(p)$  if and only if*

$$(3) \quad \varphi_j(\lambda) = \begin{cases} a_j \frac{\lambda - \alpha_j}{1 - \bar{\alpha}_j \lambda} \left( \frac{1 - \bar{\alpha}_j \lambda}{1 - \bar{\alpha}_0 \lambda} \right)^{1/p_j}, & j = 1, \dots, s \\ a_j \left( \frac{1 - \bar{\alpha}_j \lambda}{1 - \bar{\alpha}_0 \lambda} \right)^{1/p_j}, & j = s + 1, \dots, n \end{cases},$$

where

$$(4) \quad a_1, \dots, a_n \in \mathbb{C} \setminus \{0\},$$

$$(5) \quad \alpha_0, \dots, \alpha_s \in E, \quad \alpha_{s+1}, \dots, \alpha_n \in \bar{E},$$

$$(6) \quad \alpha_0 = \sum_{j=1}^n |a_j|^{2p_j} \alpha_j,$$

$$(7) \quad 1 + |\alpha_0|^2 = \sum_{j=1}^n |a_j|^{2p_j} (1 + |\alpha_j|^2),$$

(8) the case  $s = 0, \alpha_0 = \alpha_1 = \dots = \alpha_n$  is excluded.

Moreover, the complex geodesics in  $\mathcal{E}(p)$  are uniquely determined mod  $\text{Aut}(E)$ , i.e. if  $\varphi, \psi$  are complex geodesics in  $\mathcal{E}(p)$  with  $\varphi(0) = \psi(0), \varphi'(0) = \psi'(0)$  (or  $\varphi(0) = \psi(0), \varphi(\sigma) = \psi(\sigma)$  for some  $0 < \sigma < 1$ ) then  $\varphi \equiv \psi$ .

**REMARK.** (a) In particular, Theorem 1 shows that the components of a geodesic  $\varphi$  satisfying (1) have at most simple zeros in  $E$ .

(b) The case  $p_1 = \dots = p_n = 1$ , i.e. the case of the unit ball  $\mathbb{B}_n$ , is well-known — cf. Remark 7. Observe that the general case is a simple “transformation” of the case of  $\mathbb{B}_n$ .

**COROLLARY 2.** *Let  $\varphi : E \rightarrow \mathcal{E}(p)$  be a complex geodesic.*

(a) *If  $p_1, \dots, p_n \in \left\{ \frac{1}{2}, 1 \right\}$  then  $\varphi$  extends holomorphically to a neighborhood of  $\bar{E}$ .*

(b) *If  $t := \max\{p_1, \dots, p_n\} > 1$  then  $\varphi$  extends to a mapping of the class  $C^{0,1/t}(\bar{E}) := \left\{ \frac{1}{t}\text{-Hölder continuous mappings on } \bar{E} \right\}$ .*

(c) *If  $u := \max\{p_j : p_j \neq 1\} < 1$  then  $\varphi$  extends to a mapping of the class  $C^{1,(1/u)-1}(\bar{E})$ .*

**REMARK 3.** (a) The case  $p_1 = \dots = p_n > 1$  has been studied in [Pol].

(b) The case  $p_1 = \dots = p_n = \frac{1}{2}$  under the additional assumption that  $\varphi$  extends continuously on  $\bar{E}$  has been discussed in [Gen].

(c) The case  $n = 2, p_1 = 1$  can be found in [BFKKMP].

(d) Notice that in many cases Theorem 1 gives a tool to calculate  $\kappa_{\mathcal{E}(p)}$  effectively. For example, if  $n = 2$  and  $p_1 = 1$  then, using Theorem 1, one can easily verify the formulas for  $\kappa_{\mathcal{E}(p)}$  obtained in [BFKKMP].

PROOF OF THEOREM 1. The proof will be based on the following criterion due to H. Royden and P.-M. Wong:

THEOREM 4. Let  $G \subset \mathbb{C}^n$  be a bounded convex domain with  $0 \in G$ . Then a holomorphic mapping  $\varphi : E \rightarrow G$  is a complex geodesic if and only if:

$$\varphi^*(\lambda) \in \partial G \text{ for almost all } \lambda \in \partial E$$

and there exists  $h \in H^1(E, \mathbb{C}^n)$ ,  $h \neq 0$ , such that

$$\operatorname{Re} \left( \frac{1}{\lambda} \varphi^*(\lambda) \bullet h^*(\lambda) \right) = \hat{q}_G \left( \frac{1}{\lambda} h^*(\lambda) \right) \text{ for almost all } \lambda \in \partial E,$$

where:

$H^1$  denotes the Hardy space,

$\varphi^*$ ,  $h^*$  stand for non-tangential boundary values,

$$w \bullet z := \sum_{j=1}^n w_j z_j \text{ and}$$

$\hat{q}_G$  is the dual subnorm for the Minkowski function  $q_G$  of  $G$ , i.e.

$$\hat{q}_G(w) := \max\{\operatorname{Re}(w \bullet z) : z \in \partial G\}, \quad w \in \mathbb{C}^n.$$

REMARK. The proof presented in [Roy-Won] contains an error in the proof of Proposition 1. Complete proofs may be found in [Aba, Section 2.6] and in [Jar-Pfl, Section 8.2].

REMARK 5. (a) Suppose that  $z_0 \in \partial G$  is such that there is a uniquely determined unit outer normal vector  $\nu(z_0)$  to  $\partial G$  at  $z_0$ . Then for  $w_0 \in \mathbb{C}^n \setminus \{0\}$  one has:

$$[\operatorname{Re}(z_0 \bullet w_0) = \hat{q}_G(w_0)] \Leftrightarrow [\exists t > 0 : w_0 = t\overline{\nu(z_0)}].$$

(b) Observe that any point  $z_0 \in \partial \mathcal{E}(p)$  has a local peak function. In particular, if  $\varphi : E \rightarrow \overline{\mathcal{E}(p)}$  is a non-constant holomorphic mapping then  $\varphi : E \rightarrow \mathcal{E}(p)$ .

Theorem 4, Remark 5 and the identity theorem for  $H^1$ -functions imply the following useful

COROLLARY 6. Let  $\varphi = (\varphi_1, \dots, \varphi_n) : E \rightarrow \mathbb{C}^n$  be a non-constant bounded holomorphic mapping enjoying (1). Then  $\varphi$  is a complex geodesic in  $\mathcal{E}(p)$  if and only if:

$$(9) \quad \sum_{j=1}^n |\varphi_j^*|^{2p_j} = 1 \text{ a.e. on } \partial E$$

and there exist  $h \in H^1(E, \mathbb{C}^n)$  and  $\rho : \partial E \rightarrow \mathbb{R}_{>0}$  such that

$$(10) \quad \frac{1}{\lambda} h_j^* = \rho p_j |\varphi_j^*|^{2(p_j-1)} \overline{\varphi_j^*} \quad \text{a.e. on } \partial E, \quad j = 1, \dots, n.$$

REMARK 7. Let  $p_1 = \dots = p_n = 1$  and  $\varphi$  be given by (3) with conditions (4)–(8). Then  $\varphi$  is a complex geodesic in  $\mathbb{B}_n$ . In fact:

- condition (8) assures that  $\varphi$  is non-constant,
- conditions (6) and (7) imply (9),
- if we put

$$(11) \quad h_j(\lambda) := \begin{cases} \bar{\alpha}_j(1 - \bar{\alpha}_j\lambda)(1 - \bar{\alpha}_0\lambda), & j = 1, \dots, s \\ \bar{\alpha}_j(\lambda - \alpha_j)(1 - \bar{\alpha}_0\lambda), & j = s + 1, \dots, n \end{cases},$$

then also condition (10) is fulfilled with  $\rho(\lambda) := |1 - \bar{\alpha}_0\lambda|^2$ .

Using Corollary 6, one can easily prove the following “transport lemma” for complex geodesics.

LEMMA 8. Let  $\varphi = (\varphi_1, \dots, \varphi_n) : E \rightarrow \mathcal{E}(p)$  be a complex geodesic enjoying (1) and let  $h = (h_1, \dots, h_n)$  be as in (10). Write

$$\varphi_j = B_j \psi_j,$$

where  $B_j$  is the Blaschke product for  $\varphi_j$  and  $\psi_j$  is nowhere vanishing (if  $\varphi_j$  has no zeros in  $E$  then we put  $B_j \equiv 1$ ),  $j = 1, \dots, n$ . Let  $\tilde{p} = (\tilde{p}_1, \dots, \tilde{p}_n)$ ,  $\tilde{p}_1, \dots, \tilde{p}_n \geq \frac{1}{2}$  and define:

$$\tilde{\varphi}_j := B_j \psi_j^{p_j/\tilde{p}_j},$$

$$\tilde{h}_j := \frac{\tilde{p}_j}{p_j} h_j \frac{\varphi_j}{\tilde{\varphi}_j}.$$

Then the mappings  $\tilde{\varphi}$  and  $\tilde{h}$  satisfy (9) and (10) with respect to  $\mathcal{E}(\tilde{p})$  (with the same function  $\rho$ ).

In particular, if  $\tilde{h} \in H^1(E, \mathbb{C}^n)$  (e.g. if  $p_j \leq \tilde{p}_j$ ,  $j = 1, \dots, n$ ) then  $\tilde{\varphi} : E \rightarrow \mathcal{E}(\tilde{p})$  is a complex geodesic.

Consequently, in view of Remark 7, we get:

COROLLARY 9. If  $\varphi$  is given by (3) with (4)–(8) then  $\varphi : E \rightarrow \mathcal{E}(p)$  is a complex geodesic.

PROOF. In view of (11), the new mapping  $\tilde{h}$  belongs to  $H^1$ . □

COROLLARY 10. If all complex geodesics  $\varphi : E \rightarrow \mathcal{E}(p)$  with (1) and (2) are of the form (3) then the same is true for all complex geodesics  $\tilde{\varphi} : E \rightarrow \mathcal{E}(\tilde{p})$  with  $\tilde{p}_j \leq p_j$ ,  $j = 1, \dots, n$ .

Thus, in order to finish the proof of the first part of Theorem 1, it is sufficient to verify the following:

LEMMA 11. *If  $p_1 = \dots = p_n =: p_0$  then any complex geodesic  $\varphi : E \rightarrow \mathcal{E}(p)$  with (1) and (2) is of the form (3) with (4)–(8).*

PROOF. Let  $h = (h_1, \dots, h_n) \in H^1(E, \mathbb{C}^n)$  and  $\rho$  be as in (10). Then

$$\frac{1}{\lambda} \varphi_j^* h_j^* \in \mathbb{R}_{>0} \quad \text{a.e. on } \partial E, \quad j = 1, \dots, n.$$

Hence, by [Gen, Lemma 2 and proof of Theorem 5] (see also [Pol, Statement 6]), there exist

$$r_0, \dots, r_n > 0, \quad \alpha_0, \dots, \alpha_n \in \bar{E}$$

such that

$$(12) \quad \varphi_j(\lambda) h_j(\lambda) = r_j(\lambda - \alpha_j)(1 - \bar{\alpha}_j \lambda), \quad \lambda \in E, \quad j = 1, \dots, n,$$

$$(13) \quad \varphi(\lambda) \bullet h(\lambda) = r_0(\lambda - \alpha_0)(1 - \bar{\alpha}_0 \lambda), \quad \lambda \in E.$$

Substituting  $h$  by  $\frac{1}{r_0} h$  we can always assume that  $r_0 = 1$ . In view of (2),

$$\alpha_1, \dots, \alpha_n \in E.$$

Moreover, (12) and (13) imply that

$$\alpha_0 = \sum_{j=1}^n r_j \alpha_j \quad \text{and}$$

$$1 + |\alpha_0|^2 = \sum_{j=1}^n r_j (1 + |\alpha_j|^2).$$

Observe that, by (9), (10) and (13), we get

$$(14) \quad p_0 \rho(\lambda) = |1 - \bar{\alpha}_0 \lambda|^2 \quad \text{for almost all } \lambda \in \partial E.$$

In particular, (9), (10) and (14) show that

$$(15) \quad \text{the functions } h_1, \dots, h_n \text{ are bounded}$$

and, in view of (12), that

$$|\varphi_j^*(\lambda)| = r_j^{1/2p_0} \left| \frac{1 - \bar{\alpha}_j \lambda}{1 - \bar{\alpha}_0 \lambda} \right|^{\frac{1}{p_0}} \quad \text{for almost all } \lambda \in \partial E, \quad j = 1, \dots, n.$$

Consequently, since  $(1 - \bar{\alpha}_j \lambda)^{1/p_0}$  is an outer function (cf. [Rud, Ch. 17]), we get:

$$(16) \quad \varphi_j(\lambda)(1 - \bar{\alpha}_0 \lambda)^{1/p_0} = r_j^{1/2p_0} e^{i\theta_j} B_j(\lambda) S_j(\lambda) (1 - \bar{\alpha}_j \lambda)^{1/p_0}, \quad \lambda \in E,$$

where

$$\begin{aligned} \theta_j &\in \mathbb{R}, \\ B_j(\lambda) &:= \begin{cases} \frac{\lambda - \alpha_j}{1 - \bar{\alpha}_j \lambda}, & j = 1, \dots, s \\ 1, & j = s + 1, \dots, n \end{cases}, \\ S_j(\lambda) &:= \exp \left( - \int_{-\pi}^{\pi} \frac{e^{i\theta} + \lambda}{e^{i\theta} - \lambda} d\sigma_j(\theta) \right) \end{aligned}$$

and where  $\sigma_j$  is a singular non-negative Borel measure,  $j = 1, \dots, n$ .

Now, in order to complete the proof of the lemma, we only need to show that  $\sigma_1 = \dots = \sigma_n = 0$ . First, observe that, in view of (12), (15) and (16), we have:

$$(17) \quad |\lambda - \alpha_j|^{p_0} |1 - \bar{\alpha}_j \lambda|^{2p_0 - 1} |1 - \bar{\alpha}_0 \lambda| |S_j(\lambda)|^{-p_0} \leq M_j, \quad \lambda \in E,$$

for some  $M_j > 0$ ,  $j = 1, \dots, n$ . On the other hand, by [Gar, Ch. II],

$$S_j^*(\lambda) = 0 \quad \text{for } \sigma_j\text{-almost all } \lambda \in \partial E, \quad j = 1, \dots, n.$$

Moreover, for any  $\beta \in \mathbb{R}$ ,  $b > 0$ , the function

$$E \ni \lambda \rightarrow |\lambda - 1|^\beta \exp \left( b \frac{1 - |\lambda|^2}{|\lambda - 1|^2} \right)$$

is unbounded. Consequently, condition (17) is fulfilled only when  $\sigma_j = 0$  for all  $j = 1, \dots, n$ . □

**PROOF OF THE UNIQUENESS IN THEOREM 1.** Let  $\varphi, \psi : E \rightarrow \mathcal{E}(p)$  be two complex geodesics with

$$(18) \quad \varphi(0) = \psi(0) \quad \text{and} \quad \varphi'(0) = \psi'(0).$$

$$(18') \quad \varphi(0) = \psi(0) \quad \text{and} \quad \varphi(\sigma) = \psi(\sigma) \quad \text{with} \quad 0 < \sigma < 1,$$

respectively.

So far, Theorem 1 (see also Remark (a) after Theorem 1) shows that:  $\varphi_j \equiv 0$  if and only if  $\psi_j \equiv 0$ . Thus, without loss of generality, we assume that  $\varphi$  and  $\psi$  satisfy (1). Moreover, we assume that  $\varphi$  fulfils condition (2). Put  $I_0 := \{j : \psi_j \text{ has a zero in } E\}$ .

Now, observe that  $\chi := (\varphi + \psi)/2$  is again a complex geodesic in  $\mathcal{E}(p)$  ( $\mathcal{E}(p)$  is convex!). In particular:

$$\chi(\lambda), \varphi(\lambda), \psi(\lambda) \in \partial\mathcal{E}(p) \quad \text{for all } \lambda \in \partial E.$$

Therefore we obtain for  $\lambda \in \partial E$ :

$$(19) \quad \begin{aligned} \arg \varphi_j(\lambda) = \arg \psi_j(\lambda) = \arg \chi_j(\lambda) & \quad \text{if } \varphi_j(\lambda)\psi_j(\lambda) \neq 0 \quad \text{and} \\ |\varphi_j(\lambda)| = |\psi_j(\lambda)| = |\chi_j(\lambda)| & \quad \text{if } p_j > 1/2. \end{aligned}$$

Hence, if  $p_j > 1/2$  then  $\varphi_j = \psi_j$  on  $\partial E$  and so  $\varphi_j \equiv \psi_j$  on  $E$ .

It remains to discuss  $j$  with  $p_j = 1/2$ . We fix such an  $j$ . Because of (19) we have

$$(20) \quad \varphi_j \bar{\psi}_j = \psi_j \bar{\varphi}_j \quad \text{on } \partial E.$$

First let us assume that  $1 \leq j \leq s$ , i.e.

$$\varphi_j(\lambda) = a_j \frac{(\lambda - \alpha_j)(1 - \bar{\alpha}_j \lambda)}{(1 - \bar{\alpha}_0 \lambda)^2}.$$

Case  $j \in I_0$ , i.e.

$$\psi_j(\lambda) = b_j \frac{(\lambda - \beta_j)(1 - \bar{\beta}_j \lambda)}{(1 - \bar{\beta}_0 \lambda)^2}.$$

An application of (20) leads to

$$a_j \bar{b}_j \frac{1}{(1 - \bar{\alpha}_0 \lambda)^2 (\lambda - \beta_0)^2} = \bar{a}_j b_j \frac{1}{(1 - \bar{\beta}_0 \lambda)^2 (\lambda - \alpha_0)^2}, \quad \lambda \in \partial E.$$

So we obtain  $\alpha_0 = \beta_0$ . Moreover, because of (18) and (18'), we get

$$\begin{aligned} \alpha_j a_j = \beta_j b_j \quad \text{and} \quad a_j(1 + |\alpha_j|^2) = b_j(1 + |\beta_j|^2), \\ \alpha_j a_j = \beta_j b_j \quad \text{and} \quad a_j(1 - \bar{\alpha}_j \sigma + |\alpha_j|^2) = b_j(1 - \bar{\beta}_j \sigma + |\beta_j|^2), \quad \text{respectively.} \end{aligned}$$

Hence

$$\Phi(0, \alpha_j) = \Phi(0, \beta_j), \quad \text{resp.} \quad \Phi(\sigma, \alpha_j) = \Phi(\sigma, \beta_j),$$

where

$$\Phi(\tau, \lambda) := \frac{\lambda}{1 - \tau \bar{\lambda} + |\lambda|^2}, \quad \lambda \in \bar{E}, \quad 0 \leq \tau < 1.$$

Since  $\Phi(\tau, \cdot)$  is injective on  $\bar{E}$ , we obtain  $\alpha_j = \beta_j$  and so  $a_j = b_j$ .



Case  $j \notin I_0$ , i.e.

$$\psi_j(\lambda) = b_j \left( \frac{1 - \bar{\beta}_j \lambda}{1 - \bar{\beta}_0 \lambda} \right)^2.$$

Then it follows from (20) that

$$\bar{b}_j a_j \frac{1}{(1 - \bar{\alpha}_0 \lambda)^2} \left( \frac{\lambda - \beta_j}{\lambda - \beta_0} \right)^2 \equiv \bar{a}_j b_j \left( \frac{1 - \bar{\beta}_j \lambda}{1 - \bar{\beta}_0 \lambda} \right)^2 \frac{1}{(\lambda - \alpha_0)^2}$$

as meromorphic functions on  $\mathbb{C}$ .

So we can conclude that  $\alpha_0 = \beta_0$  and so

$$\bar{b}_j a_j (\lambda - \beta_j)^2 \equiv \bar{a}_j b_j (1 - \bar{\beta}_j \lambda)^2.$$

Hence we have  $|\beta_j| = 1$ . Again using (18) and (18') gives:

$$\begin{aligned} \alpha_j a_j = b_j \quad \text{and} \quad a_j (1 + |\alpha_j|^2) &= -2b_j \bar{\beta}_j \\ \alpha_j a_j = b_j \quad \text{and} \quad a_j (1 - \bar{\alpha}_j \sigma + |\alpha_j|^2) &= -b_j (2\bar{\beta}_j - \bar{\beta}_j^2 \sigma), \quad \text{respectively.} \end{aligned}$$

Then

$$\Phi(0, \alpha_j) = \Phi(0, \beta_j), \quad \text{respectively. } \Phi(\sigma, \alpha_j) = \Phi(\sigma, \beta_j)$$

contradiction.

The remaining case  $s < j$  can be solved using similar reasonings as above.  $\square$

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### Added in proof

See also S. Dineen & R.M. Timoney, *Complex geodesics on convex domains* in "Progress in Functional Analysis", K.D. Bierstedt, J. Bonet, J. Horváth & M. Maestre (eds.), Elsevier Science Publishers B.V., 1992.

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