Annali della Scuola Normale Superiore di Pisa Classe di Scienze

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Annali della Scuola Normale Superiore di Pisa, Classe di Scienze 4^e série, tome 13, nº 3 (1986), p. 427-448

http://www.numdam.org/item?id=ASNSP_1986_4_13_3_427_0

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Multiplicity-2 Structures on Castelnuovo Surfaces.

K. HULEK - C. OKONEK - A. VAN DE VEN

0. - Introduction.

In this paper we study «nice» multiplicity-2 structures \tilde{Y} on smooth surfaces $Y \subseteq \mathbf{P_4} = \mathbf{P_4}(\mathbf{C})$. Every multiplicity-2 structures in this sense is given by a quotient $N_{Y/\mathbf{P_4}}^* \to \omega_Y(l)$ and vice versa. The existence of such a quotient for given l imposes rather strong topological conditions on Y. Under suitable conditions the non-reduced structure \tilde{Y} leads to a rank-2 vector bundle E on $\mathbf{P_4}$ with a section s, such that $\tilde{Y} = \{s = 0\}$ (compare [7]).

Here we are interested in the case where E splits, in other words, where \tilde{Y} is a complete intersection. We are particularly interested in the case where Y is a Castelnuovo surface. These surfaces can be characterized by the fact that, for given degree d, their geometric genus is maximal (at least if d > 6). If d is even, then Y is a complete intersection [3], so we only consider Castelnuovo surfaces of odd degree d = 2b + 1. Our main result (Theorem 13 below) is a precise description of those Castelnuovo surfaces Y which admit multiplicity-2 structures in our sense; then \tilde{Y} is a complete intersection of type (2, 2b + 1). Many such surfaces exist.

The third author is very much indebted to A. Sommese for useful discussions.

1. - Multiplicity-2 structures.

Let $Y\subseteq \mathbf{P_4}$ be a smooth surface with ideal sheaf I_Y . We consider certain non-reduced structures \tilde{Y} on Y, i.e. ideals $I_{\tilde{Y}}\subseteq I_Y$, with the following properties:

1) \tilde{Y} is a locally complete intersection,

Pervenuto alla Redazione l'11 Giugno 1985.

2) \tilde{Y} has multiplicity 2, i.e. for every point $P \in Y$ and a general plane E through P the local intersection multiplicity

$$i(P; \tilde{Y}, E) = \dim_{\mathbb{C}} \mathfrak{O}_{P/I(\tilde{Y} \cap E)} = 2$$
.

DEFINITION. A non-reduced structure \tilde{Y} on Y with properties (1) and (2) will be called a *multiplicity-2 structure* on Y.

LEMMA 1. If Y and \tilde{Y} are as above then near a point $P \in Y$ there are local coordinates x_0, \ldots, x_3 such that $I_Y = (x_0, x_1)$ and $I_{\tilde{Y}} = (x_0, x_1^2)$.

PROOF. Let E be a general plane through P. Then we can find local coordinates x_0, \ldots, x_3 such that $Y = \{x_0 = x_1 = 0\}$ and $E = \{x_2 = x_3 = 0\}$. Now look at the ideal $I_{\tilde{Y}} \subseteq I_Y = (x_0, x_1)$. It is generated by two functions say $I_{\tilde{Y}} = (f, g)$. We can write

$$f = x_0 f_0 + x_1 f_1, \quad g = x_0 g_0 + x_1 g_1.$$

Because of (2) it follows that at least one of the functions f_0 , f_1 , g_0 , g_1 is a unit at P. We may assume $f_0(P) \neq 0$ and introducing $x_0 f_0 + x_1 f_2$ as a new local coordinate we find that $I_{\tilde{v}}$ is generated by functions of the form

$$f=x_0\,,\quad g=x_1g_1$$

where $g_1 = g_1(x_1, x_2, x_3)$. Now $g \in I_Y$ since otherwise \tilde{Y} would be generically reduced which contradicts (2). Hence we have $g = x_1^2 g_2$ with $g_2 = g_2(x_1, x_2, x_3)$. It again follows from (2) that g_2 is a local unit and hence we are done.

Next we observe that $I_Y^2 \subseteq I_{\tilde{Y}}$ and that we have an exact sequence

which can be interpreted as a sequence of vector bundles on Y. In particular \tilde{Y} defines a quotient $N^*_{Y/P_4} \to L^*$. Conversely every such quotient defines a non-reduced structure \tilde{Y} by setting

$$I_{\tilde{Y}} \colon= \ker \left(I_{Y} \!
ightarrow I_{Y} \! / I_{Y}^{2} = N_{Y/P_{A}}^{*} \!
ightarrow L^{*}
ight)$$
 .

Clearly \tilde{Y} fulfills conditions (1) and (2). Hence we can state

LEMMA 2. To define a multiplicity-2 structure \tilde{Y} on Y is equivalent to defining a subbundle $L \subseteq N_{Y/P}$.

Since \tilde{Y} is a locally complete intersection it has a dualising sheaf $\omega_{\tilde{Y}}$ which is given by

$$\omega_{ ilde{Y}} = \mathit{Ext}^2_{\mathfrak{O}_{\mathbf{P}_{\bullet}}}(\mathfrak{O}_{ ilde{I}}\,,\,\omega_{\mathbf{P}_{ullet}}) = arLambda^2 N_{ ilde{Y}/\mathbf{P}_{ullet}} \otimes \omega_{\mathbf{P}_{ullet}}\,.$$

From now on we assume the following additional property:

(3)
$$\omega_{\tilde{v}} = \mathfrak{O}_{\tilde{v}}(-l)$$
 for some $l \in \mathbb{Z}$.

LEMMA 3. If (3) holds then

$$(3') L^* = \omega_{\mathbf{v}}(l).$$

Proof. We have an exact sequence

$$0 \longrightarrow I_{Y}/I_{\tilde{Y}} \longrightarrow \mathfrak{O}_{\tilde{Y}} \longrightarrow \mathfrak{O}_{Y} \longrightarrow 0$$

$$\parallel \cdot \\ L^{*}$$

Applying $Ext_{\mathcal{O}_{\mathbf{P}_{\bullet}}}^{2}(-,\omega_{\mathbf{P}_{\bullet}})$ we get

$$0 \! o \omega_{\mathtt{Y}} \! o \omega_{\tilde{\mathtt{Y}}} \! o L \otimes \omega_{\mathtt{Y}} \! o 0$$
 .

Tensoring with $\mathcal{O}_{\mathbf{P}_{\mathbf{i}}}(l)$ we get

$$0 \longrightarrow \omega_{Y}(l) \longrightarrow \omega_{\tilde{Y}}(l) \longrightarrow L \otimes \omega_{Y}(l) \longrightarrow 0$$

Restricting this sequence to Y the second morphism gives us an isomorphism

$$\mathfrak{O}_{\mathtt{Y}} = L \otimes \omega_{\mathtt{Y}}(l)$$

which implies $L^* \cong \omega_{\mathbb{F}}(l)$.

REMARKS:

- (i) The converse implication $(3)' \Rightarrow (3)$ is more difficult. It holds for $l \geqslant 0$ and if $H^1(\omega_r(l)) = 0$ (see [7]). The latter is automatically satisfied for l > 0 by Kodaira's vanishing theorem.
- (ii) If there exists a quotient $N_{Y/P_4}^* \to \omega_Y(l)$, then $c_2(N_{Y/P_4} \otimes \omega_Y(l)) = 0$. This is equivalent to

$$d^2 + d(l^2 + 5l) + (3l + 5)HK + 2K^2 = 0$$

where d is the degree of Y.

(iii) There are only a few surfaces which admit a quotient $N_{Y/\mathbb{P}_4}^* \to \omega_Y(l)$ for $l \geqslant 0$. They are the complete intersections of type (a, b) with 2a = b < 5, the cubic ruled surface and the quintic elliptic scroll (see [7]).

2. - Locally free resolutions.

Let $Y \subseteq \mathbf{P_4}$ be a smooth surface and assume that its ideal sheaf I_Y has a locally free resolution

$$(4) 0 \rightarrow E_1 \rightarrow E_0 \rightarrow I_Y \rightarrow 0.$$

Dualising this sequence and tensoring it with $\mathcal{O}_{\mathbf{P}_{\bullet}}(l-5)$ we get a resolution for the twisted canonical bundle $\omega_{\mathbf{r}}(l)$ which reads as follows

$$(5) 0 \rightarrow \mathcal{O}_{\mathbf{P}_{\mathbf{l}}}(l-5) \rightarrow E_{\mathbf{0}}^{*}(l-5) \rightarrow E_{\mathbf{1}}^{*}(l-5) \rightarrow \omega_{\mathbf{r}}(l) \rightarrow 0.$$

We are interested in epimorphisms $I_Y \to \omega_Y(l)$. Every such epimorphism defines a quotient $N_{Y/P_*}^* \to \omega_Y(l)$.

LEMMA 4. If there is an epimorphism $\Gamma\colon E_0\to E_1^*(l-5)$ such that the diagram

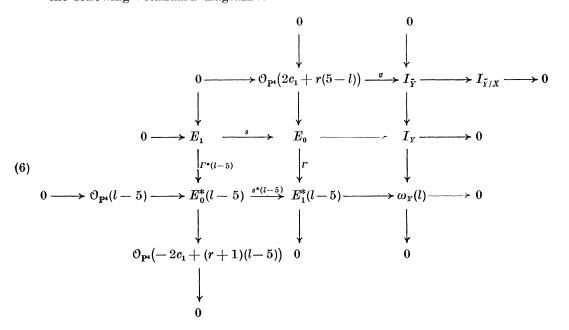
$$E_{1} \xrightarrow{s} E_{0}$$

$$\downarrow^{\Gamma^{\bullet}(l-5)} \qquad \downarrow^{\Gamma}$$

$$E_{0}^{\bullet}(l-5) \xrightarrow{s^{\bullet}(l-5)} E_{1}^{\bullet}(l-5)$$

commutes, then Γ induces an epimorphism $\gamma: I_{\gamma} \to \omega_{\gamma}(l)$.

PROOF. Let $c_1 := c_1(E_1)$ and $r := \text{rank } E_1$. From (4) and (5) we get the following «standard diagram»:



Here X is the hypersurface defined by the equation g.

REMARK. Assume that H is defined by (4) and that there is an epimorphism $\gamma: I_Y \to \omega_Y(l)$. Let $F:=\operatorname{Im} \left(s^*(l-5)\right)$. If

$$h^{1}(E_{0}^{*}\otimes F)=h^{1}(E_{1}^{*}(l-5))=0$$

then γ can be lifted to give a commutative diagram

$$E_{1} \xrightarrow{s} E_{0}$$

$$\downarrow^{\Gamma'} \qquad \qquad \downarrow^{\Gamma}$$

$$E_{0}^{*}(l-5) \xrightarrow{s^{*}(l-5)} E_{1}^{*}(l-5)$$

such that γ is induced by Γ . Note that if Γ is generically surjective then $\ker \Gamma \subseteq \ker \gamma$ is invertible. This follows from [4, Prop. 1.1 and Prop. 1.9]. We now want to consider surfaces with a special resolution, namely

$$(7) 0 \longrightarrow \mathcal{O}_{\mathbf{P}_{\mathbf{a}}}^{r} \xrightarrow{s(b+ar)} \mathcal{O}_{\mathbf{P}_{\mathbf{a}}}(a)^{r} \oplus \mathcal{O}_{\mathbf{P}_{\mathbf{a}}}(b) \longrightarrow I_{\mathbf{Y}}(b+ar) \longrightarrow 0$$

where $r \ge 1$ and $1 \le a \le b$. If r = 1 then Y is a complete intersection of type (a, b). If r > 1 then Y is in liaison with a surface Y' defined by a resolution

$$0 \longrightarrow \mathcal{O}_{\mathbf{P}_{\mathbf{A}}}^{r-1} \xrightarrow{s'(ar)} \mathcal{O}_{\mathbf{P}_{\mathbf{A}}}(a)^{r} \longrightarrow I_{Y'}(ar) \longrightarrow 0.$$

The union $Y \cup Y'$ is a complete intersection of type (ar, b + a(r+1)). (See [11]).

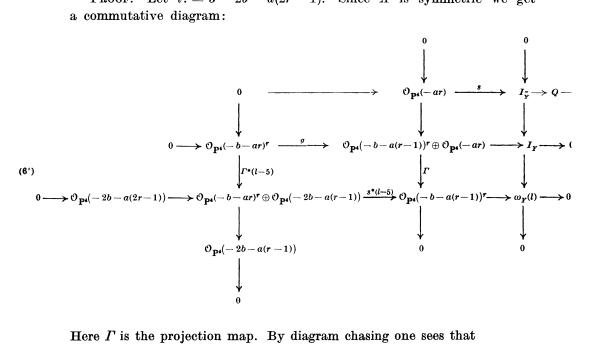
The map $s(b+ar): \mathfrak{O}_{\mathbf{P}_4}^r \to \mathfrak{O}_{\mathbf{P}_4}(a)^r \oplus \mathfrak{O}_{\mathbf{P}_4}(b)$ is given by an $(r+1) \times r$ matrix

$$s(b+ar) = \begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix}$$

where A is an $r \times r$ matrix with entries $a_{ij} \in H^0(\mathcal{O}_{\mathbf{P}_i}(a))$ and $f_i \in H^0(\mathcal{O}_{\mathbf{P}_i}(b))$.

Proposition 5. If A is symmetric then there exists a multiplicity-2 structure \tilde{Y} on Y such that \tilde{Y} is a complete intersection of type (ar, 2b) + a(r-1)).

Proof. Let l := 5 - 2b - a(2r - 1). Since A is symmetric we get a commutative diagram:



Here Γ is the projection map. By diagram chasing one sees that

$$Q = \mathcal{O}_{(a)}(-2b - a(r-1))$$

where $g = \det(A)$. Hence \tilde{Y} is a complete intersection of $\det A$ with a hypersurface of degree 2b + a(r-1).

REMARK. Since $H^1(\omega_Y(l)) = 0$ for all l it follows from [7], that there exists a vector bundle E together with a section $s \in H^0(E)$ such that $\tilde{Y} = \{s = 0\}$. Using the sequence

$$0 \longrightarrow \mathcal{O}_{\mathbf{P}_{\mathbf{A}}} \xrightarrow{s} E \longrightarrow I_{\tilde{\mathbf{Y}}}(2b + a(2r - 1)) \longrightarrow 0$$

and the section $g \in H^0(I_{\tilde{Y}}(ar))$ one finds a section $t \colon \mathcal{O}_{\mathbf{P_4}} \to E(-2b-a(r-1))$. Since $c_2(E(-2b-a(r-1))) = 0$ this defines a subbundle $\mathcal{O}_{\mathbf{P_4}}(2b+a(r-1)) \subseteq E$ which must necessarily split off.

We want to give explicit equations for the complete intersection \tilde{Y} (Compare [13], [14]).

Proposition 6. The complete intersection \tilde{Y} is given by the equations

$$g = \det A$$
, $h = \det \tilde{A}$

where

$$ilde{A} = egin{pmatrix} A & f_1 \ & dots \ f_r \ f_1 \dots f_r & 0 \end{pmatrix}$$

PROOF. We first want to show the equality of sets:

$$|(g)_0 \cup (h)_0| = Y.$$

The surface Y is the set of all points $x \in \mathbb{P}_4$ where

(8)
$$\operatorname{rank} \begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix} < r.$$

Since A is symmetric it is at any given point equivalent to a diagonal matrix. We can, therefore, write

From this description it is obvious that (8) is equivalent to g=h=0. We have already seen that $g\in H^0(I_{\tilde{Y}}(ar))$. Next we want to show that $h\in H^0(I_{\tilde{I}}(2b+a(r-1)))$. To see this note that the map

$$\beta \colon \mathfrak{O}_{\mathbf{P}^4}(b)^r \oplus \mathfrak{O}_{\mathbf{P}^4}(2b-a) \to I_Y(2b+a(r-1))$$

is given by

$$(\det A_1, -\det A_2, ..., \pm \det A_r, +\det A)$$

where A_i is the $r \times r$ matrix which one gets from the matrix

$$\begin{pmatrix} A \\ f_1 \dots f_r \end{pmatrix}$$

by deleting the i-th row. Hence

$$h = \det \tilde{A} = \sum_{i=1}^{r} (-1)^{i+1} f_i \det A_i = \beta(f_1 \dots f_r, 0).$$

Since

$$(f_1, \ldots, f_r) = s*(l-5+2b+a(r-1)) (0, \ldots, 0, 1)$$

it follows that $h \in H^0(I_{\tilde{Y}}(2b + a(r-1)))$. Hence g and h define a complete intersection \widetilde{Y} of degree ar(2b + a(r-1)) with $\widetilde{Y} \subseteq \widetilde{Y}$. Since both varieties have the same degree it follows that $\widetilde{Y} = \widetilde{\widetilde{Y}}$.

3. - Castelnuovo surfaces.

We now consider surfaces with a special kind of resolution i.e. we consider resolutions of type (7) with $r=2,\ q=1$:

$$(9) 0 \longrightarrow \mathcal{O}_{\mathbf{P}^4} \xrightarrow{s(b+2)} \mathcal{O}_{\mathbf{P}^4}(1)^2 \oplus \mathcal{O}_{\mathbf{P}^4}(b) \longrightarrow I_Y(b+2) \longrightarrow 0.$$

LEMMA 7. The numerical invariants of Y are

$$d=2b+1$$
, $\pi=2{b \choose 2}$, $p_s=2{b \choose 3}$, $q=0$, $K^2=2b^3+3(-3b^2+2b+3)$, $HK=2b^2-4b-3$, $c_s=2b^3-3b^2+2b+3$.

PROOF. This is a straightforward calculation using the resolution (9) and its dual.

In [3] Harris investigated so called Castelnuovo varieties. These are non-degenerate irreducible varieties $V_d^k \subset \mathbb{P}_n$ of dimension k and degree d with $d \geqslant k(n-k)+2$ whose geometric genus p_g is maximal with respect to all varieties of this type. For surfaces in \mathbb{P}_4 he showed that

$$p_g^{ ext{max}} = 2 {M \choose 3} + {M \choose 2} \varepsilon$$

where

$$extbf{ extit{M}} = \left[rac{d-1}{2}
ight], \qquad arepsilon = d-1-2\, extbf{ extit{M}}\,.$$

Here [x] denotes the greatest integer less than or equal to x. Harris showed that every Castelnuovo surface in $\mathbf{P_4}$ of even degree $2b \geqslant 6$ is the complete intersection of a hyperquadric with a hypersurface of degree b. Moreover every Castelnuovo surface of odd degree $2b+1\geqslant 6$ is together with a plane a complete intersection of a hyperquadric and a hypersurface of degree b+1.

PROPOSITION 8. The Castelnuovo surfaces of odd degree >6 are just the surfaces defined by a resolution of type (9).

PROOF. If Y is defined by (9) its geometric genus is $p_{\sigma}=2inom{b}{3}=p_{\sigma}^{\max}.$

If Y is a Castelnuovo surface then there is a plane E such that $Y \cup E$ is a complete intersection of type (2, b + 1). The plane E has the resolution

$$0 o \mathcal{O}_{\mathbf{P^4}}(-2) o \mathcal{O}_{\mathbf{P^4}}(-1)^2 o I_E o 0$$
 .

Hence it follows from [10, Cor. 1.7] that Y has a resolution:

$$0 \rightarrow \mathcal{O}_{\mathbf{P^4}}(-\ b-2)^2 \rightarrow \mathcal{O}_{\mathbf{P^4}}(-\ b-1)^2 \oplus \mathcal{O}_{\mathbf{P^4}}(-\ 2) \rightarrow I_Y \rightarrow 0$$

which gives the desired result.

We call every surface Y with a resolution of type (9) a Castelnuovo surface.

REMARK. Okonek proved in [8], [9] that

(i) The only Castelnuovo surface of degree 3 is the cubic ruled surface, i.e. \mathbb{P}_2 blown up in a point x_0 and embedded by the linear system $|2l-x_0|$.

- (ii) For d=5 the surface Y is a \mathbf{P}_2 blown up in 8 points i.e. $=\widetilde{\mathbf{P}}_2(x_0,\ldots,x_7)$ embedded by $\left|4l-2x_0-\sum\limits_{i=1}^7x_i\right|$.
- (iii) Every Castelnuovo surface of degree 7 (where $p_g^{\max} = 2$) is an elliptic surface over P_1 with Kodaira dimension $\kappa = 1$.

Let us now return to the resolution (9). The map s(b+2) is given by a matrix

$$\begin{pmatrix} A \\ f_1 & f_2 \end{pmatrix}$$

where the entries a_{ij} of the 2×2 matrix A are linear forms and where $f_i \in H^0(\mathcal{O}_{\mathbf{P}_i}(b))$. In particular Y is contained in the hyperquadric $Q_Y = \{\det A = 0\}$. If the degree of Y is at least 5 then this is the only hyperquadric through Y. For the cubic ruled surface the f_i are also linear forms and Y is contained in a net of quadrics.

DEFINITION. A Castelnuovo surface Y is called *symmetric* if I_Y has a resolution (9) with symmetric matrix A.

PROPOSITION 9. Y is symmetric if and only if it is contained in a corank 2 hyperquadric Q_Y . This hyperquadric is unique.

Proof. Clearly if A is symmetric then $Q_Y = \{\det A = 0\}$ has corank 2. Now assume that $Q_Y = \{\det A = 0\}$ has corank 2. Then there are coordinates x_i on P_4 such that A is equivalent to

$$A = \begin{pmatrix} x_0 & l \\ x_1 & x_2 \end{pmatrix}$$

where $l = l(x_0, x_1, x_2)$ is a linear form. By elementary transformations A is equivalent to

$$A' = \begin{pmatrix} x_0' & x_1 \\ x_1 & x_2' \end{pmatrix}.$$

The uniqueness is clear for d > 5. Every ruled cubic surface is projectively equivalent to the surface defined by the matrix

$$\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ x_3 & x_4 \end{pmatrix}.$$

Hence the net of quadrics is spanned by

$$x_0 x_2 - x_1^2 = 0$$
, $x_0 x_4 - x_1 x_3 = 0$, $x_1 x_4 - x_2 x_3 = 0$

and Q_Y is the only corank 2 quadric in this net.

Our next purpose is to show that there are many smooth symmetric Castelnuovo surfaces of given degree d = 2b + 1. This will follow from:

PROPOSITION 10. Let Y be the Castelnuovo surface defined by

$$\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \\ f_1 & f_2 \end{pmatrix}$$

where $f_1, f_2 \in H^0(\mathcal{O}_{\mathbf{P}_4}(b))$ depend only on x_3 and x_4 . Then Y is smooth if the complete intersection $(f_1)_0 \cap (f_2)_0$ is smooth and does not intersect the line $L_0 = \{x_0 = x_1 = x_2 = 0\}$.

PROOF. Y is defined by the equations

$$x_0 x_2 - x_1^2$$
, $x_0 f_2 - x_1 f_1$, $x_1 f_2 - f_1 x_2$.

We put $\partial_i f_i := \partial f_i/\partial x_i$: Since f_1 and f_2 only depend on x_3 and x_4 the Jacobian matrix is

$$J = egin{pmatrix} x_2 & -2x_1 & x_0 & 0 & 0 \ f_2 & -f_1 & 0 & x_0\,\partial_3 f_2 - x_1\,\partial_3 f_1 & x_0\,\partial_4 f_2 - x_1\,\partial_4 f_1 \ 0 & f_2 & -f_1 & x_1\,\partial_3 f_2 - x_2\,\partial_3 f_1 & x_1\,\partial_4 f_2 - x_2\,\partial_4 f_1 \end{pmatrix}.$$

Y is smooth if and only if rank $J \ge 2$ for all points $x \in Y$. For a point $x \in Y$ we have rank $J \le 1$ only in two cases, namely when

$$x_0 = x_1 = x_2 = f_1 = f_2 = 0$$

or when $(x_0, x_1, x_2) \neq 0$ and

$$f_1 = f_2 = 0$$
 and $\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \end{pmatrix} \begin{pmatrix} \operatorname{grad} f_2 \\ -\operatorname{grad} f_1 \end{pmatrix} = 0$.

Since here the matrix $\begin{pmatrix} x_0 & x_1 \\ x_1 & x_2 \end{pmatrix}$ has rank 1, this implies that grad f_1 and grad f_2 are linearly dependent and $(f_1)_0 \cap (f_2)_0$ is singular at x.

4. - The main theorem.

Dualising the resolution (9) we get the exact sequence

$$0 o \mathcal{O}_{\mathbf{p4}}(-b-2) o \mathcal{O}_{\mathbf{p4}}(-1)^2 \oplus \mathcal{O}_{\mathbf{p4}}(-b) o \mathcal{O}_{\mathbf{p4}} o \omega_{\mathbf{r}}(3-b) o 0$$
 .

This shows that $\omega_Y(3-b)$ is generated by 2 sections and hence every Castelnuovo surface Y admits a fibration

$$\varphi \colon= \varPhi_{|K+(3-b)H|} Y \to \mathbb{P}_1$$
.

By construction the class of the fibre F is

$$F \sim K + (3-b)H$$
.

LEMMA 11. The following two conditions are equivalent

- (i) There exists a line L_0 on Y with $L_0^2 = 1 2b$.
- (ii) There is a line L_0 on Y which is a b-section of φ .

PROOF. Let $L_0 \subseteq Y$ be a line. Since $H \cdot L_0 = 1$ the condition $F \cdot L_0 = b$ is equivalent to $K \cdot L_0 = 2b - 3$. But by the adjunction formula this is equivalent to $L_0^2 = 1 - 2b$.

Our main aim is to characterise those Castelnuovo surfaces Y which possess a multiplicity-2 structure \tilde{Y} , such that \tilde{Y} is a complete intersection.

We start with

PROPOSITION 12. Let Y be a smooth Castelnuovo surface of odd degree 2b+1. If Y has a multiplicity-2 structure \widetilde{Y} with induced canonical bundle $\omega_{\widetilde{Y}}$ then this structure is given by a quotient $N_{Y/\mathbb{P}_4}^* \to \omega_Y(2-2b)$. In this case \widetilde{Y} is a complete intersection of type (2, 2b+1). The hyperquadric through \widetilde{Y} is unique and is singular along a line $L_0 \subset Y$.

Proof. By lemmas 2 and 3 every multiplicity-2 structure with induced canonical bundle comes from a quotient $N_{Y/\mathbb{P}_4}^* \to \omega_Y(l)$. The integer l must fulfill the quadratic equation

$$d^2 + d(l^2 + 5l) + HK(3l + 5) + 2K^2 = 0$$
.

Using lemma 7 this equation becomes

$$l^{2}(2b+1)+l(6b^{2}-2b-4)+4(b^{3}-b^{2}-b+1)=0$$
.

There are two solutions

$$l_- = 2 - 2b$$
, $l_+ = \frac{2 - 2b^2}{1 + 2b}$.

It is easy to check that $l_+ \notin \mathbb{Z}$ unless b = 1 in which case $l_- = l_+ = 0$.

One can now use the remark after lemma 4 to construct a diagram similar to (6'). The only difference is that $\Gamma^*(l-5)$ has to be replaced by some arbitrary map Γ' . Nevertheless it follows from this diagram that \tilde{Y} is a complete intersection of type (2, 2b+1). In particular \tilde{Y} is contained in a hyperquadric. This is clearly unique if $d \geqslant 5$. For the case d=3 see [7]. We now have to show that Q_r has corank 2. Again we can restrict ourselves to the case $d \geqslant 5$. Let us assume that corank $Q_r \leqslant 1$. Let $C = Y \cap H$ be a general hyperplane section. Its genus is b(b-1) > 0 if $b \geqslant 2$. The curve C lies on the smooth quadric $Q_H = Q_T \cap H$. On the other hand we have an exact sequence

$$0 \longrightarrow M_H^* \longrightarrow N_{C/H}^* \longrightarrow \omega_Y(2-2b)|C \longrightarrow 0$$

$$\parallel$$

$$L_H^*$$

We claim that this sequence splits which gives a contradiction to [5, theorem 1]. To show the splitting it is enough to see that

$$h^1(M_H^*\otimes L_H)=h^0(M_H\otimes L_H^*\otimes \omega_C)=0$$
 .

But this follows from

$$\begin{split} \deg\left(\textit{\textit{M}}_{\textit{\textit{H}}} \otimes \textit{\textit{L}}_{\textit{\textit{H}}}^* \otimes \omega_{\textit{\textit{C}}}\right) &= \deg\textit{\textit{M}}_{\textit{\textit{H}}} - \deg\textit{\textit{L}}_{\textit{\textit{H}}} + 2\textit{\textit{g}}(\textit{\textit{C}}) - 2 \\ &= \deg\textit{\textit{N}}_{\textit{\textit{C}},\textit{\textit{H}}}^* - 2 \deg\textit{\textit{L}}_{\textit{\textit{H}}} + 2\textit{\textit{g}}(\textit{\textit{C}}) - 2 = -2 \;. \end{split}$$

Hence we have seen that corank $Q_Y = 2$. Let L_0 be the singular line. Then L_0 must lie on Y, otherwise projection from a general point of L_0 would immediately give a contradiction to the fact that the degree of Y is odd.

Now we are ready to prove the main result of this paper.

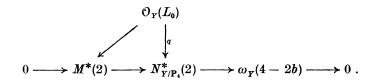
THEOREM 13. Let $Y \subseteq \mathbb{P}_4$ be a smooth Castelnuovo surface of degree 2b+1. Then the following conditions are equivalent:

- (i) Y is symmetric.
- (ii) Y is contained in a corank 2 quadric Q_Y .

- (iii) There is a line $L_0 \subseteq Y$ which is a b-section of the fibration $\varphi \colon Y \to \mathbf{P}_1$.
 - (iv) Y contains a projective line L_0 with self-intersection $L_0^2 = 1 2b$.
- (v) There exists a multiplicity-2 structure \tilde{Y} on Y such that \tilde{Y} is a complete intersection of type (2, 2b + 1).

PROOF. (i) \Leftrightarrow (ii) is proposition 9; (i) \Rightarrow (v) follows from proposition 5 and (v) \Rightarrow (ii) is proposition 12. (iii) \Leftrightarrow (iv) is nothing but lemma 11.

(ii) \Rightarrow (iii). Assume that Y is contained in the corank 2 quadric Q_r . The singular line L_0 must necessarily lie on Y. The quadric Q_r defines a section in $N_{Y/P_r}^*(2)$ which vanishes along L_0 . Hence we get a diagram



Since (ii) \Leftrightarrow (v) it follows that $Q_Y \in H^0(I_{\tilde{Y}}(2))$ hence q factors through $M^*(2)$. Since q is injective outside L_0 there must be an integer $k \geqslant 1$ such that

$$\mathfrak{O}_{V}(kL_{0}) \simeq M^{*}(2)$$
.

This implies

$$kL_0 \sim (-5H - K + 4H) - (K + (4 - 2b)H), \quad kL_0 \sim (2b - 5)H - 2K.$$

Since

$$((2b-5)H-2K)\cdot H=1$$

it follows that k = 1 and hence

$$L_0 \sim (2b-5) \cdot H - 2K$$
.

From this it is straightforward to compute

$$F \cdot L_0 = b$$
.

(iii) \Rightarrow (ii). We assume that there is a line $L_0 \subseteq Y$ which is a b-section of the fibration $\varphi \colon Y \to \mathbf{P}_1$. Since

$$H \cdot F = (K + (3-b)H) \cdot H = b$$

the fibres F are curves of degree b which intersect the line L_0 in b points. This implies (look at all hyperplanes through L_0) that each fibre F is contained in a unique plane E through L_0 . In this way we get an injective map

$$\psi \colon \mathbb{P}_1 \to \mathbb{P}_2^{L_0} = \{ \text{planes } \mathbf{E} \supseteq L_0 \}.$$

Pulling back the universal bundle we get a threefold W which is a $\mathbf{P_2}$ -bundle over $\mathbf{P_1}$ and a map from W onto a threefold V which contains Y. Moreover there is a surface $\overline{Y} \subseteq \widetilde{V}$ which is mapped isomorphically onto Y. Let $\widetilde{W} \subseteq \widetilde{V}$ be the inverse image of L_0 in \widetilde{V} . The fibres of $\widetilde{W} \to L_0$ are all isomorphic to a rational curve R. Since \widetilde{V} is a $\mathbf{P_2}$ -bundle over $\mathbf{P_1}$ we have

Pie
$$\tilde{V} = \mathbb{Z}H \oplus \mathbb{Z}P_2$$
.

Hence the class of \overline{Y} in \widetilde{V} is of the form

$$\overline{Y} \sim m \cdot H + n \cdot P_{\bullet}$$
.

Since the intersection of \overline{Y} with each plane P_2 is a curve of degree b we find m = b. Moreover, since \overline{Y} meets each curve R transversally in one point we find n = 1, i.e.

$$\overline{Y} \sim bH + P_{o}$$
.

Then

$$2b + 1 = \overline{Y}H^2 = (bH + P_2)H^2 = bH^3 + 1$$
.

This implies $H^3 = 2$ and V must be a quadric. Clearly V is singular along L_0 .

5. - Castelnuovo surfaces of degree 5.

According to Okonek [8] every Castelnuovo surface of degree 5 is a P₂ blown up in 8 points:

$$Y = \widetilde{\mathbf{P}}_{\mathbf{2}}(x_0, \dots, x_7)$$

embedded by the linear system $\left|4l-2x_0-\sum\limits_{i=1}^7x_i\right|$. Let E_0,\ldots,E_7 be the exceptional curves on Y. Then E_0 is a conic, whereas E_1,\ldots,E_7 are lines.

PROPOSITION 14. The Castelnuovo surface Y is symmetric if and only if the points $x_1, ..., x_7$ lie on a smooth conic C.

PROOF. We first note that if such a C exists it must necessarily be smooth. Otherwise at least 4 of the points $x_1, ..., x_7$ would lie on a line L and $H \cdot L \leq 0$. It then follows from

$$(4l - 2E_0 - \sum_{i=1}^{7} E_i)(2l - \sum_{i=1}^{7} E_i) = 1$$

that C does not pass through x_0 and that it is mapped to a line $L_0 \subseteq Y$. Since $L_0^2 = -3$ the surface Y is symmetric by theorem 13.

Now assume that Y is symmetric. The singular line L_0 of Q_r lies on Y. It intersects the lines $E_1, ..., E_7$ as can be seen by projecting from a general point of L_0 . This also implies $L_0 \neq E_i$ and $L_0 \cdot E_i = 1$ for i = 1, ..., 7. Let E_0 be the exceptional conic. Since $L_0 \cdot E_0 \leqslant 2$ and $H \cdot L_0 = 1$ there are two possibilities:

$$L_0 \sim 3l - 2E_0 - \sum_{i=1}^{7} E_i$$
 or $L \sim 2l - \sum_{i=1}^{7} E_i$.

In the first case $L_0^2 = -2$ whereas in the second case $L_0^2 = -3$. We know, however, from the proof of theorem 13 that $L_0^2 = -3$ and hence we are done.

REMARK. The number of moduli for Castelnuovo surfaces of degree 5 is

$$2 \# \text{ points blown up} - \dim PGL(3, \mathbb{C}) = 16 - 8 = 8$$
.

The condition that $x_1, ..., x_7$ lie on a conic is 2-codimensional hence the symmetric Castelnuovo surfaces depend on 6 moduli.

6. - Castelnuovo manifolds.

We call a codimension 2 manifold $Y \subset \mathbb{P}_{n+2}$ a Castelnuovo manifold of dimension n if Y has a resolution of type (9), i.e.

$$0 o \mathcal{O}^2_{\mathbf{P}_{n+2}} o \mathcal{O}_{\mathbf{P}_{n+2}}(1)^2 \oplus \mathcal{O}_{\mathbf{P}_{n+2}}(b) o I_Y(b+2) o 0$$
.

Here we want to point out the following remarkable fact.

PROPOSITION 15. The only Castelnuovo manifold Y of dimension $n \ge 3$ which admits a multiplicity-2 structure \tilde{Y} such that \tilde{Y} is a complete intersection is \mathbf{P}_n embedded linearly.

Proof. It is enough to prove this for Castelnuovo 3-folds $Y \subset \mathbb{P}^3$. Just as in lemma 2 we see that every multiplicity-2 structure comes from a subbundle $L \subseteq N_{Y/\mathbb{P}_3}$. If Y admits a multiplicity-2 structure \widetilde{Y} which is a complete intersection, then \widetilde{Y} must be the intersection of a hyperquadric Q with a hypersurface of degree 2b+1. The quadric Q must be of corank 3 and the singular plane V of Q must be contained in Y. This can be seen by taking hyperplane sections and applying proposition 12 and theorem 13. Our claim now follows from

LEMMA 16. If $Y \subseteq \mathbb{P}_5$ is a smooth threefold such that

- (i) Y contains a plane V
- (ii) There exists a subbundle $L \subseteq N_{Y/P} / V$ then Y is \mathbb{P}_3 embedded linearly.

PROOF. Let $N_{V/Y} = \mathcal{O}_V(a)$. From the sequence

$$0 \rightarrow N_{V/Y} \rightarrow N_{V/P_e} \rightarrow N_{V/P_e} | V \rightarrow 0$$

we find

$$c_1(N_{Y/\mathbf{P_s}}|V) = 3 - a$$
 , $c_2(N_{Y/\mathbf{P_s}}|V) = a^2 - 3a + 3$.

Now suppose $N_{V/\mathbf{P}_{\epsilon}}|V$ has a 1-subbundle $O_{V}(b)$. Then

$$c_2((N_{Y/P_s}|V)(-b)) = b^2 - b(3-a) + (a^2 - 3a + 3) = 0$$

and looking at this as a quadratic equation for b, this implies

$$(3-a)^2-4(a^2-3a+3) \geqslant 0$$

which implies a=1. Since $H^1(\mathcal{O}_Y)=0$ by Barth's theorem ([1, Th. III]) we see that |V| is a linear system of planes on Y of (projective) dimension 3. Now choose two different points $x, y \in Y$. There is (at least) a 1-dimensional linear subsystem $|V|^0 \subseteq |V|$ of planes which contain the line L spanned by x and y. Let $V_1, V_2 \in |V|^0$ be two different planes containing L. They span a space P_3 . By construction P_3 is tangent to Y along L. Hence all planes in $|V|^0$ are contained in this P_3 , i.e. their union equals this space. Hence $P_3 \subseteq Y$ and we are done.

7. - A remark on normal bundles.

In this section we want to say a few words about the normal bundle of Castelnuovo and Bordiga surfaces. We first consider a Castelnuovo surface $Y \subseteq P_4$ of odd degree.

When we speak of stability, we always mean stability with respect to the hyperplane section H.

PROPOSITION 17. Let $Y \subseteq \mathbf{P_4}$ be a smooth Castelnuovo surface of odd degree d. Then the following holds:

- (i) If Y is the cubic ruled surface then its normal bundle N_{Y/P_4} is semi-stable but not stable.
 - (ii) If $d \geqslant 5$ then the normal bundle $N_{Y/P_{\bullet}}$ is properly unstable.
 - (iii) The normal bundle of Y is always indecomposable.

PROOF. (i) If Y is the cubic ruled surface we have an epimorphism $N^*_{Y/P_*} \to \omega_Y$. Since

$$c_1(N_{Y/P_A}\otimes\omega_Y)\cdot H=(5H+3K)\cdot H=0$$

it follows that N_{Y/\mathbb{P}_4} cannot be stable. On the other hand the generic hyperplane section C of Y is a rational normal curve of degree 3. Since $N_{C/\mathbb{P}_4} = \mathcal{O}_{\mathbb{P}_1}(5) \oplus \mathcal{O}_{\mathbb{P}_1}(5)$ is semi-stable, it follows that N_{Y/\mathbb{P}_4} must be semi-stable too.

(ii) Every Castelnuovo surface lies in a quadric, i.e. there is a section $0 \neq s \in H^0(N^*_{Y/P_s}(2))$. Since

$$c_{\mathbf{1}}\big(N_{Y/\mathbf{P_{4}}}^{*}(2)\big) \cdot H = - \ (H + K) \cdot H = 2 - 2\pi > 0$$

for $d \geqslant 5$ the normal bundle N_{Y/P_4} is properly unstable.

(iii) If Y is not symmetric then the generic hyperplane section $C = Y \cap H$ is a smooth curve lying on a smooth quadric Q. Since C is neither rational nor a hypersurface section of Q if follows from [5, Theorem 1] that N_{C/\mathbf{P}_1} and hence also N_{Y/\mathbf{P}_4} is indecomposable. Now let Y be symmetric and consider the sequence

$$(10) \hspace{1cm} 0 \rightarrow \textit{M*} \rightarrow \textit{N}^*_{\textit{Y/P_4}} \rightarrow \omega_{\textit{Y}}(2-2b) \rightarrow 0$$

We claim that N_{Y/P_4} splits if and only if (10) splits. If Y is the cubic ruled surface this follows from looking at the rulings of Y. Let us now assume d>5. For every smooth hypersurface section C we saw in the proof of proposition 12 that

$$N_{C/H}^* = M^*|C \oplus \omega_Y(2-2b)|C$$

and this is the only way $N_{C/H}^*$ can decompose. Hence if $N_{Y/P_4}^* = L_1 \oplus L_2$ we can assume that $L_1|C \cong M^*|C$ and $L_2|C \cong \omega_Y(2-2b)|C$. Since $q(Y) = 0 \neq \pi$ we can apply a result of A. Weil, (compare [12, prop. 0.9]) to conclude that $L_1 \cong M^*$ and $L_2 \cong \omega_Y(2-2b)$ and we are done. Hence it remains to show that (10) does not split. For this purpose we restrict (10) to the line L_0 with $L_0^2 = 1 - 2b$. Then (10) becomes

$$(11) 0 \rightarrow \mathcal{O}_{L_0}(-2b-1) \rightarrow N^*_{Y/P_0}|L_0 \rightarrow \mathcal{O}_{L_0}(-1) \rightarrow 0.$$

If this sequence splits then

$$N_{Y/P_a}|L_0=\mathfrak{O}_{L_a}(1+2b)\oplus\mathfrak{O}_{L_a}(1)$$
.

In particular we have a quotient $N_{Y/P_{\bullet}}(-1)|L_0 \to \mathcal{O}_{L_0}$ and we can argue as in [6] to conclude that there is a hyperplane H which contains all the tangent planes of Y along L_0 . But this cannot be, since these tangent planes form the corank 2 quadric Q which contains Y.

Let us now turn to Bordiga surfaces [8]. These are rational surfaces $Y \subseteq \mathbf{P_4}$ of degree 6. They can be constructed by blowing up $\mathbf{P_2}$ in 10 points

$$Y = \widetilde{\mathbf{P}}_2(x_1, \ldots, x_{10})$$

and embedding this surface with the linear system

$$\left|4l-\sum_{i=1}^{10}x_i\right|$$
.

These surfaces have a resolution

$$0 o {\mathfrak O}_{\mathbf P_{\mathbf A}}^3 o {\mathfrak O}_{\mathbf P_{\mathbf A}}(1)^4 o {I}_Y(4) o 0$$
 .

One checks easily that

$$K \cdot H = -2, \quad K^2 = -1.$$

LEMMA 18. If Y has a multiplicity-2 structure \widetilde{Y} which is a complete intersection, then \widetilde{Y} is given by a quotient $N^*_{Y/P_4} \to \omega_Y(-2)$. In this case \widetilde{Y} is a complete intersection of a cubic and a quartic hypersurface.

PROOF. Every multiplicity-2 structure \tilde{Y} which is a complete intersection is given by a quotient $N_{Y/P}^* \to \omega_Y(l)$. The condition

$$c_2(N_{Y/P_1} \otimes \omega_Y(l)) = 0$$

reads

$$36 + 6(l^2 + 5l) - 2(3l + 5) - 2 = 0$$

or equivalently

$$(l+2)^2=0$$
.

Hence l = -2. On the other hand if we have a quotient $N_{Y/P_4}^* \to \omega_r(-2)$ it follows from the remark after lemma 4 and the proof of proposition 5 that \tilde{Y} is a complete intersection of type (3, 4).

PROPOSITION 19. Let $Y \subseteq \mathbb{P}_4$ be a smooth Bordiga surface. Then the following conditions are equivalent:

- (i) There exists a multiplicity-2 structure \tilde{Y} on Y such that \tilde{Y} is a complete intersection of type (3,4).
 - (ii) There exists a quotient $N_{Y/P}^* \to \omega_Y(-2)$.
 - (iii) N_{Y/\mathbb{P}_4}^* is not stable.

PROOF. The equivalence (i) \Leftrightarrow (ii) is lemma 18. (ii) \Rightarrow (iii) follows since

$$c_1(N_{Y/P} \otimes \omega_Y(-2)) \cdot H = (H+3K) \cdot H = 0$$
.

To prove (iii) \Rightarrow (ii) we look at the normal bundle N_{C/\mathbf{P}_*} of smooth hyperplane sections $C = Y \cap H$ of Y. C is a curve of degree 6 and genus 3. The normal bundle of such curves was investigated thoroughly by Ellia in [2]. Now assume that N_{Y/\mathbf{P}_*} is unstable. Then there is a map $N_{Y/\mathbf{P}_*}^* \to L$ to a line bundle L which is surjective outside a finite number of points such that

$$c_{\scriptscriptstyle 1}(N_{\scriptscriptstyle Y/{\rm P}_{\scriptscriptstyle 4}}\!\otimes L)\!\cdot\! H\!\leqslant\! 0$$
 .

If we restrict this map to a generic hyperplane section we get a quotient $N_{C/H}^* \to L|C$ which makes $N_{C/H}$ unstable. By [2, prop. 7] this implies that $L|C = \omega_C(-3) = \omega_Y(-2)|C$. Again we can use Weil's result [12, prop. 0.9] to conclude that $L = \omega_Y(-2)$. Since $c_2(N_{Y/P_4} \otimes \omega_Y(-2)) = 0$ it follows that the map $N_{Y/P_4}^* \to \omega_Y(-2)$ must indeed be surjective everywhere and we are done.

REMARK. Since $N_{C/H}$ is always semi-stable [2], it follows that N_{Y/P_4} must be semi-stable too.

We want to conclude with the following

COROLLARY 20. The normal bundle of a Bordiga surface $Y \subseteq \mathbb{P}_4$ is indecomposable.

PROOF. Assume that N_{Y/P_4} splits. Then the same is true for all hyperplane sections $C=Y\cup H$. If, however, C is smooth and $N_{C/H}$ is decomposable then $N_{C/H}^*=\omega_C(-3)\oplus\omega_C(-3)$ by 2, prop. 8]. Using once more Weil's result it follows that $N_{Y/P_4}=\omega_Y(-2)\oplus\omega_Y(-2)$. But this is a contradiction, since

$$c_2(N_{Y/P_A}^*) = 36 \neq 31 = c_2(\omega_Y(-2) \oplus \omega_Y(-2))$$
.

REMARK. We don't know if there exist smooth Bordiga surfaces with the properties of Prop. 18.

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