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## On Inseparable Descent.

## F. BALDASSARRI

#### Introduction.

Let k be a perfect field of characteristic  $p \neq 0$ . Put R = k[x] = the ring of formal power series in x with coefficients in k,  $R' = k[x^{p^{-\infty}}] = \bigcup_{n=0}^{\infty} k[x^{p^{-n}}]$ , and Q, Q' = the quotient fields of R, R', respectively. We also use the notation  $W(\cdot)$  to denote the ring of infinite Witt vectors (relative to the prime number p) with components in  $\cdot$ , and put K = W(k). Let A denote the ring K[X] of formal power series in X with coefficients in K, and let K denote the K-adic completion of the ring K[X]. We will define in section 3 embeddings of rings  $A \to W(R')$  and  $B \to W(Q')$ .

The purpose of this paper is to give a manageable expression for descent data on modules relatively to the extensions  $R \to R'$ ,  $Q \to Q'$  and on p-adically separated and complete modules relatively to the extensions  $A \to W(R')$ ,  $B \to W(Q')$ .

The simple form of the results obtained, say in the case  $R \to R'$ , depends on the following fact. Let  $S = \operatorname{Spec} R$ ,  $X = \operatorname{Spec} R'$ ,  $G = \operatorname{the}$  affine S-group Cartier dual to  $(\mathbf{Q}_p/\mathbf{Z}_p)_S$  (the standard étale p-divisible group of height 1, viewed over S). Then it is possible to define a morphism of schemes:  $G \times_S X \to X$ , making  $X \to S$  into a principal homogeneous space under G. We do not pursue in the present paper this geometric viewpoint: our aim here is not towards greatest generality but towards a complete understanding of the extensions of rings mentioned above.

We will apply the, results obtained here in subsequent papers to give a generalization of Dieudonné theory for p-divisible groups defined over R or Q.

This paper is essentially self-contained: we send to the references only for the proof of two theorems. Some computations are however left to the reader.

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In writing this paper we have been strongly influenced by the work of Barsotti: some of the constructions we use are due to him and others are direct generalizations of the former worked out in the same spirit.

1. — In this paper the word «ring» means «commutative ring with 1»; a morphism of rings always sends 1 to 1 and «module» means «unitary module». If k is a ring, a k-algebra will always be associative with a right and left identity element 1, and a morphism of k-algebras (a representation) will always send 1 to 1. If A, B are k-algebras, an antirepresentation  $f: A \rightarrow B$  is a representation of the opposite k-algebra  $A^*$  of A in B.

If A is a linearly topologized (l.t.) ring and M, N are linearly topologized (l.t.) A-modules, the usual topology of  $\operatorname{Hom}_A(M,N)$  will be the topology of simple convergence on the elements of M. The usual topology of  $\operatorname{Hom}_A(M,N)$  is A-linear and a fundamental system of open submodules of  $\operatorname{Hom}_A(M,N)$  in that topology is given by the set of the

$$\mathfrak{A}(S, V) = \{ f \in \operatorname{Hom}_A(M, N) : f(S) \subseteq V \}$$

as S varies among the finite subsets of M and V among the open submodules of N. Unless otherwise specified A, M, N will be equipped with the discrete topology and  $\operatorname{Hom}_{A}(M,N)$  with the usual topology.

If  $f: A \to B$  is a morphism of rings and  $g: M \to N$  is a morphism of A-modules, we denote by  $g_{(f)}: M \otimes B \to N \otimes B$  the morphism of B-modules obtained by the scalar extension f.

- (1.1) DEFINITION. Let k be a l.t. ring, separated and complete. A linearly topologized (l.t.) k-hyperalgebra is a structure  $(A, i, \mu, \mathbf{P}, \varepsilon, \varrho)$ , that we usually denote simply by A, where:
- (1.1.i) A is a separated and complete l.t. k-module;

(1.1.ii) 
$$\mu\colon A\, \widehat{\otimes}_k\, A \to A$$
 (« product ») and 
$$i\colon k \to A$$
 (« structural morphism »)

are continuous morphisms of k-modules satisfying:

(1.1.ii.1) 
$$\mu(\mathrm{id}_A \, \widehat{\otimes} \, \mu) = \mu(\mu \, \widehat{\otimes} \, \mathrm{id}_A)$$
 (associativity),

$$\begin{array}{ll} \text{(1.1.ii.2)} & \mu(i \ \mbox{\^{\otimes}} \ \text{id}_{A}) = \mu(\text{id}_{A} \ \mbox{\^{\otimes}} \ i) = \text{id}_{A} & \text{(existence of } \ 1_{A}); \\ & \text{therefore } \ (A, \, i, \, \mu) \ \text{is a l.t. } k\text{-algebra}; \end{array}$$

(1 1.iii) 
$$\mathbf{P} \colon A \to A \ \hat{\otimes}_k A$$
 (« coproduct »),  $\varepsilon \colon A \to k$  (« augmentation »),  $\varrho \colon A \to A$  (« antipodism »),

are continuous morphisms of k-algebras such that:

$$(1.1.iii.1) \quad (id_A \widehat{\otimes} P)P = (P \widehat{\otimes} id_A)P \quad (coassociativity),$$

$$(1.1.iii.2) \quad (\mathrm{id}_A \, \hat{\otimes} \, \varepsilon) \, \mathbf{P} = (\varepsilon \, \hat{\otimes} \, \mathrm{id}_A) \, \mathbf{P} = \mathrm{id}_A \,,$$

$$(1.1.iii.3) \quad \mu(\varrho \, \widehat{\otimes} \, \mathrm{id}_{A}) \, \mathbf{P} = \mu(\mathrm{id}_{A} \, \widehat{\otimes} \, \varrho) \, \mathbf{P} = i\varepsilon \, .$$

Let  $\kappa: A \ \widehat{\otimes}_k A \to A \ \widehat{\otimes}_k A$  be the continuous k-linear map defined by  $\kappa(a \ \widehat{\otimes} b) = b \ \widehat{\otimes} a$ . Then the l.t. k-hyperalgebra A is commutative if  $\mu = \mu \kappa$ , and it is cocommutative if  $\kappa P = P$ .

Morphisms of l.t. k-hyperalgebras are defined in the obvious way. The kernel of  $\epsilon \colon A \to k$  will be denoted by  $A^+$  and will be called the *augmentation ideal* of A.

If k is a ring, a k-hyperalgebra is a discrete l.t. k-hyperalgebra, where k is equipped with the discrete topology.

(1.2) DEFINITION. Let  $k \to A$  be a morphism of rings and M be an A-module. A descent datum on M relatively to  $k \to A$  is a homomorphism of  $A \otimes_k A$ -modules:

$$(1 \ 2.0) \qquad \Theta \colon M \otimes_k A \to A \otimes_k M$$

such that:

$$(1.2.2) \quad \text{if } p_{ij} \colon A \otimes A \to A \otimes A \otimes A \quad \text{(e.g. } p_{32}(a \otimes b) = 1 \otimes b \otimes a \text{)}$$

and  $\Theta_{ij} = \Theta_{(p_{ij})}$ , the diagram:

$$\begin{array}{c}
M \otimes A \otimes A \xrightarrow{\theta_{11}} & A \otimes A \otimes M \\
& & & & & \\
\theta_{11} & & & & \\
& & & & & \\
A \otimes M \otimes A
\end{array}$$

is commutative.

It follows from (1.2.1), (1.2.2) that:

(1.2.3) 
$$\Theta$$
 is an isomorphism.

Let us prove (1.2.3). Let  $\mu_{13}$ :  $A \otimes A \otimes A \to A \otimes A$ ,  $\mu_{13}(a \otimes b \otimes c) = ac \otimes b$  and  $\Theta'_{ij} = (\Theta_{ij})_{(\mu_{13})}$  Then:  $\Theta'_{13} = \mathrm{id}_{M \otimes A}$ ,  $\Theta'_{12} = \Theta$ ,  $\Theta'_{23} = \varkappa \Theta \varkappa = 0$ ; therefore  $\Theta_{\varkappa} \Theta = \mathrm{id}_{M \otimes A}$ . Analogously  $\Theta \Theta_{\varkappa} = \mathrm{id}_{A \otimes M}$ : Q.E.D.

(1.3) GROTHENDIECK'S DESCENT THEOREM. Let  $k \to A$  be a morphism of rings and  $M_0$  be a k-module. Then  $M = M_0 \otimes_k A$  is automatically equipped with a descent datum  $\Theta = \Theta_M$ , relative to  $k \to A$ , namely:

for  $m \in M_0$ ,  $a, b \in A$ .

Assume that  $k \to A$  is faithfully flat and let M,  $\Theta$  be as in (1.2). Then,

$$\mathbf{M_0} = \{ m \in \mathbf{M} / \ \Theta(m \otimes 1) = 1 \otimes m \}$$

is a k-submodule of M,  $M=M_0\otimes_k A$ , and  $\Theta=\Theta_{M_0}$ . Moreover, if  $(M,\Theta)$ ,  $(M',\Theta')$  are two data as in (1.2), if  $M_0$  is given by (1.3.2) and  $M'_0$  is given analogously, then an A-linear morphism  $f\colon M\to M'$  is obtained by the scalar extension  $k\to A$  from a k-linear morphism  $f_0\colon M_0\to M'_0$  if and only if the following diagram commutes:

$$(1.3.3) \qquad M \otimes_{k} A \xrightarrow{f \otimes \mathrm{id}_{A}} M' \otimes_{k} A$$

$$\downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \varphi'$$

$$A \otimes_{k} M \xrightarrow{\mathrm{id}_{A} \otimes f} A \otimes_{k} M'.$$

(See [2] for the proof).

Let k be a ring, A a commutative faithfully flat k-algebra and D a commutative (but not necessarily cocommutative) k-hyperalgebra. Let

$$u: A \to D \otimes_k A$$

be a k-algebra morphism such that:

$$(1.4.1) (\mathbf{P}_{n} \otimes \mathrm{id}_{A}) u = (\mathrm{id}_{n} \otimes u) u;$$

(1.4.2) if  $p_2: A \to D \otimes A$  is defined by  $p_2(a) = 1 \otimes a$ , then

$$\chi = u \otimes p_2 \colon A \otimes A \! 
ightarrow D \otimes A$$

is a k-algebra isomorphism.

From (1.4.1) and (1.4.2) it follows that

$$(1.4.3) (\varepsilon_D \otimes \mathrm{id}_A) u = \mathrm{id}_A.$$

Let us prove (1.4.3). Let  $f = (\varepsilon_D \otimes \mathrm{id}_A)u$ ; we have:  $uf = (\varepsilon_D \otimes u)u = (\varepsilon_D \otimes \mathrm{id}_D \otimes \mathrm{id}_A)(\mathrm{id}_D \otimes u)u = (\varepsilon_D \otimes \mathrm{id}_D \otimes \mathrm{id}_A)(P_D \otimes \mathrm{id}_A)u = u$ ; but u is injective. Q.E.D.

Let  $\tau$  denote the  $\chi$ -linear isomorphism:

(1.4.4) 
$$\tau \colon A \otimes_k M \to D \otimes_k M$$
$$a \otimes m \mapsto u(a)(1 \otimes m) .$$

Suppose we are given M,  $\Theta$  as in (1.2). Let us put:

(1.5) 
$$\varphi \colon M \to D \otimes_k M$$

$$m \to \tau(\Theta(m \otimes 1)).$$

Then:

$$(1.5.1) \quad \varphi(am) = \tau((a \otimes 1) \Theta(m \otimes 1)) = u(a) \varphi(m);$$

$$\begin{array}{ll} \text{(1.5.2)} & \text{Let } m \in M \text{ and } \Theta(m \otimes 1) = \sum a_i \otimes m_i \text{: Then: } (\varepsilon_D \otimes \operatorname{id}_M) \varphi(m) = \\ & \sum_i (\varepsilon_D \otimes \operatorname{id}_M) \, \tau(a_i \otimes m_i) = \sum_i (\varepsilon_D \otimes \operatorname{id}_M) \big( u(a_i) (1 \otimes m_i) \big) = \\ & \sum_i \big( (\varepsilon_D \otimes \operatorname{id}_A) \, u(a_i) \big) \, m_i = \sum_i a_i m_i = m, \\ & \text{(the last equality follows from (1.2.1));} \end{array}$$

(1.5.3) Let 
$$m \in M$$
,  $\Theta(m \otimes 1) = \sum_{i} a_{i} \otimes m_{i}$ ,  $\Theta(m_{i} \otimes 1) = \sum_{j} a_{ij} \otimes m_{ij}$ .

According to (1.2.2) we have:

$$(1.5.3.1) \sum_{i} a_{i} \otimes 1 \otimes m_{i} = \sum_{i,j} a_{i} \otimes a_{ij} \otimes m_{ij}.$$

Therefore:

$$(\mathbb{P}_D \otimes \mathrm{id}_M) \varphi(m) = (\mathbb{P}_D \otimes \mathrm{id}_M) \sum_i u(a_i) (1 \otimes m_i) =$$

$$\sum_i ((\mathbb{P}_D \otimes \mathrm{id}_A) u(a_i)) (1 \otimes \mathbb{1} \otimes m_i) = \sum_i ((\mathrm{id}_D \otimes u) u(a_i)) (1 \otimes 1 \otimes m_i) =$$
 $(\mathrm{id}_D \otimes \tau) \sum_i u(a_i) \otimes m_i = (\mathrm{id}_D \otimes \tau) \sum_i (\chi \otimes \mathrm{id}_M) (a_i \otimes 1 \otimes m_i) =$ 

$$\begin{split} &(\mathrm{id}_D\otimes\tau)(\chi\otimes\mathrm{id}_M)\sum_{i,j}a_i\otimes a_{ij}\otimes m_{ij}=\sum_{i,j}\bigl((\mathrm{id}_D\otimes u)\bigl(u(a_i)(1\otimes a_{ij})\bigr)\bigr)(1\otimes 1\otimes m_{ij})=\\ &\sum_{i,j}\bigl((\mathrm{id}_D\otimes u)\,u(a_i)\bigr)\bigl(1\otimes \bigl(u(a_{ij})(1\otimes m_{ij})\bigr)\bigr)=\\ &\sum_{i,j}\bigl((\mathrm{id}_D\otimes u)\,u(a_i)\bigr)\bigl(1\otimes \varphi(m_i)\bigr)=\sum_{i}(\mathrm{id}_D\otimes\varphi)\bigl(u(a_i)(1\otimes m_i)\bigr)=(\mathrm{id}_D\otimes\varphi)\,\varphi(m)\,. \end{split}$$

We conclude from the computations (1.5) that from a descent datum  $\Theta$  on M relatively to  $k \to A$ , if the morphism  $u: A \to D \otimes_k A$ , as in (1.4), is given, we obtain a k-linear morphism:

$$\varphi \colon M \to D \otimes_k M$$

satisfying:

(1.6.1) 
$$\varphi(am) = u(a)\varphi(m)$$
, for  $a$  in  $A$  and  $m$  in  $M$ ;

$$(1.6.2) (\varepsilon_D \otimes \mathrm{id}_M) \varphi = \mathrm{id}_M;$$

$$(1.6.3) (\mathbf{P}_{D} \otimes \mathrm{id}_{M}) \varphi = (\mathrm{id}_{D} \otimes \varphi) \varphi.$$

Conversely, let  $\varphi$  as in (1.6) be given, and define  $\Theta \colon M \otimes A \to A \otimes M$ , by:

$$(1.7) \Theta(m \otimes a) = \tau^{-1}((1 \otimes a)\varphi(m)).$$

Then  $\Theta$  is  $A \otimes A$ -linear and:

$$(1.7.1) \quad \text{if } m \in M \text{ and } \Theta(m \otimes 1) = \tau^{-1} \varphi(m) = \sum_i a_i \otimes m_i, \text{ then } \varphi(m) = \\ \sum_i u(a_i)(1 \otimes m_i) \text{ so that } \sum_i a_i m_i = (\varepsilon_D \otimes \mathrm{id}_M) \sum_i u(a_i)(1 \otimes m_i) = \\ (\varepsilon_D \otimes \mathrm{id}_M) \varphi(m) = m;$$

(1.7.2) if 
$$\Theta(m \otimes 1) = \sum_{i} a_{i} \otimes m_{i}$$
 and  $\Theta(m_{i} \otimes 1) = \sum_{i} a_{ij} \otimes m_{ij}$ , by inverting the reasoning used in (1.5.3) one proves that  $\sum_{i} a_{i} \otimes 1 \otimes m_{i} = \sum_{i,j} a_{i} \otimes a_{ij} \otimes m_{ij}$ , and therefore (1.2.2) for this  $\Theta$ .

It follows from Grothendieck's theory of descent ((1.3)), that if  $M, \varphi$  are as in (1.6) and one puts:

$$M_0 = \{m \in M / \varphi(m) = 1 \otimes m\}$$

then  $M = A \otimes_k M_0$  and  $\varphi(a \otimes m) = u(a)(1 \otimes m) = u(a) \otimes m$ . If now we denote  $\varphi$  by  $\varphi_M$  and let  $(N, \varphi_N)$  be a datum analogous to  $(M, \varphi_M)$ , a A-linear homomorphism  $f \colon M \to N$  is the extension by A-linearity of a k-linear homomorphism  $f_0 \colon M_0 \to N_0$  iff the following diagram commutes:

$$(1.8.1) \qquad M \xrightarrow{f} N$$

$$\downarrow^{\varphi_{M}} \qquad \downarrow^{\varphi_{N}} \qquad \downarrow^{\varphi_{N}} \qquad D \otimes_{k} M \xrightarrow{\operatorname{id}_{D} \otimes f} D \otimes_{k} N .$$

We will assume in the rest of this section that D, as a k-module, is the direct limit of a direct system of finite locally free k-modules, say  $D = \varinjlim_{\alpha} D_{\alpha}$ . Then if k is given the discrete topology and the k-modules  $C_{\alpha} = \operatorname{Hom}_{k}(D_{\alpha}, k)$ ,  $C = \operatorname{Hom}_{k}(D, k)$  are given the usual topology,  $C = \varinjlim_{\alpha} C_{\alpha}$ , with the inverse limit topology (the topology of  $C_{\alpha}$  is the discrete). Besides  $\operatorname{Hom}_{k}(D_{\alpha} \otimes D_{\alpha}, k) = C_{\alpha} \bigotimes_{k} C_{\alpha}$  and  $\operatorname{Hom}_{k}(D \otimes D, k)$ , with the usual topology, equals  $\varinjlim_{\alpha} C_{\alpha} \otimes C_{\alpha} = C \bigotimes_{k} C$ . Under our assumptions, C, endowed with the operations dualizing those of D, is a l.t. k-hyperalgebra (commutative but not necessarily commutative) called the *Cartier dual* of D. Let us put:

$$S \colon C o \operatorname{End}_k D$$
  $(1.9) \qquad \qquad c \mapsto S_c \,, \quad ext{where} \ S_c(d) = (c \otimes \operatorname{id}_D) \operatorname{\mathbb{P}}_D d \,, \quad ext{for } d \in D \,,$ 

a continuous injective antirepresentation of k-algebras (the topology of D is the discrete). If  $c \in C$  and  $d \in D$  we will denote  $c(d) \in k$  by  $c \circ d$  and  $S_c(d) \in D$  by cd; the previous formula then reads:

$$(1.9.1) cd = (c \circ \otimes \mathrm{id}_D) \, \mathbf{P}_D d \; .$$

One can prove that:

$$egin{align} \mathbf{P}_{D}(cd) &= (c \otimes \operatorname{id}_{D}) \, \mathbf{P}_{D} \, d \ & c(dd') &= \mu_{D} ig( (\mathbf{P}_{C} c) (d \otimes d') ig) \ & (cc') \, d &= c'(cd) \ & c \circ d &= arepsilon_{D}(cd) \ \end{pmatrix}$$

for  $c, c' \in C$  and  $d, d' \in D$ . The second formula in (1.9.2), as many similar formulas to come, should be interpreted as follows. Suppose  $\mathbb{P}_{C}c = \sum_{j,h} c_{j} \otimes c_{h}$  (a converging sum in  $C \otimes_{k} C$ ); then  $(\mathbb{P}_{C}c)(d \otimes d') = \sum_{j,h} c_{j} d \otimes c_{k} d' = \sum_{j,h} S_{c_{j}}(d) \otimes \otimes S_{c_{h}}(d')$  (a finite sum in  $D \otimes_{k} D$ ). Therefore  $c(dd') = \sum_{j,h} (c_{j}d)(c_{k}d')$ .

Furthermore, if D is free with basis  $\{d_i, i \in I\}$  over k and if  $\{c_i, i \in I\}$  denotes the dual topological k-basis of C, we have:

(1.10) 
$$\mathbf{P}_{D} d = \sum_{i \in I} d_{i} \otimes c_{i} d.$$

Given  $u: A \to D \otimes A$  as in (1.4), let us put:

$$\begin{array}{ccc} T \colon C \to \operatorname{End}_{k} A \\ \\ c \mapsto T_{c} \,, & \text{where} \\ \\ T_{c}(a) = (c \circ \otimes \operatorname{id}_{A}) u(a) \,, & \text{for } a \in A \;. \end{array}$$

Again, T is a continuous antirepresentation of k-algebras (the topology of A being the discrete). If  $T_c(a)$  is denoted by ca, one has, for  $c \in C$  and  $a, a' \in A$ :

$$\begin{array}{ll} u(ca) &= (c \otimes \operatorname{id}_A) \, u(a) \\ c(aa') &= \mu_A \big( (\mathbb{P}_a c) (a \otimes a') \big) \; . \end{array}$$

The second formula in (1.12) means that  $c(aa') = \sum_{i,h} (c_i a)(c_h a')$ , if  $\mathbb{P}_C c = \sum_{i,h} c_i \hat{\otimes} c_h$ .

If D is free we have again:

$$u(a) = \sum_{i \in I} d_i \otimes c_i a.$$

Analogously, given  $\varphi \colon M \to D \otimes M$  as in (1.6), we define:

$$egin{aligned} U\colon C &
ightarrow \operatorname{End}_k M \ & c \mapsto U_c \,, & ext{where} \ & U_c(m) = (c \circ \otimes \operatorname{id}_M) arphi(m) \,, & ext{for } m \in M \,. \end{aligned}$$

Once again, U is a continuous antirepresentation of k-algebras (the topology of M being the discrete) and, after writing em for  $U_e(m)$ , it satisfies:

$$\begin{array}{c} \varphi(cm) = (c \otimes \mathrm{id}_M) \varphi(m) \\ \\ c(am) = \mu_{sc}((\mathbb{P}_G c)(a \otimes m)) \end{array}$$

for any  $c \in C$ ,  $a \in A$ ,  $m \in M$ , where  $\mu_{sc} \colon A \otimes_h M \to M$  denotes the scalar products. So  $c(am) = \sum_{i,h} (c_i a)(c_h m) = \sum_{i,h} T_{c_i}(a) U_{c_h}(m)$ , if  $\mathbb{P}_C c = \sum_{i,h} c_i \otimes c_h$ . If D is free, we have again:

(1.16) 
$$\varphi(m) = \sum_{i \in I} d_i \otimes c_i m.$$

Conversely, let  $U: C \to \operatorname{End}_k M$ , for a (discrete) A-module M, be a continuous antirepresentation of k-algebras satisfying the second formula in (1.15); assume that D is free. Then  $\varphi: M \to D \otimes M$  defined by (1.16) satisfies (1.6.1, 2, 3). Formula (1.7) now becomes:

(1.17) 
$$M_0 = \{ m \in M \mid cm = 0, \text{ for all } c \in C^+ \}.$$

Clearly:

$$\mathbf{M_0} = \{ m \in M \mid cm = (\varepsilon_c c) m, \text{ for all } c \text{ in } C \},$$

and, if  $c \in C$ ,  $m = m_0 \otimes a \in M = M_0 \otimes_k A$ , then:

$$(1.19) cm = U_c(m) = m_0 \otimes T_c(a) = m_0 \otimes ca.$$

As a consequence of the considerations above we will say that the map U of (1.14) is a descent datum on M relatively to  $k \to A$ . Let now M and N be two A-modules with descent data relatively to  $k \to A$ . An A-linear map  $f \colon M \to N$  is the extension by A-linearity of a k-linear map  $f_0 \colon M_0 \to N_0$  iff:

$$(1.20) f(cm) = cf(m), for all c in C and m in M.$$

One verifies immediately that the induced descent data on  $M \otimes_A N$  and  $\operatorname{Hom}_A(M,N)$  can be respectively expressed as follows:

$$(1.21) c(m \otimes n) = (\mathbf{P}c)(m \otimes n),$$

$$(1.22) (cf)(m) = \sum_{j,h} c_j (f(\varrho_C c_h) m)),$$

if  $c \in C$ ,  $\mathbf{P}c = \sum_{j,h} c_j \, \widehat{\otimes} \, c_h$  (a converging series in  $C \, \widehat{\otimes} \, C$ ),  $m \in M$ ,  $n \in N$ ,  $f \in \mathrm{Hom}_A(M,N)$ . The right-hand term in (1.21) is to be interpreted in the following way. Let  $t \colon M \otimes_k N \to M \otimes_A N$  be the canonical map. Then  $c(m \otimes n) = c(m \otimes_A n) = t \big( (\mathbf{P}c)(m \otimes_k n) \big) = \sum_{j,h} (c_j m)(c_h n)$  (a finite sum in  $M \otimes_A N$ ). Notice that this is a good definition.

2. – Let k be a perfect field of characteristic  $p \neq 0$  and, for  $n \in \mathbb{N}$ , let  $K_n = W_n(k)$  be the ring of Witt vectors (relative to the prime number p) of length n with components in k; in particular  $K_1 = k$ . Let  $K_n[x]$  be the affine algebra of the standard multiplicative formal group  $G_{K_n}$  over  $K_n: K_n[x]$  is endowed with the (x)-adic topology and it is the l.t.  $K_n$ -hyperalgebra  $(K_n)$  is discrete) whose coproduct  $\mathbb{P}$  and augmentation  $\varepsilon$  are given by:

(2.1) 
$$\mathbf{P}(x) = 1 \, \widehat{\otimes} \, x + x \, \widehat{\otimes} \, 1 + x \, \widehat{\otimes} \, x \,, \quad \varepsilon(x) = 0 \,.$$

For any m in  $\mathbb{N}$ , the multiplication by  $p^m$  of  $G_{K_n}$  (in additive notation) is expressed by the continuous morphism of  $K_n$ -algebras:

$$(2.2) P_m \colon K_n[\![x]\!] \to K_n[\![x]\!]$$
 
$$x \mapsto (1+x)^{p^m} - 1.$$

In fact  $P_m$  is an injective homomorphism of l.t.  $K_n$ -hyperalgebras. Let us regard  $P_m$  as an embedding of  $K_n[\![x]\!]$  in another copy of itself that we denote by  $K_n[\![x_m]\!]$ ; namely we put:

$$P_m \colon K_n[\![x]\!] \hookrightarrow K_n[\![x_m]\!]$$

$$P_m(x) = x = (1 + x_m)^{p^m} - 1.$$

One immediately checks that  $K_n[\![x_m]\!]$  is freely generated as a  $K_n[\![x]\!]$ -module by  $\{1, x_m, x_m^2, \ldots, x_m^{p^m-1}\}$ . Let us denote by  $K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]$  the group hyperalgebra of the group  $p^{-m}\mathbf{Z}_p/\mathbf{Z}_p$  (that is the Cartier dual of its affine algebra). Explicitly we have:  $K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]$  is the free  $K_n$ -module generated by the symbols  $\{Y_a, g \in p^{-m}\mathbf{Z}_p/\mathbf{Z}_p\}$ , and:

for any g, h in  $p^{-m}\mathbb{Z}_p/\mathbb{Z}_p$ . It is clear that the  $K_n$ -module homomorphism:

$$\begin{array}{ccc} K_n[\![x_m]\!] & \underset{K_n[\![x]\!] \nearrow \varepsilon}{\otimes} K_n \to K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p] \\ \\ (1+x_m)^i \otimes 1 \mapsto Y_{ip^{-m}+\mathbf{Z}_p} \end{array}$$

is an isomorphism of  $K_n$ -hyperalgebras (notice that  $K_n[\![x_m]\!] \bigotimes_{K_n[\![x]\!] \neq \epsilon} K_n$  is naturally a  $K_n$ -hyperalgebra). We deduce from (2.5) a surjective morphism of l.t.  $K_n$ -hyperalgebras  $(K_n[\![p^{-m}\ \mathbf{Z}_p/\![\mathbf{Z}_p]\!]]$  is discrete), with kernel  $xK_n[\![x_m]\!]$ :

(2.6) 
$$\sigma_m \colon K_n[\![x_m]\!] \to K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]$$
$$x_m \mapsto Y_{n^{-m}+\mathbf{Z}_n} - 1.$$

If we denote by  $_{p^m}G_{K_n}$  the finite multiplicative  $K_n$ -group whose affine algebra is  $K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]$ , we have proved above that the sequence:

$$(2.7) 0 \longrightarrow {}_{p^m}G_{K_n} \longrightarrow G_{K_n} \stackrel{p^m}{\longrightarrow} G_{K_n} \longrightarrow 0$$

is exact (in the category of (faithfully) flat sheaves of abelian groups on finite  $K_n$ -algebras).

Let us define now:

(2.8) 
$$u_m \colon K_n[\![x_m]\!] \to K_n[\![p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]] \bigotimes_{K_n} K_n[\![x_m]\!]$$
$$f \mapsto (\sigma_m \widehat{\otimes} \mathrm{id}) \mathbf{P} f$$

(notice that, since  $K_n[p^{-m}\mathbf{Z}_p/\mathbf{Z}_p]$  is a finite  $K_n$ -module and  $K_n[x_m]$  is complete, we could replace  $\widehat{\otimes}$  by  $\otimes$  in (2.8)). Clearly,  $u_m$  is a  $K_n[x]$ -algebra morphism and it is determined, as a  $K_n[x]$ -linear map, by:

$$(2.8.1) u_m (1 + x_m)^a = Y_{ap^{-m} + \mathbf{Z}_p} \otimes (1 + x_m)^a, \text{for } a \in \mathbf{N}.$$

We would like to prove that  $u_m$  satisfies to the properties required for u in (1.4), with the following replacements: (in the left-hand column of (2.9) find the symbols of section 1 while in the right-hand one find the symbols replacing them)

In the first place since  $K_n[\![x]\!] \hookrightarrow K_n[\![x_m]\!]$  is free, it is also faithfully flat. (1.4.1) is obvious. Let us check (1.4.2). We observe first that:

$$(2.10) l: K_n[\![x_m]\!] \bigotimes_{K_n} K_n[\![x_m]\!] \to K_n[\![x_m]\!] \bigotimes_{K_n} K_n[\![x_m]\!]$$

$$f \widehat{\otimes} g \to (\mathbf{P} f) (1 \widehat{\otimes} g)$$

is a continuous  $K_n$ -algebra isomorphism. The inverse of l is:

$$(2.11) r: f \widehat{\otimes} g \to ((id \widehat{\otimes} \varrho) \mathbb{P} f)(1 \otimes g).$$

Let us now consider the diagram:

$$(2.12) K_{n}[\![x_{m}]\!] \bigotimes_{K_{n}} K_{n}[\![x_{m}]\!] \xrightarrow{l} K_{n}[\![x_{m}]\!] \bigotimes_{K_{n}} K_{n}[\![x_{m}]\!]$$

$$\downarrow_{\operatorname{can.}} \qquad \qquad \downarrow_{\sigma_{m} \otimes \operatorname{id}}$$

$$K_{n}[\![x_{m}]\!] \bigotimes_{K_{n}[\![x_{m}]\!]} K_{n}[\![x_{m}]\!] \xrightarrow{\overline{l}} K_{n}[\![p^{-m}\mathbb{Z}_{p}/\mathbb{Z}_{p}] \bigotimes_{K_{n}} K_{n}[\![x_{m}]\!]}.$$

If we prove that in (2.12) the barred arrows exist in such a way that the resulting diagram is commutative, (1.4.2) will follow for  $u_m$ . We would have in fact then  $\overline{l}(f \otimes g) = u_m(f)(1 \otimes g)$  and  $\overline{r}\overline{l} = \mathrm{id}_{K_n[\![x]\!]} \frac{\otimes}{K_n[\![x]\!]} K_n[\![x]\!]}$  and  $\overline{l}\overline{r} = \mathrm{id}_{K_n[\![x]\!-m} \mathbb{Z}_p/\mathbb{Z}_$ 

For the existence of  $\bar{r}$ , it is enough to prove that  $r(x \hat{\otimes} 1)$  has zero image in  $K_n[\![x_m]\!] \bigotimes K_n[\![x_m]\!]$ . Now we have  $r(x \hat{\otimes} 1) = (\mathrm{id} \hat{\otimes} \varrho) \mathbf{P} x \in K_n[\![x]\!] \bigotimes K_n[\![x]\!]$ ; its image in  $K_n[\![x_m]\!] \bigotimes K_n[\![x_m]\!]$  coincides with  $\mu_{K_n[\![x]\!]}(\mathrm{id} \otimes \varrho) \mathbf{P} x = i\varepsilon x = 0$   $(i = i_{K_n[\![x]\!]})$  is as usual the structural morphism of  $K_n[\![x]\!]$ .

We conclude that the map  $u_m$  defined in (2.8) is a  $K_n[x]$ -algebra homomorphism:

$$(2.13) u_m \colon K_n[\![x_m]\!] \to K_n[p^{-m}\mathbb{Z}_p/\mathbb{Z}_p] \bigotimes_{K_n} K_n[\![x_m]\!]$$

such that:

$$(2.13.1) (id \otimes u_m) u_m = (\mathbf{P} \otimes id) u_m$$

and

$$(2.13.2) \quad \text{ the map: } K_n[\![x_m]\!] \underset{K_n[\![x]\!]}{\bigotimes} K_n[\![x_m]\!] \to K_n[\![p^{-m}\mathbb{Z}_p/\mathbb{Z}_p] \underset{K_n}{\bigotimes} K_n[\![x_m]\!] \\ f \otimes g \to u_m(f) (1 \otimes g)$$

is an isomorphism of  $K_n[x]$ -algebras.

We are exactly in the situation of section 1 with the substitutions indicated in (2.9).

At this point we need to make a typographical specification: the  $x_m$ belonging to  $K_n[x_m]$  will be denoted by  $x_m^{(n)}$ ; the symbol  $x_m$  will denote only  $x_m^{(1)}$ , and we also write x for  $x_0$ , so that  $x_m = x^{p^{-m}}$ , for m in N. We also put  $x_0^{(n)} = x^{(n)}$ . Let  $A_n = \bigcup_{m=0}^{+\infty} K_n \llbracket x_m^{(n)} \rrbracket$ . We want to prove that  $A_n = \bigcup_{m=0}^{+\infty} K_n \llbracket x_m^{(n)} \rrbracket$ .  $W_n(A_1)$ , where  $A_1$  obviously coincides with the perfectionate  $k[[x^{p^{-\infty}}]]$  of k[x]. Let us denote by  $\varphi_{n,m}$  the continuous ring homomorphism  $\varphi_{n,m}$ :  $K_{n+1}[\![x_m^{(n+1)}]\!] o K_n[\![x_m^{(n)}]\!]$  extending the natural map (reduction modulo  $p^n$ )  $K_{n+1} \to K_n$ , such that  $\varphi_{n,m}(x_m^{(n+1)}) = x_m^{(n)}$ . Let then  $\varphi_n \colon A_{n+1} \to A_n$  be defined by  $\varphi_n(a) = \varphi_{n,m}(a)$  if  $a \in K_{n+1}[[x_m^{(n+1)}]]$ . Let us regard  $A_n$  as a discrete l.t. ring. Then  $A = \lim_{n \to \infty} (A_n, \varphi_n)$  is a strict p-ring in the sense of [1], chap. II, sect. 5, and it coincides then with  $W(A_1)$ . It follows that  $A_n = W_n(A_1)$ . If the symbol [a] denotes the multiplicative representative in  $W_n(A_1)$  of  $a \in A_1$ , we have  $[1+x] = 1+x^{(n)}$  and, in general,  $[1+x^{p^{-m}}] = 1+x_m^{(n)}$ , in the identification above. A word of caution on the embedding of  $K_n[x_m^n]$ in  $W_n(A_1)$ . Let us (provisionally) topologize  $A_1$  with the (x)-adic topology and  $W_n(A_1)$  (in 1-1 correspondence with  $A_1^n$ ) with the product topology (notice that this topology coincides with the ([x])-adic). Then the embedding above is characterized as a continuous  $K_n$ -algebra morphism  $(K_n[[x_m^{(n)}]]$ being endowed with the  $(x_m^{(n)})$ -adic topology) by the assignment  $x_m^{(n)} \mapsto$  $\mapsto [1+x^{p^{-m}}]-1$ . In the sequel, no topology will be given to  $K_n[x_m^{(n)}]$ or to  $W_n(A_1)$ , but by «the embedding  $x_m^{(n)} \mapsto [1 + x^{p^{-m}}] - 1$ », we will always mean the one described above.

Let us fix n and put  $x_m^{(n)} = X_m$ ,  $X_0 = X$ . By taking direct limits in (2.13) we get a morphism of  $K_n[X]$ -algebras:

$$(2.14) u: W_n(A_1) \to K_n[\mathbb{Q}_p/\mathbb{Z}_p] \bigotimes_{K_n} W_n(A_1) ,$$

where  $K_n[\mathbb{Q}_p/\mathbb{Z}_p]$  is the free  $K_n$ -module generated by  $\{Y_g, g \in \mathbb{Q}_p/\mathbb{Z}_p\}$ , endowed with the hyperalgebra operations defined by formulas (2.4). The morphism of  $K_n[X]$ -algebras u is determined by the relations:

$$(2.14.0) u([1+x^{p^{-m}}]) = Y_{p^{-m}+\mathbf{Z}_p} \otimes [1+x^{p^{-m}}],$$

for  $m \in \mathbb{N}$ . It satisfies:

$$(2.14.1) (P \otimes id) u = (id \otimes u) u;$$

$$(2.14.2) W_n(A_1) \bigotimes_{K_n \llbracket X \rrbracket} W_n(A_1) \to K_n[\mathbb{Q}_p/\mathbb{Z}_p] \bigotimes_{K_n} W_n(A_1)$$
$$f \otimes g \mapsto u(f)(1 \otimes g) ,$$

is an isomorphism of  $K_n[\![X]\!]$ -algebras.

Moreover the morphism  $K_n[\![X]\!] \hookrightarrow W_n(A_1)$  is faithfully flat. (The facts just stated follow from the properties of direct limits). We are then in a position to apply the theory of section 1 to get information on the descent relatively to  $K_n[\![X]\!] \hookrightarrow W_n(k[\![x^{p^{-\infty}}]\!])$ ,  $X \mapsto [1+x]-1$ .

Let us carry out in detail the constructions of section 1 for the present data. The next diagram indicates the replacements to be operated (as before the right-hand column replaces the left-hand one):

$$(2.15) \hspace{1cm} k \quad , \quad K_n[X]$$

$$A \quad , \quad W_n(k[x^{p^{-\infty}}])$$

$$k \to A \quad , \quad X \mapsto [1+x]-1$$

$$D \quad , \quad K_n[X] \underset{K_n}{\bigotimes} K_n[\mathbb{Q}_p/\mathbb{Z}_p]$$

$$D \underset{k}{\bigotimes} A \quad , \quad K_n[\mathbb{Q}_p/\mathbb{Z}_p] \underset{K_n}{\bigotimes} W_n(k[x^{p^{-\infty}}]) \; .$$

Let  $F_n = \operatorname{Hom}_{K_n} \left( K_n[\mathbb{Q}_p/\mathbb{Z}_p], K_n \right)$  be the l.t.  $K_n$ -hyperalgebra Cartier dual to  $K_n[\mathbb{Q}_p/\mathbb{Z}_p]$ . We can obviously identify  $F_n$  with the l.t.  $K_n$ -hyperalgebra of functions defined on the group  $\mathbb{Q}_p/\mathbb{Z}_p$  taking values in  $K_n$ , endowed with the topology of simple convergence on  $\mathbb{Q}_p/\mathbb{Z}_p$  with respect to the discrete topology of  $K_n$ . (We recall that  $\mathbb{P} \colon F_n \to F_n \widehat{\otimes} F_n$  is defined by identifying  $F_n \widehat{\otimes}_{K_n} F_n$  with the  $K_n$ -algebra of functions from  $\mathbb{Q}_p/\mathbb{Z}_p \times \mathbb{Q}_p/\mathbb{Z}_p$  to  $K_n$ , endowed with the topology of simple convergence, and by putting  $(\mathbb{P}_f)(a,b) = f(a+b)$ , for f in  $F_n$  and a, b in  $\mathbb{Q}_p/\mathbb{Z}_p$ , and  $\varepsilon f = 0$ .)

Such an identification is obtained by interpreting  $f\colon \mathbb{Q}_p/\mathbb{Z}_p\to K_n$  as the  $K_n$ -linear map:  $\sum_{i\in\mathbb{Q}_p/\mathbb{Z}_p}a_iY_i\mapsto\sum_{i\in\mathbb{Q}_p/\mathbb{Z}_p}a_if(i)$ , from  $K_n[\mathbb{Q}_p/\mathbb{Z}_p]$  to  $K_n$ . Notice that  $F_n$  can naturally be identified with  $W_n(F_1)$  as a  $K_n$ -algebra:  $f\in F_n$  is identified with  $(f_0,\ldots,f_{n-1})\in W_n(F_1)$  if  $f_i=c_if$ , where for  $i=0,\ldots,n-1$ ,  $c_i\colon K_n=W_n(k)\to k$  is the function «i-th component» of a Witt vector. The topology of  $F_n$  corresponds then to the product topology of the topology of  $F_1$ , in the natural bijection  $W_n(F_1)\longleftrightarrow F_1^m$ . We can also identify  $W_n(F_1)\longleftrightarrow K_n$  with  $W_n(F_1)$   $\bigotimes_{K_n}W_n(F_1)$ , since they are both isomorphic to the  $K_n$ -algebra of functions  $f\colon \mathbb{Q}_p/\mathbb{Z}_p\times\mathbb{Q}_p/\mathbb{Z}_p\to K_n$  endowed with the topology of simple convergence. The coproduct of  $F_n$  then corresponds to the map:

$$(2.16) \qquad W_{\mathbf{n}}(\mathbb{P}_{F_{1}}) \colon W_{\mathbf{n}}(F_{1}) \to W_{\mathbf{n}}\left(F_{1} \bigotimes_{K} F_{1}\right) = W_{\mathbf{n}}(F_{1}) \bigotimes_{K_{\mathbf{n}}} W_{\mathbf{n}}(F_{1})$$
 
$$(f_{0}, \, \ldots, \, f_{n-1}) \mapsto (\mathbb{P}_{F_{1}}f_{0}, \, \ldots, \, \mathbb{P}_{F_{1}}f_{n-1}) \; .$$

To pursue the correspondence with section 1, we see that the l.t. k-hyperalgebra C is now replaced by  $K_n[\![X]\!] \bigotimes_{K_n} F_n$  (or  $K_n[\![X]\!] \bigotimes_{K_n} W_n(F_1)$ ) where  $\bigotimes_{K_n} F_n$  is taken with respect to the discrete topology of  $K_n[\![X]\!]$ . The representation S of (1.9) is now the extension by  $K_n[\![X]\!]$ -linearity of the  $K_n$ -linear continuous representation:

Similarly the T of (1.11) is the extension by  $K_n[X]$ -linearity of the  $K_n$ -linear continuous representation:

$$(2.18) \qquad \begin{array}{c} T' \colon F_n \to \operatorname{End}_{K_n[\![X]\!]} W_n(k[\![x^{p^{-\infty}}]\!]) \\ \\ f \mapsto T'_f \,, & \text{where} \\ \\ T'_f((1+X)^{ap^m}) = f(ap^m + \mathbb{Z}_n)(1+X)^{ap^m} \,, & \text{for } a, \, m \, \text{in } \mathbb{Z} \,. \end{array}$$

We leave to the reader the verification of the formulas in (2.17) and (2.18). We then conclude from section 1, that a descent datum on a  $W_n(k[x^{p^{-\infty}}])$ -module M relatively to  $K_n[X] \hookrightarrow W_n(k[x^{p^{-\infty}}])$ ,  $X \mapsto [1+x]-1$ , is equivalent to a continuous representation of  $K_n$ -algebras:

$$U' \colon F_n \to \operatorname{End}_{K_n} M$$

$$f \mapsto U'_f$$

such that (after skipping the symbols U', T' and denoting by

$$\mu_{sc} \colon W_n(k[x^{p^{-\infty}}]) \bigotimes_{\mathbb{F}} M \to M$$

the scalar product):

$$(2.20) d(rm) = \mu_{sc} \Big( (\mathbb{P}d) \Big( r \bigotimes_{r} m \Big) \Big),$$

for any d in  $F_n$ , r in  $W_n(k[x^{p^{-\infty}}])$ , m in M. Notice that each  $U'_f$ , for f in  $F_n$ , is then in fact  $K_n[X]$ -linear.

Since  $K_n[\![X]\!] \hookrightarrow W_n(k[\![x^{p^{-\infty}}]\!])$  is faithfully flat, all descent data with respect to it are effective and therefore if one puts:

$$(2.21) M_0 = \{ m \in M \mid dm = 0 \text{ for } d \in F_n^+ \},$$

one concludes that  $M_0$  is a  $K_n[\![X]\!]$ -module, that  $M=W_n(k[\![x^{p^{-\infty}}]\!]) \underset{K^n[\![X]\!]}{\bigotimes} M_0$ , and that  $d(r \otimes m) = dr \otimes m$  for each d in  $F_n$ , r in  $W_n(k[\![x^{p^{-\infty}}]\!])$ , and m in  $M_0$ . Since  $u_m$  in (2.13) is a  $K_n[\![X]\!]$ -algebra morphism, we can extend it by  $K_n[\![X]\!]$ -linearity to:

$$(2.22) \qquad \qquad u_m'\colon K_n[\![X_m]\!][1/X] \to K_n[\![p^{-m}\mathbf{Z}_p/\!\mathbf{Z}_p]\!] \bigotimes_{K_n} K_n[\![X_m]\!][1/X]$$
 satisfying:

$$(2.22.1) (id \otimes u'_m)u'_m = (\mathbf{P} \otimes id)u'_m;$$

(2.22.2) the map:

$$\begin{split} K_n[\![X_m]\!][1/X] & \bigotimes_{K_n[\![X]\!][1/X]} K_n[\![X_m]\!][1/X] \rightarrow K_n[p^{-m}\mathbb{Z}_p/\mathbb{Z}_p] \bigotimes_{K_n} K_n[\![X_m]\!][1/X] \\ f \otimes g \mapsto u_m'(f)(1 \otimes g), \end{split}$$

is an isomorphism of  $K_n[X][1/X]$ -algebras.

Moreover  $K_n[X][1/X] \hookrightarrow K_n[X_m][1/X]$  is free and therefore faithfully flat. Notice that  $1/X_m = (1 + (1 + X_m) + ... + (1 + X_m)^{p^m-1})/X$  so that  $K_n[X_m][1/X] = K_n[X_m][1/X_m]$ . By passing to the direct limit for m going to infinity, we get:  $\lim_{n \to \infty} K_n[X_m][1/X] = A_n[1/X] = W_n(k((x^{p^{-\infty}})))$ , where  $k((x^{p^{-\infty}}))$  denotes the perfect closure of the field k((x)). We obtain again a morphism of  $K_n[X][1/X]$ -algebras:

$$(2.23) u': W_n(k((x^{p^{-\infty}}))) \to K_n[\mathbb{Q}_p/\mathbb{Z}_p] \bigotimes_{K_p} W_n(k((x^{p^{-\infty}})));$$

given by:

$$(2.23.0) u'([1+x^{p^{-m}}]) = Y_{p^{-m}+\mathbb{Z}_p} \otimes [1+x^{p^{-m}}] \text{for } m \text{ in } \mathbb{N},$$

and satisfying:

$$(2.23.1) (\mathbf{P} \otimes \mathrm{id}) u' = (\mathrm{id} \otimes u') u';$$

(2.23.2) the map:

$$W_{n}(k((x^{p^{-\infty}}))) \underset{K_{n}[\![X]\!][1/X]}{\bigotimes} W_{n}(k((x^{p^{-\infty}}))) \to K_{n}[\mathbb{Q}_{p}/\mathbb{Z}_{p}] \underset{K_{n}}{\bigotimes} W_{n}(k((x^{p^{-\infty}})))$$
$$f \otimes g \mapsto u'(f)(1 \otimes g)$$

is an isomorphism of  $K_n[X][1/X]$ -algebras.

Moreover  $K_n[X][1/X] \hookrightarrow W_n(k((x^{p^{-\infty}})))$  is faithfully flat. We can therefore apply to the descent relatively to that extension the same criteria we proved for  $K_n[X] \hookrightarrow W_n(k[x^{p^{-\infty}}])$ .

E

3. – We keep the notation of section 2. Let us denote by R the ring  $k[\![x]\!]$  and by R' its perfectionate  $k[\![x^{p^{-\infty}}]\!]$ . Let Q,Q' denote the quotient fields of R,R', respectively. We also put K=W(k)= the ring of infinite Witt vectors with components in k. Let  $K[\![X]\!]$  be the ring of formal power series in X with coefficients in K; there is a unique morphism of K-algebras:

$$(3.1) K[X] \rightarrow W(R')$$

sending X to [1+x]-1, which is continuous for, say, the (p,X)-adic topology in K[X] and the (p,[x])-adic one in W(R'). We will always regard K[X] as embedded in W(R') by means of (3.1). The embedding (3.1) can obviously be uniquely extended, as a ring homomorphism, to give an embedding:

$$(3.2) K[X][1/X] \to W(Q'),$$

that we will always use in the sequel. Notice that (3.2) can again be extended by p-adic continuity, to an embedding of the p-adic completion B of K[X][1/X] in W(Q'):

$$(3.3) B \to W(Q').$$

The embeddings (3.1) and (3.3) reduce modulo  $p^n$ , to the embeddings used in section 2, for which we were able to give simple descent criteria. Let us restate those results in a more manageable form.

Formula (2.18) provides us with a map:

$$\begin{cases} F_n \times W_n(R') \to W_n(R') \\ (d,r) \mapsto T_d'(r) = dr \, . \end{cases}$$

Analogously, using (2.22), we get a map (that extends (3.4)):

$$\begin{cases} F_n \times W_n(Q') \to W_n(Q') \\ (d, r) \mapsto dr. \end{cases}$$

The map (3.5) can be characterized by the properties:

(3.5.1) 
$$r \mapsto dr$$
 is  $K_n[X][1/X]$ -linear;

$$(3.5.2) \quad d[(1+x^{ap^m})] = d(ap^m + \mathbb{Z}_p)[(1+x)^{ap^m}] \,, \quad \text{for $a$, $m$ in $\mathbb{Z}$} \,.$$

Moreover (3.5) makes  $W_n(Q')$ , endowed with the discrete topology, into a l.t.  $F_n$ -module and satisfies:

$$(3.5.3) d(rr') = \mu((\mathbb{P}d)(r \otimes r')), \text{for } d \in F_n, r, r' \in W_n(Q').$$

The right-hand term in (3.5.3) is to be interpreted in the following way. Suppose  $\mathbf{P}d = \sum_{i,j} d_i \widehat{\otimes} d_j$ , a converging sum in  $F_n \widehat{\otimes}_{K_n} F_n$ , then:  $d(rr') = \sum_{i,j} (d_i r)(d_j r')$ , a finite sum in  $W_n(Q')$ . A descent datum on a  $W_n(R')$ (resp.  $W_n(Q')$ -) module M, relatively to  $K_n[X] \hookrightarrow W_n(R')$  (resp.  $K_n[X] \hookrightarrow W_n(Q')$ ) is equivalent to a  $K_n$ -bilinear map:

$$\begin{cases}
F_n \times M \to M \\
(d, m) \mapsto dm
\end{cases}$$

making M, endowed with the discrete topology, into a topological  $F_n$ -module and satisfying:

(3.7) 
$$d(rm) = \mu_{sc}((\mathbb{P}_{F_n}d)(r\bigotimes_{F_n}m)),$$

for  $d \in F_n$ ,  $r \in W_n(R')$  (resp.  $W_n(Q')$ ),  $m \in M$ . Here, as usual,  $\mu_{sc} \colon W_n(R')$   $\bigotimes M \to M$  (resp.  $W_n(Q') \bigotimes_{K_n} M \to M$ ) is the scalar product, and, if  $\mathbb{P}d = \sum_{i,j} d_i \widehat{\otimes} d_j$  (a converging sum in  $F_n \widehat{\otimes} F_n$ ), the right-hand term of (3.7) is to be interpreted as  $\sum_{i,j} (d_i r)(d_j m)$  (a finite sum in M), through (3.5) and (3.6). Notice that  $m \mapsto dm$  is then automatically  $K_n[X] - (\text{resp. } K_n[X] [1/X] - )$  linear.

Let F be the l.t. K-hyperalgebra (K being endowed with the p-adic topology) of functions from  $\mathbb{Q}_p/\mathbb{Z}_p$  to K, with the topology of simple convergence. A fundamental system of open K-submodules (ideals, in fact) of F is given by the

$$U_{m,n} = \{ f \in F / f(p^{-m}\mathbb{Z}_p/\mathbb{Z}_p) \subseteq p^n K \},$$

as m, n vary in  $\mathbb{N}$ . Clearly,  $F = \lim_{\stackrel{\longleftarrow}{\longleftarrow}} F_n$ , as a topological ring. The identification  $F_n \stackrel{\sim}{\longrightarrow} W_n(F_1)$  of section 2, now carries over to an identification  $F \stackrel{\sim}{\longrightarrow} W(F_1)$ , the last being equipped with the product topology of the topology of  $F_1$ .

By taking inverse limits for  $n \to +\infty$  in (3.5), we obtain a map:

(3.8) 
$$\begin{cases} F \times W(Q') \to W(Q') \\ (d, r) \mapsto dr \end{cases}$$

that can be characterized by the following properties (3.8.1) and (3.8.2):

$$(3.8.1)$$
  $r \mapsto dr$  is B-linear;

$$(3.8.2) d[(1+x^{ap^m})] = d(ap^m + \mathbb{Z}_p)[(1+x)^{ap^m}], \text{for } a, m \text{ in } \mathbb{Z}.$$

Moreover, the map (3.8) makes W(Q'), endowed with the *p*-adic topology, into a topological F-module and satisfies:

$$(3.8.3) d(rr') = \mu((\mathbb{P}d)(r \otimes r')), \text{for } d \in F, r, r' \in W(Q').$$

The right-hand term of (3.8.3) should be interpreted as follows. Let  $\mathbb{P}d = \sum_{i,j} d_i \otimes d_j$  (a converging sum in  $F \otimes_K F$ ); then  $d(rr') = \sum_{i,j} (d_i r)(d_j r')$  (a p-adically convergent sum in W(Q')).

Let M be a W(R')-(resp. W(Q')-) module, p-adically separated and complete. Let

$$\begin{cases} F \times M \to M \\ (d, m) \mapsto dm \end{cases}$$

be a K-bilinear map, making M, endowed with the p-adic topology, into a topological F-module, and satisfying:

$$d(am) = \mu_{sc} \Big( (\mathbb{P}_F d) \Big( a \bigotimes_{K} m \Big) \Big)$$

for  $d \in F$ ,  $a \in W(R')$  (resp. W(Q')),  $m \in M$ . Here  $W(R') \bigotimes_K M$  (resp.  $W(Q') \bigotimes_K M$ ) denotes the p-adic completion of  $W(R') \bigotimes_K M$  (resp.  $W(Q') \bigotimes_K M$ ),  $\mu_{sc} \colon W(R') \bigotimes_K M \to M$  (resp.  $W(Q') \bigotimes_K M \to M$ ) denotes the scalar product, and, if  $\mathbb{P}d = \sum_{i,j} d_i \bigotimes_K d_j$  (a converging sum in  $F \bigotimes_K F$ ) the right-hand member of (3.10) is to be interpreted as  $\sum_{i,j} (d_i a)(d_j m)$  (a p-adically convergent sum in M) through (3.8) and (3.9).

It is clear that, by reduction modulo  $p^n$ , the datum (3.9) satisfying (3.10), provides a series of compatible data on  $M/p^nM$  of the type (3.7).

We then easily conclude from the previous section that if we put:

(3.11) 
$$M_0 = \{ m \in M \mid dm = 0, \text{ if } d(0) = 0 \},$$

 $M_0$  is a K[X]-(resp. B-) submodule of M,  $M_0$  is p-adically separated and complete,  $M = M_0 \bigotimes_{K[X]} W(R')$  (resp.  $M_0 \bigotimes_{B} W(Q')$ ) where  $\bigotimes$  means p-adic completion of  $\bigotimes$ , and  $d(m \mathbin{\widehat{\otimes}} a) = m \mathbin{\widehat{\otimes}} da$  for  $d \in F$ ,  $m \in M_0$ ,  $a \in W(R')$  (resp. W(Q')). Analogous results hold for the descent of morphisms of modules.

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